

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	7,800,482	\$ 577,625,692
2	CLP HOLDINGS	18,485,484	\$ 1,513,961,140
3	HK & CHINA GAS	272,097,646	\$ 4,157,652,031
4	WHARF HOLDINGS	36,715,982	\$ 785,722,015
5	HSBC HOLDINGS	82,414,454	\$ 4,932,505,072
6	POWER ASSETS	30,099,956	\$ 1,739,777,457
8	PCCW	65,865,149	\$ 312,200,806
10	HANG LUNG GROUP	2,558,544	\$ 52,450,152
11	HANG SENG BANK	22,026,168	\$ 3,634,317,720
12	HENDERSON LAND	23,483,286	\$ 894,713,197
14	HYSAN DEV	3,549,803	\$ 105,961,620
16	SHK PPT	12,373,741	\$ 1,472,475,179
17	NEW WORLD DEV	56,099,809	\$ 603,633,945
19	SWIRE PACIFIC A	16,320,484	\$ 1,184,867,138
20	WHEELLOCK	7,813,670	\$ 419,203,396
23	BANK OF E ASIA	41,710,143	\$ 732,430,111
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	64,899,972	\$ 3,984,858,281
28	TIAN AN	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,103,316	\$ 4,016,070
41	GREAT EAGLE H	326,147	\$ 8,545,051
43	C.P. POKPHAND	4,828,000	\$ 3,283,040
45	HK&S HOTELS	3,840,255	\$ 32,757,375
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,333,892	\$ 26,571,129
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	37,454,285	\$ 1,737,878,824
69	SHANGRI-LA ASIA	27,715,713	\$ 228,931,789
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	673,007	\$ 2,941,041
81	CH OVS G OCEANS	2,330,000	\$ 13,257,700
83	SINO LAND	30,560,980	\$ 347,783,952
86	SUN HUNG KAI CO	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	7,592,000	\$ 21,029,840
101	HANG LUNG PPT	56,299,090	\$ 1,046,037,092
102	SUMMIT ASCENT	1,826,364	\$ 1,935,946
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA PPT	0	\$ 0
107	SICHUAN EXPRESS	946,000	\$ 2,270,400
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	1,469,000	\$ 15,306,980
119	POLY PROPERTY	5,871,545	\$ 20,256,830
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	9,384,386	\$ 16,610,363
127	CHINESE EST H	0	\$ 0
135	KUNLUN ENERGY	20,927,099	\$ 147,536,048
136	HENGTEN NET	1,013,713,034	\$ 124,686,703
142	FIRST PACIFIC	17,919,126	\$ 46,768,919
144	CHINA MER PORT	27,867,419	\$ 380,111,595
148	KINGBOARD HLDG	9,209,890	\$ 227,944,778
151	WANT WANT CHINA	410,272,872	\$ 2,884,218,290
152	SHENZHEN INT'L	6,079,294	\$ 106,509,231
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	1,918,000	\$ 15,746,780
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	35,473,058	\$ 492,366,045
168	TSINGTAO BREW	15,288,432	\$ 764,421,600
171	SILVER GRANT	8,376,000	\$ 10,134,960
173	K. WAH INT'L	641,639	\$ 2,803,962
175	GEELY AUTO	251,474,603	\$ 4,023,593,648
177	JIANGSU EXPRESS	2,063,047	\$ 22,280,908
178	SA SA INT'L	128,329,070	\$ 216,876,128
179	JOHNSON ELEC H	866,691	\$ 15,115,091
182	CONCORD NE	9,080,000	\$ 3,359,600
189	DONGYUE GROUP	31,615,738	\$ 134,999,201
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	7,885,000	\$ 4,021,350
200	MELCO INT'L DEV	16,357,148	\$ 351,678,682
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
215	HUTCHTEL HK	31,429,998	\$ 47,459,297
220	U-PRESID CHINA	18,249,991	\$ 147,094,927
225	POKFULAM	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
230	MINMETALS LAND	1,206,000	\$ 1,591,920
241	ALI HEALTH	142,036,156	\$ 1,346,502,759
242	SHUN TAK HOLD	17,075,427	\$ 67,618,691
245	CN MINSHENG FIN	0	\$ 0
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0
256	CITYCHAMP	8,932,000	\$ 14,916,440
257	CHINA EB INT'L	101,545,474	\$ 606,226,480
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	36,826,770	\$ 367,899,432
268	KINGDEE INT'L	284,078,208	\$ 2,454,435,717
270	GUANGDONG INV	3,578,946	\$ 57,477,873
272	SHUI ON LAND	13,325,177	\$ 23,319,060
273	MASON GP HOLD	19,400,000	\$ 1,261,000
285	BYD ELECTRONIC	86,819,465	\$ 1,517,604,248
286	AIDIGONG	7,250,000	\$ 3,987,500
288	WH GROUP	156,241,889	\$ 1,363,991,691
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	26,577,256	\$ 1,129,533,380
293	CATHAY PAC AIR	57,119,305	\$ 639,736,216
297	SINOFERT	24,442,552	\$ 21,753,871
303	VTECH HOLDINGS	1,154,176	\$ 89,217,805
308	CHINA TRAVEL HK	24,808,764	\$ 33,988,007
315	SMARTONE TELE	2,462,533	\$ 14,627,446
316	OOIL	0	\$ 0
317	COMEC	4,385,740	\$ 26,753,014
321	TEXWINCA HOLD	4,402,000	\$ 8,495,860
322	TINGYI	54,398,643	\$ 758,317,083
323	MAANSHAN IRON	79,792,552	\$ 252,144,464
327	PAX GLOBAL	18,237,468	\$ 74,591,244
330	ESPRIT HOLDINGS	39,336,350	\$ 59,004,525
336	HUABAO INTL	2,948,185	\$ 8,490,773
337	GREENLAND HK	4,111,780	\$ 14,514,583
338	SHANGHAI PEICHEM	79,166,880	\$ 185,250,499
341	CAFE DE CORAL H	5,035,879	\$ 96,688,877
342	NEWOCEAN ENERGY	70,091,940	\$ 82,708,489
345	VITASOY INT'L	91,790,162	\$ 2,542,587,487
347	ANGANG STEEL	77,258,166	\$ 237,182,570
354	CHINASOFT INT'L	309,237,503	\$ 1,481,247,639
358	JIANGXI COPPER	21,462,677	\$ 233,084,672

