

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	16,367,381	\$ 868,289,562
2	CLP HOLDINGS	20,977,474	\$ 1,511,427,002
3	HK & CHINA GAS	402,883,160	\$ 4,391,426,444
4	WHARF HOLDINGS	20,334,524	\$ 346,093,598
5	HSBC HOLDINGS	78,067,606	\$ 3,255,419,170
6	POWER ASSETS	19,412,696	\$ 805,626,884
8	PCCW	133,640,886	\$ 590,692,716
10	HANG LUNG GROUP	2,739,304	\$ 54,019,075
11	HANG SENG BANK	39,598,571	\$ 5,555,679,511
12	HENDERSON LAND	28,439,313	\$ 875,930,840
14	HYSAN DEV	11,888,070	\$ 335,837,978
16	SHK PPT	17,354,150	\$ 1,834,333,655
17	NEW WORLD DEV	23,840,714	\$ 838,001,097
19	SWIRE PACIFIC A	12,173,915	\$ 597,739,227
23	BANK OF E ASIA	37,887,484	\$ 653,180,224
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	53,582,495	\$ 3,573,952,417
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	62,000	\$ 837,000
30	BAN LOONG HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	4,534,167	\$ 12,287,593
38	FIRST TRACTOR	1,074,000	\$ 3,511,980
41	GREAT EAGLE H	738,597	\$ 17,541,679
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	1,834,527	\$ 12,474,784
50	HK FERRY (HOLD)	0	\$ 0
52	FAIRWOOD HOLD	234,392	\$ 4,054,982
53	GUOCO GROUP	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	60,178,899	\$ 2,677,961,006
69	SHANGRI-LA ASIA	33,807,625	\$ 230,229,926
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
81	CH OVS G OCEANS	11,107,468	\$ 44,874,171
83	SINO LAND	52,742,932	\$ 572,788,242
86	SUN HUNG KAI CO	0	\$ 0
87	SWIRE PACIFIC B	0	\$ 0
88	TAI CHEUNG HOLD	137,000	\$ 650,750

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
95	LVGEM CHINA	9,720,000	\$ 21,772,800
101	HANG LUNG PPT	58,982,394	\$ 1,217,986,436
102	SUMMIT ASCENT	0	\$ 0
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	2,168,000	\$ 3,837,360
116	CHOW SANG SANG	1,814,384	\$ 17,000,778
119	POLY PROPERTY	14,458,948	\$ 32,098,865
120	COSMOPOL INT'L	3,880,000	\$ 4,927,600
123	YUEXIU PROPERTY	21,389,650	\$ 32,940,061
127	CHINESE EST H	0	\$ 0
135	KUNLUN ENERGY	64,059,720	\$ 459,308,192
136	HENG TEN NET	199,528,704	\$ 1,133,323,039
142	FIRST PACIFIC	19,645,650	\$ 49,310,582
144	CHINA MER PORT	31,304,207	\$ 343,094,109
148	KINGBOARD HLDG	6,187,188	\$ 204,486,563
151	WANT WANT CHINA	383,923,772	\$ 2,138,455,410
152	SHENZHEN INT'L	17,629,351	\$ 220,366,888
158	MELBOURNE ENT	0	\$ 0
165	CHINA EB LTD	15,033,493	\$ 151,838,279
168	TSINGTAO BREW	17,793,072	\$ 1,342,487,282
171	SILVER GRANT	2,204,000	\$ 1,917,480
173	K. WAH INT'L	3,119,852	\$ 11,949,033
175	GEELY AUTO	69,571,076	\$ 1,954,947,236
177	JIANGSU EXPRESS	20,103,042	\$ 179,922,226
178	SA SA INT'L	70,980,909	\$ 90,855,564
179	JOHNSON ELEC H	800,841	\$ 19,700,689
182	CONCORD NE	2,230,000	\$ 1,204,200
185	ZENSUN ENT	5,260,000	\$ 2,419,600
189	DONGYUE GROUP	23,630,854	\$ 124,770,909
194	LIU CHONG HING	0	\$ 0
200	MELCO INT'L DEV	36,655,271	\$ 524,170,375
207	JOY CITY PPT	3,232,000	\$ 1,535,200
208	POLYTEC ASSET	0	\$ 0
215	HUTCHTEL HK	11,714,000	\$ 14,759,640
220	U-PRESID CHINA	31,672,733	\$ 289,172,052
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
241	ALI HEALTH	92,014,435	\$ 2,406,177,475
242	SHUN TAK HOLD	41,438,230	\$ 96,551,076
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
256	CITYCHAMP	10,296,000	\$ 15,649,920
257	EB ENVIRONMENT	118,744,356	\$ 542,661,707
261	GBA HOLDINGS	0	\$ 0
267	CITIC	80,448,282	\$ 488,321,072
268	KINGDEE INT'L	159,734,873	\$ 5,518,839,862
270	GUANGDONG INV	40,579,151	\$ 538,891,125
272	SHUI ON LAND	36,547,357	\$ 41,663,987
285	BYD ELECTRONIC	78,997,124	\$ 4,226,346,134
286	AIDIGONG	8,112,000	\$ 4,137,120
288	WH GROUP	233,889,343	\$ 1,485,197,328
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	38,291,168	\$ 2,770,366,005
293	CATHAY PAC AIR	208,913,943	\$ 1,326,603,538
297	SINOFERT	4,046,382	\$ 3,884,527
302	CMGE	31,584,000	\$ 109,280,640
303	VTECH HOLDINGS	1,206,946	\$ 80,141,214
305	WULING MOTORS	7,920,000	\$ 14,810,400
308	CHINA TRAVEL HK	59,672,888	\$ 65,640,177
315	SMARTONE TELE	5,448,753	\$ 22,775,788
316	OOIL	197,500	\$ 13,351,000
