

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,962,851	\$ 807,052,788
2	CLP HOLDINGS	20,517,508	\$ 1,635,245,388
3	HK & CHINA GAS	324,199,680	\$ 4,564,731,494
4	WHARF HOLDINGS	69,421,690	\$ 1,046,879,085
5	HSBC HOLDINGS	129,468,127	\$ 5,308,193,207
6	POWER ASSETS	25,209,471	\$ 1,273,078,286
8	PCCW	33,858,688	\$ 153,379,857
10	HANG LUNG GROUP	4,602,118	\$ 80,076,853
11	HANG SENG BANK	38,776,597	\$ 5,347,292,726
12	HENDERSON LAND	23,712,355	\$ 764,723,449
14	HYSAN DEV	8,181,721	\$ 215,588,348
16	SHK PPT	23,972,633	\$ 2,593,838,891
17	NEW WORLD DEV	78,642,407	\$ 703,849,543
19	SWIRE PACIFIC A	19,681,221	\$ 1,017,519,126
20	WHEELLOCK	1,708,446	\$ 92,854,040
23	BANK OF E ASIA	41,715,692	\$ 702,492,253
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	65,503,336	\$ 3,144,160,128
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	584,000	\$ 1,623,520
41	GREAT EAGLE H	647,147	\$ 13,881,303
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	3,329,744	\$ 23,974,157
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,330,892	\$ 24,089,145
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	44,777,971	\$ 1,894,108,173
69	SHANGRI-LA ASIA	35,231,412	\$ 205,399,132
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	325,007	\$ 1,033,522
81	CH OVS G OCEANS	7,766,826	\$ 36,581,750
83	SINO LAND	40,946,526	\$ 411,103,121

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	11,430,000	\$ 25,031,700
101	HANG LUNG PPT	64,606,607	\$ 1,067,301,148
102	SUMMIT ASCENT	2,278,161	\$ 1,321,333
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA PPT	0	\$ 0
107	SICHUAN EXPRESS	1,476,012	\$ 2,789,663
116	CHOW SANG SANG	4,320,137	\$ 33,394,659
119	POLY PROPERTY	9,080,448	\$ 23,972,383
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	10,742,068	\$ 15,898,261
127	CHINESE EST H	1,664,000	\$ 5,890,560
133	CHINA MERCHANTS	122,000	\$ 1,095,560
135	KUNLUN ENERGY	25,359,891	\$ 120,205,883
136	HENGTEN NET	1,005,258,749	\$ 76,399,665
142	FIRST PACIFIC	12,683,410	\$ 19,025,115
144	CHINA MER PORT	22,481,588	\$ 208,853,953
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	9,460,339	\$ 182,584,543
151	WANT WANT CHINA	401,443,426	\$ 2,308,299,700
152	SHENZHEN INT'L	6,182,007	\$ 89,886,382
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	0	\$ 0
163	EMPEROR INT'L	1,860,816	\$ 2,567,926
165	CHINA EB LTD	25,043,209	\$ 284,490,854
168	TSINGTAO BREW	13,690,972	\$ 608,563,705
171	SILVER GRANT	10,172,000	\$ 11,596,080
173	K. WAH INT'L	5,283,409	\$ 18,069,259
175	GEELY AUTO	167,576,149	\$ 1,927,125,714
177	JIANGSU EXPRESS	8,683,115	\$ 73,806,478
178	SA SA INT'L	118,394,095	\$ 155,096,264
179	JOHNSON ELEC H	4,454,086	\$ 59,595,671
182	CONCORD NE	9,190,000	\$ 2,802,950
189	DONGYUE GROUP	39,399,474	\$ 131,988,238
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	3,025,000	\$ 816,750
200	MELCO INT'L DEV	23,696,292	\$ 305,682,167
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
220	U-PRESID CHINA	15,872,638	\$ 124,282,756

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
225	POKFULAM	0	\$ 0
228	CHINA ENERGY	7,070,000	\$ 1,173,620
230	MINMETALS LAND	1,018,000	\$ 1,079,080
241	ALI HEALTH	105,130,271	\$ 1,585,364,487
242	SHUN TAK HOLD	27,182,957	\$ 76,112,280
245	CN MINSHENG FIN	0	\$ 0
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0
256	CITYCHAMP	6,612,000	\$ 10,380,840
257	CHINA EB INT'L	81,184,891	\$ 366,143,858
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	24,241,657	\$ 193,933,256
268	KINGDEE INT'L	233,941,803	\$ 2,390,885,227
270	GUANGDONG INV	18,762,550	\$ 290,819,525
272	SHUI ON LAND	45,529,799	\$ 61,465,229
273	MASON GP HOLD	19,400,000	\$ 523,800
285	BYD ELECTRONIC	94,665,872	\$ 1,312,068,986
286	AIDIGONG	6,284,000	\$ 2,922,060
288	WH GROUP	169,945,984	\$ 1,328,977,595
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	30,678,168	\$ 1,153,499,117
293	CATHAY PAC AIR	71,243,613	\$ 644,042,262
297	SINOFERT	24,244,000	\$ 16,970,800
302	CMGE	702,000	\$ 1,930,500
303	VTECH HOLDINGS	3,285,514	\$ 189,738,434
308	CHINA TRAVEL HK	46,566,092	\$ 47,497,414
315	SMARTONE TELE	4,050,125	\$ 20,372,129
316	OOIL	0	\$ 0
317	COMEC	3,139,507	\$ 15,823,115
321	TEXWINCA HOLD	3,190,000	\$ 4,338,400
322	TINGYI	69,384,744	\$ 954,734,077
323	MAANSHAN IRON	71,641,715	\$ 171,223,699
327	PAX GLOBAL	12,110,468	\$ 37,542,451
330	ESPRIT HOLDINGS	45,952,349	\$ 33,085,691
336	HUABAO INTL	10,322,292	\$ 29,831,424
337	GREENLAND HK	3,336,180	\$ 10,075,264
338	SHANGHAI PEICHEM	94,866,876	\$ 188,785,083
341	CAFE DE CORAL H	6,385,029	\$ 101,905,063
345	VITASOY INT'L	95,184,402	\$ 2,593,774,955
347	ANGANG STEEL	93,134,526	\$ 194,651,159

