

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,475,361	\$ 945,296,574
2	CLP HOLDINGS	14,368,353	\$ 1,162,399,758
3	HK & CHINA GAS	218,103,690	\$ 3,350,072,678
4	WHARF HOLDINGS	34,031,507	\$ 593,509,482
5	HSBC HOLDINGS	109,390,152	\$ 6,448,549,460
6	POWER ASSETS	35,262,473	\$ 1,904,173,542
7	HK FINANCE INV	9,185,199	\$ 7,991,123
8	PCCW	87,809,554	\$ 394,264,897
10	HANG LUNG GROUP	3,643,922	\$ 68,797,247
11	HANG SENG BANK	17,374,664	\$ 2,840,757,564
12	HENDERSON LAND	23,258,633	\$ 868,709,943
14	HYSAN DEV	14,157,352	\$ 423,304,825
16	SHK PPT	12,522,707	\$ 1,405,047,725
17	NEW WORLD DEV	72,101,064	\$ 746,967,023
19	SWIRE PACIFIC A	15,124,489	\$ 1,088,963,208
20	WHEELLOCK	5,821,723	\$ 270,127,947
23	BANK OF E ASIA	33,867,283	\$ 619,093,933
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	65,824,295	\$ 3,235,264,099
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	2,151,507	\$ 6,755,732
41	GREAT EAGLE H	160,768	\$ 4,188,006
43	C.P. POKPHAND	6,414,000	\$ 4,233,240
45	HK&S HOTELS	3,412,170	\$ 25,079,450
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	514,892	\$ 10,478,052
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	41,041,519	\$ 1,799,670,608
69	SHANGRI-LA ASIA	79,802,967	\$ 612,886,787
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	5,710,979	\$ 21,244,842

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83	SINO LAND	39,956,708	\$ 477,882,228
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	11,550,000	\$ 31,878,000
101	HANG LUNG PPT	66,961,990	\$ 1,207,994,300
102	SUMMIT ASCENT	2,684,364	\$ 3,221,237
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	946,000	\$ 2,223,100
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	1,305,507	\$ 11,318,746
119	POLY PROPERTY	3,913,259	\$ 10,839,727
120	COSMOPOL INT'L	1,104,000	\$ 1,291,680
123	YUEXIU PROPERTY	33,556,538	\$ 58,723,942
127	CHINESE EST H	1,417,187	\$ 7,539,435
133	CHINA MERCHANTS	0	\$ 0
135	KUNLUN ENERGY	21,650,582	\$ 141,378,300
136	HENG TEN NET	2,440,473,146	\$ 290,416,304
142	FIRST PACIFIC	23,380,673	\$ 65,232,078
144	CHINA MER PORT	25,315,673	\$ 309,863,838
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	11,008,847	\$ 225,130,921
151	WANT WANT CHINA	436,935,080	\$ 2,739,582,952
152	SHENZHEN INT'L	7,771,272	\$ 117,967,909
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	2,166,000	\$ 17,349,660
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	8,681,882	\$ 86,992,458
168	TSINGTAO BREW	23,225,440	\$ 1,086,950,592
171	SILVER GRANT	12,192,000	\$ 15,849,600
173	K. WAH INT'L	1,853,826	\$ 7,582,148
175	GEELY AUTO	383,938,499	\$ 5,329,066,366
177	JIANGSU EXPRESS	5,324,530	\$ 54,203,715
178	SA SA INT'L	125,678,978	\$ 217,424,632
179	JOHNSON ELEC H	3,246,317	\$ 45,837,996
182	CONCORD NE	11,870,494	\$ 4,688,845
189	DONGYUE GROUP	27,649,474	\$ 108,385,938
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	9,756,000	\$ 4,975,560
200	MELCO INT'L DEV	23,848,184	\$ 445,007,113

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
215	HUTCHTEL HK	42,631,840	\$ 57,979,302
220	U-PRESID CHINA	16,851,417	\$ 142,562,988
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,276,000	\$ 1,378,080
235	CHINA STRATEGIC	28,960,000	\$ 984,640
241	ALI HEALTH	180,122,300	\$ 1,277,067,107
242	SHUN TAK HOLD	14,602,796	\$ 45,998,807
245	CN MINSHENG FIN	0	\$ 0
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0
256	CITYCHAMP	11,149,092	\$ 19,287,929
257	CHINA EB INT'L	114,706,560	\$ 704,298,278
258	TOMSON GROUP	958,342	\$ 1,782,516
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	42,618,303	\$ 434,706,691
268	KINGDEE INT'L	350,278,665	\$ 2,963,357,506
270	GUANGDONG INV	11,835,128	\$ 195,516,315
272	SHUI ON LAND	20,646,304	\$ 32,827,623
273	MASON GP HOLD	37,838,000	\$ 3,783,800
285	BYD ELECTRONIC	119,047,076	\$ 1,366,660,432
288	WH GROUP	170,131,304	\$ 1,258,971,650
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	23,358,618	\$ 992,741,265
293	CATHAY PAC AIR	52,156,304	\$ 510,088,653
297	SINOFERT	35,061,113	\$ 29,451,335
303	VTECH HOLDINGS	1,965,075	\$ 131,070,503
308	CHINA TRAVEL HK	33,770,794	\$ 40,862,661
315	SMARTONE TELE	2,641,379	\$ 17,776,481
316	OOIL	0	\$ 0
317	COMEC	5,007,223	\$ 29,041,893
321	TEXWINCA HOLD	5,828,000	\$ 9,849,320
322	TINGYI	76,522,566	\$ 814,200,102
323	MAANSHAN IRON	369,329,194	\$ 1,085,827,830
326	CHINA STAR ENT	4,780,000	\$ 4,206,400
327	PAX GLOBAL	21,344,529	\$ 79,188,203
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	50,634,968	\$ 76,965,151