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
363	SHANGHAI IND H	2,631,475	\$ 39,051,089
367	CHUANG'S INT'L	0	\$ 0
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	218,801,529	\$ 851,137,948
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	134,855,036	\$ 4,140,049,605
386	SINOPEC CORP	263,226,263	\$ 1,250,324,749
388	HKEX	18,599,864	\$ 5,114,962,600
390	CHINA RAILWAY	61,658,436	\$ 297,810,246
392	BEIJING ENT	1,197,500	\$ 42,930,375
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	19,832,269	\$ 58,505,194
412	CHINA SDHS FIN	38,622,000	\$ 8,883,060
425	MINTH GROUP	43,569,205	\$ 1,307,076,150
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	1,139,202	\$ 34,460,861
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	63,151,897	\$ 10,293,759
460	SIHUAN PHARM	87,299,192	\$ 80,315,257
467	UNITEDENERGY GP	7,966,000	\$ 10,913,420
468	GAPACK	275,839	\$ 965,437
480	HKR INT'L	0	\$ 0
486	RUSAL	28,137,000	\$ 109,452,930
488	LAI SUN DEV	144,900	\$ 1,495,368
489	DONGFENG GROUP	58,019,003	\$ 429,920,812
493	GOME RETAIL	1,789,597,912	\$ 1,306,406,476
494	LI & FUNG	313,273,380	\$ 266,282,373
496	KASEN	6,459,000	\$ 22,477,320
497	CSI PROPERTIES	3,000,000	\$ 885,000
506	CHINA FOODS	2,868,000	\$ 8,546,640
508	DINGYI GP INV	24,450,000	\$ 6,234,750
511	TVB	6,350,034	\$ 75,946,407
512	CHINAGRANDPHARM	9,968,000	\$ 46,650,240
517	COSCO SHIP INTL	1,164,439	\$ 2,433,678
520	XIABUXIABU	27,074,600	\$ 307,567,456
522	ASM PACIFIC	12,887,888	\$ 1,485,973,486
525	GUANGSHEN RAIL	10,805,342	\$ 27,121,408
526	CHINA AUTO NR	1,614,000	\$ 1,194,360
530	GOLDIN FIN HOLD	15,751,768	\$ 28,038,147

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
535	GEMDALE PPT	25,286,000	\$ 25,791,720
538	AJISEN (CHINA)	2,036,120	\$ 5,802,942
546	FUFENG GROUP	41,975,025	\$ 149,011,339
547	DIGITAL DOMAIN	248,289,457	\$ 18,621,709
548	SHENZHENEXPRESS	41,226,259	\$ 460,085,050
551	YUE YUEN IND	15,799,521	\$ 379,978,480
552	CHINACOMSERVICE	53,728,953	\$ 304,105,874
563	SH IND URBAN	2,226,000	\$ 2,226,000
570	TRAD CHI MED	45,900,707	\$ 173,963,680
576	ZHEJIANGEXPRESS	6,285,264	\$ 44,813,932
579	JNCEC	3,618,000	\$ 4,848,120
581	CHINA ORIENTAL	17,931,143	\$ 56,662,412
586	CONCH VENTURE	31,435,419	\$ 1,163,110,503
588	BEIJING N STAR	1,940,000	\$ 4,985,800
590	LUK FOOK HOLD	6,411,782	\$ 143,303,328
596	INSPUR INT'L	10,500,000	\$ 32,970,000
598	SINOTRANS	39,883,743	\$ 105,691,919
603	CHINA OIL & GAS	6,157,920	\$ 1,785,797
604	SHENZHEN INVEST	28,043,349	\$ 84,690,914
606	CHINA AGRI	11,581,103	\$ 48,061,577
607	FULLSHARE	459,672,394	\$ 79,063,652
622	OSHDORI	24,889,800	\$ 21,405,228
627	FULLSUN INT'L	4,230,000	\$ 723,330
631	SANY INT'L	6,235,591	\$ 29,244,922
636	KERRY LOG NET	2,612,500	\$ 33,649,000
639	SHOUGANG RES	11,322,000	\$ 19,020,960
656	FOSUN INTL	61,234,857	\$ 726,245,404
658	C TRANSMISSION	26,930,490	\$ 150,541,439
659	NWS HOLDINGS	17,681,876	\$ 201,573,386
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	146,249,450	\$ 371,473,603
667	CHINA EAST EDU	6,352,500	\$ 104,181,000
669	TECHTRONIC IND	23,751,587	\$ 1,504,663,036
670	CHINA EAST AIR	84,864,696	\$ 364,918,193
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	5,667,444	\$ 139,702,495
686	PANDA GREEN	49,790,300	\$ 12,049,253
687	TYSAN HOLDINGS	0	\$ 0
688	CHINA OVERSEAS	58,583,293	\$ 1,739,923,802
691	SHANSHUI CEMENT	1,676,700	\$ 4,979,799

