

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	12,378,365	\$ 900,526,054
2	CLP HOLDINGS	12,445,663	\$ 1,017,432,950
3	HK & CHINA GAS	209,437,560	\$ 3,342,623,458
4	WHARF HOLDINGS	47,465,000	\$ 829,688,200
5	HSBC HOLDINGS	102,633,746	\$ 6,229,868,382
6	POWER ASSETS	29,546,062	\$ 1,577,759,711
7	HK FINANCE INV	11,077,199	\$ 9,858,707
8	PCCW	108,907,750	\$ 481,372,255
10	HANG LUNG GROUP	3,757,922	\$ 77,037,401
11	HANG SENG BANK	15,279,203	\$ 2,734,977,337
12	HENDERSON LAND	24,360,337	\$ 940,309,008
14	HYSAN DEV	4,626,523	\$ 148,742,714
16	SHK PPT	11,906,559	\$ 1,395,448,715
17	NEW WORLD DEV	81,812,736	\$ 854,124,964
19	SWIRE PACIFIC A	15,648,834	\$ 1,228,433,469
20	WHEELLOCK	8,196,540	\$ 391,794,612
23	BANK OF E ASIA	31,852,947	\$ 646,614,824
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	65,773,247	\$ 3,551,755,338
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	2,551,507	\$ 9,006,820
41	GREAT EAGLE H	3,559,609	\$ 100,380,974
43	C.P. POKPHAND	4,958,000	\$ 3,470,600
45	HK&S HOTELS	9,487,607	\$ 78,747,138
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	301,392	\$ 7,097,782
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	41,899,498	\$ 1,983,941,230
69	SHANGRI-LA ASIA	56,476,417	\$ 474,401,903
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	4,238,000	\$ 16,528,200

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83	SINO LAND	39,458,049	\$ 477,442,393
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	4,508,000	\$ 12,622,400
101	HANG LUNG PPT	67,143,891	\$ 1,247,533,495
102	SUMMIT ASCENT	3,888,525	\$ 5,055,083
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	996,000	\$ 846,600
107	SICHUAN EXPRESS	1,204,000	\$ 2,865,520
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	402,000	\$ 3,754,680
119	POLY PROPERTY	5,084,459	\$ 14,541,553
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	17,723,774	\$ 31,725,555
127	CHINESE EST H	1,560,187	\$ 9,220,705
133	CHINA MERCHANTS	0	\$ 0
135	KUNLUN ENERGY	17,384,493	\$ 118,909,932
136	HENGTEN NET	2,231,257,146	\$ 292,294,686
142	FIRST PACIFIC	21,218,638	\$ 67,050,896
144	CHINA MER PORT	24,646,761	\$ 313,013,865
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	10,522,434	\$ 225,180,088
151	WANT WANT CHINA	446,959,254	\$ 2,887,356,781
152	SHENZHEN INT'L	9,617,943	\$ 144,269,145
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	1,592,000	\$ 8,055,520
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	10,341,479	\$ 101,139,665
168	TSINGTAO BREW	26,433,980	\$ 1,282,048,030
171	SILVER GRANT	14,726,000	\$ 19,585,580
173	K. WAH INT'L	2,052,826	\$ 8,765,567
175	GEELY AUTO	443,996,612	\$ 6,269,232,161
177	JIANGSU EXPRESS	6,879,977	\$ 73,202,955
178	SA SA INT'L	134,601,466	\$ 236,898,580
179	JOHNSON ELEC H	2,980,189	\$ 43,749,175
182	CONCORD NE	11,840,494	\$ 4,499,388
189	DONGYUE GROUP	25,820,474	\$ 108,962,400
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	10,432,000	\$ 5,633,280
200	MELCO INT'L DEV	21,624,608	\$ 443,304,464

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
215	HUTCHTEL HK	45,101,840	\$ 64,946,650
220	U-PRESID CHINA	17,918,206	\$ 158,217,759
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	9,809,159	\$ 11,084,350
235	CHINA STRATEGIC	50,805,000	\$ 2,083,005
241	ALI HEALTH	183,439,525	\$ 1,333,605,347
242	SHUN TAK HOLD	16,002,796	\$ 48,648,500
245	CN MINSHENG FIN	0	\$ 0
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0
256	CITYCHAMP	10,591,092	\$ 18,640,322
257	CHINA EB INT'L	115,096,070	\$ 717,048,516
258	TOMSON GROUP	1,014,342	\$ 1,998,254
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	38,129,067	\$ 385,103,577
268	KINGDEE INT'L	335,549,250	\$ 2,701,171,463
270	GUANGDONG INV	10,362,666	\$ 168,289,696
272	SHUI ON LAND	15,949,550	\$ 26,476,253
273	MASON GP HOLD	78,888,345	\$ 9,545,490
285	BYD ELECTRONIC	142,558,284	\$ 1,710,699,408
288	WH GROUP	184,803,621	\$ 1,374,938,940
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	33,363,038	\$ 1,399,579,444
293	CATHAY PAC AIR	54,064,830	\$ 588,225,350
297	SINOFERT	44,211,113	\$ 37,137,335
303	VTECH HOLDINGS	2,712,175	\$ 191,615,164
308	CHINA TRAVEL HK	27,526,794	\$ 38,812,780
315	SMARTONE TELE	2,366,916	\$ 16,757,765
316	OOIL	0	\$ 0
317	COMEC	4,363,740	\$ 30,502,543
321	TEXWINCA HOLD	6,008,193	\$ 11,836,140
322	TINGYI	72,721,998	\$ 811,577,498
323	MAANSHAN IRON	368,562,866	\$ 1,098,317,341
326	CHINA STAR ENT	5,960,000	\$ 6,019,600
327	PAX GLOBAL	22,648,061	\$ 79,721,175
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	56,389,148	\$ 84,019,831