317	COMEC	4,424,700	\$ 35,795,823
322	TINGYI	169,454,456	\$ 2,565,540,464
323	MAANSHAN IRON	17,288,327	\$ 36,997,020
326	CHINA STAR ENT	2,119,926	\$ 2,861,900
327	PAX GLOBAL	3,765,403	\$ 30,424,456
336	HUABAO INTL	24,285,374	\$ 250,139,352
337	GREENLAND HK	3,528,000	\$ 8,079,120
338	SHANGHAI PECEM	87,628,691	\$ 156,855,357
341	CAFE DE CORAL H	7,477,811	\$ 122,037,876
345	VITASOY INT'L	59,299,312	\$ 1,992,456,883
347	ANGANG STEEL	91,912,679	\$ 274,818,910
354	CHINASOFT INT'L	42,347,838	\$ 423,054,902
357	MEILAN AIRPORT	285,000	\$ 12,255,000
358	JIANGXI COPPER	34,047,505	\$ 451,469,916
363	SHANGHAI IND H	6,540,764	\$ 69,855,360
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	232,902,513	\$ 733,642,916
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
382	EDVANTAGE GROUP	946,000	\$ 8,466,700
384	CHINA GAS HOLD	63,600,702	\$ 1,879,400,744

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
386	SINOPEC CORP	299,463,406	\$ 1,128,977,041
388	HKEX	9,451,990	\$ 4,886,678,830
390	CHINA RAILWAY	107,156,198	\$ 385,762,313
392	BEIJING ENT	7,872,595	\$ 207,049,249
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	51,824,857	\$ 121,788,414
412	CSFG	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
425	MINTH GROUP	12,186,062	\$ 438,088,929
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	1,156,142	\$ 25,377,317
451	GCL NEWENERGY	66,407,215	\$ 25,566,778
460	SIHUAN PHARM	192,437,948	\$ 419,514,727
467	UNITEDENERGY GP	31,578,000	\$ 39,788,280
468	GAPACK	6,411,294	\$ 27,376,225
480	HKR INT'L	0	\$ 0
486	RUSAL	13,517,000	\$ 47,985,350
489	DONGFENG GROUP	57,010,197	\$ 452,660,964
493	GOME RETAIL	1,738,458,638	\$ 2,381,688,334
496	KASEN	3,690,649	\$ 5,609,786
506	CHINA FOODS	2,692,318	\$ 8,265,416
511	TVB	3,608,406	\$ 28,506,407
512	CHINAGRANDPHARM	11,914,980	\$ 74,230,325
517	COSCO SHIP INTL	1,164,439	\$ 2,748,076
520	XIABUXIABU	30,602,317	\$ 691,612,364
522	ASM PACIFIC	8,616,434	\$ 1,018,462,499
525	GUANGSHEN RAIL	38,372,345	\$ 53,721,283
535	GEMDALE PPT	70,158,959	\$ 79,279,624
546	FUFENG GROUP	3,819,567	\$ 12,986,528
547	DIGITAL DOMAIN	172,518,856	\$ 13,801,508
548	SHENZHENEXPRESS	23,255,103	\$ 166,739,089
551	YUE YUEN IND	15,614,791	\$ 268,574,405
552	CHINACOMSERVICE	47,734,949	\$ 169,936,418
563	SH IND URBAN	13,261,962	\$ 9,813,852
564	ZMJ	3,432,600	\$ 39,543,552
570	TRAD CHI MED	83,573,590	\$ 381,095,570
576	ZHEJIANGEXPRESS	66,054,699	\$ 449,832,500
579	JNCEC	25,524,511	\$ 64,577,013
580	SUN.KING TECH	6,718,000	\$ 18,810,400
581	CHINA ORIENTAL	22,497,249	\$ 47,244,223
586	CONCH VENTURE	36,650,071	\$ 1,326,732,570

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
588	BEIJING N STAR	4,516,000	\$ 6,367,560
590	LUK FOOK HOLD	1,139,043	\$ 19,728,225
598	SINOTRANS	42,936,493	\$ 116,357,896
603	CHINA OIL & GAS	0	\$ 0
604	SHENZHEN INVEST	47,875,206	\$ 123,996,784
607	FULLSHARE	258,592,169	\$ 38,788,825
622	OSHIDORI	0	\$ 0
631	SANY INT'L	22,045,065	\$ 150,567,794
636	KERRY LOG NET	4,900,962	\$ 114,927,559
639	SHOUGANG RES	12,299,544	\$ 22,631,161
656	FOSUN INTL	73,192,299	\$ 853,422,206
658	C TRANSMISSION	21,069,133	\$ 155,279,510
659	NWS HOLDINGS	24,908,297	\$ 190,050,306
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	81,160,038	\$ 184,233,286
667	CHINA EAST EDU	28,675,603	\$ 540,248,361
669	TECHTRONIC IND	15,417,217	\$ 1,880,900,474
670	CHINA EAST AIR	81,958,973	\$ 272,923,380
683	KERRY PPT	5,581,625	\$ 114,702,394
686	BJ ENERGY INTL	15,897,300	\$ 3,974,325
688	CHINA OVERSEAS	50,856,246	\$ 910,326,803
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	94,267,791	\$ 510,931,427
696	TRAVELSKY TECH	34,476,164	\$ 602,643,347
697	SHOUCHENG	52,053,268	\$ 99,942,275
698	TONGDA GROUP	37,854,333	\$ 22,334,056
699	CAR INC	13,069,977	\$ 52,149,208
700	TENCENT	46,838,362	\$ 34,332,519,346
708	EVERG VEHICLE	33,622,704	\$ 1,783,684,447
709	GIORDANO INT'L	3,528,822	\$ 4,693,333
710	BOE VARITRONIX	175,000	\$ 684,250
728	CHINA TELECOM	38,453,347	\$ 86,135,497
732	TRULY INT'L	10,643,626	\$ 18,945,654