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
336	HUABAO INTL	9,249,292	\$ 26,175,496
337	GREENLAND HK	6,534,180	\$ 18,295,704
338	SHANGHAI PECEM	86,495,102	\$ 198,073,784
341	CAFE DE CORAL H	1,578,147	\$ 34,403,605
342	NEWOCEAN ENERGY	65,280,856	\$ 92,698,816
345	VITASOY INT'L	57,458,073	\$ 1,904,735,120
347	ANGANG STEEL	182,615,580	\$ 513,149,780
354	CHINASOFT INT'L	279,478,413	\$ 983,764,014
358	JIANGXI COPPER	15,437,983	\$ 136,780,529
363	SHANGHAI IND H	2,146,288	\$ 31,765,062
367	CHUANG'S INT'L	0	\$ 0
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	170,924,536	\$ 699,081,352
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	106,108,344	\$ 3,353,023,670
386	SINOPEC CORP	256,571,212	\$ 1,203,318,984
388	HKEX	13,430,552	\$ 3,185,726,934
390	CHINA RAILWAY	47,704,898	\$ 229,460,559
392	BEIJING ENT	2,366,397	\$ 85,426,932
400	COGOBUY	16,942,764	\$ 23,211,587
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	22,497,803	\$ 51,744,947
412	CHINA SDHS FIN	45,876,000	\$ 11,147,868
419	HUAYI TENCENT	40,200,000	\$ 4,060,200
425	MINTH GROUP	49,301,730	\$ 1,281,844,980
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	31,691,178	\$ 11,250,368
440	DAH SING	331,565	\$ 9,731,433
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	56,051,886	\$ 15,414,269
460	SIHUAN PHARM	122,944,963	\$ 138,927,808
467	UNITEDENERGY GP	13,468,000	\$ 20,875,400
468	GAPACK	343,000	\$ 1,372,000
480	HKR INT'L	0	\$ 0
486	RUSAL	27,348,000	\$ 90,795,360
488	LAI SUN DEV	354,300	\$ 3,043,437
489	DONGFENG GROUP	84,502,958	\$ 635,462,244
493	GOME RETAIL	1,835,733,016	\$ 1,303,370,441
494	LI & FUNG	391,491,110	\$ 340,597,266

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
496	KASEN	16,819,807	\$ 94,022,721
497	CSI PROPERTIES	3,000,000	\$ 765,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	4,126,396	\$ 13,823,427
508	DINGYI GP INV	53,865,000	\$ 12,981,465
511	TVB	8,435,085	\$ 105,269,861
512	CHINAGRANDPHARM	11,176,000	\$ 48,727,360
517	COSCO SHIP INTL	1,000,439	\$ 1,980,869
520	XIABUXIABU	25,768,102	\$ 267,988,261
522	ASM PACIFIC	13,945,973	\$ 1,440,619,011
525	GUANGSHEN RAIL	8,227,148	\$ 20,238,784
526	CHINA AUTO NR	0	\$ 0
530	GOLDIN FIN HOLD	11,160,000	\$ 34,372,800
535	GEMDALE PPT	56,724,000	\$ 52,186,080
538	AJISEN (CHINA)	6,141,616	\$ 13,818,636
546	FUFENG GROUP	41,690,925	\$ 156,340,969
547	DIGITAL DOMAIN	258,405,281	\$ 22,222,854
548	SHENZHENEXPRESS	20,996,888	\$ 220,047,386
551	YUE YUEN IND	16,855,283	\$ 359,860,292
552	CHINACOMSERVICE	13,020,883	\$ 60,547,106
563	SH IND URBAN	4,151,065	\$ 4,234,086
570	TRAD CHI MED	54,070,046	\$ 202,762,673
576	ZHEJIANGEXPRESS	5,791,412	\$ 39,149,945
579	JNCEC	8,498,000	\$ 11,557,280
581	CHINA ORIENTAL	46,194,000	\$ 128,419,320
585	IMAGI INT'L	0	\$ 0
586	CONCH VENTURE	31,004,635	\$ 937,890,209
588	BEIJING N STAR	1,192,000	\$ 3,039,600
590	LUK FOOK HOLD	5,782,561	\$ 115,188,615
596	INSPUR INT'L	15,268,000	\$ 51,605,840
598	SINOTRANS	39,640,049	\$ 98,703,722
603	CHINA OIL & GAS	8,057,856	\$ 2,215,910
604	SHENZHEN INVEST	28,166,079	\$ 85,061,559
606	CHINA AGRI	51,853,034	\$ 139,484,661
607	FULLSHARE	536,985,449	\$ 115,451,872
622	OSHIDORI	25,656,200	\$ 20,524,960
627	FULLSUN INT'L	0	\$ 0
631	SANY INT'L	12,023,829	\$ 42,444,116
636	KERRY LOG NET	3,522,734	\$ 44,809,176
639	SHOUGANG RES	15,524,665	\$ 24,684,217
650	IDG ENERGY INV	0	\$ 0