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
354	CHINASOFT INT'L	282,229,833	\$ 1,210,765,984
358	JIANGXI COPPER	12,894,975	\$ 98,646,559
363	SHANGHAI IND H	4,186,803	\$ 53,339,870
367	CHUANG'S INT'L	0	\$ 0
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	191,173,217	\$ 583,078,312
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
383	CHINA MED&HCARE	17,510,000	\$ 2,573,970
384	CHINA GAS HOLD	140,346,296	\$ 3,550,761,289
386	SINOPEC CORP	337,015,239	\$ 1,351,431,108
388	HKEX	17,740,416	\$ 4,388,978,918
390	CHINA RAILWAY	66,819,222	\$ 303,359,268
392	BEIJING ENT	2,690,542	\$ 74,662,541
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	39,382,384	\$ 141,382,759
412	CHINA SDHS FIN	10,698,000	\$ 5,295,510
425	MINTH GROUP	35,096,966	\$ 623,322,116
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	1,030,076	\$ 23,691,748
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	64,040,373	\$ 7,108,481
460	SIHUAN PHARM	118,429,898	\$ 92,375,320
467	UNITEDENERGY GP	8,224,000	\$ 12,336,000
468	GAPACK	420,000	\$ 1,012,200
480	HKR INT'L	328,000	\$ 1,062,720
486	RUSAL	23,907,000	\$ 63,831,690
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	61,071,392	\$ 306,578,388
493	GOME RETAIL	1,906,599,926	\$ 1,372,751,947
494	LI & FUNG	171,954,832	\$ 178,833,025
496	KASEN	26,794,000	\$ 35,100,140
497	CSI PROPERTIES	3,000,000	\$ 732,000
506	CHINA FOODS	2,834,000	\$ 7,708,480
508	DINGYI GP INV	15,940,000	\$ 3,028,600
511	TVB	4,642,051	\$ 46,699,033
512	CHINAGRANDPHARM	764,000	\$ 3,743,600
517	COSCO SHIP INTL	4,067,480	\$ 8,663,732
520	XIABUXIABU	19,176,161	\$ 131,356,703
522	ASM PACIFIC	9,196,332	\$ 749,960,875
525	GUANGSHEN RAIL	28,842,445	\$ 46,147,912

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
526	CHINA AUTO NR	0	\$ 0
530	GOLDIN FIN HOLD	4,294,000	\$ 6,441,000
535	GEMDALE PPT	50,636,000	\$ 65,826,800
538	AJISEN (CHINA)	863,504	\$ 1,580,212
546	FUFENG GROUP	24,349,092	\$ 63,551,130
547	DIGITAL DOMAIN	229,379,457	\$ 11,010,214
548	SHENZHENEXPRESS	34,385,638	\$ 282,993,801
551	YUE YUEN IND	28,485,138	\$ 377,143,227
552	CHINACOMSERVICE	41,734,976	\$ 249,992,506
563	SH IND URBAN	4,045,437	\$ 3,074,532
570	TRAD CHI MED	52,690,651	\$ 181,782,746
576	ZHEJIANGEXPRESS	9,706,185	\$ 53,286,956
579	JNCEC	3,491,070	\$ 4,119,463
581	CHINA ORIENTAL	28,089,587	\$ 64,886,946
586	CONCH VENTURE	34,371,450	\$ 1,201,282,178
588	BEIJING N STAR	2,790,000	\$ 5,217,300
590	LUK FOOK HOLD	2,587,178	\$ 41,394,848
596	INSPUR INT'L	17,143,695	\$ 42,344,927
598	SINOTRANS	84,616,770	\$ 158,233,360
603	CHINA OIL & GAS	2,357,920	\$ 613,059
604	SHENZHEN INVEST	29,137,862	\$ 70,805,005
607	FULLSHARE	327,444,622	\$ 38,638,465
622	OSHIDORI	17,053,800	\$ 10,743,894
631	SANY INT'L	15,466,956	\$ 63,723,859
636	KERRY LOG NET	2,687,970	\$ 27,524,813
639	SHOUGANG RES	12,924,699	\$ 18,870,061
656	FOSUN INTL	66,568,148	\$ 621,080,821
658	C TRANSMISSION	26,537,869	\$ 123,931,848
659	NWS HOLDINGS	24,891,568	\$ 204,608,689
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	146,150,478	\$ 264,532,365
667	CHINA EAST EDU	5,576,418	\$ 67,474,658
669	TECHTRONIC IND	48,824,200	\$ 2,775,655,770
670	CHINA EAST AIR	184,063,903	\$ 530,104,041
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	9,681,242	\$ 214,923,572
686	PANDA GREEN	66,890,300	\$ 10,568,667
687	TYSAN HOLDINGS	0	\$ 0
688	CHINA OVERSEAS	57,092,281	\$ 1,530,073,131
691	SHANSHUI CEMENT	1,558,700	\$ 3,631,771

