

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	12,749,486	\$ 594,126,048
2	CLP HOLDINGS	18,095,137	\$ 1,302,849,864
3	HK & CHINA GAS	478,326,062	\$ 5,309,419,288
4	WHARF HOLDINGS	34,302,787	\$ 528,948,976
5	HSBC HOLDINGS	166,790,804	\$ 4,970,365,959
6	POWER ASSETS	22,144,647	\$ 899,072,668
8	PCCW	52,619,091	\$ 243,100,200
10	HANG LUNG GROUP	5,970,625	\$ 105,202,413
11	HANG SENG BANK	58,016,529	\$ 6,619,685,959
12	HENDERSON LAND	21,867,887	\$ 624,328,174
14	HYSAN DEV	16,720,440	\$ 387,078,186
16	SHK PPT	20,233,561	\$ 1,999,075,827
17	NEW WORLD DEV	25,298,327	\$ 948,687,263
19	SWIRE PACIFIC A	16,349,258	\$ 608,192,398
23	BANK OF E ASIA	34,124,971	\$ 485,939,587
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	35,166,282	\$ 1,826,888,350
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	3,588,784	\$ 7,787,661
41	GREAT EAGLE H	1,285,147	\$ 22,644,290
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	2,924,313	\$ 17,604,364
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,069,392	\$ 19,398,771
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	78,202,201	\$ 2,991,234,188
69	SHANGRI-LA ASIA	45,115,674	\$ 286,033,373
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	881,477	\$ 2,547,469
81	CH OVS G OCEANS	8,537,846	\$ 37,737,279
83	SINO LAND	39,122,952	\$ 352,106,568
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	139,000	\$ 643,570
95	LVGEM CHINA	13,906,000	\$ 36,711,840

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101	HANG LUNG PPT	75,886,550	\$ 1,485,858,649
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	1,210,000	\$ 2,165,900
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	2,953,155	\$ 24,511,187
119	POLY PROPERTY	13,636,948	\$ 29,319,438
120	COSMOPOL INT'L	5,056,000	\$ 7,836,800
123	YUEXIU PROPERTY	23,383,659	\$ 35,309,325
127	CHINESE EST H	658,000	\$ 2,783,340
135	KUNLUN ENERGY	52,146,486	\$ 264,904,149
136	HENG TEN NET	2,006,693,727	\$ 581,941,181
142	FIRST PACIFIC	12,126,164	\$ 25,464,944
144	CHINA MER PORT	35,269,222	\$ 277,921,469
148	KINGBOARD HLDG	6,614,714	\$ 168,675,207
151	WANT WANT CHINA	429,724,784	\$ 2,311,919,338
152	SHENZHEN INT'L	11,661,295	\$ 143,200,703
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	14,628,087	\$ 151,254,420
168	TSINGTAO BREW	17,585,006	\$ 1,108,734,628
171	SILVER GRANT	3,868,000	\$ 3,751,960
173	K. WAH INT'L	995,770	\$ 3,704,264
175	GEELY AUTO	99,732,242	\$ 1,535,876,527
177	JIANGSU EXPRESS	16,551,765	\$ 129,103,767
178	SA SA INT'L	116,379,919	\$ 155,949,091
179	JOHNSON ELEC H	2,692,399	\$ 45,286,151
182	CONCORD NE	13,120,000	\$ 4,592,000
189	DONGYUE GROUP	27,061,779	\$ 80,373,484
194	LIU CHONG HING	84,000	\$ 528,360
200	MELCO INT'L DEV	39,660,938	\$ 537,802,319
207	JOY CITY PPT	0	\$ 0
220	U-PRESID CHINA	16,687,560	\$ 118,147,925
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
241	ALI HEALTH	97,426,363	\$ 1,839,409,733
242	SHUN TAK HOLD	80,562,783	\$ 199,795,702
247	TST PROPERTIES	0	\$ 0
256	CITYCHAMP	6,840,000	\$ 10,738,800
257	EB ENVIRONMENT	109,722,050	\$ 482,777,020
261	GBA HOLDINGS	0	\$ 0
267	CITIC	29,896,673	\$ 170,112,069