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
336	HUABAO INTL	5,970,292	\$ 17,672,064
337	GREENLAND HK	6,346,780	\$ 18,405,662
338	SHANGHAI PECEM	94,524,334	\$ 231,584,618
341	CAFE DE CORAL H	1,089,568	\$ 27,021,286
342	NEWOCEAN ENERGY	59,364,744	\$ 90,234,411
345	VITASOY INT'L	56,709,790	\$ 2,152,136,531
347	ANGANG STEEL	149,082,279	\$ 474,081,647
354	CHINASOFT INT'L	267,069,994	\$ 982,817,578
358	JIANGXI COPPER	20,629,448	\$ 198,455,290
363	SHANGHAI IND H	1,790,994	\$ 28,799,184
367	CHUANG'S INT'L	0	\$ 0
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	167,651,588	\$ 744,373,051
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	112,839,330	\$ 3,402,105,800
386	SINOPEC CORP	260,811,605	\$ 1,291,017,445
388	HKEX	21,210,067	\$ 5,107,384,134
390	CHINA RAILWAY	28,914,645	\$ 153,825,911
392	BEIJING ENT	2,340,858	\$ 90,357,119
400	COGOBUY	14,907,764	\$ 28,026,596
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	18,129,200	\$ 43,872,664
412	CHINA SDHS FIN	45,636,000	\$ 11,637,180
419	HUAYI TENCENT	76,958,194	\$ 8,234,527
425	MINTH GROUP	52,410,712	\$ 1,417,709,760
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	31,973,178	\$ 13,109,003
440	DAH SING	185,579	\$ 5,808,623
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	59,763,886	\$ 17,630,346
460	SIHUAN PHARM	105,759,768	\$ 145,948,480
467	UNITEDENERGY GP	14,940,000	\$ 20,766,600
468	GAPACK	381,000	\$ 1,653,540
480	HKR INT'L	0	\$ 0
486	RUSAL	30,232,000	\$ 102,788,800
488	LAI SUN DEV	205,500	\$ 1,935,810
489	DONGFENG GROUP	100,195,239	\$ 818,595,103
493	GOME RETAIL	1,806,185,480	\$ 1,318,515,400
494	LI & FUNG	411,158,280	\$ 439,939,360

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
496	KASEN	4,294,000	\$ 29,929,180
497	CSI PROPERTIES	3,000,000	\$ 840,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	4,702,396	\$ 16,458,386
508	DINGYI GP INV	57,440,687	\$ 15,796,189
511	TVB	7,978,785	\$ 106,915,719
512	CHINAGRANDPHARM	11,064,000	\$ 45,473,040
517	COSCO SHIP INTL	830,439	\$ 1,818,661
520	XIABUXIABU	27,863,500	\$ 307,613,040
522	ASM PACIFIC	15,689,591	\$ 1,528,166,163
525	GUANGSHEN RAIL	10,231,554	\$ 26,397,409
526	CHINA AUTO NR	0	\$ 0
530	GOLDIN FIN HOLD	4,912,000	\$ 13,704,480
535	GEMDALE PPT	54,976,069	\$ 50,577,983
538	AJISEN (CHINA)	6,933,616	\$ 17,334,040
546	FUFENG GROUP	42,369,925	\$ 169,903,399
547	DIGITAL DOMAIN	243,070,281	\$ 19,202,552
548	SHENZHENEXPRESS	20,707,312	\$ 209,972,144
551	YUE YUEN IND	17,333,061	\$ 372,660,812
552	CHINACOMSERVICE	14,465,966	\$ 70,304,595
563	SH IND URBAN	5,603,208	\$ 6,275,593
570	TRAD CHI MED	65,976,618	\$ 262,586,940
576	ZHEJIANGEXPRESS	6,616,808	\$ 44,597,286
579	JNCEC	5,830,000	\$ 7,928,800
581	CHINA ORIENTAL	29,870,867	\$ 98,573,861
585	IMAGI INT'L	0	\$ 0
586	CONCH VENTURE	27,727,766	\$ 797,173,273
588	BEIJING N STAR	1,388,000	\$ 3,705,960
590	LUK FOOK HOLD	5,200,978	\$ 111,821,027
596	INSPUR INT'L	14,928,000	\$ 54,188,640
598	SINOTRANS	42,491,525	\$ 110,477,965
603	CHINA OIL & GAS	56,984,685	\$ 16,240,635
604	SHENZHEN INVEST	38,579,187	\$ 118,052,312
606	CHINA AGRI	50,282,793	\$ 114,644,768
607	FULLSHARE	434,335,776	\$ 89,038,834
622	OSHIDORI	10,429,800	\$ 8,969,628
627	FULLSUN INT'L	0	\$ 0
631	SANY INT'L	12,954,606	\$ 45,082,029
636	KERRY LOG NET	3,266,058	\$ 42,001,506
639	SHOUGANG RES	9,730,651	\$ 17,417,865
650	IDG ENERGY INV	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
656	FOSUN INTL	80,634,906	\$ 866,018,890
658	C TRANSMISSION	32,596,939	\$ 162,006,787
659	NWS HOLDINGS	1,716,908	\$ 23,933,698
665	HAITONG INT'L	201,084,221	\$ 496,678,026
669	TECHTRONIC IND	33,516,126	\$ 1,950,638,533
670	CHINA EAST AIR	98,040,671	\$ 415,692,445
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	27,150,000	\$ 3,203,700
683	KERRY PPT	5,008,941	\$ 130,232,466
686	PANDA GREEN	63,170,563	\$ 15,792,641
687	TYSAN HOLDINGS	0	\$ 0
688	CHINA OVERSEAS	24,434,076	\$ 646,281,310
691	SHANSHUI CEMENT	1,676,700	\$ 4,711,527
694	BEIJING AIRPORT	45,255,765	\$ 314,980,124
696	TRAVELSKY TECH	24,937,985	\$ 421,451,947
697	SHOUGANG INT'L	45,207,389	\$ 15,596,549
698	TONGDA GROUP	135,186,031	\$ 85,167,200
699	CAR INC	62,823,332	\$ 375,683,525
700	TENCENT	65,825,288	\$ 23,012,520,685
708	EVERG HEALTH	7,768,926	\$ 59,276,905
709	GIORDANO INT'L	6,250,820	\$ 15,627,050
710	BOE VARITRONIX	1,231,000	\$ 2,646,650
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	14,207,937	\$ 14,207,937
728	CHINA TELECOM	80,936,388	\$ 299,464,636
732	TRULY INT'L	39,106,950	\$ 44,581,923
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	3,929,000	\$ 39,132,840
751	SKYWORTH GROUP	102,650,802	\$ 223,778,748
752	PICO FAR EAST	400,000	\$ 1,000,000
753	AIR CHINA	107,275,815	\$ 813,150,678
754	HOPSON DEV HOLD	0	\$ 0
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	103,492,788	\$ 878,653,770
763	ZTE	37,008,572	\$ 841,945,013
772	CHINA LIT	39,041,500	\$ 1,061,928,800
777	NETDRAGON	1,701,000	\$ 32,931,360
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	41,573,987	\$ 572,889,541
788	CHINA TOWER	1,575,427,317	\$ 2,961,803,356
798	CEOVU	3,188,000	\$ 1,689,640