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	8,841,244	\$ 58,175,386
751	SKYWORTH GROUP	25,271,818	\$ 60,146,927
753	AIR CHINA	118,896,208	\$ 686,031,120
754	HOPSON DEV HOLD	23,345,533	\$ 478,583,427
762	CHINA UNICOM	17,144,224	\$ 75,434,586
763	ZTE	49,737,003	\$ 1,054,424,464
772	CHINA LIT	71,928,663	\$ 5,484,560,554

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
775	CKLIFE SCIENCES	21,759,975	\$ 18,713,579
777	NETDRAGON	5,423,617	\$ 102,614,834
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	50,180,580	\$ 720,593,129
788	CHINA TOWER	1,817,928,824	\$ 2,036,080,283
799	IGG	45,609,447	\$ 610,254,401
806	VALUE PARTNERS	27,425,310	\$ 144,257,131
807	SIICENVIRONMENT	0	\$ 0
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	1,063,000	\$ 5,113,030
813	SHIMAO GROUP	11,724,636	\$ 259,700,687
817	CHINA JINMAO	142,311,063	\$ 455,395,402
818	HI SUN TECH	4,311,000	\$ 9,656,640
819	TIANNENG POWER	132,848,724	\$ 1,984,759,937
823	LINK REIT	18,688,248	\$ 1,266,128,802
832	CENTRAL CHINA	6,742,000	\$ 21,844,080
836	CHINA RES POWER	41,431,455	\$ 334,351,842
839	CHINA EDU GROUP	51,471,204	\$ 846,186,594
853	MICROPORT	41,845,175	\$ 2,194,779,429
855	CHINA WATER	53,382,227	\$ 317,624,251
856	VSTECS	8,900,280	\$ 64,527,030
857	PETROCHINA	623,823,332	\$ 1,490,937,763
860	APOLLO FMG	138,736,194	\$ 74,917,545
861	DC HOLDINGS	30,952,040	\$ 182,307,516
863	BC TECH GROUP	1,117,000	\$ 15,928,420
867	CMS	28,905,557	\$ 386,756,353
868	XINYI GLASS	52,208,178	\$ 1,026,412,779
874	BAIYUNSHAN PH	8,185,378	\$ 158,796,333
880	SJM HOLDINGS	105,291,606	\$ 981,317,768
881	ZHONGSHENG HLDG	220,725,676	\$ 11,279,082,044
883	CNOOC	74,896,453	\$ 638,117,780
884	CIFI HOLD GP	66,033,507	\$ 452,989,858
902	HUANENG POWER	137,239,997	\$ 337,610,393
906	COFCO PACKAGING	9,222,438	\$ 38,642,015
909	MING YUAN CLOUD	996,000	\$ 49,999,200
914	CONCH CEMENT	23,547,935	\$ 1,073,785,836
916	CHINA LONGYUAN	131,631,607	\$ 1,632,231,927
921	HISENSE HA	4,696,900	\$ 65,850,538
934	SINOPEC KANTONS	20,524,111	\$ 56,030,823
939	CCB	341,377,241	\$ 2,027,780,812
941	CHINA MOBILE	21,362,235	\$ 1,001,888,822

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950	LEE'S PHARM	2,473,561	\$ 12,639,897
951	CHAOWEI POWER	583,000	\$ 2,005,520
956	CHINA SUNTIEN	65,504,656	\$ 152,625,848
960	LONGFOR GROUP	26,905,364	\$ 1,109,846,265
966	CHINA TAIPING	51,528,004	\$ 738,911,577
968	XINYI SOLAR	166,772,603	\$ 3,098,634,964
973	L'OCCITANE	527,250	\$ 11,494,050
978	CHI MER LAND	0	\$ 0
981	SMIC	51,587,527	\$ 1,258,735,659
991	DATANG POWER	78,680,533	\$ 81,040,949
992	LENOVO GROUP	591,112,838	\$ 5,828,372,583
995	ANHUIEXPRESSWAY	6,090,000	\$ 29,475,600
998	CITIC BANK	177,793,721	\$ 640,057,396
1003	HUANXI MEDIA	780,000	\$ 1,209,000
1024	KUAISHOU-W	327,800	\$ 98,340,000
1030	SEAZEN	49,261,417	\$ 359,608,344
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	23,036,000	\$ 14,743,040
1038	CKI HOLDINGS	10,815,449	\$ 452,626,541
1044	HENGAN INT'L	65,391,857	\$ 3,521,351,499
1052	YUEXIUTRANSPORT	9,366,467	\$ 48,237,305
1055	CHINA SOUTH AIR	136,465,398	\$ 637,293,409
1060	ALI PICTURES	636,438,530	\$ 655,531,686
1061	ESSEX BIO-TECH	1,140,874	\$ 5,122,524
1065	TIANJIN CAPITAL	474,000	\$ 1,568,940
1066	WEIGAO GROUP	99,743,315	\$ 1,488,170,260
1070	TCL ELECTRONICS	14,265,731	\$ 105,566,409
1071	HUADIAN POWER	50,485,246	\$ 99,960,787
1072	DONGFANG ELEC	16,420,300	\$ 118,061,957
1083	TOWNGAS CHINA	5,063,035	\$ 16,758,646
1088	CHINA SHENHUA	61,049,244	\$ 847,363,507
1093	CSPC PHARMA	141,218,032	\$ 1,149,514,780
1098	ROAD KING INFRA	1,768,177	\$ 17,416,543
1099	SINOPHARM	64,358,628	\$ 1,195,783,308
1109	CHINA RES LAND	24,062,240	\$ 739,913,880
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,872,978	\$ 337,173,164
1113	CK ASSET	20,073,068	\$ 779,838,692
1114	BRILLIANCE CHI	97,644,632	\$ 699,135,565
1117	CH MODERN D	511,499,155	\$ 1,283,862,879
1119	IDREAMSKY	102,126,000	\$ 444,248,100

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1128	WYNN MACAU	125,011,133	\$ 1,647,646,733