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
268	KINGDEE INT'L	202,107,841	\$ 4,042,156,820
270	GUANGDONG INV	13,632,327	\$ 167,132,329
272	SHUI ON LAND	62,794,996	\$ 61,539,096
285	BYD ELECTRONIC	75,703,624	\$ 2,948,656,155
286	AIDIGONG	4,538,000	\$ 2,495,900
288	WH GROUP	202,169,657	\$ 1,269,625,446
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	35,578,131	\$ 1,686,403,409
293	CATHAY PAC AIR	33,896,937	\$ 181,348,613
297	SINOFERT	5,270,000	\$ 3,583,600
302	CMGE	17,254,000	\$ 63,839,800
303	VTECH HOLDINGS	3,496,679	\$ 168,539,928
308	CHINA TRAVEL HK	74,644,110	\$ 81,362,080
315	SMARTONE TELE	7,028,736	\$ 28,888,105
316	OOIL	0	\$ 0
317	COMEC	10,801,751	\$ 89,114,446
322	TINGYI	94,557,466	\$ 1,291,654,986
323	MAANSHAN IRON	10,754,630	\$ 19,250,788
326	CHINA STAR ENT	580,000	\$ 841,000
327	PAX GLOBAL	13,426,468	\$ 62,298,812
336	HUABAO INTL	37,175,292	\$ 260,598,797
337	GREENLAND HK	4,214,329	\$ 10,030,103
338	SHANGHAI PEICHEM	239,254,397	\$ 337,348,700
341	CAFE DE CORAL H	14,843,226	\$ 242,241,448
345	VITASOY INT'L	69,676,427	\$ 2,093,776,631
347	ANGANG STEEL	120,333,276	\$ 249,089,881
354	CHINASOFT INT'L	163,229,523	\$ 914,085,329
358	JIANGXI COPPER	25,963,540	\$ 224,584,621
363	SHANGHAI IND H	15,435,550	\$ 158,986,165
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	234,151,409	\$ 702,454,227
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
382	EDVANTAGE GROUP	226,000	\$ 1,290,460
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	93,648,162	\$ 2,060,259,564
386	SINOPEC CORP	312,630,967	\$ 972,282,307
388	HKEX	11,967,032	\$ 4,329,672,178
390	CHINA RAILWAY	81,484,495	\$ 296,603,562
392	BEIJING ENT	8,934,142	\$ 207,718,802
405	YUEXIU REIT	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
410	SOHO CHINA	100,314,077	\$ 209,656,421
412	CSFG	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
425	MINTH GROUP	36,024,733	\$ 1,212,232,265
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	1,336,890	\$ 24,892,892
451	GCL NEWENERGY	68,660,000	\$ 8,170,540
460	SIHUAN PHARM	58,458,799	\$ 48,520,803
467	UNITEDENERGY GP	28,484,000	\$ 31,332,400
468	GAPACK	3,066,000	\$ 9,136,680
480	HKR INT'L	303,200	\$ 939,920
486	RUSAL	21,395,000	\$ 64,398,950
489	DONGFENG GROUP	78,459,980	\$ 377,392,504
493	GOME RETAIL	1,861,298,881	\$ 1,898,524,859
496	KASEN	15,158,000	\$ 11,520,080
497	CSI PROPERTIES	3,000,000	\$ 696,000
506	CHINA FOODS	4,122,833	\$ 10,430,767
511	TVB	4,890,698	\$ 32,963,305
512	CHINAGRANDPHARM	7,450,480	\$ 52,898,408
517	COSCO SHIP INTL	1,206,439	\$ 2,630,037
520	XIABUXIABU	38,417,340	\$ 365,733,077
522	ASM PACIFIC	10,291,333	\$ 810,957,040
525	GUANGSHEN RAIL	33,641,869	\$ 44,070,848
530	GOLDIN FIN HOLD	3,768,000	\$ 3,805,680
535	GEMDALE PPT	71,338,864	\$ 93,453,912
546	FUFENG GROUP	7,376,525	\$ 18,072,486
548	SHENZHENEXPRESS	41,317,122	\$ 278,064,231
551	YUE YUEN IND	23,473,734	\$ 293,891,150
552	CHINACOMSERVICE	59,280,931	\$ 269,135,427
563	SH IND URBAN	15,267,367	\$ 11,297,852
570	TRAD CHI MED	81,053,593	\$ 262,613,641
576	ZHEJIANGEXPRESS	23,102,801	\$ 129,144,658
579	JNCEC	6,784,694	\$ 13,365,847
581	CHINA ORIENTAL	24,422,859	\$ 42,251,546
586	CONCH VENTURE	39,070,236	\$ 1,402,621,472
588	BEIJING N STAR	5,180,000	\$ 7,511,000
590	LUK FOOK HOLD	2,117,419	\$ 39,680,432
596	INSPUR INT'L	11,435,779	\$ 21,956,696
598	SINOTRANS	71,149,968	\$ 131,627,441
604	SHENZHEN INVEST	39,317,497	\$ 88,857,543
607	FULLSHARE	240,089,335	\$ 42,015,634