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
799	IGG	52,097,570	\$ 294,351,271
806	VALUE PARTNERS	43,175,088	\$ 189,106,885
807	SIICENVIRONMENT	2,122,000	\$ 2,949,580
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	291,000	\$ 1,699,440
813	SHIMAO PROPERTY	39,372,913	\$ 929,200,747
816	HUADIAN FUXIN	4,378,029	\$ 6,216,801
817	CHINA JINMAO	248,064,881	\$ 1,170,866,238
818	HI SUN TECH	1,341,000	\$ 1,783,530
819	TIANNENG POWER	84,890,359	\$ 530,564,744
823	LINK REIT	20,808,958	\$ 1,866,563,533
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	1,678,726	\$ 5,690,881
836	CHINA RES POWER	17,507,253	\$ 189,078,332
839	CHINA EDU GROUP	64,706,368	\$ 723,417,194
853	MICROPORT	77,637,560	\$ 613,336,724
855	CHINA WATER	49,226,661	\$ 308,651,164
856	VSTECS	3,017,050	\$ 11,857,007
857	PETROCHINA	227,990,093	\$ 964,398,093
860	WE SOLUTIONS	34,891,303	\$ 16,573,369
861	DC HOLDINGS	7,064,512	\$ 31,083,853
867	CMS	20,769,293	\$ 185,054,401
868	XINYI GLASS	107,479,056	\$ 946,890,483
874	BAIYUNSHAN PH	3,248,503	\$ 93,069,611
877	O-NET TECH GP	2,494,000	\$ 9,926,120
880	SJM HOLDINGS	120,247,138	\$ 967,989,461
881	ZHONGSHENG HLDG	172,498,129	\$ 4,536,700,793
882	TIANJIN DEV	1,580,000	\$ 3,476,000
883	CNOOC	143,133,029	\$ 1,703,283,045
884	CIFI HOLD GP	142,277,681	\$ 682,932,869
895	DONGJIANG ENV	1,083,550	\$ 7,563,179
902	HUANENG POWER	70,835,331	\$ 310,258,750
903	TPV TECHNOLOGY	678,000	\$ 2,515,380
906	COFCO PACKAGING	27,537,169	\$ 88,945,056
914	CONCH CEMENT	46,779,014	\$ 2,254,748,475
916	CHINA LONGYUAN	25,511,851	\$ 117,609,633
921	HISENSE HA	1,824,899	\$ 15,748,878
931	CHINA LNG	0	\$ 0
934	SINOPEC KANTONS	9,091,049	\$ 28,636,804
939	CCB	720,897,023	\$ 4,498,397,424
941	CHINA MOBILE	36,187,619	\$ 2,442,664,283