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
656	FOSUN INTL	80,125,678	\$ 801,256,780
658	C TRANSMISSION	31,853,939	\$ 147,802,277
659	NWS HOLDINGS	8,628,872	\$ 104,581,929
665	HAITONG INT'L	180,369,915	\$ 413,047,105
669	TECHTRONIC IND	30,176,440	\$ 1,652,160,090
670	CHINA EAST AIR	96,428,703	\$ 377,036,229
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	5,314,876	\$ 129,948,718
686	PANDA GREEN	54,110,300	\$ 12,174,818
687	TYSAN HOLDINGS	0	\$ 0
688	CHINA OVERSEAS	23,956,439	\$ 620,471,770
691	SHANSHUI CEMENT	1,676,700	\$ 4,208,517
694	BEIJING AIRPORT	58,478,596	\$ 450,285,189
696	TRAVELSKY TECH	23,465,426	\$ 393,280,540
697	SHOUGANG INT'L	48,999,389	\$ 15,679,804
698	TONGDA GROUP	118,526,031	\$ 69,930,358
699	CAR INC	59,253,332	\$ 369,148,258
700	TENCENT	58,066,862	\$ 18,860,116,778
708	EVERG HEALTH	8,158,926	\$ 57,602,018
709	GIORDANO INT'L	5,724,820	\$ 13,338,831
710	BOE VARITRONIX	1,213,000	\$ 2,523,040
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	18,893,012	\$ 17,003,711
728	CHINA TELECOM	102,641,886	\$ 376,695,722
732	TRULY INT'L	32,315,281	\$ 33,931,045
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	2,114,500	\$ 21,779,350
751	SKYWORTH GROUP	98,920,315	\$ 201,797,443
752	PICO FAR EAST	400,000	\$ 984,000
753	AIR CHINA	99,389,503	\$ 701,689,891
754	HOPSON DEV HOLD	502,036	\$ 3,840,575
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	112,737,673	\$ 978,563,002
763	ZTE	40,495,275	\$ 888,871,286
772	CHINA LIT	38,413,291	\$ 1,031,396,863
777	NETDRAGON	2,299,873	\$ 42,547,651
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	46,218,155	\$ 544,449,866
788	CHINA TOWER	1,590,296,578	\$ 2,783,019,012
798	CEOVU	0	\$ 0

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799	IGG	62,968,570	\$ 312,953,793
806	VALUE PARTNERS	42,622,362	\$ 166,653,435
807	SIICENVIRONMENT	2,090,700	\$ 2,926,980
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	292,000	\$ 1,620,600
813	SHIMAO PROPERTY	42,254,625	\$ 1,060,591,088
816	HUADIAN FUXIN	5,050,029	\$ 8,080,046
817	CHINA JINMAO	252,477,589	\$ 1,249,764,066
818	HI SUN TECH	1,341,000	\$ 1,957,860
819	TIANNENG POWER	94,014,359	\$ 495,455,672
823	LINK REIT	17,233,252	\$ 1,428,636,591
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	1,832,726	\$ 6,212,941
836	CHINA RES POWER	16,336,553	\$ 157,811,102
839	CHINA EDU GROUP	63,485,595	\$ 765,636,276
853	MICROPORT	73,133,712	\$ 526,562,726
855	CHINA WATER	57,616,109	\$ 351,458,265
856	VSTECS	2,225,852	\$ 9,014,701
857	PETROCHINA	172,161,880	\$ 711,028,564
860	WE SOLUTIONS	44,349,781	\$ 20,622,648
861	DC HOLDINGS	7,171,117	\$ 31,768,048
867	CMS	22,662,987	\$ 222,097,273
868	XINYI GLASS	99,217,410	\$ 867,160,163
874	BAIYUNSHAN PH	2,703,303	\$ 72,583,686
877	O-NET TECH GP	2,544,000	\$ 10,532,160
880	SJM HOLDINGS	111,504,646	\$ 844,090,170
881	ZHONGSHENG HLDG	174,098,528	\$ 4,326,348,421
882	TIANJIN DEV	1,564,000	\$ 3,550,280
883	CNOOC	141,854,360	\$ 1,707,926,494
884	CIFI HOLD GP	136,654,246	\$ 670,972,348
895	DONGJIANG ENV	823,850	\$ 5,865,812
902	HUANENG POWER	72,590,756	\$ 275,118,965
903	TPV TECHNOLOGY	784,000	\$ 2,971,360
906	COFCO PACKAGING	26,595,537	\$ 81,382,343
914	CONCH CEMENT	50,961,974	\$ 2,433,434,259
916	CHINA LONGYUAN	28,057,033	\$ 117,558,968
921	HISENSE HA	3,245,903	\$ 24,506,568
931	CHINA LNG	0	\$ 0
934	SINOPEC KANTONS	8,635,049	\$ 28,322,961
939	CCB	486,764,245	\$ 2,983,864,822
941	CHINA MOBILE	30,311,961	\$ 2,018,776,603