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
694	BEIJING AIRPORT	31,167,029	\$ 167,055,275
696	TRAVELSKY TECH	20,055,823	\$ 288,803,851
697	SHOUGANG INT'L	4,574,496	\$ 6,221,315
698	TONGDA GROUP	110,364,333	\$ 56,285,810
699	CAR INC	90,142,190	\$ 181,185,802
700	TENCENT	53,540,981	\$ 20,955,939,963
708	EVERG HEALTH	3,310,000	\$ 20,488,900
709	GIORDANO INT'L	23,190,750	\$ 35,713,755
710	BOE VARITRONIX	1,006,000	\$ 2,233,320
716	SINGAMAS CONT	10,895,443	\$ 4,848,472
728	CHINA TELECOM	97,741,802	\$ 259,993,193
732	TRULY INT'L	35,670,000	\$ 32,459,700
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	4,420,400	\$ 40,535,068
751	SKYWORTH GROUP	54,381,663	\$ 99,518,443
752	PICO FAR EAST	414,000	\$ 534,060
753	AIR CHINA	172,882,854	\$ 897,262,012
754	HOPSON DEV HOLD	1,330,000	\$ 9,243,500
762	CHINA UNICOM	53,661,638	\$ 282,260,216
763	ZTE	43,663,202	\$ 1,078,481,089
772	CHINA LIT	13,273,772	\$ 414,141,686
777	NETDRAGON	5,293,785	\$ 106,934,457
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	34,969,320	\$ 416,134,908
788	CHINA TOWER	1,219,873,253	\$ 2,085,983,263
798	CEOVU	0	\$ 0
799	IGG	106,842,747	\$ 509,639,903
806	VALUE PARTNERS	27,585,819	\$ 98,481,374
807	SIICENVIRONMENT	1,958,500	\$ 2,428,540
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	361,000	\$ 1,873,590
813	SHIMAO PROPERTY	21,389,234	\$ 623,496,171
816	HUADIAN FUXIN	18,004,745	\$ 23,766,263
817	CHINA JINMAO	140,095,567	\$ 745,308,416
818	HI SUN TECH	2,793,000	\$ 2,429,910
819	TIANNENG POWER	128,996,818	\$ 856,538,872
823	LINK REIT	13,447,421	\$ 907,700,918
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	0	\$ 0
836	CHINA RES POWER	8,372,934	\$ 74,351,654
839	CHINA EDU GROUP	40,271,851	\$ 430,103,369

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853	MICROPORT	30,724,999	\$ 477,466,484
855	CHINA WATER	62,875,214	\$ 372,221,267
856	VSTECs	5,213,144	\$ 18,663,056
857	PETROCHINA	347,451,074	\$ 1,035,404,201
860	WE SOLUTIONS	20,217,535	\$ 8,188,102
861	DC HOLDINGS	4,962,000	\$ 17,466,240
867	CMS	14,012,107	\$ 134,095,864
868	XINYI GLASS	82,686,161	\$ 749,963,480
874	BAIYUNSHAN PH	7,890,815	\$ 161,761,708
877	O-NET TECH GP	7,136,000	\$ 34,752,320
880	SJM HOLDINGS	111,329,198	\$ 832,742,401
881	ZHONGSHENG HLDG	189,616,683	\$ 5,641,096,319
882	TIANJIN DEV	1,114,500	\$ 1,727,475
883	CNOOC	220,791,046	\$ 1,969,456,130
884	CIFI HOLD GP	96,303,104	\$ 569,151,345
895	DONGJIANG ENV	1,096,200	\$ 6,215,454
902	HUANENG POWER	140,019,416	\$ 393,454,559
906	COFCO PACKAGING	15,763,822	\$ 42,089,405
914	CONCH CEMENT	31,100,363	\$ 1,788,270,873
916	CHINA LONGYUAN	32,129,765	\$ 125,306,084
921	HISENSE HA	8,527,000	\$ 60,456,430
934	SINOPEC KANTONS	26,622,995	\$ 76,407,996
939	CCB	922,992,727	\$ 5,787,164,398
941	CHINA MOBILE	53,742,611	\$ 3,310,544,838
950	LEE'S PHARM	5,379,976	\$ 19,367,914
951	CHAOWEI POWER	1,484,000	\$ 2,864,120
956	CHINA SUNTIEN	9,401,000	\$ 15,981,700
960	LONGFOR GROUP	37,565,333	\$ 1,495,100,253
966	CHINA TAIPING	54,852,689	\$ 697,726,204
968	XINYI SOLAR	112,203,710	\$ 507,160,769
973	L'OCCITANE	4,851,300	\$ 60,544,224
978	CHI MER LAND	0	\$ 0
981	SMIC	520,482,025	\$ 7,265,929,069
991	DATANG POWER	82,826,353	\$ 92,765,515
992	LENOVO GROUP	390,004,642	\$ 1,669,219,868
995	ANHUIEXPRESSWAY	2,162,000	\$ 8,172,360
998	CITIC BANK	77,175,657	\$ 290,952,227
999	I.T	526,000	\$ 673,280
1003	HUANXI MEDIA	1,170,000	\$ 1,638,000
1030	SEAZEN	144,805,716	\$ 1,055,633,670
1031	KINGSTON FIN	0	\$ 0