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
950	LEE'S PHARM	3,301,595	\$ 15,451,465
951	CHAOWEI POWER	3,723,874	\$ 11,022,667
956	CHINA SUNTIEN	6,051,774	\$ 12,950,796
958	HN RENEWABLES	29,267,618	\$ 79,022,569
960	LONGFOR GROUP	46,412,960	\$ 1,406,312,688
966	CHINA TAIPING	39,666,362	\$ 751,280,896
968	XINYI SOLAR	145,800,605	\$ 723,171,001
973	L'OCCITANE	469,250	\$ 7,601,850
976	CHIHO ENV	10,274,000	\$ 7,500,020
978	CHI MER LAND	0	\$ 0
981	SMIC	320,612,488	\$ 3,251,010,628
989	GROUND INTL DEV	6,263,768	\$ 1,190,116
991	DATANG POWER	25,587,138	\$ 43,754,006
992	LENOVO GROUP	499,944,611	\$ 2,809,688,714
995	ANHUIEXPRESSWAY	880,301	\$ 4,049,385
996	CARNIVAL GROUP	128,565,499	\$ 4,242,661
998	CITIC BANK	110,355,293	\$ 485,563,289
999	I.T	788,000	\$ 1,836,040
1003	HUANXI MEDIA	660,000	\$ 1,049,400
1004	C SMARTERENERGY	0	\$ 0
1030	FUTURE LAND	240,671,280	\$ 1,675,072,109
1031	KINGSTON FIN	2,746,000	\$ 3,157,900
1033	SINOPEC SSC	24,162,000	\$ 20,537,700
1038	CKI HOLDINGS	8,970,222	\$ 481,700,921
1044	HENGAN INT'L	41,018,308	\$ 2,253,956,025
1051	G-RESOURCES	10,707,000	\$ 546,057
1052	YUEXIUTRANSPORT	1,926,000	\$ 12,615,300
1055	CHINA SOUTH AIR	210,841,763	\$ 1,083,726,662
1060	ALI PICTURES	567,950,968	\$ 732,656,749
1061	ESSEX BIO-TECH	191,481	\$ 963,149
1066	WEIGAO GROUP	18,549,037	\$ 160,078,189
1068	YURUN FOOD	16,273,101	\$ 15,947,639
1070	TCL ELECTRONICS	2,686,953	\$ 11,177,724
1071	HUADIAN POWER	42,902,214	\$ 136,858,063
1072	DONGFANG ELEC	4,530,167	\$ 22,560,232
1076	IMPERIAL PAC	349,570,000	\$ 53,833,780
1083	TOWNGAS CHINA	6,991,672	\$ 41,950,032
1086	GOODBABY INTL	26,637,910	\$ 38,624,970
1088	CHINA SHENHUA	40,714,432	\$ 678,302,437
1089	LEYOU TECH H	19,672,120	\$ 44,655,712
1093	CSPC PHARMA	135,619,648	\$ 2,121,091,295



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1098	ROAD KING INFRA	530,000	\$ 7,377,600
1099	SINOPHARM	25,771,899	\$ 689,398,298
1107	MODERN LAND	590,000	\$ 678,500
1109	CHINA RES LAND	56,338,039	\$ 1,876,056,699
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	16,999,013	\$ 572,016,787
1113	CK ASSET	14,966,049	\$ 860,547,818
1114	BRILLIANCE CHI	399,936,655	\$ 3,883,384,920
1115	TIBET WATER	24,751,000	\$ 35,641,440
1117	CH MODERN D	7,159,363	\$ 8,233,267
1119	IDREAMSKY	1,961,600	\$ 9,101,824
1122	QINGLING MOTORS	578,000	\$ 1,121,320
1128	WYNN MACAU	81,931,904	\$ 1,383,010,540
1131	AGRITRADE RES	102,783,332	\$ 89,421,499
1133	HARBIN ELECTRIC	11,146,214	\$ 25,301,906
1137	HKTV	14,234,577	\$ 45,265,955
1138	COSCO SHIP ENGY	29,339,557	\$ 127,920,469
1140	OP FINANCIAL	10,768,000	\$ 19,059,360
1141	CMBC CAPITAL	255,787,739	\$ 43,228,128
1157	ZOOMLION	53,403,594	\$ 303,866,450
1168	SINOLINK HOLD	7,638,000	\$ 3,971,760
1169	HAIER ELEC	25,834,246	\$ 541,227,454
1171	YANZHOU COAL	117,212,518	\$ 1,019,748,907
1176	ZHUGUANG HOLD	8,434,000	\$ 9,614,760
1177	SINO BIOPHARM	320,257,995	\$ 3,522,837,945
1186	CHINA RAIL CONS	67,932,522	\$ 639,245,032
1193	CHINA RES GAS	42,995,390	\$ 1,640,274,129
1196	REALORD GROUP	2,093,000	\$ 9,837,100
1198	ROYALE FURN	0	\$ 0
1199	COSCO SHIP PORT	18,016,670	\$ 118,910,022
1205	CITIC RESOURCES	2,828,000	\$ 1,385,720
1208	MMG	236,922,735	\$ 492,799,289
1211	BYD COMPANY	160,058,601	\$ 6,834,502,263
1212	LIFESTYLE INT'L	8,320,940	\$ 75,138,088
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	0	\$ 0
1224	C C LAND	1,138,000	\$ 2,048,400
1233	TIMES CHINA	5,433,000	\$ 72,150,240
1234	CHINA LILANG	476,254	\$ 3,095,651
1238	POWERLONG	7,853,690	\$ 41,703,094