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
694	BEIJING AIRPORT	35,337,667	\$ 248,070,422
696	TRAVELSKY TECH	21,452,691	\$ 401,594,376
697	SHOUGANG INT'L	68,207,143	\$ 24,895,607
698	TONGDA GROUP	115,832,526	\$ 107,724,249
699	CAR INC	45,287,716	\$ 236,401,878
700	TENCENT	55,335,247	\$ 22,056,629,454
708	EVERG HEALTH	5,115,000	\$ 37,697,550
709	GIORDANO INT'L	4,007,820	\$ 9,378,299
710	BOE VARITRONIX	936,812	\$ 2,454,447
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	14,086,836	\$ 12,396,416
728	CHINA TELECOM	66,161,119	\$ 204,437,858
732	TRULY INT'L	26,439,281	\$ 35,164,244
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	1,574,400	\$ 18,011,136
751	SKYWORTH GROUP	44,153,663	\$ 101,994,962
752	PICO FAR EAST	400,000	\$ 964,000
753	AIR CHINA	104,269,798	\$ 823,731,404
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	122,884,872	\$ 867,567,196
763	ZTE	50,301,365	\$ 1,358,136,855
772	CHINA LIT	41,520,034	\$ 1,696,093,389
777	NETDRAGON	1,671,073	\$ 32,585,924
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	19,470,323	\$ 262,849,361
788	CHINA TOWER	1,790,931,218	\$ 3,116,220,319
798	CEOVU	0	\$ 0
799	IGG	94,673,211	\$ 621,056,264
806	VALUE PARTNERS	44,931,781	\$ 225,557,541
807	SIICENVIRONMENT	2,051,600	\$ 3,241,528
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	346,000	\$ 2,048,320
813	SHIMAO PROPERTY	26,300,465	\$ 792,959,020
816	HUADIAN FUXIN	3,576,110	\$ 5,614,493
817	CHINA JINMAO	164,713,631	\$ 1,003,106,013
818	HI SUN TECH	1,416,000	\$ 1,826,640
819	TIANNENG POWER	117,565,780	\$ 693,638,102
823	LINK REIT	12,447,788	\$ 1,016,984,280
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	680,000	\$ 3,196,000
836	CHINA RES POWER	13,192,283	\$ 144,851,267

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
839	CHINA EDU GROUP	47,480,511	\$ 510,890,298
853	MICROPORT	57,092,741	\$ 523,540,435
855	CHINA WATER	73,276,597	\$ 439,659,582
856	VSTECs	5,231,852	\$ 20,404,223
857	PETROCHINA	210,544,542	\$ 844,283,613
860	WE SOLUTIONS	26,865,535	\$ 12,626,801
861	DC HOLDINGS	8,517,000	\$ 35,941,740
867	CMS	20,762,213	\$ 213,435,550
868	XINYI GLASS	82,153,457	\$ 875,755,852
874	BAIYUNSHAN PH	4,717,303	\$ 122,885,743
877	O-NET TECH GP	4,626,248	\$ 21,512,053
880	SJM HOLDINGS	90,912,831	\$ 909,128,310
881	ZHONGSHENG HLDG	179,310,385	\$ 5,639,311,608
882	TIANJIN DEV	842,000	\$ 1,911,340
883	CNOOC	145,884,236	\$ 1,975,272,555
884	CIFI HOLD GP	107,126,657	\$ 674,897,939
895	DONGJIANG ENV	785,250	\$ 4,766,468
902	HUANENG POWER	106,168,188	\$ 414,055,933
906	COFCO PACKAGING	21,256,024	\$ 73,120,723
914	CONCH CEMENT	47,441,116	\$ 2,713,631,835
916	CHINA LONGYUAN	43,519,023	\$ 219,771,066
921	HISENSE HA	3,058,000	\$ 29,020,420
931	CHINA LNG	8,203,971	\$ 4,101,986
934	SINOPEC KANTONS	10,707,500	\$ 36,940,875
939	CCB	352,225,922	\$ 2,366,958,196
941	CHINA MOBILE	48,339,449	\$ 3,103,392,626
950	LEE'S PHARM	2,175,820	\$ 9,943,497
951	CHAOWEI POWER	1,522,867	\$ 4,233,570
956	CHINA SUNTIEN	1,944,000	\$ 4,315,680
958	HN RENEWABLES	42,480,342	\$ 128,715,436
960	LONGFOR GROUP	46,100,500	\$ 1,703,413,475
966	CHINA TAIPING	39,947,352	\$ 793,354,411
968	XINYI SOLAR	90,803,712	\$ 525,753,492
973	L'OCCITANE	1,105,928	\$ 20,990,513
978	CHI MER LAND	0	\$ 0
981	SMIC	399,462,397	\$ 5,177,032,665
991	DATANG POWER	27,018,564	\$ 39,987,475
992	LENOVO GROUP	468,144,159	\$ 2,630,970,174
995	ANHUIEXPRESSWAY	382,000	\$ 1,852,700
998	CITIC BANK	33,790,068	\$ 155,434,313
999	I.T	706,000	\$ 1,369,640