1133	HARBIN ELECTRIC	4,913,326	\$ 10,416,251
1137	HKTV	6,865,000	\$ 103,661,500
1138	COSCO SHIP ENGY	85,639,831	\$ 263,770,679
1141	CMBC CAPITAL	89,490,000	\$ 9,933,390
1157	ZOOMLION	81,372,445	\$ 916,253,731
1171	YANZHOU COAL	84,958,914	\$ 512,302,251
1176	ZHUGUANG HOLD	6,612,000	\$ 7,074,840
1177	SINO BIOPHARM	440,591,953	\$ 3,278,004,130
1179	HUAZHU-S	0	\$ 0
1186	CHINA RAIL CONS	2,697,855	\$ 12,518,047
1193	CHINA RES GAS	24,551,594	\$ 974,698,282
1196	REALORD GROUP	1,200,000	\$ 7,116,000
1199	COSCO SHIP PORT	21,450,100	\$ 120,335,061
1208	MMG	142,727,598	\$ 465,291,969
1211	BYD COMPANY	53,754,500	\$ 13,417,123,200
1212	LIFESTYLE INT'L	8,045,993	\$ 44,977,101
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1231	NEWTON RES	0	\$ 0
1233	TIMES CHINA	11,984,816	\$ 119,248,919
1234	CHINA LILANG	4,728,035	\$ 24,727,623
1238	POWERLONG	4,533,711	\$ 22,713,892
1252	CHINA TIANRUI	1,598,000	\$ 10,546,800
1257	CEB GREENTECH	30,237,294	\$ 102,202,054
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	16,436,800	\$ 481,598,240
1269	FIRST CAP GP	20,782,000	\$ 2,576,968
1271	GRAND MING	0	\$ 0
1282	GLORY SUN FIN	78,580,150	\$ 23,181,144
1288	ABC	301,989,124	\$ 836,509,873
1299	AIA	64,864,072	\$ 6,486,407,200
1302	LIFETECH SCI	67,930,203	\$ 312,478,934
1308	SITC	21,186,158	\$ 374,994,997
1310	HKBN	12,937,404	\$ 146,451,413
1313	CHINARES CEMENT	24,457,155	\$ 213,510,963
1316	NEXTEER	35,664,100	\$ 427,969,200
1317	MAPLELEAF EDU	248,207,716	\$ 506,343,741
1323	HUASHENG INTL	11,472,000	\$ 9,636,480
1330	DYNAGREEN ENV	2,546,000	\$ 8,249,040



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1333	CHINA ZHONGWANG	61,826,405	\$ 118,088,434
1336	NCI	15,317,210	\$ 448,028,393
1337	RAZER	186,218,098	\$ 480,442,693
1339	PICC GROUP	155,337,425	\$ 372,809,820
1347	HUA HONG SEMI	32,352,847	\$ 1,494,701,531
1359	CHINA CINDA	246,242,515	\$ 366,901,347
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	70,531,404	\$ 254,618,368
1375	CC SECURITIES	9,537,000	\$ 14,496,240
1378	CHINAHONGQIAO	143,534,710	\$ 1,149,713,027
1381	CANVEST ENV	8,571,504	\$ 27,257,383
1382	PACIFICTEXTILES	8,762,179	\$ 43,986,139
1383	SUNCITY GROUP	42,550,000	\$ 25,104,500
1385	SHANGHAI FUDAN	6,729,000	\$ 84,516,240
1398	ICBC	328,891,257	\$ 1,601,700,422
1415	COWELL	2,536,000	\$ 15,850,000
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	398,000	\$ 827,840
1432	CHINA SHENGMU	0	\$ 0
1448	FU SHOU YUAN	32,580,960	\$ 257,063,774
1456	GUOLIAN SEC	2,674,500	\$ 11,099,175
1458	ZHOU HEI YA	128,996,523	\$ 1,284,805,369
1475	NISSIN FOODS	15,212,313	\$ 106,181,945
1477	OCUMENSION-B	125,500	\$ 3,093,575
1478	Q TECH	10,838,001	\$ 177,092,936
1492	ZHONGDI DAIRY	0	\$ 0
1501	KDL MEDICAL	322,800	\$ 12,282,540
1503	CMC REIT	0	\$ 0
1508	CHINA RE	48,539,786	\$ 39,802,625
1513	LIVZON PHARMA	3,491,426	\$ 105,790,208
1515	CR MEDICAL	17,920,356	\$ 138,882,759
1521	FRONTAGE	15,327,424	\$ 96,256,223
1528	RS MACALLINE	4,529,597	\$ 22,195,025
1530	3SBIO	170,159,389	\$ 1,378,291,051
1548	GENSCRIPT BIO	49,237,093	\$ 602,662,018
1551	GRCB	0	\$ 0
1558	HEC PHARM	34,874,460	\$ 291,899,230
1565	VIRSCEND EDU	7,366,000	\$ 12,890,500
1566	CA CULTURAL	2,240,000	\$ 5,913,600
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	1,000,000	\$ 1,490,000

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	34,556,076	\$ 4,682,348,298
1585	YADEA	12,796,849	\$ 248,770,745
1589	CNLP	27,604,805	\$ 126,430,007
1600	TIAN LUN GAS	1,153,241	\$ 8,245,673
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	17,091,820	\$ 38,798,431
1610	COFCO JOYCOME	180,051,070	\$ 682,393,555
1618	MCC	21,690,834	\$ 32,536,251
1622	REDCO GROUP	28,903,740	\$ 81,508,547
1628	YUZHOU GROUP	68,361,057	\$ 190,043,738
1638	KAISA GROUP	81,193,245	\$ 302,850,804
1658	PSBC	596,160,681	\$ 3,451,770,343
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	11,675,690	\$ 58,144,936
1668	CHINASOUTHCITY	37,796,005	\$ 32,126,604
1672	ASCLETIS-B	9,737,000	\$ 28,432,040
1675	ASIAINFO TECH	6,670,800	\$ 80,716,680
1680	MACAU LEGEND	0	\$ 0