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
622	OSHDORI	5,512,800	\$ 4,796,136
631	SANY INT'L	20,927,787	\$ 95,221,431
636	KERRY LOG NET	3,743,500	\$ 52,259,260
639	SHOUGANG RES	9,275,716	\$ 15,397,689
656	FOSUN INTL	95,380,586	\$ 858,425,274
658	C TRANSMISSION	17,665,458	\$ 107,935,948
659	NWS HOLDINGS	19,103,291	\$ 112,518,384
665	HAITONG INT'L	122,896,697	\$ 227,358,889
667	CHINA EAST EDU	34,693,489	\$ 582,156,745
669	TECHTRONIC IND	20,604,408	\$ 2,093,407,853
670	CHINA EAST AIR	225,170,100	\$ 745,313,031
683	KERRY PPT	23,036,331	\$ 455,658,627
688	CHINA OVERSEAS	24,917,270	\$ 483,395,038
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	58,407,239	\$ 271,593,661
696	TRAVELSKY TECH	31,962,381	\$ 528,657,782
697	SHOUCHENG	24,209,264	\$ 57,618,048
698	TONGDA GROUP	86,444,333	\$ 35,874,398
699	CAR INC	53,384,122	\$ 133,460,305
700	TENCENT	44,009,825	\$ 22,511,025,488
708	EVERG VEHICLE	26,446,585	\$ 525,758,110
709	GIORDANO INT'L	13,096,930	\$ 17,680,856
710	BOE VARITRONIX	175,000	\$ 456,750
728	CHINA TELECOM	245,847,155	\$ 570,365,400
732	TRULY INT'L	17,902,000	\$ 15,753,760
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	12,759,578	\$ 86,892,726
750	SFSY ENERGY	1,623,000	\$ 843,960
751	SKYWORTH GROUP	25,078,889	\$ 55,675,134
753	AIR CHINA	159,983,950	\$ 811,118,627
754	HOPSON DEV HOLD	11,362,859	\$ 207,713,063
762	CHINA UNICOM	89,400,100	\$ 451,470,505
763	ZTE	72,689,570	\$ 1,340,395,671
772	CHINA LIT	58,802,341	\$ 3,407,595,661
775	CKLIFE SCIENCES	18,697,829	\$ 16,641,068
777	NETDRAGON	10,794,612	\$ 181,349,482
778	FORTUNE REIT	7,077,000	\$ 45,929,730
780	TONGCHENG-ELONG	34,791,175	\$ 490,555,568
788	CHINA TOWER	2,009,274,201	\$ 2,692,427,429
799	IGG	74,599,324	\$ 658,712,031
806	VALUE PARTNERS	31,617,201	\$ 104,020,591

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
807	SIICENVIRONMENT	2,503,100	\$ 2,728,379
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	597,000	\$ 2,919,330
813	SHIMAO GROUP	13,707,591	\$ 438,642,912
817	CHINA JINMAO	49,633,974	\$ 211,937,069
818	HI SUN TECH	0	\$ 0
819	TIANNENG POWER	135,930,785	\$ 1,886,719,296
823	LINK REIT	16,367,659	\$ 1,031,162,517
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	2,495,000	\$ 8,857,250
836	CHINA RES POWER	20,908,750	\$ 178,769,813
839	CHINA EDU GROUP	38,315,884	\$ 544,085,553
853	MICROPORT	58,950,608	\$ 1,812,731,196
855	CHINA WATER	54,541,493	\$ 331,612,277
856	VSTECs	1,736,000	\$ 8,940,400
857	PETROCHINA	402,775,425	\$ 914,300,215
860	APOLLO FMG	31,116,903	\$ 13,224,684
861	DC HOLDINGS	31,136,500	\$ 199,273,600
867	CMS	13,093,189	\$ 111,684,902
868	XINYI GLASS	67,264,471	\$ 1,047,980,458
874	BAIYUNSHAN PH	10,267,490	\$ 198,573,257
877	O-NET TECH GP	3,636,000	\$ 23,524,920
880	SJM HOLDINGS	109,384,095	\$ 998,676,787
881	ZHONGSHENG HLDG	234,917,009	\$ 11,358,237,385
883	CNOOC	142,809,617	\$ 1,063,931,647
884	CIFI HOLD GP	73,725,125	\$ 420,233,213
902	HUANENG POWER	97,239,924	\$ 290,747,373
906	COFCO PACKAGING	9,848,752	\$ 33,485,757
914	CONCH CEMENT	15,659,992	\$ 834,677,574
916	CHINA LONGYUAN	20,571,049	\$ 99,563,877
921	HISENSE HA	2,782,000	\$ 26,568,100
934	SINOPEC KANTONS	29,407,495	\$ 80,282,461
939	CCB	545,045,219	\$ 2,736,126,999
941	CHINA MOBILE	42,867,901	\$ 2,119,817,704
950	LEE'S PHARM	2,258,002	\$ 11,267,430
956	CHINA SUNTIEN	55,697,764	\$ 111,395,528
960	LONGFOR GROUP	28,658,181	\$ 1,246,630,874
966	CHINA TAIPING	65,674,203	\$ 773,642,111
968	XINYI SOLAR	149,372,483	\$ 1,834,294,091
973	L'OCCITANE	974,500	\$ 13,155,750
978	CHI MER LAND	0	\$ 0