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1003	HUANXI MEDIA	1,370,000	\$ 2,027,600
1030	SEAZEN	261,932,185	\$ 2,519,787,620
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	7,898,000	\$ 7,503,100
1038	CKI HOLDINGS	6,048,488	\$ 341,134,723
1044	HENGAN INT'L	39,471,494	\$ 2,352,501,042
1052	YUEXIUTRANSPORT	542,000	\$ 3,712,700
1055	CHINA SOUTH AIR	181,674,677	\$ 981,043,256
1060	ALI PICTURES	617,835,682	\$ 871,148,312
1061	ESSEX BIO-TECH	223,000	\$ 1,393,750
1066	WEIGAO GROUP	52,489,289	\$ 474,503,173
1068	YURUN FOOD	17,322,000	\$ 13,857,600
1070	TCL ELECTRONICS	1,025,953	\$ 3,908,881
1071	HUADIAN POWER	30,627,342	\$ 86,981,651
1072	DONGFANG ELEC	3,506,663	\$ 16,200,783
1076	IMPERIAL PAC	179,210,000	\$ 17,921,000
1083	TOWNGAS CHINA	5,714,683	\$ 31,030,729
1086	GOODBABY INTL	18,580,720	\$ 30,286,574
1088	CHINA SHENHUA	44,173,351	\$ 711,190,951
1089	LEYOU TECH H	28,317,465	\$ 76,173,981
1093	CSPC PHARMA	96,994,508	\$ 1,743,961,254
1098	ROAD KING INFRA	255,000	\$ 3,830,100
1099	SINOPHARM	24,650,178	\$ 691,437,493
1109	CHINA RES LAND	38,740,026	\$ 1,439,191,966
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	13,278,179	\$ 491,292,623
1113	CK ASSET	4,994,218	\$ 278,927,075
1114	BRILLIANCE CHI	257,821,001	\$ 2,018,738,438
1115	TIBET WATER	12,175,000	\$ 6,087,500
1117	CH MODERN D	1,655,802	\$ 1,887,614
1119	IDREAMSKY	0	\$ 0
1122	QINGLING MOTORS	578,000	\$ 1,086,640
1128	WYNN MACAU	98,274,970	\$ 1,970,413,149
1131	AGRITRADE RES	69,620,000	\$ 36,898,600
1133	HARBIN ELECTRIC	12,356,175	\$ 30,025,505
1137	HKTU	18,196,581	\$ 67,509,316
1138	COSCO SHIP ENGY	50,921,773	\$ 190,447,431
1140	OP FINANCIAL	2,212,000	\$ 3,185,280
1141	CMBC CAPITAL	236,729,075	\$ 31,011,509
1157	ZOOMLION	167,032,276	\$ 1,115,775,604
1169	HAIER ELEC	18,603,728	\$ 459,512,082

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1171	YANZHOU COAL	119,435,733	\$ 824,106,558
1176	ZHUGUANG HOLD	4,234,000	\$ 4,699,740
1177	SINO BIOPHARM	342,256,599	\$ 3,757,977,457
1186	CHINA RAIL CONS	56,395,896	\$ 499,103,680
1193	CHINA RES GAS	34,989,968	\$ 1,523,813,106
1196	REALORD GROUP	2,585,000	\$ 11,632,500
1199	COSCO SHIP PORT	17,785,874	\$ 112,051,006
1205	CITIC RESOURCES	0	\$ 0
1208	MMG	156,937,265	\$ 326,429,511
1211	BYD COMPANY	152,241,760	\$ 5,899,368,200
1212	LIFESTYLE INT'L	5,719,385	\$ 50,902,527
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	930,000	\$ 855,600
1224	C C LAND	0	\$ 0
1233	TIMES CHINA	6,779,328	\$ 103,045,786
1234	CHINA LILANG	1,888,035	\$ 13,102,963
1238	POWERLONG	10,290,200	\$ 52,788,726
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	25,425,731	\$ 1,550,970
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	8,708,000	\$ 37,009,000
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	6,976,000	\$ 71,992,320
1270	LANGHAM-SS	2,892,936	\$ 6,740,541
1282	GLORY SUN FIN	42,016,000	\$ 14,495,520
1286	IMPRO PRECISION	992,000	\$ 3,481,920
1288	ABC	114,212,561	\$ 384,896,331
1293	GRAND BAOXIN	16,804,226	\$ 24,534,170
1299	AIA	85,886,980	\$ 7,386,280,280
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	17,274,000	\$ 26,256,480
1308	SITC	4,702,294	\$ 44,154,541
1310	HKBN	16,670,343	\$ 222,715,782
1313	CHINARES CEMENT	67,099,246	\$ 683,070,324
1316	NEXTEER	44,273,498	\$ 289,548,677
1317	MAPLELEAF EDU	82,247,066	\$ 240,161,433
1330	DYNAGREEN ENV	2,450,510	\$ 8,282,724
1333	CHINA ZHONGWANG	66,128,831	\$ 201,692,935
1336	NCI	32,171,363	\$ 1,111,520,592
1337	RAZER	137,125,644	\$ 181,005,850