1681	CONSUN PHARMA	665,822	\$ 2,150,605
1686	SUNEVISION	8,680,141	\$ 62,844,221
1717	AUSNUTRIA	29,671,991	\$ 373,867,087
1727	HEBEI CONS	20,048,828	\$ 61,148,925
1755	S-ENJOY SERVICE	1,564,000	\$ 29,278,080
1763	CIRC	0	\$ 0
1765	HOPE EDU	80,230,790	\$ 239,087,754
1766	CRRC	18,423,743	\$ 60,061,402
1769	SCHOLAR EDU	6,768,000	\$ 67,476,960
1772	GANFENGLITHIUM	2,738,700	\$ 305,638,920
1773	TIANLI EDU	8,574,000	\$ 81,881,700
1776	GF SEC	45,940,754	\$ 497,997,773
1777	FANTASIA	23,710,786	\$ 27,030,296
1778	COLOUR LIFE	20,939,285	\$ 73,078,105
1787	SD GOLD	33,284,213	\$ 515,905,302
1788	GUOTAI JUNAN I	90,168,270	\$ 114,513,703
1789	AK MEDICAL	71,397,331	\$ 962,436,022
1797	KOOLEARN	79,005,781	\$ 1,971,194,236
1798	DATANG RENEW	11,315,000	\$ 20,706,450
1799	XINTE ENERGY	775,600	\$ 20,553,400
1800	CHINA COMM CONS	13,303,034	\$ 47,757,892
1801	INNOVENT BIO	33,974,048	\$ 3,198,656,619

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1806	HUIFU PAYMENT	1,267,660	\$ 4,398,780
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	189,034,083	\$ 5,141,727,058
1811	CGN NEW ENERGY	38,757,250	\$ 71,313,340
1812	CHENMING PAPER	3,453,250	\$ 19,338,200
1813	KWG GROUP	39,060,528	\$ 406,229,491
1816	CGN POWER	69,512,138	\$ 115,390,149
1817	MULSANNE GROUP	3,903,000	\$ 20,139,480
1818	ZHAOJIN MINING	49,200,244	\$ 402,457,996
1821	ESR	35,338,786	\$ 1,037,193,369
1829	CMEC	8,348,706	\$ 29,721,393
1833	PA GOODDOCTOR	87,933,198	\$ 9,224,192,470
1836	STELLA HOLDINGS	2,535,500	\$ 23,833,700
1839	CIMC VEHICLES	303,500	\$ 2,124,500
1848	CALC	1,522,033	\$ 9,862,774
1858	CHUNLI MEDICAL	4,804,166	\$ 96,323,528
1860	MOBVISTA	1,688,000	\$ 13,402,720
1862	JINGRUI HLDGS	0	\$ 0
1873	VIVA BIOTECH	64,921,066	\$ 485,609,574
1876	BUD APAC	156,826,510	\$ 3,834,408,170
1877	JUNSHI BIO	5,158,754	\$ 422,501,953
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	3,900,297	\$ 112,328,554
1883	CITIC TELECOM	4,630,055	\$ 11,297,334
1888	KB LAMINATES	28,137,585	\$ 366,914,108
1890	CHINA KEPEI	4,228,000	\$ 23,084,880
1896	MAOYAN ENT	8,482,265	\$ 125,198,231
1898	CHINA COAL	26,376,118	\$ 57,236,176
1899	XINGDA INT'L	1,100,952	\$ 2,345,028
1907	CHINA RISUN GP	2,693,000	\$ 10,718,140
1908	C&D INTL GROUP	7,912,000	\$ 97,475,840
1909	FIRE ROCK	0	\$ 0
1910	SAMSONITE	71,817,318	\$ 870,425,894
1911	CR HOLDINGS	922,872	\$ 25,471,267
1913	PRADA	14,066,111	\$ 669,546,884
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	50,330,823	\$ 1,504,891,608
1919	COSCO SHIP HOLD	131,356,986	\$ 985,177,395
1928	SANDS CHINA LTD	53,445,143	\$ 1,766,361,976
1929	CHOW TAI FOOK	3,809,344	\$ 36,760,170
1935	JH EDUCATION	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1951	JXR	81,013,097	\$ 1,571,654,082
1958	BAIC MOTOR	95,081,863	\$ 255,770,211
1963	BCQ	1,630,809	\$ 8,382,358
1966	CHINA SCE GROUP	18,010,000	\$ 53,489,700
1970	IMAX CHINA	2,818,190	\$ 36,072,832
1972	SWIREPROPERTIES	38,224,843	\$ 844,769,030
1981	CATHAY EDU	0	\$ 0
1987	BENG SOON MACH	0	\$ 0
1988	MINSHENG BANK	655,089,440	\$ 2,987,207,846
1992	FOSUN TOURISM	869,006	\$ 7,569,042
1995	EVERSUNSHINE LS	29,032,000	\$ 643,058,800
1996	RSUN PPT	4,410,000	\$ 11,686,500
1997	WHARF REIC	105,008,590	\$ 4,420,861,639
1999	MAN WAH HLDGS	22,689,477	\$ 447,436,486
2001	NEW HIGHER EDU	24,881,981	\$ 130,630,400
2005	SSY GROUP	115,099,950	\$ 478,815,792
2006	JINJIANGCAPITAL	10,124,000	\$ 13,869,880
2007	COUNTRY GARDEN	416,657,974	\$ 3,908,251,796
2009	BBMG	55,792,303	\$ 83,130,531
2013	WEIMOB INC	237,519,323	\$ 5,688,587,786
2016	CZBANK	1,008,000	\$ 3,830,400
2018	AAC TECH	94,497,688	\$ 3,950,003,358
2019	DEXIN CHINA	3,097,000	\$ 9,136,150
2020	ANTA SPORTS	53,007,427	\$ 7,511,152,406
2038	FIH	274,324,939	\$ 329,189,927
2039	CIMC	9,636,151	\$ 114,670,197
2048	E-HOUSE ENT	3,609,600	\$ 25,267,200
2057	ZTO EXPRESS-SW	289,050	\$ 76,309,200
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,370,000	\$ 6,636,800
2099	CHINAGOLDINTL	418,100	\$ 6,840,116
2103	SINIC HOLDINGS	0	\$ 0
2128	CHINA LESSO	27,356,424	\$ 386,272,707
2138	UM HEALTHCARE	0	\$ 0