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	48,167,382	\$ 4,624,069
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	12,279,972	\$ 61,399,860
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	6,510,000	\$ 42,510,300
1270	LANGHAM-SS	2,364,436	\$ 5,509,136
1282	GLORY SUN FIN	87,992,199	\$ 29,037,426
1288	ABC	181,092,305	\$ 575,873,530
1293	GRAND BAOXIN	33,820,054	\$ 55,126,688
1299	AIA	100,085,284	\$ 8,076,882,419
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	29,394,000	\$ 46,148,580
1308	SITC	3,363,000	\$ 27,744,750
1310	HKBN	6,328,031	\$ 94,287,662
1313	CHINARES CEMENT	124,571,944	\$ 1,023,981,380
1316	NEXTEER	39,874,118	\$ 277,125,120
1317	MAPLELEAF EDU	101,671,249	\$ 268,412,097
1330	DYNAGREEN ENV	2,053,624	\$ 6,941,249
1333	CHINA ZHONGWANG	69,398,801	\$ 239,425,863
1336	NCI	29,834,970	\$ 1,023,339,471
1337	RAZER	130,004,171	\$ 211,906,799
1339	PICC GROUP	90,768,622	\$ 305,890,256
1347	HUA HONG SEMI	67,456,734	\$ 1,099,544,764
1349	FUDANZHANGJIANG	384,000	\$ 2,265,600
1359	CHINA CINDA	227,581,824	\$ 395,992,374
1360	NOVA GROUP	34,241,614	\$ 66,086,315
1368	XTEP INT'L	33,021,878	\$ 146,286,920
1375	CC SECURITIES	13,039,000	\$ 23,470,200
1378	CHINAHONGQIAO	45,608,398	\$ 251,302,273
1381	CANVEST ENV	10,020,000	\$ 36,973,800
1382	PACIFICTEXTILES	8,195,331	\$ 45,156,274
1383	SUNCITY GROUP	21,490,000	\$ 41,690,600
1385	SHANGHAI FUDAN	4,504,968	\$ 36,895,688
1387	CHINA DILI	29,219,892	\$ 79,185,907
1398	ICBC	401,876,905	\$ 2,190,229,132
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	34,947,694	\$ 11,882,216
1448	FU SHOU YUAN	8,440,706	\$ 61,617,154
1458	ZHOU HEI YA	38,586,303	\$ 142,383,458
1475	NISSIN FOODS	3,647,426	\$ 24,984,868

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	15,859,000	\$ 144,158,310
1508	CHINA RE	22,277,963	\$ 30,075,250
1513	LIVZON PHARMA	1,461,502	\$ 31,495,368
1515	CR MEDICAL	14,933,617	\$ 78,102,817
1518	NC HEALTHCARE	0	\$ 0
1528	RS MACALLINE	2,079,033	\$ 13,887,940
1530	3SBIO	136,011,620	\$ 1,721,907,109
1548	GENSCRIPT BIO	54,477,717	\$ 958,807,819
1551	GRCB	0	\$ 0
1558	HEC PHARM	3,185,800	\$ 119,786,080
1565	VIRSCEND EDU	0	\$ 0
1568	SUNDART HLDGS	486,000	\$ 1,919,700
1569	MINSHENG EDU	1,128,000	\$ 1,601,760
1573	SOUTHERN ENERGY	9,778,000	\$ 25,520,580
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	21,498,734	\$ 1,001,841,004
1585	YADEA	24,848,000	\$ 43,235,520
1589	CNLP	854,000	\$ 2,664,480
1600	TIAN LUN GAS	1,638,725	\$ 12,552,634
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	79,902,425	\$ 190,167,772
1617	NANFANG COMM	2,000,000	\$ 8,820,000
1618	MCC	6,417,950	\$ 11,937,387
1622	REDCO GROUP	20,876,623	\$ 66,805,194
1628	YUZHOU PPT	25,272,290	\$ 91,485,690
1636	CMRU	6,275,730	\$ 21,149,210
1638	KAISA GROUP	87,543,189	\$ 282,764,500
1658	PSBC	608,167,561	\$ 2,919,204,293
1660	ZHAOBANGJI PPT	370,000	\$ 2,937,800
1666	TONG REN TANG	15,425,506	\$ 123,712,558
1668	CHINASOUTHCITY	36,117,748	\$ 38,645,990
1672	ASCLETIS-B	480,000	\$ 1,848,000
1675	ASIAINFO TECH	0	\$ 0
1680	MACAU LEGEND	17,583,033	\$ 15,473,069
1681	CONSUN PHARMA	3,030,000	\$ 14,119,800
1686	SUNEVISION	3,302,827	\$ 20,312,386
1708	SAMPLE TECH	0	\$ 0
1717	AUSNUTRIA	14,278,900	\$ 165,349,662
1727	HEBEI CONS	2,646,500	\$ 16,196,580