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1033	SINOPEC SSC	8,097,000	\$ 5,020,140
1038	CKI HOLDINGS	4,982,731	\$ 235,932,313
1044	HENGAN INT'L	36,489,075	\$ 2,380,912,144
1045	APT SATELLITE	0	\$ 0
1052	YUEXIUTRANSPORT	1,874,000	\$ 9,238,820
1055	CHINA SOUTH AIR	262,807,317	\$ 906,685,244
1060	ALI PICTURES	603,766,084	\$ 609,803,745
1061	ESSEX BIO-TECH	610,000	\$ 2,781,600
1066	WEIGAO GROUP	52,380,797	\$ 544,760,289
1068	YURUN FOOD	23,090,000	\$ 14,084,900
1070	TCL ELECTRONICS	2,281,953	\$ 7,872,738
1071	HUADIAN POWER	55,923,782	\$ 133,098,601
1072	DONGFANG ELEC	1,985,251	\$ 7,881,446
1076	IMPERIAL PAC	134,580,000	\$ 7,671,060
1083	TOWNGAS CHINA	23,007,124	\$ 88,117,285
1086	GOODBABY INTL	12,708,235	\$ 9,912,423
1088	CHINA SHENHUA	46,773,367	\$ 662,310,877
1089	LEYOU TECH H	24,185,257	\$ 48,854,219
1093	CSPC PHARMA	148,007,412	\$ 2,507,245,559
1098	ROAD KING INFRA	970,861	\$ 11,359,074
1099	SINOPHARM	25,690,260	\$ 496,335,823
1109	CHINA RES LAND	49,720,403	\$ 1,625,857,178
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	12,864,046	\$ 396,855,819
1113	CK ASSET	17,547,722	\$ 815,969,073
1114	BRILLIANCE CHI	176,796,788	\$ 1,186,306,447
1117	CH MODERN D	2,172,802	\$ 1,759,970
1119	IDREAMSKY	0	\$ 0
1122	QINGLING MOTORS	578,000	\$ 867,000
1128	WYNN MACAU	100,800,043	\$ 1,296,288,553
1131	AGRITRADE RES	87,175,000	\$ 17,086,300
1133	HARBIN ELECTRIC	9,723,001	\$ 20,223,842
1137	HKTV	16,224,000	\$ 81,606,720
1138	COSCO SHIP ENGY	86,280,881	\$ 391,715,200
1140	OP FINANCIAL	592,000	\$ 651,200
1141	CMBC CAPITAL	178,753,649	\$ 24,846,757
1157	ZOOMLION	168,427,550	\$ 1,025,723,780
1169	HAIER ELEC	15,029,726	\$ 299,692,736
1171	YANZHOU COAL	109,663,077	\$ 673,331,293
1176	ZHUGUANG HOLD	4,230,000	\$ 4,314,600
1177	SINO BIOPHARM	359,156,958	\$ 4,051,290,486



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1186	CHINA RAIL CONS	73,746,723	\$ 648,233,695
1193	CHINA RES GAS	30,682,246	\$ 1,267,176,760
1196	REALORD GROUP	769,000	\$ 3,460,500
1199	COSCO SHIP PORT	11,498,781	\$ 46,800,039
1205	CITIC RESOURCES	1,909,755	\$ 582,475
1208	MMG	201,146,555	\$ 285,628,108
1211	BYD COMPANY	107,849,403	\$ 4,367,900,822
1212	LIFESTYLE INT'L	9,781,875	\$ 63,386,550
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	710,000	\$ 631,900
1224	C C LAND	0	\$ 0
1233	TIMES CHINA	12,888,367	\$ 169,868,677
1234	CHINA LILANG	3,431,035	\$ 16,366,037
1238	POWERLONG	9,687,711	\$ 46,985,398
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	24,905,731	\$ 871,701
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	28,162,212	\$ 91,527,189
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	8,788,000	\$ 121,274,400
1270	LANGHAM-SS	5,382,936	\$ 7,428,452
1282	GLORY SUN FIN	14,040,000	\$ 3,861,000
1288	ABC	329,245,340	\$ 1,027,245,461
1293	GRAND BAOXIN	17,692,367	\$ 18,046,214
1299	AIA	125,018,708	\$ 8,951,339,493
1300	TRIGIANT	1,084,000	\$ 1,582,640
1302	LIFETECH SCI	19,860,000	\$ 36,939,600
1308	SITC	7,167,294	\$ 51,747,863
1310	HKBN	10,558,134	\$ 137,255,742
1313	CHINARES CEMENT	40,058,461	\$ 379,353,626
1316	NEXTEER	74,046,717	\$ 327,286,489
1317	MAPLELEAF EDU	65,647,666	\$ 156,241,445
1330	DYNAGREEN ENV	4,039,134	\$ 12,602,098
1333	CHINA ZHONGWANG	102,546,172	\$ 204,066,882
1336	NCI	45,772,533	\$ 1,126,004,312
1337	RAZER	138,287,462	\$ 139,670,337
1339	PICC GROUP	83,199,149	\$ 211,325,838
1347	HUA HONG SEMI	98,310,968	\$ 1,492,360,494
1349	FUDANZHANGJIANG	756,000	\$ 3,727,080
1359	CHINA CINDA	175,232,989	\$ 259,344,824