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981	SMIC	299,574,623	\$ 5,428,292,169
991	DATANG POWER	52,464,015	\$ 50,890,095
992	LENOVO GROUP	502,431,694	\$ 2,567,425,956
995	ANHUIEXPRESSWAY	1,426,000	\$ 5,490,100
998	CITIC BANK	138,045,433	\$ 412,755,845
1003	HUANXI MEDIA	770,000	\$ 1,070,300
1030	SEAZEN	80,863,473	\$ 532,081,652
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	12,398,000	\$ 6,137,010
1038	CKI HOLDINGS	7,186,071	\$ 259,776,467
1044	HENGAN INT'L	39,997,513	\$ 2,249,860,106
1052	YUEXIUTRANSPORT	12,827,355	\$ 57,723,098
1055	CHINA SOUTH AIR	218,174,949	\$ 911,971,287
1060	ALI PICTURES	561,901,193	\$ 646,186,372
1061	ESSEX BIO-TECH	914,874	\$ 4,126,082
1066	WEIGAO GROUP	108,241,833	\$ 1,671,253,902
1070	TCL ELECTRONICS	17,833,973	\$ 106,290,479
1071	HUADIAN POWER	31,962,405	\$ 63,605,186
1072	DONGFANG ELEC	3,925,002	\$ 22,608,012
1076	IMPERIAL PAC	0	\$ 0
1083	TOWNGAS CHINA	14,820,828	\$ 47,278,441
1088	CHINA SHENHUA	51,586,592	\$ 717,053,629
1089	LEYOU TECH H	27,722,180	\$ 90,097,085
1093	CSPC PHARMA	108,194,709	\$ 1,622,920,635
1098	ROAD KING INFRA	3,197,417	\$ 29,448,211
1099	SINOPHARM	57,191,832	\$ 929,939,188
1109	CHINA RES LAND	45,422,764	\$ 1,585,254,464
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,801,724	\$ 293,537,495
1113	CK ASSET	16,482,430	\$ 622,211,733
1114	BRILLIANCE CHI	127,849,985	\$ 929,469,391
1117	CH MODERN D	151,708,561	\$ 156,259,818
1119	IDREAMSKY	3,488,000	\$ 16,219,200
1122	QINGLING MOTORS	562,000	\$ 758,700
1128	WYNN MACAU	159,261,330	\$ 1,968,470,039
1131	AGRITRADE RES	37,715,000	\$ 3,130,345
1133	HARBIN ELECTRIC	7,279,002	\$ 15,941,014
1137	HKTV	15,711,821	\$ 171,887,322
1138	COSCO SHIP ENGY	57,769,454	\$ 184,862,253
1141	CMBC CAPITAL	61,007,126	\$ 7,991,934
1157	ZOOMLION	122,444,094	\$ 910,984,059

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1169	HAIER ELEC	19,935,052	\$ 558,181,456
1171	YANZHOU COAL	110,083,948	\$ 634,083,540
1176	ZHUGUANG HOLD	5,118,000	\$ 6,295,140
1177	SINO BIOPHARM	433,742,692	\$ 3,660,788,320
1186	CHINA RAIL CONS	41,597,429	\$ 221,714,297
1193	CHINA RES GAS	20,163,478	\$ 697,656,339
1196	REALORD GROUP	586,000	\$ 2,549,100
1199	COSCO SHIP PORT	33,904,930	\$ 149,859,791
1208	MMG	179,319,936	\$ 346,087,476
1211	BYD COMPANY	71,359,199	\$ 8,663,006,759
1212	LIFESTYLE INT'L	12,017,667	\$ 75,951,655
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1233	TIMES CHINA	12,109,147	\$ 129,810,056
1234	CHINA LILANG	6,895,035	\$ 29,303,899
1238	POWERLONG	8,587,711	\$ 49,894,601
1252	CHINA TIANRUI	772,000	\$ 6,191,440
1257	CEB GREENTECH	31,009,466	\$ 95,819,250
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	10,508,000	\$ 312,087,600
1282	GLORY SUN FIN	107,843,732	\$ 37,745,306
1288	ABC	365,410,013	\$ 884,292,231
1293	GRAND BAOXIN	4,549,867	\$ 4,959,355
1299	AIA	86,140,777	\$ 6,538,084,974
1300	TRIGIANT	2,690,000	\$ 2,259,600
1302	LIFETECH SCI	33,269,811	\$ 64,876,131
1308	SITC	4,461,000	\$ 47,732,700
1310	HKBN	14,024,345	\$ 206,718,845
1313	CHINARES CEMENT	17,064,539	\$ 180,542,823
1316	NEXTEER	83,231,011	\$ 446,950,529
1317	MAPLELEAF EDU	120,096,919	\$ 278,624,852
1333	CHINA ZHONGWANG	55,965,307	\$ 73,314,552
1336	NCI	20,005,992	\$ 577,172,869
1337	RAZER	80,215,320	\$ 140,376,810
1339	PICC GROUP	110,334,992	\$ 252,667,132
1347	HUA HONG SEMI	55,628,150	\$ 1,643,811,833
1349	FUDANZHANGJIANG	1,415,000	\$ 5,391,150
1359	CHINA CINDA	302,390,249	\$ 438,465,861
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	52,859,592	\$ 121,048,466

