

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Skandinaviska Enskilda Banken AB	AXY830	Registered Institution		2
BKT Asset Management HK Limited	BOL522	Licensed Corporation	1	
CR Wealth and Asset Management Limited	BGQ200	Licensed Corporation	1, 4	
Golden Asset Management Co., Limited	BNU616	Licensed Corporation	1	
Keptain Securities and Asset Management Limited	AZK479	Licensed Corporation	4	
Lamtex Securities Limited	AAA721	Licensed Corporation		1
Look's Asset Management Limited	AUM670	Licensed Corporation	1	
New Prospect Capital Limited	BJT614	Licensed Corporation	9	
Superactive Financial Group Company Limited	AYB898	Licensed Corporation		1
SynerWealth Financial Limited	ARK752	Licensed Corporation	4	
Tensant Securities Limited	AKO804	Licensed Corporation	4	
Vontobel Asset Management Asia Pacific Limited	ARR382	Licensed Corporation		9
AU Hin Man	AOK562	Licensed Representative	4, 5	3
AU Yuen Ting	BFI067	Licensed Representative	9	
AUGIAS Cedric Alain Nicolas Marie	AFS898	Licensed Representative	7	
BARLOW Michele Anne	AJE675	Licensed Representative		1
BIAN Yuan	AVE035	Licensed Representative	1, 4	
BUT Kwun Ho	BLR561	Licensed Representative	4	
CAO Jialin	BPS607	Licensed Representative	9	
CAO Jian	BOG527	Licensed Representative	1, 6	9
CATALANO Angelo	AUB322	Licensed Representative	1	
CERBOURG Sebastien	ALC897	Licensed Representative		4, 5
CHAN Gaa Ci	BPK573	Licensed Representative	9	
CHAN Ka Chun	BKC972	Licensed Representative	4	
CHAN Leong Sek, Freddy	ADW083	Licensed Representative		1
CHAN Ngai Sut	BOW660	Licensed Representative	9	
CHAN Suk Man	AFT975	Licensed Representative		5
CHAN Ying Yi	AVL067	Licensed Representative	9	
CHAN Yuk Pan	BOD136	Licensed Representative		4
CHANG Chung Kan	ADJ876	Licensed Representative		2
CHANG Tsz Ling	BNX454	Licensed Representative	9	
CHANG Yu Tzu	BMR330	Licensed Representative	9	
CHAUDET Marc-Antoine	BPV270	Licensed Representative		1, 4
CHEN Gary	BHA294	Licensed Representative	1, 2	
CHEN Ming Yu	BLT686	Licensed Representative	4, 9	
CHEN Yang	BNS682	Licensed Representative		9
CHEN Yi-hsuan	BCK950	Licensed Representative		1
CHEN Yixiang	BLN678	Licensed Representative	1, 2, 5	
CHEN Zhihu	BAH710	Licensed Representative		1
CHEN Zihao	BFN912	Licensed Representative	4	
CHENG Chun Hei	ANK163	Licensed Representative		4
CHENG Kit Fu	BNG039	Licensed Representative	9	
CHEUNG Bonathan Wai Ka	BIF071	Licensed Representative	1	
CHEUNG Chi Chung, Nicholas	BDP720	Licensed Representative	4, 5	
CHEUNG Ho Ming	BPA021	Licensed Representative	2, 4	
CHEUNG Man Yu	ADV638	Licensed Representative	1	
CHEUNG Yin Ling	AYZ253	Licensed Representative		1
CHEUNG Yuet Hoi	BAI842	Licensed Representative	2	
CHIU Po-ju	BJD825	Licensed Representative	2, 7	
CHIU Sai Ka	BLD324	Licensed Representative	9	2
CHONG Ka Shing	BLO845	Licensed Representative	4	1, 2, 5
CHU Chun Man	ASZ711	Licensed Representative	9	
CHUNG Kai Cheong	AZL636	Licensed Representative	4, 9	1, 6
CHUNG Kai Shing, Raymond	ADO794	Licensed Representative		4