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950	LEE'S PHARM	4,007,920	\$ 17,995,561
951	CHAOWEI POWER	3,547,931	\$ 9,934,207
956	CHINA SUNTIEN	4,076,774	\$ 8,846,600
958	HN RENEWABLES	38,243,618	\$ 112,818,673
960	LONGFOR GROUP	42,287,420	\$ 1,340,511,214
966	CHINA TAIPING	37,915,463	\$ 677,170,169
968	XINYI SOLAR	131,970,714	\$ 608,384,992
973	L'OCCITANE	487,000	\$ 7,889,400
976	CHIHO ENV	5,128,000	\$ 2,666,560
978	CHI MER LAND	0	\$ 0
981	SMIC	314,731,653	\$ 3,084,370,199
989	GROUND INTL DEV	4,903,768	\$ 544,318
991	DATANG POWER	30,070,488	\$ 47,511,371
992	LENOVO GROUP	476,550,142	\$ 2,573,370,767
995	ANHUIEXPRESSWAY	1,420,301	\$ 6,306,136
996	CARNIVAL GROUP	64,437,999	\$ 1,610,950
998	CITIC BANK	92,292,081	\$ 395,933,027
999	I.T	532,000	\$ 1,074,640
1003	HUANXI MEDIA	1,440,000	\$ 2,016,000
1004	C SMARTERENERGY	0	\$ 0
1030	FUTURE LAND	268,620,280	\$ 1,950,183,233
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	20,518,000	\$ 17,235,120
1038	CKI HOLDINGS	8,209,352	\$ 442,073,605
1044	HENGAN INT'L	38,470,380	\$ 1,975,454,013
1051	G-RESOURCES	10,707,000	\$ 556,764
1052	YUEXIUTRANSPORT	2,532,000	\$ 17,293,560
1055	CHINA SOUTH AIR	201,314,370	\$ 978,387,838
1060	ALI PICTURES	567,652,877	\$ 726,595,683
1061	ESSEX BIO-TECH	195,144	\$ 1,079,146
1066	WEIGAO GROUP	18,601,038	\$ 155,876,698
1068	YURUN FOOD	15,137,101	\$ 12,866,536
1070	TCL ELECTRONICS	1,595,354	\$ 6,301,648
1071	HUADIAN POWER	48,953,084	\$ 147,348,783
1072	DONGFANG ELEC	4,391,367	\$ 19,673,324
1076	IMPERIAL PAC	353,470,000	\$ 53,020,500
1083	TOWNGAS CHINA	6,337,161	\$ 37,579,365
1086	GOODBABY INTL	25,500,475	\$ 29,070,542
1088	CHINA SHENHUA	43,470,381	\$ 687,701,427
1089	LEYOU TECH H	19,169,761	\$ 48,882,891
1093	CSPC PHARMA	167,552,541	\$ 2,684,191,707

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1098	ROAD KING INFRA	552,000	\$ 7,463,040
1099	SINOPHARM	22,701,874	\$ 587,978,537
1107	MODERN LAND	590,000	\$ 631,300
1109	CHINA RES LAND	68,096,916	\$ 2,427,655,055
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	15,503,513	\$ 499,988,294
1113	CK ASSET	8,197,361	\$ 432,410,793
1114	BRILLIANCE CHI	359,875,817	\$ 3,084,135,752
1115	TIBET WATER	23,765,000	\$ 32,082,750
1117	CH MODERN D	7,620,802	\$ 8,687,714
1119	IDREAMSKY	1,660,400	\$ 6,840,848
1122	QINGLING MOTORS	578,000	\$ 1,075,080
1128	WYNN MACAU	78,879,764	\$ 1,192,662,032
1131	AGRITRADE RES	97,573,331	\$ 76,107,198
1133	HARBIN ELECTRIC	13,636,213	\$ 28,090,599
1137	HKTV	14,644,577	\$ 45,398,189
1138	COSCO SHIP ENGY	44,293,415	\$ 170,972,582
1140	OP FINANCIAL	7,228,000	\$ 11,492,520
1141	CMBC CAPITAL	233,168,049	\$ 37,540,056
1157	ZOOMLION	75,486,667	\$ 442,351,869
1168	SINOLINK HOLD	14,236,000	\$ 6,833,280
1169	HAIER ELEC	23,097,812	\$ 476,969,818
1171	YANZHOU COAL	118,885,638	\$ 954,651,673
1176	ZHUGUANG HOLD	5,846,000	\$ 6,489,060
1177	SINO BIOPHARM	353,151,392	\$ 3,821,098,061
1186	CHINA RAIL CONS	67,596,594	\$ 593,498,095
1193	CHINA RES GAS	44,671,554	\$ 1,840,468,025
1196	REALORD GROUP	0	\$ 0
1198	ROYALE FURN	0	\$ 0
1199	COSCO SHIP PORT	18,984,730	\$ 120,932,730
1205	CITIC RESOURCES	5,048,000	\$ 2,498,760
1208	MMG	245,222,685	\$ 404,617,430
1211	BYD COMPANY	164,849,456	\$ 6,379,673,947
1212	LIFESTYLE INT'L	7,592,828	\$ 59,983,341
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	0	\$ 0
1224	C C LAND	1,132,000	\$ 2,048,920
1233	TIMES CHINA	10,988,618	\$ 141,093,855
1234	CHINA LILANG	954,254	\$ 5,973,630
1238	POWERLONG	9,462,101	\$ 54,596,323