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1375	CC SECURITIES	12,903,000	\$ 18,193,230
1378	CHINAHONGQIAO	55,451,259	\$ 267,829,581
1381	CANVEST ENV	9,591,560	\$ 31,939,895
1382	PACIFICTEXTILES	16,273,169	\$ 58,583,408
1383	SUNCITY GROUP	49,440,000	\$ 39,057,600
1385	SHANGHAI FUDAN	9,315,000	\$ 122,212,800
1398	ICBC	287,811,765	\$ 1,157,003,295
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	12,999,239	\$ 98,014,262
1458	ZHOU HEI YA	53,989,631	\$ 436,236,218
1475	NISSIN FOODS	6,475,632	\$ 52,387,863
1478	Q TECH	35,608,672	\$ 305,878,492
1501	KDL MEDICAL	172,879	\$ 8,125,313
1503	CMC REIT	0	\$ 0
1508	CHINA RE	157,914,568	\$ 112,119,343
1513	LIVZON PHARMA	3,679,803	\$ 130,265,026
1515	CR MEDICAL	26,446,084	\$ 133,552,724
1521	FRONTAGE	30,412,896	\$ 105,228,620
1528	RS MACALLINE	1,615,522	\$ 7,447,556
1530	3SBIO	204,136,538	\$ 1,780,070,611
1548	GENSCRIPT BIO	53,865,236	\$ 683,011,192
1551	GRCB	0	\$ 0
1558	HEC PHARM	43,247,292	\$ 426,850,772
1565	VIRSCEND EDU	8,258,998	\$ 18,500,156
1566	CA CULTURAL	3,479,000	\$ 8,801,870
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	18,300,199	\$ 2,212,494,059
1585	YADEA	5,170,000	\$ 58,834,600
1589	CNLP	21,289,805	\$ 74,514,318
1600	TIAN LUN GAS	6,023,741	\$ 35,600,309
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	2,496,000	\$ 7,088,640
1610	COFCO MEAT	150,630,724	\$ 403,690,340
1618	MCC	9,142,596	\$ 11,336,819
1622	REDCO GROUP	18,797,740	\$ 61,656,587
1628	YUZHOU GROUP	67,925,705	\$ 207,852,657
1638	KAISA GROUP	71,306,114	\$ 281,659,150
1658	PSBC	221,903,126	\$ 721,185,160
1660	ZHAOBANGJI PPT	1,328,000	\$ 1,567,040

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1666	TONG REN TANG	17,759,779	\$ 87,200,515
1668	CHINASOUTHCITY	42,834,000	\$ 30,412,140
1672	ASCLETIS-B	24,323,000	\$ 67,617,940
1675	ASIAINFO TECH	854,000	\$ 10,367,560
1680	MACAU LEGEND	5,320,233	\$ 5,586,245
1681	CONSUN PHARMA	3,019,822	\$ 10,086,205
1686	SUNEVISION	21,839,018	\$ 138,022,594
1717	AUSNUTRIA	23,653,702	\$ 290,467,461
1727	HEBEI CONS	11,990,000	\$ 178,171,400
1728	ZHENGTONGAUTO	133,685,546	\$ 100,264,160
1753	DUIBA	15,234,000	\$ 43,721,580
1755	S-ENJOY SERVICE	1,404,000	\$ 27,995,760
1765	HOPE EDU	21,936,000	\$ 51,988,320
1766	CRRC	83,055,501	\$ 255,810,943
1769	SCHOLAR EDU	562,000	\$ 11,914,400
1772	GANFENGLITHIUM	1,930,357	\$ 72,870,977
1773	TIANLI EDU	12,476,000	\$ 84,088,240
1776	GF SEC	68,662,139	\$ 670,829,098
1777	FANTASIA	25,288,250	\$ 37,932,375
1778	COLOUR LIFE	30,308,048	\$ 109,412,053
1787	SD GOLD	13,781,014	\$ 262,941,747
1788	GUOTAI JUNAN I	102,830,076	\$ 105,914,978
1789	AK MEDICAL	41,071,128	\$ 812,386,912
1797	KOOLEARN	51,015,348	\$ 1,688,608,019
1798	DATANG RENEW	11,170,000	\$ 11,840,200
1800	CHINA COMM CONS	205,769,358	\$ 833,365,900
1801	INNOVENT BIO	15,078,455	\$ 865,503,317
1806	HUIFU PAYMENT	5,784,800	\$ 11,974,536
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	250,050,240	\$ 5,113,527,408
1811	CGN NEW ENERGY	34,191,250	\$ 33,507,425
1813	KWG GROUP	41,430,046	\$ 547,705,208
1816	CGN POWER	180,867,882	\$ 287,579,932
1817	MULSANNE GROUP	2,401,000	\$ 13,661,690
1818	ZHAOJIN MINING	66,598,907	\$ 628,693,682
1821	ESR	23,184,189	\$ 557,579,745
1829	CMEC	9,335,706	\$ 14,843,773
1833	PA GOODDOCTOR	86,799,814	\$ 8,614,881,540
1836	STELLA HOLDINGS	5,076,500	\$ 38,530,635
1839	CIMC VEHICLES	138,500	\$ 1,005,510
1848	CALC	3,455,033	\$ 22,630,466