CHUNG Lai Kit	BPK868	Licensed Representative		4, 5
COLIN-JONES Alexander Mark	AOE138	Licensed Representative		4
CROSBIE-WALSH Gordon Michael	ACD934	Licensed Representative		4, 6

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
FAN Chi Man	BGP304	Licensed Representative	9	
FEI Da	BOJ658	Licensed Representative	2	
GAO Luyan	BPG262	Licensed Representative	4	
GAO Yuan	BFT182	Licensed Representative	4	
GENG Lin	APH930	Licensed Representative	6	
GOH Diwen	BLM062	Licensed Representative	9	1, 4, 6
GUO Mingzhe	BOC397	Licensed Representative	9	1, 2, 7
HAN Yuzhu	BLT746	Licensed Representative	9	
HENDERSON Kevin Ryan	BMQ137	Licensed Representative	9	
HO Man Chun	AZL144	Licensed Representative		4
HSU Jung-hsuan	AZT777	Licensed Representative		2
HUANG Ling Kwan	AUQ372	Licensed Representative	4	
HUANG Tiancheng	BMQ053	Licensed Representative	1, 4	5
HUI Wing Kee	ALU952	Licensed Representative		2, 4
HUNG Ming Xi, Rainky	BFX024	Licensed Representative	5	
JI Kai	BJL531	Licensed Representative	4	
JIANG Haiyuan	BNK729	Licensed Representative		2
JIN Yi	BOR293	Licensed Representative	2	
JOLLY Rimmo	ATR852	Licensed Representative	4	
KAM Kwun Kwan, Boris	ANA539	Licensed Representative		4, 5
KE Minning	BEB940	Licensed Representative	6	9
KONG Hoi Shuen	BFJ638	Licensed Representative	4	1
KONG Wai Wa	BAX161	Licensed Representative		2
KUAN Roy	AFD203	Licensed Representative		1
KUNG Kevin Holting	AXV035	Licensed Representative	9	1, 2, 7
KWOK Hoi Yan	ANB388	Licensed Representative	1, 2	
KWOK Ka Yiu	AFG950	Licensed Representative	1	
LAI Chui Shan	BGT651	Licensed Representative	4	2
LAI Maureen	AUU966	Licensed Representative	4, 6	
LAM Chi Ho	BHY626	Licensed Representative	9	2
LAM Kit Yin	ANV267	Licensed Representative	4	
LAM Leung Kit	AXP603	Licensed Representative	4, 9	
LAM Pui Fun	AZR919	Licensed Representative		4
LAM Wai Ho	AVS360	Licensed Representative	4, 5	
LAU Cheong	BFA120	Licensed Representative	4	
LAU Hing Bun	AGW616	Licensed Representative		1
LAU Ka Ki	BIJ843	Licensed Representative		1
LAU Yau Wai, Jimmy	AHS952	Licensed Representative	2	
LAW Kwai Yee	BHZ142	Licensed Representative	1	
LEE Chak Man Edward	BHO953	Licensed Representative		1
LEE Chun Wai	AMK024	Licensed Representative		1, 2
LEE Ha Young	ADI252	Licensed Representative		9
LEE Kin Man, Desmond	AFK515	Licensed Representative	1, 4	
LEE Vincent Marshall Kwan Ho	AAK213	Licensed Representative		1, 4
LEUNG Alexander	ANH673	Licensed Representative	1, 2, 5	9
LEUNG Hoi Chun	BJK269	Licensed Representative	4, 9	
LEUNG Ka Wai	AME528	Licensed Representative		6
LEUNG Man Sik, Manz	BCK772	Licensed Representative		1
LEUNG Po Hung	BIH003	Licensed Representative		2
LI Colin Yi	BPR494	Licensed Representative	2	
LI Gengrong	BGD432	Licensed Representative	4, 5	
LI Hao	BPC152	Licensed Representative		2
LI Hualin	BOH730	Licensed Representative		1, 4
LI Junhao	BGY117	Licensed Representative	4	
LI Tai	BOK534	Licensed Representative	1, 4	9
LI Tim Yan Lilian	BPN243	Licensed Representative	2	
LI Yi	AQQ241	Licensed Representative		2