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	29,004,503	\$ 2,639,410
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	10,651,119	\$ 48,675,614
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	7,024,000	\$ 47,131,040
1270	LANGHAM-SS	2,631,436	\$ 5,552,330
1282	GLORY SUN FIN	95,516,199	\$ 27,699,698
1288	ABC	168,871,172	\$ 528,566,768
1293	GRAND BAOXIN	30,406,433	\$ 45,001,521
1299	AIA	74,489,254	\$ 5,456,337,856
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	25,518,000	\$ 35,725,200
1308	SITC	4,836,000	\$ 39,897,000
1310	HKBN	5,041,500	\$ 74,513,370
1313	CHINARES CEMENT	109,514,765	\$ 906,782,254
1316	NEXTEER	43,143,118	\$ 279,567,405
1317	MAPLELEAF EDU	104,413,249	\$ 292,357,097
1330	DYNAGREEN ENV	2,083,224	\$ 6,666,317
1333	CHINA ZHONGWANG	67,297,159	\$ 216,696,852
1336	NCI	26,616,506	\$ 849,066,541
1337	RAZER	125,159,171	\$ 190,241,940
1339	PICC GROUP	100,949,131	\$ 327,075,184
1347	HUA HONG SEMI	72,487,154	\$ 1,138,048,318
1349	FUDANZHANGJIANG	384,000	\$ 2,238,720
1359	CHINA CINDA	218,218,465	\$ 351,331,729
1360	NOVA GROUP	33,401,614	\$ 64,465,115
1368	XTEP INT'L	35,227,377	\$ 154,648,185
1375	CC SECURITIES	11,218,000	\$ 17,724,440
1378	CHINAHONGQIAO	49,421,872	\$ 242,167,173
1381	CANVEST ENV	11,618,000	\$ 40,779,180
1382	PACIFICTEXTILES	7,860,779	\$ 41,033,266
1383	SUNCITY GROUP	30,230,000	\$ 53,507,100
1385	SHANGHAI FUDAN	7,194,968	\$ 41,011,318
1387	CHINA DILI	29,135,192	\$ 76,334,203
1398	ICBC	243,878,417	\$ 1,309,627,099
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	20,774,694	\$ 6,440,155
1448	FU SHOU YUAN	7,086,706	\$ 50,386,480
1458	ZHOU HEI YA	35,694,114	\$ 138,136,221
1475	NISSIN FOODS	7,740,000	\$ 52,167,600

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	10,654,000	\$ 98,975,660
1508	CHINA RE	26,632,017	\$ 33,290,021
1513	LIVZON PHARMA	1,243,327	\$ 25,861,202
1515	CR MEDICAL	15,691,276	\$ 72,022,957
1518	NC HEALTHCARE	0	\$ 0
1528	RS MACALLINE	3,431,244	\$ 21,822,712
1530	3SBIO	133,703,778	\$ 1,799,652,852
1548	GENSCRIPT BIO	68,193,646	\$ 1,026,996,309
1551	GRCB	0	\$ 0
1558	HEC PHARM	3,229,800	\$ 122,409,420
1565	VIRSCEND EDU	776,000	\$ 1,963,280
1568	SUNDART HLDGS	434,000	\$ 1,636,180
1569	MINSHENG EDU	1,036,000	\$ 1,388,240
1573	SOUTHERN ENERGY	9,020,000	\$ 15,875,200
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	20,986,676	\$ 993,719,109
1585	YADEA	23,824,000	\$ 40,024,320
1589	CNLP	0	\$ 0
1600	TIAN LUN GAS	1,854,225	\$ 13,721,265
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	75,535,425	\$ 198,658,168
1617	NANFANG COMM	1,984,000	\$ 8,729,600
1618	MCC	6,757,000	\$ 11,554,470
1622	REDCO GROUP	18,626,623	\$ 73,016,362
1628	YUZHOU PPT	38,825,848	\$ 124,242,714
1636	CMRU	6,861,730	\$ 21,957,536
1638	KAISA GROUP	102,220,329	\$ 374,126,404
1658	PSBC	399,139,216	\$ 1,915,868,237
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	21,011,706	\$ 155,906,859
1668	CHINASOUTHCITY	42,175,748	\$ 40,066,961
1672	ASCLETIS-B	934,000	\$ 2,802,000
1675	ASIAINFO TECH	0	\$ 0
1680	MACAU LEGEND	21,695,033	\$ 18,874,679
1681	CONSUN PHARMA	3,572,000	\$ 15,823,960
1686	SUNEVISION	3,448,938	\$ 20,486,692
1708	SAMPLE TECH	0	\$ 0
1717	AUSNUTRIA	11,127,900	\$ 118,623,414
1727	HEBEI CONS	2,186,000	\$ 12,263,460