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1858	CHUNLI MEDICAL	5,627,238	\$ 209,614,616
1859	BRIGHT CULTURE	1,977,000	\$ 3,005,040
1860	MOBVISTA	1,779,000	\$ 6,777,990
1873	VIVA BIOTECH	36,826,297	\$ 326,280,991
1876	BUD APAC	184,347,923	\$ 4,147,828,268
1877	JUNSHI BIO	5,922,005	\$ 284,552,340
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	4,701,497	\$ 84,626,946
1883	CITIC TELECOM	7,797,416	\$ 19,259,618
1888	KB LAMINATES	28,659,949	\$ 303,795,459
1890	CHINA KEPEI	6,290,000	\$ 37,802,900
1896	MAOYAN ENT	8,804,400	\$ 117,802,872
1898	CHINA COAL	36,640,399	\$ 69,250,354
1899	XINGDA INT'L	352,872	\$ 673,986
1907	CHINA RISUN GP	1,199,000	\$ 2,697,750
1908	C&D INTL GROUP	4,021,109	\$ 52,676,528
1910	SAMSONITE	108,096,562	\$ 843,153,184
1911	CR HOLDINGS	277,700	\$ 4,909,736
1913	PRADA	15,629,354	\$ 472,006,491
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	68,120,379	\$ 2,057,235,446
1919	COSCO SHIP HOLD	82,921,100	\$ 314,270,969
1928	SANDS CHINA LTD	72,588,232	\$ 2,166,758,725
1929	CHOW TAI FOOK	22,289,014	\$ 225,119,041
1951	JXR	67,534,100	\$ 659,132,816
1958	BAIC MOTOR	62,206,272	\$ 195,949,757
1963	BCQ	3,405,406	\$ 13,655,678
1966	CHINA SCE GROUP	14,435,000	\$ 49,945,100
1970	IMAX CHINA	3,195,500	\$ 40,327,210
1972	SWIREPROPERTIES	40,391,978	\$ 823,996,351
1988	MINSHENG BANK	694,114,975	\$ 2,818,106,799
1992	FOSUN TOURISM	571,006	\$ 4,333,936
1995	EVERSUNSHINE LS	23,870,000	\$ 364,256,200
1996	RSUN PPT	827,000	\$ 2,356,950
1997	WHARF REIC	73,022,972	\$ 2,300,223,618
1999	MAN WAH HLDGS	23,089,795	\$ 236,901,297
2001	NEW HIGHER EDU	31,998,000	\$ 159,350,040
2005	SSY GROUP	115,842,366	\$ 509,706,410
2006	JINJIANGCAPITAL	24,052,000	\$ 29,102,920
2007	COUNTRY GARDEN	414,250,229	\$ 3,927,092,171
2009	BBMG	51,149,821	\$ 73,144,244

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2013	WEIMOB INC	287,595,538	\$ 2,973,737,863
2016	CZBANK	0	\$ 0
2018	AAC TECH	94,413,867	\$ 3,941,778,947
2019	DEXIN CHINA	2,666,000	\$ 7,758,060
2020	ANTA SPORTS	41,869,129	\$ 3,353,717,233
2038	FIH	134,015,081	\$ 147,416,589
2039	CIMC	12,082,750	\$ 101,132,618
2048	E-HOUSE ENT	11,786,700	\$ 104,194,428
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 7,419,500
2103	SINIC HOLDINGS	0	\$ 0
2128	CHINA LESSO	13,770,665	\$ 191,687,657
2139	BANK OF GANSU	1,521,000	\$ 1,551,420
2168	KAISA PROSPER	1,699,846	\$ 39,606,412
2186	LUYE PHARMA	182,427,862	\$ 820,925,379
2196	FOSUN PHARMA	29,855,981	\$ 959,869,789
2198	CHINA SANJIANG	1,587,200	\$ 2,904,576
2202	CHINA VANKE	34,685,524	\$ 820,312,643
2208	GOLDWIND	57,353,369	\$ 387,708,774
2231	JY GRANDMARK	0	\$ 0
2233	WESTCHINACEMENT	73,156,333	\$ 83,398,220
2238	GAC GROUP	232,289,652	\$ 1,498,268,255
2269	WUXI BIO	13,128,921	\$ 2,476,114,501
2282	MGM CHINA	86,445,100	\$ 830,737,411
2299	BILLION IND	0	\$ 0
2313	SHENZHOU INTL	13,097,479	\$ 1,709,221,010
2314	LEE & MAN PAPER	27,709,337	\$ 155,172,287
2318	PING AN	738,207,532	\$ 58,687,498,794
2319	MENGNIU DAIRY	48,851,481	\$ 1,773,308,760
2322	HK CHAOSHANG GP	864,000	\$ 786,240
2328	PICC P&C	89,596,063	\$ 482,922,780
2329	GUORUI PPT	2,583,000	\$ 2,195,550
2331	LI NING	33,743,902	\$ 1,214,780,472
2333	GREATWALL MOTOR	339,048,097	\$ 3,332,842,794
2338	WEICHAJ POWER	68,101,975	\$ 1,056,942,652
2342	COMBA	174,329,071	\$ 477,661,655
2343	PACIFIC BASIN	230,118,078	\$ 273,840,513
2356	DAHSING BANKING	2,305,033	\$ 15,466,771
2357	AVICHINA	88,042,356	\$ 388,266,790
2359	WUXI APPTTEC	34,395,256	\$ 3,828,191,993
2362	JINCHUAN INTL	51,006,384	\$ 39,274,916