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1360	NOVA GROUP	24,860,000	\$ 36,047,000
1366	JIANGNAN GP	1,228,000	\$ 380,680
1368	XTEP INT'L	30,903,791	\$ 83,131,198
1375	CC SECURITIES	10,158,583	\$ 13,206,158
1378	CHINAHONGQIAO	56,801,109	\$ 201,075,926
1381	CANVEST ENV	11,065,528	\$ 38,729,348
1382	PACIFICTEXTILES	17,664,010	\$ 65,886,757
1383	SUNCITY GROUP	20,300,000	\$ 24,766,000
1385	SHANGHAI FUDAN	7,928,968	\$ 42,816,427
1387	CHINA DILI	10,860,581	\$ 19,114,623
1398	ICBC	415,801,139	\$ 2,166,323,934
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	8,118,593	\$ 55,287,618
1458	ZHOU HEI YA	49,697,793	\$ 236,064,517
1475	NISSIN FOODS	6,528,000	\$ 41,779,200
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	14,083,000	\$ 131,112,730
1508	CHINA RE	20,275,004	\$ 19,058,504
1513	LIVZON PHARMA	2,207,717	\$ 67,776,912
1515	CR MEDICAL	13,594,068	\$ 59,677,959
1521	FRONTAGE	11,888,000	\$ 44,104,480
1528	RS MACALLINE	765,600	\$ 3,904,560
1530	3SBIO	109,694,093	\$ 947,756,964
1548	GENSCRIPT BIO	67,811,246	\$ 897,820,897
1551	GRCB	0	\$ 0
1558	HEC PHARM	5,571,066	\$ 204,458,122
1565	VIRSCEND EDU	6,897,000	\$ 11,517,990
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	870,564	\$ 905,387
1573	SOUTHERN ENERGY	4,502,000	\$ 3,061,360
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	18,623,787	\$ 1,084,835,593
1585	YADEA	14,708,000	\$ 32,651,760
1589	CNLP	7,077,451	\$ 19,816,863
1600	TIAN LUN GAS	7,776,212	\$ 42,924,690
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	62,629,501	\$ 124,632,707
1617	NANFANG COMM	1,896,000	\$ 5,744,880
1618	MCC	62,178,183	\$ 88,914,802
1622	REDCO GROUP	11,028,512	\$ 37,496,941