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1728	ZHENGTONGAUTO	137,163,327	\$ 312,732,386
1755	S-ENJOY SERVICE	1,891,000	\$ 14,806,530
1761	BABYTREE GROUP	0	\$ 0
1765	HOPE EDU	17,156,000	\$ 21,101,880
1766	CRRC	63,647,397	\$ 346,241,840
1772	GANFENGLITHIUM	3,809,554	\$ 51,428,979
1776	GF SEC	41,106,654	\$ 353,928,291
1777	FANTASIA	17,083,977	\$ 20,329,933
1778	COLOUR LIFE	13,009,776	\$ 53,340,082
1787	SD GOLD	4,025,248	\$ 78,894,861
1788	GUOTAI JUNAN I	216,559,794	\$ 281,527,732
1789	AK MEDICAL	4,363,862	\$ 34,605,426
1797	KOOLEARN	13,558,500	\$ 173,006,460
1798	DATANG RENEW	4,062,000	\$ 3,493,320
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	101,046,493	\$ 627,498,722
1801	INNOVENT BIO-B	13,281,500	\$ 315,435,625
1803	BJ SPORTS & ENT	18,430,115	\$ 5,713,336
1806	HUIFU PAYMENT	2,366,421	\$ 6,862,621
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	1,164,733,436	\$ 10,342,832,912
1811	CGN NEW ENERGY	3,122,000	\$ 3,184,440
1813	KWG GROUP	52,594,762	\$ 390,253,134
1816	CGN POWER	218,972,981	\$ 431,376,773
1818	ZHAOJIN MINING	83,912,998	\$ 768,643,062
1828	DCH HOLDINGS	4,341,207	\$ 11,547,611
1829	CMEC	6,179,706	\$ 20,763,812
1833	PA GOODDOCTOR	38,831,824	\$ 2,118,275,999
1836	STELLA HOLDINGS	3,694,940	\$ 43,748,090
1848	CALC	1,094,500	\$ 8,810,725
1860	MOBVISTA	0	\$ 0
1876	BUD APAC	13,485,700	\$ 421,428,125
1877	JUNSHI BIO-B	1,628,969	\$ 45,611,132
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	15,356,380	\$ 250,923,249
1883	CITIC TELECOM	7,681,000	\$ 21,276,370
1888	KB LAMINATES	27,106,591	\$ 191,643,598
1896	MAOYAN ENT	1,479,000	\$ 18,132,540
1898	CHINA COAL	9,677,370	\$ 31,257,905
1899	XINGDA INT'L	4,491,150	\$ 9,431,415
1907	CHINA RISUN GP	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1908	C&D INTL GROUP	1,104,801	\$ 9,180,896
1910	SAMSONITE	53,321,209	\$ 900,062,008
1911	CR HOLDINGS	386,000	\$ 5,844,040
1913	PRADA	35,330,509	\$ 835,566,538
1916	JIANGXI BANK	294,500	\$ 1,339,975
1918	SUNAC	103,601,758	\$ 3,553,540,299
1919	COSCO SHIP HOLD	100,704,210	\$ 296,070,377
1928	SANDS CHINA LTD	73,425,323	\$ 2,602,927,700
1929	CHOW TAI FOOK	15,015,660	\$ 99,103,356
1958	BAIC MOTOR	32,944,956	\$ 164,065,881
1963	BCQ	1,475,809	\$ 6,464,043
1966	CHINA SCE GROUP	15,050,021	\$ 54,932,577
1970	IMAX CHINA	1,950,106	\$ 36,622,991
1972	SWIREPROPERTIES	16,644,294	\$ 398,630,841
1980	TIANGE	7,613,000	\$ 15,454,390
1988	MINSHENG BANK	235,952,200	\$ 1,285,939,490
1992	FOSUN TOURISM	1,013,739	\$ 10,259,039
1995	EVERSUNSHINE LS	1,020,000	\$ 4,253,400
1996	RSUN PPT	1,258,000	\$ 3,094,680
1997	WHARF REIC	36,101,632	\$ 1,565,005,747
1999	MAN WAH HLDGS	87,566,098	\$ 429,073,880
2001	NEW HIGHER EDU	18,585,176	\$ 57,614,046
2003	VCREDIT	228,600	\$ 1,671,066
2005	SSY GROUP	46,369,845	\$ 290,275,230
2006	JINJIANGCAPITAL	8,002,000	\$ 9,442,360
2007	COUNTRY GARDEN	499,020,280	\$ 5,229,732,534
2008	PHOENIX TV	1,222,000	\$ 733,200
2009	BBMG	120,245,788	\$ 282,577,602
2013	WEIMOB INC	47,046,432	\$ 177,835,513
2016	CZBANK	0	\$ 0
2018	AAC TECH	101,427,020	\$ 4,660,571,569
2019	DEXIN CHINA	1,253,000	\$ 4,172,490
2020	ANTA SPORTS	16,730,113	\$ 1,182,818,989
2038	FIH	251,872,144	\$ 244,315,980
2039	CIMC	2,621,917	\$ 18,248,542
2048	E-HOUSE ENT	3,512,400	\$ 31,576,476
2051	51 CREDIT CARD	3,066,500	\$ 8,954,180
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 9,300,500
2099	CHINAGOLDINTL	482,000	\$ 3,142,640
2128	CHINA LESSO	15,546,607	\$ 119,708,874