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1728	ZHENGTONGAUTO	126,886,759	\$ 352,745,190
1755	S-ENJOY SERVICE	1,355,000	\$ 8,915,900
1761	BABYTREE GROUP	0	\$ 0
1765	HOPE EDU	11,892,000	\$ 15,459,600
1766	CRRC	57,213,319	\$ 332,409,383
1772	GANFENGLITHIUM	3,799,500	\$ 36,361,215
1776	GF SEC	49,863,145	\$ 449,765,568
1777	FANTASIA	13,477,977	\$ 16,982,251
1778	COLOUR LIFE	14,604,776	\$ 62,800,537
1787	SD GOLD	1,518,298	\$ 28,058,147
1788	GUOTAI JUNAN I	228,355,794	\$ 321,981,670
1789	AK MEDICAL	3,624,000	\$ 25,802,880
1797	KOOLEARN	2,526,000	\$ 31,069,800
1798	DATANG RENEW	917,000	\$ 751,940
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	97,180,444	\$ 643,334,539
1801	INNOVENT BIO-B	11,813,500	\$ 284,705,350
1803	BJ SPORTS & ENT	21,387,615	\$ 8,234,232
1806	HUIFU PAYMENT	1,524,000	\$ 4,572,000
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	1,171,660,981	\$ 11,107,346,100
1811	CGN NEW ENERGY	1,628,000	\$ 1,562,880
1813	KWG GROUP	60,741,500	\$ 437,338,800
1816	CGN POWER	203,216,076	\$ 418,625,117
1818	ZHAOJIN MINING	63,192,723	\$ 583,268,833
1828	DCH HOLDINGS	3,217,613	\$ 7,722,271
1829	CMEC	6,040,706	\$ 19,873,923
1833	PA GOODDOCTOR	35,144,285	\$ 1,592,036,111
1836	STELLA HOLDINGS	192,639	\$ 2,488,896
1848	CALC	1,064,500	\$ 8,760,835
1860	MOBVISTA	0	\$ 0
1877	JUNSHI BIO-B	1,234,999	\$ 37,976,219
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	17,880,606	\$ 310,764,932
1883	CITIC TELECOM	1,847,000	\$ 5,504,060
1888	KB LAMINATES	26,378,438	\$ 198,102,069
1896	MAOYAN ENT	919,200	\$ 11,747,376
1898	CHINA COAL	9,509,970	\$ 31,287,801
1899	XINGDA INT'L	4,108,135	\$ 8,298,433
1907	CHINA RISUN GP	0	\$ 0
1908	C&D INTL GROUP	1,392,727	\$ 12,200,289

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1910	SAMSONITE	40,997,002	\$ 704,328,494
1911	CR HOLDINGS	283,900	\$ 4,315,280
1913	PRADA	33,570,600	\$ 876,192,660
1916	JIANGXI BANK	274,500	\$ 1,356,030
1918	SUNAC	116,138,393	\$ 4,047,422,996
1919	COSCO SHIP HOLD	94,217,646	\$ 292,074,703
1928	SANDS CHINA LTD	89,726,929	\$ 3,490,377,538
1929	CHOW TAI FOOK	20,233,448	\$ 142,038,805
1958	BAIC MOTOR	37,230,907	\$ 189,505,317
1963	BCQ	1,530,809	\$ 7,057,029
1966	CHINA SCE GROUP	13,473,021	\$ 53,218,433
1970	IMAX CHINA	1,984,706	\$ 36,320,120
1972	SWIREPROPERTIES	11,429,152	\$ 304,586,901
1980	TIANGE	8,824,056	\$ 16,765,706
1988	MINSHENG BANK	249,561,584	\$ 1,395,049,255
1992	FOSUN TOURISM	1,351,139	\$ 14,484,210
1995	EVERSUNSHINE LS	1,408,000	\$ 5,702,400
1996	RSUN PPT	0	\$ 0
1997	WHARF REIC	38,309,157	\$ 1,727,742,981
1999	MAN WAH HLDGS	103,508,101	\$ 468,891,698
2001	NEW HIGHER EDU	18,958,500	\$ 61,046,370
2003	VCREDIT	344,800	\$ 3,072,168
2005	SSY GROUP	44,058,845	\$ 292,991,319
2006	JINJIANGCAPITAL	8,542,000	\$ 10,933,760
2007	COUNTRY GARDEN	561,588,948	\$ 5,896,683,954
2008	PHOENIX TV	1,310,000	\$ 877,700
2009	BBMG	131,694,640	\$ 317,384,082
2013	WEIMOB INC	35,034,531	\$ 148,546,411
2016	CZBANK	0	\$ 0
2018	AAC TECH	100,066,666	\$ 4,097,729,973
2019	DEXIN CHINA	0	\$ 0
2020	ANTA SPORTS	14,779,211	\$ 934,046,135
2038	FIH	267,979,108	\$ 326,934,512
2039	CIMC	3,460,298	\$ 24,118,277
2048	E-HOUSE ENT	5,246,100	\$ 48,526,425
2051	51 CREDIT CARD	2,047,000	\$ 7,983,300
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 9,614,000
2099	CHINAGOLDINTL	543,300	\$ 4,639,782
2128	CHINA LESSO	9,823,000	\$ 70,529,140
2139	BANK OF GANSU	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2183	SANSHENG HLDG	0	\$ 0
2186	LUYE PHARMA	200,175,035	\$ 1,263,104,471
2196	FOSUN PHARMA	45,127,257	\$ 1,055,977,814
2198	CHINA SANJIANG	1,656,000	\$ 2,434,320
2199	REGINA MIRACLE	9,025,115	\$ 48,464,868
2202	CHINA VANKE	49,863,992	\$ 1,433,589,770
2208	GOLDWIND	25,970,929	\$ 269,058,824
2222	NVC LIGHTING	871,000	\$ 871,000
2226	HONWORLD GP	0	\$ 0
2232	CRYSTAL INTL	4,502,749	\$ 14,633,934
2233	WESTCHINACEMENT	13,034,250	\$ 17,726,580
2238	GAC GROUP	379,854,033	\$ 3,228,759,281
2255	HAICHANG HLDG	2,325,000	\$ 2,790,000
2269	WUXI BIO	20,769,875	\$ 1,661,590,000
2280	HC GROUP	36,672,743	\$ 105,250,772
2282	MGM CHINA	141,117,417	\$ 1,857,105,208
2283	TK GROUP HLDG	186,444	\$ 702,894
2298	COSMO LADY	5,101,364	\$ 6,835,828
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	642,000	\$ 3,152,220
2313	SHENZHOU INTL	7,852,249	\$ 884,163,237
2314	LEE & MAN PAPER	31,864,602	\$ 149,444,983
2318	PING AN	1,208,566,469	\$ 114,934,671,202
2319	MENGNU DAIRY	61,789,720	\$ 1,906,212,862
2322	HK CHAOSHANG GP	0	\$ 0
2327	MEILLEUREHEALTH	4,836,000	\$ 2,756,520
2328	PICC P&C	86,514,015	\$ 829,669,404
2329	GUORUI PPT	14,418,112	\$ 20,329,538
2331	LI NING	42,252,702	\$ 944,347,890
2333	GREATWALL MOTOR	513,297,812	\$ 2,956,595,397
2338	WEICHAJ POWER	123,417,681	\$ 1,574,809,610
2342	COMBA	54,110,193	\$ 102,809,367
2343	PACIFIC BASIN	203,752,639	\$ 356,567,118
2356	DAHSING BANKING	1,428,682	\$ 16,715,579
2357	AVICHINA	61,609,685	\$ 262,457,258
2359	WUXI APPTEC	15,537,519	\$ 1,259,315,915
2362	JINCHUAN INTL	46,913,000	\$ 31,431,710
2378	PRU	0	\$ 0
2380	CHINA POWER	52,954,517	\$ 94,259,040
2382	SUNNY OPTICAL	34,205,705	\$ 4,084,161,177
2383	TOM GROUP	0	\$ 0

**Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2386	SINOPEC SEG	8,582,082	\$ 44,026,081
2388	BOC HONG KONG	58,534,073	\$ 1,682,854,599
2399	FORDOO	0	\$ 0
2552	HUA MEDICINE-B	0	\$ 0
2588	BOC AVIATION	12,201,997	\$ 929,792,171
2600	CHALCO	149,575,736	\$ 418,812,061
2601	CPIC	155,546,137	\$ 5,133,022,521
2607	SH PHARMA	8,260,002	\$ 123,900,030
2608	SUNSHINE 100	3,520,000	\$ 5,244,800
2611	GTJA	11,183,478	\$ 148,292,918
2616	CSTONE PHARMA-B	498,500	\$ 6,101,640
2628	CHINA LIFE	82,466,257	\$ 1,632,831,889
2638	HKELECTRIC-SS	100,799,117	\$ 770,105,254
2662	CAMSING INTL	7,849,920	\$ 9,105,907
2666	UNI MEDICAL	29,147,403	\$ 167,889,041
2669	CHINA OVS PPT	46,920,656	\$ 196,128,342
2678	TEXHONG TEXTILE	1,715,000	\$ 13,034,000
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	15,564,610	\$ 1,248,281,722
2689	ND PAPER	149,410,625	\$ 1,029,439,206
2698	WEIQIAO TEXTILE	304,000	\$ 656,640
2727	SH ELECTRIC	55,204,393	\$ 144,635,510
2768	JIAYUAN INTL	23,717,889	\$ 78,506,213
2777	R&F PROPERTIES	73,535,328	\$ 960,371,384
2778	CHAMPION REIT	12,727,446	\$ 66,564,543
2799	CHINA HUARONG	287,941,827	\$ 385,842,048
2800	TRACKER FUND	25,986,133	\$ 732,808,951
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	MR CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	30,501,445	\$ 464,231,993
2823	ISHARES A50	114,334,363	\$ 1,735,595,630

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	MR CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	4,049,050	\$ 443,775,880
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	34,595,000	\$ 59,157,450
2866	COSCO SHIP DEV	60,636,381	\$ 56,391,834
2868	BJ CAPITAL LAND	28,441,000	\$ 79,350,390
2869	GREENTOWN SER	51,154,724	\$ 394,402,922
2877	SHINEWAY PHARM	3,403,934	\$ 26,210,292
2880	DALIAN PORT	2,642,000	\$ 2,668,420
2883	CHINA OILFIELD	49,649,651	\$ 473,161,174
2888	STANCHART	2,691,853	\$ 178,066,076
2899	ZIJIN MINING	76,054,876	\$ 222,080,238
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA US TSY	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	48,764,214	\$ 2,211,457,105
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBOND	0	\$ 0
3301	RONSHINECHINA	8,145,174	\$ 75,505,763
3306	JNBY	1,298,000	\$ 15,576,000
3308	GOLDEN EAGLE	861,719	\$ 7,453,869
3309	C-MER EYE	3,593,000	\$ 18,935,110
3311	CHINA STATE CON	135,543,830	\$ 1,078,928,887
3313	ARTGO HOLDINGS	1,790,000	\$ 16,969,200
3319	A-LIVING	5,919,563	\$ 98,856,702
3320	CHINARES PHARMA	12,482,927	\$ 99,239,270
3323	CNBM	77,083,413	\$ 568,875,588
3328	BANKCOMM	70,494,370	\$ 384,194,317
3331	VINDA INT'L	382,485	\$ 5,186,497
3333	EVERGRANDE	256,836,693	\$ 4,623,060,474
3336	JU TENG INTL	2,572,000	\$ 4,758,200
3337	ANTON OILFIELD	73,352,560	\$ 61,616,150

### Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3339	LONKING	61,907,861	\$ 128,149,272
3360	FE HORIZON	11,610,030	\$ 86,610,824
3369	QHD PORT	0	\$ 0
3377	SINO-OCEAN GP	58,001,444	\$ 168,784,202
3380	LOGAN PPT	43,875,879	\$ 513,347,784
3382	TIANJINPORT DEV	4,788,000	\$ 3,495,240
3383	AGILE GROUP	85,940,732	\$ 850,813,247
3393	WASION HOLDINGS	2,138,000	\$ 6,713,320
3396	LEGENDHOLDING	8,568,819	\$ 154,067,366
3606	FUYAO GLASS	12,254,210	\$ 287,973,935
3613	TONGRENTANGCM	2,639,000	\$ 32,934,720
3618	CQRC BANK	47,168,658	\$ 205,655,349
3633	ZHONGYU GAS	4,116,997	\$ 31,659,707
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	337,860	\$ 1,739,979
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	16,204,921	\$ 113,596,496
3683	GREAT HARVEST	2,690,000	\$ 4,304,000
3690	MEITUAN-W	101,821,600	\$ 7,494,069,760
3698	HUIZHANG BANK	0	\$ 0
3700	INKE	11,647,000	\$ 13,859,930
3709	EEKA FASHION	0	\$ 0
3799	DALI FOODS	60,799,845	\$ 325,279,171
3800	GCL-POLY ENERGY	923,610,339	\$ 327,881,670
3808	SINOTRUK	106,672,435	\$ 1,275,802,323
3813	POU SHENG INT'L	10,167,420	\$ 24,605,156
3818	CHINA DONGXIANG	19,219,976	\$ 16,336,980
3836	HARMONY AUTO	26,890,388	\$ 72,604,048
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	55,295,929	\$ 528,629,081
3888	KINGSOFT	199,643,142	\$ 3,433,862,042
3898	CRRC TIMES ELEC	10,879,204	\$ 373,156,697
3899	CIMC ENRIC	4,288,008	\$ 21,440,040
3900	GREENTOWN CHINA	60,094,808	\$ 398,428,577
3908	CICC	127,826,442	\$ 2,073,344,889
3918	NAGACORP	14,252,245	\$ 181,573,601
3933	UNITED LAB	105,745,060	\$ 495,944,331
3958	DFZQ	5,108,800	\$ 27,383,168
3968	CM BANK	141,371,136	\$ 5,471,062,963
3969	CHINA CRSC	41,469,744	\$ 209,007,510
3983	CHINA BLUECHEM	28,954,074	\$ 63,409,422

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3988	BANK OF CHINA	193,459,709	\$ 619,071,069
3990	MIDEA REAL EST	283,599	\$ 6,069,019
3993	CMOC	501,406,924	\$ 1,373,854,972
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	99,874,763	\$ 315,604,251
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	230,370,031	\$ 3,801,105,512
6055	CTIHK	7,699,000	\$ 174,767,300
6060	ZA ONLINE	56,657,937	\$ 1,186,983,780
6066	CSC	18,810,500	\$ 120,010,990
6068	WISDOM EDU INTL	3,256,000	\$ 10,972,720
6088	FIT HON TENG	7,012,000	\$ 24,962,720
6098	CG SERVICES	68,997,093	\$ 1,593,832,848
6099	CMSC	3,197,532	\$ 30,664,332
6100	TALENT LIEPIN	3,945,200	\$ 74,958,800
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	24,854,000	\$ 128,743,720
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	0	\$ 0
6169	YUHUA EDU	282,551,710	\$ 1,169,764,079
6178	EB SECURITIES	4,601,204	\$ 31,150,151
6185	CANSINO BIO-B	68,000	\$ 2,410,600
6190	BANK OF JIUJIANG	0	\$ 0
6196	BANK OF ZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,755,212	\$ 18,100,122
6806	SWHY	0	\$ 0
6808	SUNART RETAIL	101,491,042	\$ 847,450,201
6818	CEB BANK	43,698,046	\$ 156,002,024
6823	HKT-SS	21,916,213	\$ 281,404,175
6826	HAOHAI BIOTEC	400,723	\$ 14,806,715
6828	BG BLUE SKY	86,848,541	\$ 16,414,374
6837	HAITONG SEC	104,749,821	\$ 972,078,339
6862	HAIDILAO	29,017,692	\$ 1,044,636,912
6865	FLAT GLASS	1,044,000	\$ 4,718,880

Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6868	TENFU	0	\$ 0
6869	YOFC	19,493,484	\$ 277,197,342
6881	CGS	129,258,947	\$ 598,468,925
6886	HTSC	126,109,983	\$ 1,654,562,977
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7500	FI2 CSOP HSI	11,239,300	\$ 76,202,454
7522	FI2CAMDXNNDQ100	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	230,345,976	\$ 117,476,448
8137	HONBRIDGE	15,038,624	\$ 9,925,492
8279	AGTECH HOLDINGS	0	\$ 0
8283	ZHENG LI HLDG	3,175,000	\$ 3,111,500
8469	SHENGYE CAPITAL	1,250,000	\$ 9,537,500
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCICUSD-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9077	PREMIA US TSY-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWECOM-U	0	\$ 0
9181	PREMIAASIAEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP CTPBBOND-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9820	MR CN BIOTECH-U	0	\$ 0
9826	MR CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 13/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0