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1628	YUZHOU PPT	26,295,902	\$ 84,935,763
1636	CMRU	11,282,000	\$ 33,056,260
1638	KAISA GROUP	86,625,797	\$ 259,877,391
1652	FUSEN PHARM	0	\$ 0
1658	PSBC	371,091,258	\$ 1,744,128,913
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	10,109,981	\$ 63,187,381
1668	CHINASOUTHCITY	54,812,000	\$ 40,560,880
1672	ASCLETIS-B	18,868,000	\$ 53,396,440
1675	ASIAINFO TECH	0	\$ 0
1680	MACAU LEGEND	9,397,233	\$ 8,645,454
1681	CONSUN PHARMA	4,545,554	\$ 16,818,550
1686	SUNEVISION	4,654,925	\$ 24,065,962
1708	SAMPLE TECH	436,000	\$ 1,229,520
1717	AUSNUTRIA	12,089,361	\$ 154,502,034
1727	HEBEI CONS	3,394,000	\$ 16,189,380
1728	ZHENGTONGAUTO	144,330,481	\$ 183,299,711
1739	QEEKA HOME	0	\$ 0
1755	S-ENJOY SERVICE	2,386,000	\$ 44,141,000
1765	HOPE EDU	21,721,065	\$ 37,794,653
1766	CRRC	41,515,074	\$ 170,626,954
1772	GANFENGLITHIUM	4,808,700	\$ 124,304,895
1773	TIANLI EDU	19,141,000	\$ 65,270,810
1776	GF SEC	39,974,646	\$ 345,780,688
1777	FANTASIA	12,778,500	\$ 18,017,685
1778	COLOUR LIFE	20,724,156	\$ 69,218,681
1787	SD GOLD	4,815,198	\$ 97,748,519
1788	GUOTAI JUNAN I	156,536,800	\$ 165,929,008
1789	AK MEDICAL	9,954,810	\$ 187,349,524
1797	KOOLEARN	32,947,406	\$ 1,049,374,881
1798	DATANG RENEW	4,758,000	\$ 2,854,800
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	73,253,109	\$ 396,299,320
1801	INNOVENT BIO-B	13,606,929	\$ 464,676,625
1806	HUIFU PAYMENT	4,940,516	\$ 10,918,540
1809	PRINX CHENGSHAN	178,500	\$ 1,308,405
1810	XIAOMI-W	1,015,965,682	\$ 10,301,892,015
1811	CGN NEW ENERGY	12,420,000	\$ 20,244,600
1813	KWG GROUP	46,578,849	\$ 537,519,917
1816	CGN POWER	214,000,334	\$ 393,760,615
1817	MULSANNE GROUP	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1818	ZHAOJIN MINING	84,840,430	\$ 693,994,717
1829	CMEC	4,956,706	\$ 11,053,454
1833	PA GOODDOCTOR	44,800,327	\$ 3,846,108,073
1836	STELLA HOLDINGS	1,130,549	\$ 9,134,836
1837	NATURAL FOOD IH	790,000	\$ 608,300
1848	CALC	1,930,033	\$ 13,104,924
1857	CEB WATER	0	\$ 0
1858	CHUNLI MEDICAL	232,600	\$ 23,864,760
1860	MOBVISTA	0	\$ 0
1862	JINGRUI HLDGS	1,007,000	\$ 2,336,240
1873	VIVA BIOTECH	16,888,000	\$ 76,671,520
1876	BUD APAC	141,265,867	\$ 2,938,330,034
1877	JUNSHI BIO-B	1,204,800	\$ 38,975,280
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	8,608,066	\$ 124,816,957
1883	CITIC TELECOM	6,277,592	\$ 17,388,930
1888	KB LAMINATES	32,069,048	\$ 237,310,955
1890	CHINA KEPEI	3,702,000	\$ 16,066,680
1896	MAOYAN ENT	3,297,800	\$ 32,054,616
1898	CHINA COAL	54,952,843	\$ 122,544,840
1899	XINGDA INT'L	453,000	\$ 874,290
1907	CHINA RISUN GP	0	\$ 0
1908	C&D INTL GROUP	5,674,000	\$ 60,711,800
1910	SAMSONITE	56,250,458	\$ 420,753,426
1911	CR HOLDINGS	406,072	\$ 4,832,257
1913	PRADA	26,075,028	\$ 690,988,242
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	51,399,699	\$ 1,863,239,089
1919	COSCO SHIP HOLD	71,118,216	\$ 157,882,440
1928	SANDS CHINA LTD	65,165,198	\$ 1,984,280,279
1929	CHOW TAI FOOK	21,803,797	\$ 128,860,440
1951	JXR	41,693,475	\$ 381,078,362
1958	BAIC MOTOR	47,792,754	\$ 154,370,595
1963	BCQ	1,851,956	\$ 7,278,187
1966	CHINA SCE GROUP	17,344,363	\$ 61,399,045
1970	IMAX CHINA	4,109,000	\$ 48,486,200
1972	SWIREPROPERTIES	44,368,625	\$ 953,925,438
1988	MINSHENG BANK	501,823,557	\$ 2,865,412,510
1992	FOSUN TOURISM	0	\$ 0
1995	EVERSUNSHINE LS	20,296,000	\$ 196,871,200
1996	RSUN PPT	5,773,000	\$ 14,547,960

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1997	WHARF REIC	75,517,611	\$ 2,257,976,569
1999	MAN WAH HLDGS	38,213,881	\$ 178,076,685
2001	NEW HIGHER EDU	25,460,000	\$ 80,453,600
2005	SSY GROUP	56,417,935	\$ 355,432,991
2006	JINJIANGCAPITAL	26,304,000	\$ 34,721,280
2007	COUNTRY GARDEN	418,516,489	\$ 4,017,758,294
2009	BBMG	137,923,917	\$ 279,985,552
2013	WEIMOB INC	108,370,851	\$ 541,854,255
2016	CZBANK	0	\$ 0
2018	AAC TECH	113,709,907	\$ 4,599,565,738
2019	DEXIN CHINA	3,573,000	\$ 11,076,300
2020	ANTA SPORTS	48,685,649	\$ 2,874,887,573
2038	FIH	184,239,207	\$ 176,869,639
2039	CIMC	15,018,750	\$ 103,479,188
2048	E-HOUSE ENT	3,567,300	\$ 24,507,351
2051	51 CREDIT CARD	5,238,000	\$ 3,771,360
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 8,882,500
2099	CHINAGOLDINTL	186,100	\$ 785,342
2128	CHINA LESSO	26,491,608	\$ 258,293,178
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	151,584,951	\$ 606,339,804
2196	FOSUN PHARMA	36,496,714	\$ 959,863,578
2199	REGINA MIRACLE	529,281	\$ 1,847,191
2202	CHINA VANKE	43,376,127	\$ 1,132,116,915
2208	GOLDWIND	46,771,533	\$ 341,899,906
2232	CRYSTAL INTL	2,050,749	\$ 4,450,125
2233	WESTCHINACEMENT	9,285,000	\$ 12,349,050
2238	GAC GROUP	288,969,425	\$ 1,953,433,313
2255	HAICHANG HLDG	7,540,000	\$ 4,222,400
2269	WUXI BIO	21,626,702	\$ 2,480,582,719
2280	HC GROUP	24,764,684	\$ 32,937,030
2282	MGM CHINA	81,065,808	\$ 719,864,375
2283	TK GROUP HLDG	212,000	\$ 578,760
2299	BILLION IND	0	\$ 0
2313	SHENZHOU INTL	25,825,682	\$ 2,262,329,743
2314	LEE & MAN PAPER	28,019,309	\$ 137,014,421
2318	PING AN	967,708,897	\$ 73,787,803,396
2319	MENGNU DAIRY	82,629,833	\$ 2,334,292,782
2322	HK CHAOSHANG GP	0	\$ 0
2328	PICC P&C	43,745,051	\$ 319,338,872