2139	BANK OF GANSU	2,032,000	\$ 2,641,600
2163	BROAD HOMES	3,066,000	\$ 41,513,640
2186	LUYE PHARMA	150,447,438	\$ 931,269,641
2196	FOSUN PHARMA	51,841,820	\$ 1,951,844,523
2198	CHINA SANJIANG	2,193,000	\$ 8,640,420
2202	CHINA VANKE	33,639,148	\$ 977,217,249
2208	GOLDWIND	230,569,615	\$ 3,670,668,271

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2231	JY GRANDMARK	0	\$ 0
2232	CRYSTAL INTL	0	\$ 0
2233	WESTCHINACEMENT	96,266,408	\$ 109,743,705
2238	GAC GROUP	218,526,955	\$ 1,595,246,772
2269	WUXI BIO	43,751,336	\$ 5,280,786,255
2282	MGM CHINA	80,863,635	\$ 975,215,438
2299	BILLION IND	0	\$ 0
2313	SHENZHOU INTL	7,958,147	\$ 1,361,638,952
2314	LEE & MAN PAPER	13,093,783	\$ 92,965,859
2318	PING AN	697,181,183	\$ 63,548,064,830
2319	MENGNU DAIRY	48,202,883	\$ 2,328,199,249
2322	HK CHAOSHANG GP	2,504,000	\$ 2,579,120
2328	PICC P&C	207,154,173	\$ 1,193,208,036
2329	GUORUI PPT	5,396,000	\$ 4,208,880
2331	LI NING	22,739,989	\$ 1,157,465,440
2333	GREATWALL MOTOR	181,476,851	\$ 4,219,336,786
2338	WEICHAJ POWER	50,367,785	\$ 1,186,161,337
2342	COMBA	151,648,517	\$ 348,791,589
2343	PACIFIC BASIN	138,999,673	\$ 195,989,539
2356	DAHSING BANKING	718,590	\$ 5,547,515
2357	AVICHINA	65,560,431	\$ 362,549,183
2359	WUXI APTEC	24,108,586	\$ 4,836,182,352
2362	JINCHUAN INTL	53,308,000	\$ 51,708,760
2378	PRU	0	\$ 0
2380	CHINA POWER	119,823,274	\$ 224,069,522
2382	SUNNY OPTICAL	22,530,832	\$ 4,772,030,218
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	17,616,152	\$ 63,946,632
2388	BOC HONG KONG	39,394,674	\$ 902,138,035
2400	XD INC	6,646,687	\$ 611,827,538
2500	VENUS MEDTECH-B	9,047,000	\$ 740,949,300
2552	HUA MEDICINE-B	2,617,476	\$ 13,480,001
2588	BOC AVIATION	22,151,300	\$ 1,432,081,545
2600	CHALCO	182,585,197	\$ 458,288,844
2601	CPIC	68,925,284	\$ 2,533,004,187
2606	LANGUANGJUSTBON	2,132,400	\$ 84,976,140
2607	SH PHARMA	14,842,046	\$ 195,915,007
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	5,786,308	\$ 63,302,210
2616	CSTONE PHARMA-B	9,109,032	\$ 99,834,991
2628	CHINA LIFE	124,192,288	\$ 2,021,850,449

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2638	HKELECTRIC-SS	76,165,099	\$ 580,378,054
2666	UNI MEDICAL	9,912,646	\$ 62,350,543
2669	CHINA OVS PPT	98,947,163	\$ 489,788,457
2678	TEXHONG TEXTILE	1,290,000	\$ 12,629,100
2688	ENN ENERGY	18,924,297	\$ 2,479,082,907
2689	ND PAPER	47,956,987	\$ 647,419,325
2696	HENLIUS-B	512,239	\$ 19,977,321
2727	SH ELECTRIC	57,923,318	\$ 174,928,420
2768	JIAYUAN INTL	3,537,287	\$ 10,611,861
2772	ZHONGLIANG HLDG	11,073,000	\$ 50,271,420
2777	R&F PROPERTIES	94,079,501	\$ 920,097,520
2778	CHAMPION REIT	7,055,531	\$ 31,397,113
2799	CHINA HUARONG	172,389,796	\$ 153,426,918
2800	TRACKER FUND	28,478,000	\$ 839,531,440
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2807	GX CN ROBO&AI	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMSUNGCDGN	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	45,727,070	\$ 984,961,088
2823	ISHARES A50	49,100,777	\$ 1,073,342,985
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	5,347,550	\$ 626,465,483
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2845	GX CN ELE VEH	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	17,715,355	\$ 52,260,297
2866	COSCO SHIP DEV	95,924,366	\$ 111,272,265
2868	BJ CAPITAL LAND	11,183,000	\$ 14,537,900
2869	GREENTOWN SER	77,097,530	\$ 646,077,301
2877	SHINEWAY PHARM	2,633,700	\$ 14,669,709
2883	CHINA OILFIELD	94,534,759	\$ 979,380,103
2888	STANCHART	849,968	\$ 40,798,464
2899	ZIJIN MINING	109,337,488	\$ 1,009,185,014
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3022	ISHAREMSCIEM	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3031	HT CH A ESG	0	\$ 0
3032	HSTECH ETF	0	\$ 0
3033	CSOP HS TECH	5,003,400	\$ 48,758,133
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3065	TRMSCISG	0	\$ 0
3067	ISHARESHSTECH	1,688,000	\$ 34,570,240
3070	PING AN HKDIV	0	\$ 0
3071	A CICC HKD	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3088	CAM HS TECH	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI300	0	\$ 0