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1339	PICC GROUP	109,885,601	\$ 358,227,059
1347	HUA HONG SEMI	73,017,185	\$ 1,359,579,985
1349	FUDANZHANGJIANG	223,000	\$ 1,039,180
1359	CHINA CINDA	162,223,733	\$ 300,113,906
1360	NOVA GROUP	30,245,000	\$ 49,904,250
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	48,902,770	\$ 211,259,966
1375	CC SECURITIES	9,541,000	\$ 17,460,030
1378	CHINAHONGQIAO	51,376,746	\$ 241,470,706
1381	CANVEST ENV	11,677,861	\$ 44,375,872
1382	PACIFICTEXTILES	9,176,618	\$ 47,810,180
1383	SUNCITY GROUP	21,700,000	\$ 39,494,000
1385	SHANGHAI FUDAN	8,444,968	\$ 54,470,044
1387	CHINA DILI	26,373,638	\$ 61,186,840
1398	ICBC	438,720,873	\$ 2,601,614,777
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	20,812,694	\$ 5,203,174
1448	FU SHOU YUAN	5,308,260	\$ 36,414,664
1458	ZHOU HEI YA	20,847,000	\$ 113,199,210
1475	NISSIN FOODS	11,094,000	\$ 65,232,720
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	24,486,929	\$ 329,104,326
1508	CHINA RE	19,173,678	\$ 25,309,255
1513	LIVZON PHARMA	2,585,890	\$ 73,568,571
1515	CR MEDICAL	10,177,761	\$ 46,308,813
1521	FRONTAGE	3,940,000	\$ 17,139,000
1528	RS MACALLINE	2,034,600	\$ 13,469,052
1530	3SBIO	138,133,537	\$ 1,558,146,297
1548	GENSCRIPT BIO	71,656,631	\$ 1,251,124,777
1551	GRCB	0	\$ 0
1558	HEC PHARM	4,826,517	\$ 197,645,871
1565	VIRSCEND EDU	8,527,000	\$ 16,542,380
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1573	SOUTHERN ENERGY	4,524,000	\$ 4,207,320
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	22,041,495	\$ 1,129,626,619
1585	YADEA	14,986,000	\$ 36,415,980
1589	CNLP	3,852,451	\$ 11,595,878
1600	TIAN LUN GAS	5,273,458	\$ 35,384,903
1606	CDB LEASING	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	72,447,426	\$ 165,180,131
1617	NANFANG COMM	1,904,000	\$ 7,844,480
1618	MCC	6,861,590	\$ 11,870,551
1622	REDCO GROUP	9,456,000	\$ 34,703,520
1628	YUZHOU PPT	35,710,146	\$ 150,339,715
1636	CMRU	11,066,000	\$ 36,960,440
1638	KAISA GROUP	64,018,010	\$ 258,632,760
1658	PSBC	352,976,365	\$ 1,877,834,262
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	4,476,813	\$ 34,650,533
1668	CHINASOUTHCITY	23,416,000	\$ 23,181,840
1672	ASCLETIS-B	11,984,000	\$ 35,113,120
1675	ASIAINFO TECH	0	\$ 0
1680	MACAU LEGEND	9,673,033	\$ 10,543,606
1681	CONSUN PHARMA	2,687,000	\$ 10,156,860
1686	SUNEVISION	1,907,972	\$ 10,112,252
1708	SAMPLE TECH	0	\$ 0
1717	AUSNUTRIA	17,197,991	\$ 199,152,736
1727	HEBEI CONS	2,931,500	\$ 14,422,980
1728	ZHENGTONGAUTO	119,284,533	\$ 302,982,714
1755	S-ENJOY SERVICE	4,175,000	\$ 53,690,500
1761	BABYTREE GROUP	0	\$ 0
1765	HOPE EDU	9,210,000	\$ 15,104,400
1766	CRRC	102,022,381	\$ 595,810,705
1772	GANFENGLITHIUM	4,000,100	\$ 84,202,105
1773	TIANLI EDU	15,545,000	\$ 53,319,350
1776	GF SEC	46,857,499	\$ 475,135,040
1777	FANTASIA	25,455,000	\$ 37,418,850
1778	COLOUR LIFE	21,945,004	\$ 95,021,867
1787	SD GOLD	2,465,148	\$ 45,753,147
1788	GUOTAI JUNAN I	166,176,800	\$ 244,279,896
1789	AK MEDICAL	8,641,862	\$ 89,875,365
1797	KOOLEARN	38,852,273	\$ 773,160,233
1798	DATANG RENEW	5,244,000	\$ 4,090,320
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	92,528,217	\$ 577,376,074
1801	INNOVENT BIO-B	23,970,941	\$ 674,781,989
1806	HUIFU PAYMENT	3,294,916	\$ 8,270,239
1810	XIAOMI-W	1,000,254,500	\$ 11,422,906,390
1811	CGN NEW ENERGY	2,724,000	\$ 2,860,200