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2329	GUORUI PPT	3,662,000	\$ 5,090,180
2331	LI NING	44,227,935	\$ 1,070,316,027
2333	GREATWALL MOTOR	345,101,883	\$ 1,742,764,509
2338	WEICHAI POWER	89,104,771	\$ 1,220,735,363
2342	COMBA	111,371,443	\$ 379,776,621
2343	PACIFIC BASIN	270,451,951	\$ 273,156,471
2356	DAHSING BANKING	896,799	\$ 6,555,601
2357	AVICHINA	72,205,934	\$ 213,729,565
2359	WUXI APPTec	9,051,378	\$ 954,015,241
2362	JINCHUAN INTL	36,224,000	\$ 18,836,480
2378	PRU	0	\$ 0
2380	CHINA POWER	78,358,144	\$ 120,671,542
2382	SUNNY OPTICAL	40,803,391	\$ 4,590,381,488
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	31,681,278	\$ 107,082,720
2388	BOC HONG KONG	60,222,354	\$ 1,454,369,849
2552	HUA MEDICINE-B	0	\$ 0
2558	JINSHANG BANK	0	\$ 0
2588	BOC AVIATION	15,526,610	\$ 754,593,246
2600	CHALCO	154,691,295	\$ 258,334,463
2601	CPIC	159,205,988	\$ 3,805,023,113
2607	SH PHARMA	15,952,089	\$ 220,776,912
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	4,448,054	\$ 52,131,193
2616	CSTONE PHARMA-B	4,616,500	\$ 37,624,475
2628	CHINA LIFE	107,628,087	\$ 1,687,608,404
2638	HKELECTRIC-SS	60,848,141	\$ 483,742,721
2666	UNI MEDICAL	26,230,025	\$ 127,477,922
2669	CHINA OVS PPT	48,673,800	\$ 389,390,400
2678	TEXHONG TEXTILE	917,154	\$ 5,255,292
2688	ENN ENERGY	8,282,706	\$ 682,494,974
2689	ND PAPER	122,406,694	\$ 916,826,138
2696	HENLIUS-B	0	\$ 0
2727	SH ELECTRIC	24,289,225	\$ 51,978,942
2768	JIAYUAN INTL	9,698,087	\$ 30,936,898
2772	ZHONGLIANG HLDG	0	\$ 0
2777	R&F PROPERTIES	68,271,578	\$ 720,947,864
2778	CHAMPION REIT	15,504,814	\$ 71,632,241
2799	CHINA HUARONG	237,686,395	\$ 225,802,075
2800	TRACKER FUND	53,079,840	\$ 1,300,456,080
2801	ISHARES CHINA	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	79,444,493	\$ 1,066,145,096
2823	ISHARES A50	115,605,329	\$ 1,565,296,155
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	3,128,550	\$ 309,570,023
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	40,353	\$ 49,069,248
2843	AMUNDI A50	0	\$ 0
2845	GX CN ELE VEH	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	31,812,059	\$ 40,719,436
2866	COSCO SHIP DEV	54,550,025	\$ 44,731,021
2868	BJ CAPITAL LAND	15,862,925	\$ 27,601,490
2869	GREENTOWN SER	41,450,177	\$ 367,663,070
2877	SHINEWAY PHARM	4,696,000	\$ 27,518,560
2880	DALIAN PORT	3,248,000	\$ 2,143,680
2883	CHINA OILFIELD	41,242,448	\$ 263,126,818
2888	STANCHART	2,690,571	\$ 116,636,253
2899	ZIJIN MINING	75,050,783	\$ 234,158,443
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	47,589,492	\$ 2,017,794,461
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBOND	0	\$ 0
3301	RONSHINECHINA	12,315,500	\$ 100,125,015
3306	JNBY	3,804,000	\$ 28,187,640
3308	GOLDEN EAGLE	0	\$ 0
3309	C-MER EYE	6,374,000	\$ 26,579,580
3311	CHINA STATE CON	57,657,343	\$ 353,439,513
3319	A-LIVING	10,418,504	\$ 407,363,506
3320	CHINARES PHARMA	8,749,427	\$ 42,784,698
3323	CNBM	49,398,316	\$ 452,982,558
3328	BANKCOMM	72,463,011	\$ 346,373,193
3331	VINDA INT'L	7,678,685	\$ 146,509,310
3333	EVERGRANDE	224,684,459	\$ 3,100,645,534