3101	VANGUARDDVEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3130	HS CSI300	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3173	PP CN NEWECN	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIATEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3187	SAMSUNG REITS	0	\$ 0
3188	CAM CSI300	20,140,647	\$ 1,342,978,342
3191	GX CN SEMICON	0	\$ 0
3193	CSOP CSI 5G	0	\$ 0
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0
3301	RONSHINECHINA	16,651,240	\$ 87,252,498
3306	JNBY	2,222,500	\$ 21,447,125
3308	GOLDEN EAGLE	1,705,000	\$ 12,020,250
3309	C-MER EYE	10,400,000	\$ 68,952,000
3311	CHINA STATE CON	38,873,667	\$ 179,596,342
3316	BINJIANG SER	0	\$ 0
3319	A-LIVING	58,723,188	\$ 1,958,418,320
3320	CHINARES PHARMA	80,889,556	\$ 351,060,673
3323	CNBM	65,964,332	\$ 644,471,524
3328	BANKCOMM	141,652,152	\$ 600,605,124
3331	VINDA INT'L	40,998,836	\$ 1,022,920,958
3333	EVERGRANDE	226,039,290	\$ 3,481,005,066
3336	JU TENG INTL	2,369,424	\$ 5,283,816
3339	LONKING	50,864,891	\$ 138,352,504
3347	TIGERMED	1,305,106	\$ 234,658,059
3360	FE HORIZON	149,029,688	\$ 1,199,688,988
3377	SINO-OCEAN GP	45,865,598	\$ 73,384,957
3380	LOGAN GROUP	21,721,293	\$ 253,704,702
3383	AGILE GROUP	56,906,182	\$ 563,940,264
3396	LEGENDHOLDING	6,623,289	\$ 79,611,934
3606	FUYAO GLASS	18,672,749	\$ 1,078,351,255
3613	TONGRENTANGCM	5,492,041	\$ 47,286,473
3618	CQRC BANK	22,575,860	\$ 78,112,476
3633	ZHONGYU GAS	819,000	\$ 5,683,860
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	30,257,731	\$ 150,683,500
3668	YANCOAL AUS	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3669	YONGDA AUTO	41,263,811	\$ 487,738,246
3681	SINOMAB BIO-B	0	\$ 0
3690	MEITUAN-W	29,128,426	\$ 11,692,150,196
3692	HANSOH PHARMA	43,272,590	\$ 1,817,448,780
3709	EEKA FASHION	1,529,000	\$ 18,409,160
3718	BJ ENT URBAN	29,528,000	\$ 43,110,880
3738	VOBILE GROUP	575,000	\$ 26,737,500
3759	PHARMARON	4,202,395	\$ 671,542,721
3798	HOMELAND ITL	0	\$ 0
3799	DALI FOODS	65,387,150	\$ 300,127,019
3800	GCL-POLY ENERGY	868,689,315	\$ 2,771,118,915
3808	SINOTRUK	35,121,351	\$ 900,862,653
3813	POU SHENG INT'L	4,167,000	\$ 6,875,550
3818	CHINA DONGXIANG	81,752,236	\$ 67,036,834
3836	HARMONY AUTO	26,987,346	\$ 93,915,964
3866	BQD	0	\$ 0
3868	XINYI ENERGY	40,619,210	\$ 201,471,282
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	31,652,862	\$ 226,951,021
3888	KINGSOFT	108,601,339	\$ 7,672,684,600
3898	CRRRC TIMES ELEC	8,280,768	\$ 299,349,763
3899	CIMC ENRIC	23,663,522	\$ 116,187,893
3900	GREENTOWN CHINA	62,095,100	\$ 653,240,452
3908	CICC	212,303,369	\$ 4,299,143,222
3913	KWG LIVING	9,988,438	\$ 86,899,411
3918	NAGACORP	12,634,000	\$ 115,601,100
3933	UNITED LAB	7,455,340	\$ 43,166,419
3958	DFZQ	3,837,600	\$ 20,454,408
3968	CM BANK	96,648,243	\$ 6,117,833,782
3969	CHINA CRSC	48,701,705	\$ 143,183,013
3978	BESTSTUDY EDU	0	\$ 0
3983	CHINA BLUECHEM	24,867,278	\$ 44,263,755
3988	BANK OF CHINA	1,011,469,136	\$ 2,670,278,519
3990	MIDEA REAL EST	2,758,314	\$ 45,732,846
3993	CMOC	210,567,219	\$ 1,063,364,456
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	248,673,692	\$ 857,924,237
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	319,277,915	\$ 5,555,435,721
6049	POLY PPT SER	15,347,450	\$ 874,804,650
6055	CTIHK	9,228,803	\$ 147,107,120
6060	ZA ONLINE	71,050,872	\$ 3,403,336,769
6066	CSC	58,926,341	\$ 638,761,536
6068	WISDOM EDU INTL	10,324,000	\$ 39,437,680
6069	SHENGYE CAPITAL	9,867,559	\$ 61,178,866
6078	HYGEIA HEALTH	2,297,600	\$ 147,046,400
6088	FIT HON TENG	109,511,883	\$ 341,677,075
6098	CG SERVICES	107,751,419	\$ 7,337,871,634
6099	CMSC	4,362,042	\$ 49,029,352
6100	TONGDAO LIEPIN	1,121,600	\$ 22,387,136
6110	TOPSPORTS	53,549,864	\$ 662,947,316
6111	DAFA PPT	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	54,368,359	\$ 239,764,463
6160	BEIGENE	438,600	\$ 96,667,440
6166	CHINA VAST	870,000	\$ 2,697,000
6169	YUHUA EDU	187,889,262	\$ 1,315,224,834
6178	EB SECURITIES	15,357,120	\$ 101,049,850
6185	CANSINOBIOS-B	8,442,453	\$ 2,829,910,246