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1813	KWG GROUP	69,953,437	\$ 768,088,738
1816	CGN POWER	254,733,716	\$ 529,846,129
1818	ZHAOJIN MINING	91,439,083	\$ 800,091,976
1829	CMEC	3,893,706	\$ 12,265,174
1833	PA GOODDOCTOR	36,986,458	\$ 2,158,159,824
1836	STELLA HOLDINGS	1,544,440	\$ 19,058,390
1837	NATURAL FOOD IH	832,000	\$ 1,189,760
1848	CALC	1,944,500	\$ 16,003,235
1857	CEB WATER	0	\$ 0
1860	MOBVISTA	708,601	\$ 2,267,523
1862	JINGRUI HLDGS	1,095,000	\$ 2,737,500
1873	VIVA BIOTECH	7,783,500	\$ 34,325,235
1876	BUD APAC	112,741,284	\$ 2,880,539,806
1877	JUNSHI BIO-B	2,095,200	\$ 53,637,120
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	10,864,502	\$ 223,265,516
1883	CITIC TELECOM	11,601,328	\$ 32,599,732
1888	KB LAMINATES	26,306,593	\$ 253,069,425
1890	CHINA KEPEI	0	\$ 0
1896	MAOYAN ENT	2,971,000	\$ 43,673,700
1898	CHINA COAL	15,971,342	\$ 48,872,307
1899	XINGDA INT'L	964,746	\$ 2,074,204
1907	CHINA RISUN GP	0	\$ 0
1908	C&D INTL GROUP	1,344,000	\$ 14,757,120
1910	SAMSONITE	59,242,799	\$ 1,123,243,469
1911	CR HOLDINGS	394,672	\$ 5,920,080
1913	PRADA	25,999,642	\$ 740,989,797
1916	JIANGXI BANK	293,500	\$ 1,212,155
1918	SUNAC	115,628,036	\$ 5,157,010,406
1919	COSCO SHIP HOLD	66,009,825	\$ 215,192,030
1928	SANDS CHINA LTD	63,428,891	\$ 2,749,642,425
1929	CHOW TAI FOOK	12,832,851	\$ 102,662,808
1951	JXR	30,133,600	\$ 339,304,336
1958	BAIC MOTOR	38,486,760	\$ 168,187,141
1963	BCQ	969,309	\$ 4,555,752
1966	CHINA SCE GROUP	10,678,473	\$ 48,907,406
1970	IMAX CHINA	1,320,400	\$ 22,552,432
1972	SWIREPROPERTIES	32,856,430	\$ 846,053,073
1988	MINSHENG BANK	444,962,988	\$ 2,651,979,408
1992	FOSUN TOURISM	408,200	\$ 4,661,644
1995	EVERSUNSHINE LS	22,966,000	\$ 147,901,040

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1996	RSUN PPT	903,000	\$ 2,266,530
1997	WHARF REIC	46,640,359	\$ 2,161,780,640
1999	MAN WAH HLDGS	25,085,709	\$ 150,765,111
2001	NEW HIGHER EDU	22,944,000	\$ 66,537,600
2003	VCREDIT	139,000	\$ 943,810
2005	SSY GROUP	55,076,678	\$ 356,346,107
2006	JINJIANGCAPITAL	10,892,000	\$ 16,991,520
2007	COUNTRY GARDEN	464,027,766	\$ 5,744,663,743
2009	BBMG	108,746,428	\$ 267,516,213
2013	WEIMOB INC	53,373,308	\$ 206,020,969
2016	CZBANK	0	\$ 0
2018	AAC TECH	89,571,819	\$ 5,961,004,554
2019	DEXIN CHINA	1,548,000	\$ 4,829,760
2020	ANTA SPORTS	6,770,177	\$ 521,980,647
2038	FIH	217,984,180	\$ 318,256,903
2039	CIMC	10,962,309	\$ 84,629,025
2048	E-HOUSE ENT	4,124,886	\$ 29,204,193
2051	51 CREDIT CARD	7,394,000	\$ 11,386,760
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 7,942,000
2099	CHINAGOLDINTL	140,200	\$ 954,762
2128	CHINA LESSO	35,043,451	\$ 373,563,188
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	231,607,334	\$ 1,368,799,344
2196	FOSUN PHARMA	46,266,803	\$ 1,091,896,551
2199	REGINA MIRACLE	1,471,689	\$ 6,975,806
2202	CHINA VANKE	48,879,828	\$ 1,588,594,410
2208	GOLDWIND	37,802,366	\$ 345,891,649
2222	NVC LIGHTING	29,567,000	\$ 7,687,420
2232	CRYSTAL INTL	3,956,557	\$ 12,898,376
2233	WESTCHINACEMENT	8,149,000	\$ 11,001,150
2238	GAC GROUP	459,402,726	\$ 4,548,086,987
2255	HAICHANG HLDG	6,940,000	\$ 6,801,200
2269	WUXI BIO	13,901,798	\$ 1,356,815,485
2280	HC GROUP	33,522,194	\$ 77,436,268
2282	MGM CHINA	77,841,945	\$ 1,053,979,935
2298	COSMO LADY	3,821,000	\$ 4,241,310
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	836,000	\$ 2,491,280
2313	SHENZHOU INTL	14,486,474	\$ 1,636,971,562
2314	LEE & MAN PAPER	40,160,047	\$ 244,574,686