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LI Zhaoyi	BOH012	Licensed Representative		6
LIANG Daping	BNV495	Licensed Representative	9	
LIANG Lixuan	BBE457	Licensed Representative		2, 4, 5
LIANG Xin	BJI881	Licensed Representative	9	1
LIN I-chi	BAT265	Licensed Representative		4
LIN Shuli	BEG056	Licensed Representative		1
LING Ling	AXL658	Licensed Representative	9	
LIU Jing	BNO984	Licensed Representative	9	
LIU Jintao	BHW678	Licensed Representative	9	1, 6
LIU Na	BEC575	Licensed Representative		4, 9
LO Cheuk Yee, Helen	ANA705	Licensed Representative	9	
LO Chi Kai, Ivan	AXH528	Licensed Representative		1
LO Dickson Tat San	AVM658	Licensed Representative		4
LO Hoi Yee	ASE941	Licensed Representative		4
LOMBARD Jean-Christophe Roland Firmin	APG365	Licensed Representative	4	2
LU Tsz Hin, David	BPM954	Licensed Representative	1	9
LU Xuefei	BIT148	Licensed Representative		6
LU Yu	BBE946	Licensed Representative	1	
LUI Ho Kit	AYO352	Licensed Representative	4	2
LUK Kim Ping	ACS638	Licensed Representative		9
LUK Wing Chung, Tony	AYK476	Licensed Representative		1
MA Kwok Chau	BOG876	Licensed Representative		6
MA Wai Yee	BPK726	Licensed Representative	2	
MAK Ho Ming	BAJ419	Licensed Representative	1	
MAK Kwok Leung	APF173	Licensed Representative		9
MAOLANON Nond	BOL719	Licensed Representative		1, 4
MATSUO Hidetaku	AZL647	Licensed Representative	4	
MIAO Shun-Tang	ASE264	Licensed Representative	2, 5	
NG Ching Yin	BOO394	Licensed Representative	2	
NG Li Sheng Jason	BGG975	Licensed Representative	9	1
OOI Pei Yi	BGQ919	Licensed Representative		1, 4
PAN Huiying	BOL180	Licensed Representative		7
PARK Chaeyoung	BPM782	Licensed Representative	2, 5	1, 4
POON Ho Leung	AAK420	Licensed Representative	9	
POON King Tou, Ronald	ANH678	Licensed Representative		1
QIAN Hua	BME617	Licensed Representative		1, 6
QIAO Yashuang	BKR873	Licensed Representative		1
QIN Yu	BNL547	Licensed Representative	4	
RABIER Adrien Guy	BNR825	Licensed Representative	4	
RONIS Kristaps	BEB410	Licensed Representative	9	
SERFATI Stephane David	BGA752	Licensed Representative		1, 4
SHEK Wing Kit	ANR257	Licensed Representative		4, 9
SHI Chanjuan	BNE427	Licensed Representative	4	2
SHU Yichan	BAE667	Licensed Representative		1
SIU Sau Man	AGP751	Licensed Representative		4
SO Ching Yu Calvin	BNX953	Licensed Representative	4	
SO Wai Yip	BDO341	Licensed Representative		7
SUEN CHUN HO	BPU089	Licensed Representative	4	
SUN Min	BHM881	Licensed Representative		4
SZE Kin	BPN218	Licensed Representative	6	
SZETO Wing Ho	BOF200	Licensed Representative	2	
TAI Kit Ying, Agnes	ABD514	Licensed Representative		1, 2, 5
TAKAKU Masanori	AXM564	Licensed Representative	4	
TAM Ho Yin, Jude	ALA228	Licensed Representative	4	
TAM KAM FAI	BPN435	Licensed Representative	1	
TAM Li Hon	BIL825	Licensed Representative		4
TAM Zumin Patrick Jr.	AEM645	Licensed Representative		4

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TAN Jia	BBZ469	Licensed Representative		4
TANG Pak Chun	BMX548	Licensed Representative		1