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2378	PRU	0	\$ 0
2380	CHINA POWER	148,733,839	\$ 212,689,390
2382	SUNNY OPTICAL	48,694,434	\$ 5,760,551,542
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	55,559,906	\$ 158,901,331
2388	BOC HONG KONG	81,105,499	\$ 1,654,552,180
2400	XD INC	7,742,652	\$ 301,963,428
2500	VENUS MEDTECH-B	4,698,319	\$ 386,671,654
2552	HUA MEDICINE-B	2,933,000	\$ 14,635,670
2558	JINSHANG BANK	0	\$ 0
2588	BOC AVIATION	17,967,545	\$ 946,889,622
2600	CHALCO	122,971,627	\$ 194,295,171
2601	CPIC	71,330,221	\$ 1,562,131,840
2606	LANGUANGJUSTBON	756,336	\$ 32,862,799
2607	SH PHARMA	13,468,452	\$ 174,551,138
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	6,343,207	\$ 68,126,043
2616	CSTONE PHARMA-B	10,560,286	\$ 103,913,214
2628	CHINA LIFE	97,949,799	\$ 1,698,449,515
2638	HKELECTRIC-SS	81,089,471	\$ 648,715,768
2666	UNI MEDICAL	16,916,197	\$ 86,780,091
2669	CHINA OVS PPT	81,908,180	\$ 517,659,698
2678	TEXHONG TEXTILE	1,311,500	\$ 7,278,825
2688	ENN ENERGY	13,671,532	\$ 1,153,877,301
2689	ND PAPER	96,804,967	\$ 940,944,279
2696	HENLIUS-B	139,649	\$ 6,500,661
2727	SH ELECTRIC	59,228,057	\$ 123,786,639
2768	JIAYUAN INTL	970,662	\$ 3,135,238
2772	ZHONGLIANG HLDG	4,761,000	\$ 23,852,610
2777	R&F PROPERTIES	96,077,023	\$ 956,927,149
2778	CHAMPION REIT	8,160,000	\$ 31,334,400
2799	CHINA HUARONG	267,626,633	\$ 219,453,839
2800	TRACKER FUND	61,334,362	\$ 1,489,198,309
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2807	GX CN ROBO&AI	0	\$ 0
2809	GX CN CLN EN	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDGN	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	58,115,170	\$ 967,036,429
2823	ISHARES A50	75,451,189	\$ 1,266,825,463
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	5,541,350	\$ 528,977,271
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2845	GX CN ELE VEH	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	22,592,000	\$ 43,602,560
2866	COSCO SHIP DEV	40,306,498	\$ 31,439,068
2868	BJ CAPITAL LAND	19,387,926	\$ 25,592,062
2869	GREENTOWN SER	36,773,069	\$ 350,815,078
2877	SHINeway PHARM	6,380,000	\$ 31,006,800
2883	CHINA OILFIELD	33,963,936	\$ 182,725,976
2888	STANCHART	2,392,418	\$ 82,538,421
2899	ZIJIN MINING	115,915,967	\$ 567,988,238
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICCUUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3022	ISHAREMSCIEM	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3032	HSTECH ETF	0	\$ 0
3033	CSOP HS TECH	23,686,600	\$ 167,227,396
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3065	TRMSCISG	0	\$ 0
3067	ISHARESHSTECH	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHAREMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3088	CAM HS TECH	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	45,459,726	\$ 2,414,820,645
3191	GX CN SEMICON	0	\$ 0
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBOND	0	\$ 0
3301	RONSHINECHINA	18,598,348	\$ 101,175,013
3306	JNBY	2,792,672	\$ 20,889,187
3308	GOLDEN EAGLE	1,163,000	\$ 8,187,520
3309	C-MER EYE	13,934,000	\$ 78,866,440
3311	CHINA STATE CON	71,231,204	\$ 359,717,580
3319	A-LIVING	30,534,139	\$ 1,196,938,249
3320	CHINARES PHARMA	62,375,673	\$ 248,255,179
3323	CNBM	28,606,688	\$ 279,773,409
3328	BANKCOMM	141,138,155	\$ 525,033,937
3331	VINDA INT'L	37,736,282	\$ 958,501,563
3333	EVERGRANDE	252,430,402	\$ 4,972,878,919

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3336	JU TENG INTL	2,225,424	\$ 5,162,984
3339	LONKING	36,342,891	\$ 74,502,927
3360	FE HORIZON	111,560,333	\$ 700,598,891
3377	SINO-OCEAN GP	105,554,805	\$ 164,665,496
3380	LOGAN GROUP	19,421,820	\$ 237,334,640
3383	AGILE GROUP	74,464,354	\$ 753,579,262
3393	WASION HOLDINGS	1,178,000	\$ 2,556,260
3396	LEGENDHOLDING	32,095,866	\$ 302,343,058
3603	XINJI SHAXI	372,000	\$ 922,560
3606	FUYAO GLASS	19,257,231	\$ 546,905,360
3613	TONGRENTANGCM	12,709,668	\$ 106,252,824
3618	CQRC BANK	34,397,453	\$ 98,032,741
3633	ZHONGYU GAS	638,000	\$ 4,593,600
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	29,253,849	\$ 182,544,018
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	32,331,499	\$ 296,479,846
3690	MEITUAN-W	46,954,943	\$ 11,353,705,217
3692	HANSOH PHARMA	52,227,772	\$ 1,966,375,616
3709	EEKA FASHION	1,835,570	\$ 27,717,107
3759	PHARMARON	4,313,100	\$ 415,782,840
3799	DALI FOODS	51,045,595	\$ 241,445,664
3800	GCL-POLY ENERGY	895,594,861	\$ 291,068,330
3808	SINOTRUK	54,992,790	\$ 1,092,156,809
3813	POU SHENG INT'L	3,829,000	\$ 7,504,840
3818	CHINA DONGXIANG	133,985,040	\$ 128,625,638
3836	HARMONY AUTO	8,357,361	\$ 25,657,098
3866	BQD	0	\$ 0
3868	XINYI ENERGY	19,621,524	\$ 79,859,603
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	15,720,035	\$ 124,345,477
3888	KINGSOFT	96,336,328	\$ 3,723,399,077
3898	CRRC TIMES ELEC	16,675,548	\$ 431,896,693
3899	CIMC ENRIC	35,212,500	\$ 119,722,500
3900	GREENTOWN CHINA	25,581,092	\$ 307,996,348
3908	CICC	142,234,640	\$ 2,540,310,670
3918	NAGACORP	21,509,169	\$ 198,099,446
3933	UNITED LAB	7,797,936	\$ 62,227,529
3958	DFZQ	5,735,687	\$ 29,481,431
3968	CM BANK	97,116,887	\$ 3,549,622,220
3969	CHINA CRSC	80,425,741	\$ 204,281,382