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2318	PING AN	1,097,556,106	\$ 102,237,351,274
2319	MENGNU DAIRY	78,944,673	\$ 2,557,807,405
2322	HK CHAOSHANG GP	0	\$ 0
2328	PICC P&C	85,005,549	\$ 798,202,105
2329	GUORUI PPT	5,508,296	\$ 8,758,191
2331	LI NING	25,910,896	\$ 694,412,013
2333	GREATWALL MOTOR	493,864,060	\$ 2,889,104,751
2338	WEICHAJ POWER	112,584,770	\$ 1,792,349,538
2342	COMBA	65,954,447	\$ 150,376,139
2343	PACIFIC BASIN	273,831,153	\$ 429,914,910
2356	DAHSING BANKING	861,082	\$ 9,196,356
2357	AVICHINA	63,318,765	\$ 234,912,618
2359	WUXI APPTec	8,397,991	\$ 812,085,730
2362	JINCHUAN INTL	33,625,000	\$ 21,520,000
2378	PRU	0	\$ 0
2380	CHINA POWER	42,504,634	\$ 70,557,692
2382	SUNNY OPTICAL	30,900,475	\$ 4,387,867,450
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	9,967,148	\$ 47,642,967
2388	BOC HONG KONG	41,903,262	\$ 1,167,005,847
2389	BJ ENT M&H	4,494,580	\$ 692,165
2399	FORDOO	0	\$ 0
2552	HUA MEDICINE-B	216,500	\$ 1,019,715
2588	BOC AVIATION	10,462,556	\$ 777,891,039
2600	CHALCO	119,449,710	\$ 309,374,749
2601	CPIC	129,276,525	\$ 4,065,746,711
2607	SH PHARMA	13,367,962	\$ 201,321,508
2608	SUNSHINE 100	601,000	\$ 865,440
2611	GTJA	7,830,239	\$ 111,189,394
2616	CSTONE PHARMA-B	5,669,500	\$ 56,581,610
2628	CHINA LIFE	57,614,693	\$ 1,296,330,593
2638	HKELECTRIC-SS	98,737,638	\$ 781,014,717
2666	UNI MEDICAL	32,833,531	\$ 191,091,150
2669	CHINA OVS PPT	44,112,005	\$ 228,500,186
2678	TEXHONG TEXTILE	1,680,154	\$ 15,491,020
2688	ENN ENERGY	13,141,735	\$ 1,172,899,849
2689	ND PAPER	150,356,208	\$ 1,198,338,978
2727	SH ELECTRIC	31,757,274	\$ 84,156,776
2768	JIAYUAN INTL	18,603,490	\$ 55,996,505
2777	R&F PROPERTIES	41,383,393	\$ 585,161,177
2778	CHAMPION REIT	6,652,477	\$ 33,328,910

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2799	CHINA HUARONG	197,549,372	\$ 252,863,196
2800	TRACKER FUND	23,753,062	\$ 684,088,186
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	40,888,214	\$ 632,131,788
2823	ISHARES A50	88,600,364	\$ 1,380,393,671
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	5,373,250	\$ 613,625,150
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	40,500	\$ 45,967,500
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	26,184,059	\$ 45,822,103
2866	COSCO SHIP DEV	34,593,590	\$ 32,172,039
2868	BJ CAPITAL LAND	61,430,000	\$ 140,674,700
2869	GREENTOWN SER	44,336,748	\$ 389,276,647
2877	SHINEWAY PHARM	4,493,357	\$ 33,205,908
2880	DALIAN PORT	2,232,000	\$ 2,165,040
2883	CHINA OILFIELD	23,679,331	\$ 299,780,330
2888	STANCHART	4,580,471	\$ 329,793,912
2899	ZIJIN MINING	107,988,015	\$ 426,552,659
3007	TRFXIC50	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHAREMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3101	VANGUARDDDVEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3155	ISHARESES50	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PP CN NEWECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	41,170,192	\$ 1,961,759,649
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0
3301	RONSHINECHINA	4,045,000	\$ 41,259,000
3306	JNBY	2,734,000	\$ 28,105,520
3308	GOLDEN EAGLE	0	\$ 0
3309	C-MER EYE	5,874,000	\$ 28,782,600