TANG Pak Kee	AVD968	Licensed Representative		9
TANG Wai Fung	ATN569	Licensed Representative		4, 9
TIAN Ye	AWZ521	Licensed Representative		1
TRETHERWAY Daniel Glenn	BPW688	Licensed Representative	9	
TSANG Ho Ming Jonathan	BPN537	Licensed Representative	1, 2	
TSANG Wai Lun	AQY698	Licensed Representative		4
TSE Kwok On	AHG557	Licensed Representative	6	
TSUI Siu Lung	AGN289	Licensed Representative	2	
WADLE John Allen	AGS454	Licensed Representative		2
WANG Bohua	BEL567	Licensed Representative	9	1, 4
WANG Dien	BOE236	Licensed Representative	1	
WANG Jiayue	BKB891	Licensed Representative	9	
WANG Kenneth Chuan	AHU775	Licensed Representative	9	
WANG Shuai	BNB844	Licensed Representative	1, 9	
WANG Wan	AZR344	Licensed Representative	9	1, 2
WANG Yao	BFW346	Licensed Representative		4
WEBSTER Andrea	AJF458	Licensed Representative		1
WEI Chi An, Alexandre	AAD164	Licensed Representative		4
WEN Yuxiang	BOF947	Licensed Representative	1, 4	
WENG Jiani	BLV407	Licensed Representative	1	4, 9
WONG Calvin Chee Jun	AYF520	Licensed Representative	9	4
WONG Charles Yau Kung	AUN422	Licensed Representative		1
WONG Chun Wah	AUP359	Licensed Representative	9	
WONG Hoi Ling	BJZ254	Licensed Representative	2	
WONG Kai Yuen, Clement	BLF209	Licensed Representative	1	
WONG Kin Ying	BOS192	Licensed Representative	9	
WONG Pui Tak	BCU590	Licensed Representative	9	
WONG Pui Yan, Joey	AGD800	Licensed Representative		4
WONG Tak Yan	AAF815	Licensed Representative		2
WONG Tse Shan	BOB082	Licensed Representative	1	6
WONG Wai Ting	BDI841	Licensed Representative		1
WOO Wing Yin	APC057	Licensed Representative	1, 2	4, 9
WU Yingyingjian	BIE144	Licensed Representative		1
WU Yulu	BHX593	Licensed Representative	6	2, 7
XIA Junhua	BIE681	Licensed Representative		9
XU Jing	BOP968	Licensed Representative	4	
XU Lingyan	ARI518	Licensed Representative	1, 4	
XU Xingye	BMQ601	Licensed Representative		4, 5, 9
YANG Han	BIX762	Licensed Representative		4
YANG Haoming	BOK461	Licensed Representative	4	6
YANG Huaibin	BNR146	Licensed Representative	9	
YANG Shijing	AUU963	Licensed Representative	1, 7	5
YANG Zhangyi	BKU681	Licensed Representative	9	6
YAU Chi Kin	ADR779	Licensed Representative	2	
YAU Choi Yu	BGH792	Licensed Representative	4, 5	
YAU Ka Faat	BOQ868	Licensed Representative	9	
YAU Tak Lung, Simon	AQS425	Licensed Representative		2, 9
YE Xiaojun	BKV992	Licensed Representative	2	
YEUNG Cheuk Kwong	ADB143	Licensed Representative	4	
YEUNG Chi Hang	AOK041	Licensed Representative		4, 9
YEUNG Kin Pan	AOI865	Licensed Representative	4	
YEUNG King Fung	BJT499	Licensed Representative		1
YIP Shui Key	ACS410	Licensed Representative		1
YIP Thomas Ho Fai	AXD289	Licensed Representative	1	
YIP Wing Sum	APD255	Licensed Representative		4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YU Ming Lung	AUT720	Licensed Representative		1
YU Tian	BBB466	Licensed Representative		1
YU Yat	BLL624	Licensed Representative	3	
YUE Yuan	BMW313	Licensed Representative	9	
ZHANG Jingyao	BIL584	Licensed Representative	1, 2	