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3983	CHINA BLUECHEM	11,269,907	\$ 12,622,296
3988	BANK OF CHINA	1,004,538,108	\$ 2,410,891,459
3990	MIDEA REAL EST	6,264,799	\$ 114,896,414
3993	CMOC	229,525,408	\$ 628,899,618
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	421,936,660	\$ 1,012,647,984
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	254,405,210	\$ 4,380,857,716
6049	POLY PPT SER	7,582,492	\$ 455,707,769
6055	CTIHK	10,770,849	\$ 158,331,480
6060	ZA ONLINE	45,440,065	\$ 1,740,354,490
6066	CSC	73,146,070	\$ 792,903,399
6068	WISDOM EDU INTL	34,082,000	\$ 98,837,800
6069	SHENGYE CAPITAL	4,677,088	\$ 25,677,213
6088	FIT HON TENG	76,950,106	\$ 231,619,819
6098	CG SERVICES	100,868,033	\$ 5,028,271,445
6099	CMSC	5,378,460	\$ 49,481,832
6100	TONGDAO LIEPIN	1,641,200	\$ 31,806,456
6110	TOPSPORTS	44,392,402	\$ 474,998,701
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	44,527,517	\$ 211,505,706
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	371,000	\$ 1,205,750
6169	YUHUA EDU	176,930,644	\$ 1,171,280,863
6178	EB SECURITIES	18,979,204	\$ 141,584,862
6185	CANSINO-BIO-B	7,528,576	\$ 1,237,697,894
6186	CHINA FEIHE	39,782,814	\$ 715,294,996
6196	BANKOFZHENGZHOU	879,600	\$ 1,600,872
6198	QINGDAO PORT	4,821,394	\$ 21,696,273
6199	BANK OF GUIZHOU	0	\$ 0
6806	SWHY	9,439,200	\$ 19,444,752
6808	SUNART RETAIL	184,909,067	\$ 1,580,972,523
6818	CEB BANK	24,574,775	\$ 59,962,451
6823	HKT-SS	25,662,987	\$ 263,302,247
6826	HAOHAI BIOTEC	1,333,156	\$ 68,457,561

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6837	HAITONG SEC	214,968,645	\$ 1,410,194,311
6855	ASCENTAGE-B	1,561,200	\$ 44,416,140
6862	HAIDILAO	66,261,758	\$ 3,694,093,009
6865	FLAT GLASS	20,993,113	\$ 431,408,472
6868	TENFU	0	\$ 0
6869	YOFC	7,858,500	\$ 93,516,150
6878	DIFFER GROUP	4,700,000	\$ 3,431,000
6881	CGS	193,662,988	\$ 842,433,998
6886	HTSC	80,212,279	\$ 1,015,487,452
6889	DYNAM JAPAN	985,400	\$ 8,011,302
6919	RENUI HR	2,906,200	\$ 59,577,100
6969	SMOORE INTL	16,585,000	\$ 579,645,750
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7233	XL2CSOPCSI300	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7266	FL2CSOPNASDAQ	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7272	XL2CAMDXNCSI300	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2CSOPGOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7333	XICSOPCSI300	0	\$ 0
7336	FI GX HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7373	XICAMDXNCSI300	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	176,659,712	\$ 286,188,733
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9022	ISHAREMSCIEM-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9067	ISHARESHSTECH-U	23,707,000	\$ 350,389,460
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHAREMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9088	CAM HS TECH-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWECOM-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9191	GX CN SEMICON-U	0	\$ 0
9618	JD-SW	4,598,073	\$ 1,350,913,847
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9807	GX CN ROBO&AI-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9812	SAMUNGCDGN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN ELE VEH-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9909	POWERLONG CM	2,915,230	\$ 75,650,219
9922	JIUMAOJIU	20,733,000	\$ 377,755,260
9928	TIMES NEIGHBOR	16,139,695	\$ 165,270,477
9966	ALPHAMAB-B	11,042,500	\$ 167,404,300
9968	HUIJING HLDGS	4,382,000	\$ 9,684,220
9969	INNOCARE-B	3,652,000	\$ 36,885,200
9988	BABA-SW	34,143,712	\$ 9,410,007,027
9999	NTES-S	9,337,161	\$ 1,287,594,502
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 30/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0