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3336	JU TENG INTL	3,132,000	\$ 5,387,040
3337	ANTON OILFIELD	212,347,296	\$ 118,914,486
3339	LONKING	37,111,891	\$ 86,470,706
3360	FE HORIZON	14,054,057	\$ 86,572,991
3377	SINO-OCEAN GP	49,593,406	\$ 102,162,416
3380	LOGAN PPT	16,914,383	\$ 215,827,527
3382	TIANJINPORT DEV	2,033,989	\$ 1,118,694
3383	AGILE GROUP	77,578,161	\$ 660,965,932
3393	WASION HOLDINGS	824,000	\$ 2,134,160
3396	LEGENDHOLDING	15,164,790	\$ 143,913,857
3606	FUYAO GLASS	17,607,496	\$ 296,510,233
3613	TONGRENTANGCM	10,762,980	\$ 116,885,963
3618	CQRC BANK	24,265,783	\$ 76,922,532
3633	ZHONGYU GAS	4,487,600	\$ 26,028,080
3662	AOYUAN HEALTHY	6,136,849	\$ 43,878,470
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	11,474,610	\$ 75,847,172
3690	MEITUAN-W	36,580,998	\$ 3,557,502,056
3692	HANSOH PHARMA	59,077,076	\$ 1,677,788,958
3709	EEKA FASHION	592,000	\$ 4,736,000
3759	PHARMARON	6,072,600	\$ 330,956,700
3799	DALI FOODS	75,380,214	\$ 370,870,653
3800	GCL-POLY ENERGY	1,371,643,821	\$ 342,910,955
3808	SINOTRUK	61,132,814	\$ 919,437,523
3813	POU SHENG INT'L	7,684,000	\$ 12,909,120
3818	CHINA DONGXIANG	18,385,300	\$ 12,318,151
3836	HARMONY AUTO	15,501,090	\$ 55,183,880
3866	BQD	0	\$ 0
3868	XINYI ENERGY	8,806,000	\$ 18,932,900
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	17,576,058	\$ 162,578,537
3888	KINGSOFT	82,403,805	\$ 2,018,893,223
3898	CRRC TIMES ELEC	9,168,993	\$ 214,554,436
3899	CIMC ENRIC	27,770,500	\$ 98,029,865
3900	GREENTOWN CHINA	23,533,465	\$ 180,972,346
3908	CICC	145,835,069	\$ 1,709,187,009
3918	NAGACORP	18,784,868	\$ 179,771,187
3933	UNITED LAB	143,204,604	\$ 1,056,849,978
3958	DFZQ	6,177,200	\$ 25,511,836
3968	CM BANK	102,319,835	\$ 3,560,730,258
3969	CHINA CRSC	27,419,989	\$ 106,937,957

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3983	CHINA BLUECHEM	13,433,278	\$ 16,254,266
3988	BANK OF CHINA	398,813,142	\$ 1,192,451,295
3990	MIDEA REAL EST	3,218,999	\$ 65,023,780
3993	CMOC	302,059,353	\$ 700,777,699
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	289,402,218	\$ 573,016,392
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	246,351,116	\$ 3,577,018,204
6049	POLY PPT DEV	852,110	\$ 59,477,278
6055	CTIHK	5,784,821	\$ 91,747,261
6060	ZA ONLINE	39,895,474	\$ 1,061,219,608
6066	CSC	12,916,000	\$ 83,179,040
6068	WISDOM EDU INTL	2,822,000	\$ 7,055,000
6069	SHENGYE CAPITAL	3,516,000	\$ 23,346,240
6088	FIT HON TENG	19,420,000	\$ 34,567,600
6098	CG SERVICES	95,199,972	\$ 3,103,519,087
6099	CMSC	1,213,800	\$ 10,208,058
6100	TALENT LIEPIN	798,600	\$ 12,362,328
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	34,393,517	\$ 169,560,039
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	1,308,000	\$ 3,492,360
6169	YUHUA EDU	154,876,391	\$ 921,514,526
6178	EB SECURITIES	3,154,604	\$ 15,583,744
6185	CANSINO BIO-B	1,407,400	\$ 183,665,700
6196	BANK OF ZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	4,643,000	\$ 19,314,880
6806	SWHY	4,316,800	\$ 8,158,752
6808	SUNART RETAIL	60,340,473	\$ 707,190,344
6818	CEB BANK	25,785,603	\$ 78,388,233
6823	HKT-SS	8,868,901	\$ 105,362,544
6826	HAOHAI BIOTEC	381,281	\$ 11,228,725
6837	HAITONG SEC	84,685,474	\$ 606,347,994
6862	HAIDILAO	66,226,751	\$ 2,106,010,682

### Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6865	FLAT GLASS	9,924,000	\$ 42,871,680
6868	TENFU	0	\$ 0
6869	YOFC	23,603,766	\$ 374,827,804
6881	CGS	146,322,310	\$ 566,267,340
6886	HTSC	92,095,862	\$ 1,145,672,523
6889	DYNAM JAPAN	239,400	\$ 1,886,472
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI GX HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7500	FI2 CSOP HSI	13,519,300	\$ 102,611,487
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	263,595,183	\$ 173,972,821
8137	HONBRIDGE	19,618,000	\$ 6,866,300
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIAA-TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN ELE VEH-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9988	BABA-SW	67,598,868	\$ 12,958,702,996
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0