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2139	BANK OF GANSU	0	\$ 0
2183	SANSHENG HLDG	0	\$ 0
2186	LUYE PHARMA	217,103,605	\$ 1,239,661,585
2196	FOSUN PHARMA	47,542,155	\$ 1,017,402,117
2198	CHINA SANJIANG	6,150,200	\$ 8,794,786
2199	REGINA MIRACLE	8,516,115	\$ 44,113,476
2202	CHINA VANKE	50,606,314	\$ 1,437,219,318
2208	GOLDWIND	24,621,122	\$ 227,991,590
2222	NVC LIGHTING	0	\$ 0
2226	HONWORLD GP	0	\$ 0
2232	CRYSTAL INTL	5,456,849	\$ 17,025,369
2233	WESTCHINACEMENT	12,206,250	\$ 15,990,188
2238	GAC GROUP	398,969,117	\$ 3,251,598,304
2255	HAICHANG HLDG	5,704,000	\$ 6,388,480
2269	WUXI BIO	22,389,870	\$ 1,847,164,275
2280	HC GROUP	40,366,486	\$ 137,246,052
2282	MGM CHINA	123,924,635	\$ 1,524,273,011
2283	TK GROUP HLDG	0	\$ 0
2298	COSMO LADY	2,871,000	\$ 3,445,200
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	340,000	\$ 1,598,000
2313	SHENZHOU INTL	7,015,517	\$ 747,152,561
2314	LEE & MAN PAPER	32,457,737	\$ 137,620,805
2318	PING AN	1,200,766,970	\$ 112,391,788,392
2319	MENGNU DAIRY	71,548,367	\$ 2,225,154,214
2322	HK CHAOSHANG GP	0	\$ 0
2327	MEILLEUREHEALTH	8,832,000	\$ 4,283,520
2328	PICC P&C	106,854,487	\$ 1,037,557,069
2329	GUORUI PPT	11,882,296	\$ 17,229,329
2331	LI NING	38,702,474	\$ 988,848,211
2333	GREATWALL MOTOR	451,827,080	\$ 2,349,500,816
2338	WEICHAJ POWER	116,589,439	\$ 1,380,418,958
2342	COMBA	55,894,193	\$ 101,727,431
2343	PACIFIC BASIN	179,840,873	\$ 293,140,623
2356	DAHSING BANKING	719,882	\$ 7,400,387
2357	AVICHINA	62,751,600	\$ 241,593,660
2359	WUXI APPTTEC	10,131,493	\$ 883,972,764
2362	JINCHUAN INTL	40,460,000	\$ 25,489,800
2378	PRU	0	\$ 0
2380	CHINA POWER	43,450,191	\$ 72,996,321
2382	SUNNY OPTICAL	31,159,864	\$ 3,676,863,952

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	8,338,517	\$ 40,191,652
2388	BOC HONG KONG	60,262,645	\$ 1,557,789,373
2399	FORDOO	0	\$ 0
2552	HUA MEDICINE-B	0	\$ 0
2588	BOC AVIATION	11,764,401	\$ 840,566,451
2600	CHALCO	150,999,781	\$ 383,539,444
2601	CPIC	142,284,772	\$ 4,168,943,820
2607	SH PHARMA	7,652,573	\$ 109,890,948
2608	SUNSHINE 100	2,733,000	\$ 3,880,860
2611	GTJA	11,381,553	\$ 143,635,199
2616	CSTONE PHARMA-B	4,078,282	\$ 49,755,040
2628	CHINA LIFE	59,845,329	\$ 1,108,335,493
2638	HKELECTRIC-SS	106,287,565	\$ 787,590,857
2662	CAMSING INTL	7,849,920	\$ 9,105,907
2666	UNI MEDICAL	28,994,403	\$ 161,498,825
2669	CHINA OVS PPT	49,521,933	\$ 200,068,609
2678	TEXHONG TEXTILE	2,212,000	\$ 16,258,200
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	16,723,634	\$ 1,420,672,708
2689	ND PAPER	150,436,204	\$ 1,006,418,205
2698	WEIQIAO TEXTILE	304,000	\$ 620,160
2727	SH ELECTRIC	51,280,340	\$ 128,200,850
2768	JIAYUAN INTL	20,486,922	\$ 64,943,543
2777	R&F PROPERTIES	65,182,780	\$ 801,748,194
2778	CHAMPION REIT	12,954,446	\$ 65,290,408
2799	CHINA HUARONG	250,946,729	\$ 303,645,542
2800	TRACKER FUND	20,117,533	\$ 549,208,651
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	MR CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	41,397,770	\$ 623,450,416

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2823	ISHARES A50	104,034,577	\$ 1,560,518,655
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	MR CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	3,281,550	\$ 347,516,145
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	36,441,500	\$ 68,145,605
2866	COSCO SHIP DEV	56,823,381	\$ 52,277,511
2868	BJ CAPITAL LAND	25,623,000	\$ 67,900,950
2869	GREENTOWN SER	56,127,879	\$ 432,184,668
2877	SHINEWAY PHARM	10,008,515	\$ 69,759,350
2880	DALIAN PORT	1,196,000	\$ 1,196,000
2883	CHINA OILFIELD	59,818,264	\$ 591,602,631
2888	STANCHART	3,182,003	\$ 201,420,790
2899	ZIJIN MINING	60,233,837	\$ 163,836,037
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA US TSY	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	47,142,794	\$ 2,081,354,355
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBOND	0	\$ 0
3301	RONSHINECHINA	8,178,000	\$ 76,218,960
3306	JNBY	1,031,500	\$ 11,759,100
3308	GOLDEN EAGLE	490,719	\$ 4,328,142
3309	C-MER EYE	3,339,000	\$ 17,028,900
3311	CHINA STATE CON	119,086,399	\$ 857,422,073
3313	ARTGO HOLDINGS	49,850,000	\$ 199,400,000
3319	A-LIVING	4,685,813	\$ 106,133,664
3320	CHINARES PHARMA	13,155,927	\$ 98,537,893
3323	CNBM	79,311,156	\$ 563,902,319
3328	BANKCOMM	63,783,258	\$ 330,397,276
3331	VINDA INT'L	322,485	\$ 4,418,045
3333	EVERGRANDE	247,156,915	\$ 4,335,132,289
3336	JU TENG INTL	2,356,000	\$ 4,075,880
3337	ANTON OILFIELD	87,127,994	\$ 65,345,996