6186	CHINA FEIHE	44,479,003	\$ 1,029,688,919
6196	BANKOFZHENGZHOU	1,142,601	\$ 2,365,184
6198	QINGDAO PORT	9,636,200	\$ 42,206,556
6199	BANK OF GUIZHOU	0	\$ 0
6618	JD HEALTH	4,766,850	\$ 741,245,175
6666	EVERG SERVICES	2,322,000	\$ 41,470,920
6690	HAIER SMARTHOME	230,269,545	\$ 8,128,514,939
6806	SWHY	7,529,857	\$ 16,038,595
6808	SUNART RETAIL	206,867,569	\$ 1,470,828,416
6818	CEB BANK	24,176,664	\$ 83,409,491
6820	FRIENDTIMES	2,854,000	\$ 7,506,020
6823	HKT-SS	23,460,327	\$ 245,395,020
6826	HAOHAI BIOTEC	984,700	\$ 59,426,645
6837	HAITONG SEC	165,200,779	\$ 1,128,321,321
6855	ASCENTAGE-B	5,131,300	\$ 223,724,680
6862	HAIDILAO	75,490,714	\$ 5,971,315,477
6865	FLAT GLASS	61,324,342	\$ 2,008,372,201

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6868	TENFU	0	\$ 0
6869	YOFC	7,106,701	\$ 71,919,814
6878	DIFFER GROUP	32,334,000	\$ 22,957,140
6881	CGS	144,469,760	\$ 666,005,594
6886	HTSC	67,470,871	\$ 804,252,782
6889	DYNAM JAPAN	200,000	\$ 1,570,000
6919	RENUI HR	1,611,100	\$ 40,519,165
6958	ZHENRO SERVICES	3,764,000	\$ 16,900,360
6968	GANGLONG CHINA	0	\$ 0
6969	SMOORE INTL	25,432,591	\$ 1,945,593,212
6988	JOY SPREADER	0	\$ 0
6993	BLUE MOON GROUP	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMHSI	0	\$ 0
7226	XL2CSOPHSTECH	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7233	XL2CSOPCSI300	0	\$ 0
7248	XL2 CSOP A50	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7266	FL2CSOPNASDAQ	0	\$ 0
7272	XL2CAMDXNCSI300	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2CSOPGOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7333	XICSOPCSI300	0	\$ 0
7336	FI GX HSI	0	\$ 0
7348	XI CSOP A50	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7373	XICAMDXNCSI300	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMDXNNDQ100	0	\$ 0
7552	XI2CSOPHSTECH	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	132,449,399	\$ 447,678,969
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9022	ISHARESMSCIEM-U	0	\$ 0
9031	HT CH A ESG-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9067	ISHARESHSTECH-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9088	CAM HS TECH-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARES KKS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIA ASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9187	SAMSUNG REITS-U	0	\$ 0
9191	GX CN SEMICON-U	0	\$ 0
9618	JD-SW	8,001,189	\$ 2,957,239,454
9633	NONGFU SPRING	40,254,515	\$ 2,435,398,158
9668	CBHB	0	\$ 0
9688	ZAI LAB-SB	18,050	\$ 24,439,700
9698	GDS-SW	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9807	GX CN ROBO&AI-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9812	SAMSUNGCDGN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN ELE VEH-U	368,700	\$ 50,371,794
9846	ISHARESCSI300-U	0	\$ 0
9901	NEW ORIENTAL-S	79,451	\$ 113,138,224
9909	POWERLONG CM	3,106,000	\$ 70,661,500
9922	JIUMAOJIU	29,260,610	\$ 893,911,636
9923	YEAHKA	5,572,717	\$ 472,287,766
9926	AKESO-B	4,467,640	\$ 249,741,076
9928	TIMES NEIGHBOR	17,529,298	\$ 126,386,239
9939	KINTOR PHARMA-B	725,000	\$ 9,352,500
9966	ALPHAMAB-B	11,250,869	\$ 154,361,923
9968	HUIJING HLDGS	2,430,000	\$ 4,787,100
9969	INNOCARE-B	1,528,000	\$ 32,011,600
9979	GREENTOWN MGMT	0	\$ 0
9983	CC NEW LIFE	6,458,000	\$ 49,080,800
9987	YUM CHINA-S	182,900	\$ 78,829,900
9988	BABA-SW	34,453,513	\$ 8,889,006,354
9989	HEPALINK	2,443,500	\$ 28,735,560
9990	ARCHOSAUR GAMES	5,134,000	\$ 103,450,100
9991	BAOZUN-SW	0	\$ 0
9992	POP MART	720,000	\$ 57,600,000
9996	PEIJIA-B	1,075,000	\$ 29,723,750
9997	KANGJI MEDICAL	4,254,000	\$ 49,771,800
9999	NTES-S	16,094,561	\$ 3,085,327,344
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	384,900	\$ 8,333,085
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/02/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83031	HT CH A ESG-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83100	EFUND CSI300-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83130	HS CSI300-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0