ZHANG Chonghui	BJD902	Licensed Representative	1	
ZHANG Pengtao	BNH904	Licensed Representative	4	
ZHANG Yixiang	AVO768	Licensed Representative		9
ZHENG Xiaoxi	AWF326	Licensed Representative	1	
ZHONG Shining	BDY889	Licensed Representative	6	2
ZHOU Tingting	AUG803	Licensed Representative	6	
CHAN Chi Hung	AMN881	Licensed Representative - Responsible Officer	1	
CHAN Hei Wai	AXE875	Licensed Representative - Responsible Officer	1	
CHAN Kwok Dik	AYF149	Licensed Representative - Responsible Officer	1	
CHAN Ping Cheung, Alvin	AAI355	Licensed Representative - Responsible Officer	4	
CHAN Yin Kiu, Louis	AUI586	Licensed Representative - Responsible Officer	4	
CHELAT Iqbal Ramiz	ALF336	Licensed Representative - Responsible Officer		9
DU Stephen Songhai	BHU114	Licensed Representative - Responsible Officer	4	
FU Lai Ying	ANM295	Licensed Representative - Responsible Officer	4	
HE Yunfan	AQD644	Licensed Representative - Responsible Officer	4	
JIANG Maolin	ARE474	Licensed Representative - Responsible Officer	4	
LAI Joanne Pei-chun	ARO383	Licensed Representative - Responsible Officer	9	
LAM Wing Hi	AJK480	Licensed Representative - Responsible Officer	1	
LAU Chin Yung, Andy	AGH950	Licensed Representative - Responsible Officer		1
LEE Ha Young	ADI252	Licensed Representative - Responsible Officer	4	
LEUNG Po Hung, Mabel	AEJ624	Licensed Representative - Responsible Officer	4	
LIEM Chung Wong, Jasper	APY809	Licensed Representative - Responsible Officer	4	
LIU Yuen Shan	ADC177	Licensed Representative - Responsible Officer	4	
LOU Ming	AEP445	Licensed Representative - Responsible Officer	1	
NG Ka Kin	ACF722	Licensed Representative - Responsible Officer	9	
NONNENMACHER Sophie Sabine	APJ411	Licensed Representative - Responsible Officer	4, 5	
PERUMAL Janet Anandhi	BDH781	Licensed Representative - Responsible Officer	2, 9	
SO Man Hui	ARI456	Licensed Representative - Responsible Officer	4, 9	
SUTCH Jeremy Rupert Peter	ADB510	Licensed Representative - Responsible Officer	9	
SZE Kwun Yu, Jason	AXD898	Licensed Representative - Responsible Officer	5	
TANG Dewen	BNG653	Licensed Representative - Responsible Officer	1, 4, 9	
TIAN Ye	AWZ521	Licensed Representative - Responsible Officer	9	
TSE Win Yee, Annie	ACR126	Licensed Representative - Responsible Officer	1	
WANG Hui	BJU212	Licensed Representative - Responsible Officer	9	
WANG King Wai, Denny	ABP813	Licensed Representative - Responsible Officer	4	
WEN Wenfeng	BCP997	Licensed Representative - Responsible Officer		1
WONG Tsan Chow	AXI459	Licensed Representative - Responsible Officer	1	
YANG Tsu-wei	AEB508	Licensed Representative - Responsible Officer	4	
YEUNG Chak Nam	AUC990	Licensed Representative - Responsible Officer		1
YEUNG Chi Hang	AOK041	Licensed Representative - Responsible Officer	1	
YU Xiaoling	ANP305	Licensed Representative - Responsible Officer		1
ZHANG Jing	BGX097	Licensed Representative - Responsible Officer	2, 6	
TANG Lap Yan	ACG574	Licensed Representative (Provisional Licence)	9	