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3311	CHINA STATE CON	73,941,891	\$ 535,339,291
3313	ARTGO HOLDINGS	17,844,900	\$ 4,104,327
3319	A-LIVING	9,309,702	\$ 287,669,792
3320	CHINARES PHARMA	13,035,195	\$ 96,330,091
3323	CNBM	53,701,140	\$ 480,625,203
3328	BANKCOMM	57,889,002	\$ 317,231,731
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	233,237,084	\$ 5,014,597,306
3336	JU TENG INTL	2,800,000	\$ 5,768,000
3337	ANTON OILFIELD	78,204,913	\$ 64,910,078
3339	LONKING	57,846,891	\$ 135,361,725
3360	FE HORIZON	14,659,605	\$ 110,826,614
3377	SINO-OCEAN GP	76,143,436	\$ 236,044,652
3380	LOGAN PPT	27,202,595	\$ 344,928,905
3382	TIANJINPORT DEV	3,740,400	\$ 2,954,916
3383	AGILE GROUP	62,381,912	\$ 749,830,582
3393	WASION HOLDINGS	2,448,000	\$ 9,277,920
3396	LEGENDHOLDING	7,661,822	\$ 129,484,792
3606	FUYAO GLASS	13,203,158	\$ 337,340,687
3613	TONGRENTANGCM	7,155,980	\$ 91,882,783
3618	CQRC BANK	37,930,788	\$ 152,102,460
3633	ZHONGYU GAS	5,986,298	\$ 42,442,853
3662	AOYUAN HEALTHY	2,278,849	\$ 14,379,537
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	7,185,230	\$ 48,859,564
3690	MEITUAN-W	42,745,725	\$ 4,684,931,460
3692	HANSOH PHARMA	17,996,254	\$ 482,299,607
3709	EEKA FASHION	0	\$ 0
3799	DALI FOODS	55,750,536	\$ 314,990,528
3800	GCL-POLY ENERGY	1,127,488,444	\$ 394,620,955
3808	SINOTRUK	75,894,958	\$ 1,250,748,908
3813	POU SHENG INT'L	4,024,967	\$ 10,384,415
3818	CHINA DONGXIANG	14,293,902	\$ 12,292,756
3836	HARMONY AUTO	11,863,729	\$ 48,166,740
3866	BQD	0	\$ 0
3868	XINYI ENERGY	2,160,000	\$ 4,579,200
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	35,223,462	\$ 448,746,906
3888	KINGSOFT	141,843,723	\$ 2,914,888,508
3898	CRRC TIMES ELEC	10,153,880	\$ 291,924,050
3899	CIMC ENRIC	7,540,500	\$ 35,440,350

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3900	GREENTOWN CHINA	37,718,580	\$ 415,658,752
3908	CICC	139,764,023	\$ 2,213,862,124
3918	NAGACORP	3,152,000	\$ 40,976,000
3933	UNITED LAB	110,189,217	\$ 598,327,448
3958	DFZQ	6,703,200	\$ 33,516,000
3968	CM BANK	85,849,143	\$ 3,464,012,920
3969	CHINA CRSC	41,393,490	\$ 183,373,161
3983	CHINA BLUECHEM	12,104,274	\$ 22,513,950
3988	BANK OF CHINA	106,029,497	\$ 347,776,750
3990	MIDEA REAL EST	958,960	\$ 23,302,728
3993	CMOC	377,113,060	\$ 1,285,955,535
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	394,956,925	\$ 1,180,921,206
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	227,436,188	\$ 4,116,595,003
6055	CTIHK	7,536,000	\$ 143,033,280
6060	ZA ONLINE	56,623,351	\$ 1,653,401,849
6066	CSC	10,978,000	\$ 77,834,020
6068	WISDOM EDU INTL	4,562,000	\$ 16,240,720
6069	SHENGYE CAPITAL	2,138,000	\$ 15,308,080
6088	FIT HON TENG	13,894,000	\$ 36,541,220
6098	CG SERVICES	66,413,606	\$ 1,879,505,050
6099	CMSC	685,400	\$ 7,155,576
6100	TALENT LIEPIN	1,606,600	\$ 22,910,116
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	17,181,674	\$ 92,437,406
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	0	\$ 0
6169	YUHUA EDU	159,662,719	\$ 937,220,161
6178	EB SECURITIES	2,122,400	\$ 13,689,480
6185	CANSINO BIO-B	810,400	\$ 47,003,200
6196	BANK OF ZHENGZHOU	350,000	\$ 987,000
6198	QINGDAO PORT	4,602,764	\$ 26,097,672
6806	SWHY	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6808	SUNART RETAIL	52,946,635	\$ 508,287,696
6818	CEB BANK	28,137,440	\$ 103,827,154
6823	HKT-SS	25,352,689	\$ 292,570,031
6826	HAOHAI BIOTEC	444,100	\$ 20,406,395
6837	HAITONG SEC	75,613,748	\$ 697,914,894
6862	HAIDILAO	49,485,420	\$ 1,746,835,326
6865	FLAT GLASS	4,391,000	\$ 26,302,090
6868	TENFU	0	\$ 0
6869	YOFC	26,262,984	\$ 412,328,849
6881	CGS	140,162,466	\$ 658,763,590
6886	HTSC	96,875,915	\$ 1,323,324,999
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI GX HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7500	FI2 CSOP HSI	21,895,300	\$ 132,685,518
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	274,288,000	\$ 137,144,000
8137	HONBRIDGE	17,490,624	\$ 8,045,687
8227	HAITIAN ANTENNA	0	\$ 0
8283	ZHENG LI HLDG	1,900,000	\$ 836,000

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIAEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP CTPBOND-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
9988	BABA-SW	34,096,194	\$ 7,432,970,292
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	473,800	\$ 7,244,402
82823	ISHARES A50-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/01/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0