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3339	LONKING	69,183,891	\$ 154,280,077
3360	FE HORIZON	11,586,253	\$ 80,524,458
3369	QHD PORT	0	\$ 0
3377	SINO-OCEAN GP	61,422,823	\$ 167,070,079
3380	LOGAN PPT	38,593,676	\$ 440,739,780
3382	TIANJINPORT DEV	5,216,000	\$ 3,703,360
3383	AGILE GROUP	82,516,046	\$ 831,761,744
3393	WASION HOLDINGS	1,920,000	\$ 5,952,000
3396	LEGENDHOLDING	8,177,426	\$ 142,450,761
3606	FUYAO GLASS	12,122,066	\$ 261,836,626
3613	TONGRENTANGCM	2,399,000	\$ 29,027,900
3618	CQRC BANK	42,682,800	\$ 179,267,760
3633	ZHONGYU GAS	3,720,299	\$ 29,241,550
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	458,860	\$ 2,523,730
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	17,918,539	\$ 118,979,099
3683	GREAT HARVEST	2,650,000	\$ 4,028,000
3690	MEITUAN-W	101,598,112	\$ 8,986,353,006
3698	HUIZHANG BANK	0	\$ 0
3700	INKE	11,977,000	\$ 13,174,700
3709	EEKA FASHION	0	\$ 0
3799	DALI FOODS	59,661,581	\$ 310,240,221
3800	GCL-POLY ENERGY	1,022,149,479	\$ 332,198,581
3808	SINOTRUK	74,976,435	\$ 862,229,003
3813	POU SHENG INT'L	6,567,420	\$ 16,484,224
3818	CHINA DONGXIANG	8,006,902	\$ 6,966,005
3836	HARMONY AUTO	22,738,590	\$ 59,802,492
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	67,491,852	\$ 647,246,861
3888	KINGSOFT	204,894,435	\$ 3,819,232,268
3898	CRRR TIMES ELEC	10,067,096	\$ 321,643,717
3899	CIMC ENRIC	4,834,008	\$ 22,284,777
3900	GREENTOWN CHINA	52,830,990	\$ 367,703,690
3908	CICC	128,037,156	\$ 1,994,818,890
3918	NAGACORP	10,952,000	\$ 147,852,000
3933	UNITED LAB	102,093,060	\$ 422,665,268
3958	DFZQ	4,090,000	\$ 19,713,800
3968	CM BANK	123,050,990	\$ 4,682,090,170
3969	CHINA CRSC	45,478,592	\$ 215,113,740
3983	CHINA BLUECHEM	27,954,074	\$ 53,951,363

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3988	BANK OF CHINA	91,360,385	\$ 286,871,609
3990	MIDEA REAL EST	692,999	\$ 13,832,260
3993	CMOC	488,056,929	\$ 1,264,067,446
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	102,883,913	\$ 371,410,926
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	221,907,030	\$ 3,430,682,684
6055	CTIHK	7,659,000	\$ 150,882,300
6060	ZA ONLINE	57,147,728	\$ 1,113,237,741
6066	CSC	15,685,768	\$ 90,977,454
6068	WISDOM EDU INTL	3,904,000	\$ 13,195,520
6088	FIT HON TENG	7,193,000	\$ 22,370,230
6098	CG SERVICES	67,820,190	\$ 1,648,030,617
6099	CMSC	3,034,332	\$ 27,733,794
6100	TALENT LIEPIN	3,588,000	\$ 70,324,800
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	17,247,000	\$ 93,651,210
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	0	\$ 0
6169	YUHUA EDU	289,519,605	\$ 1,253,619,890
6178	EB SECURITIES	3,582,004	\$ 21,599,484
6185	CANSINO BIO-B	70,000	\$ 2,450,000
6190	BANK OF JIUJIANG	0	\$ 0
6196	BANK OF ZHENGZHOU	350,000	\$ 927,500
6198	QINGDAO PORT	3,019,212	\$ 13,948,759
6806	SWHY	0	\$ 0
6808	SUNART RETAIL	78,644,172	\$ 655,105,953
6818	CEB BANK	31,927,187	\$ 111,106,611
6823	HKT-SS	22,944,113	\$ 284,048,119
6826	HAOHAI BIOTEC	607,123	\$ 24,163,495
6828	BG BLUE SKY	74,298,034	\$ 14,859,607
6837	HAITONG SEC	87,186,657	\$ 744,574,051
6862	HAIDILAO	32,621,692	\$ 1,161,332,235
6865	FLAT GLASS	2,172,000	\$ 8,297,040

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6868	TENFU	0	\$ 0
6869	YOFC	20,892,464	\$ 272,855,580
6881	CGS	130,980,468	\$ 560,596,403
6886	HTSC	121,196,513	\$ 1,495,564,970
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7500	FI2 CSOP HSI	19,718,800	\$ 143,158,488
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	228,824,000	\$ 109,835,520
8137	HONBRIDGE	16,750,624	\$ 8,375,312
8279	AGTECH HOLDINGS	0	\$ 0
8283	ZHENG LI HLDG	2,480,000	\$ 2,356,000
8469	SHENGYE CAPITAL	710,000	\$ 5,800,700
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCICCDUSD-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9077	PREMIA US TSY-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP CTPBOND-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9820	MR CN BIOTECH-U	0	\$ 0
9826	MR CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/10/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0