

**Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,174,412	\$ 1,077,666,902
2	CLP HOLDINGS	22,722,007	\$ 1,959,773,104
3	HK & CHINA GAS	178,120,193	\$ 2,810,736,646
4	WHARF HOLDINGS	36,105,701	\$ 756,414,436
5	HSBC HOLDINGS	107,146,726	\$ 7,168,115,969
6	POWER ASSETS	17,895,110	\$ 948,440,830
8	PCCW	43,590,831	\$ 200,081,914
10	HANG LUNG GROUP	5,700,510	\$ 123,701,067
11	HANG SENG BANK	10,166,100	\$ 1,835,997,660
12	HENDERSON LAND	18,367,921	\$ 738,390,424
14	HYSAN DEV	3,632,691	\$ 135,862,643
16	SHK PPT	14,277,880	\$ 1,591,983,620
17	NEW WORLD DEV	84,180,491	\$ 885,578,765
19	SWIRE PACIFIC A	17,334,116	\$ 1,499,401,034
20	WHEELLOCK	4,959,082	\$ 222,662,782
23	BANK OF E ASIA	18,773,681	\$ 494,686,494
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	66,576,872	\$ 3,199,018,700
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	8,528,000	\$ 4,519,840
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	3,681,852	\$ 13,438,760
41	GREAT EAGLE H	160,768	\$ 5,128,499
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	3,278,934	\$ 39,478,365
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	207,500	\$ 5,644,000
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	536,500	\$ 14,190,425
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	35,473,805	\$ 1,440,236,483
69	SHANGRI-LA ASIA	18,934,122	\$ 210,168,754
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	201,007	\$ 900,511
81	CH OVS G OCEANS	29,599,670	\$ 81,399,093

## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83	SINO LAND	43,035,088	\$ 579,252,284
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	3,964,286	\$ 8,681,786
101	HANG LUNG PPT	32,416,750	\$ 513,481,320
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	2,802,000	\$ 6,808,860
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	6,459,319	\$ 17,181,789
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	68,445,223	\$ 99,245,573
127	CHINESE EST H	5,201,713	\$ 45,775,074
129	ASIA STANDARD	0	\$ 0
133	CHINA MERCHANTS	34,000	\$ 328,100
135	KUNLUN ENERGY	402,229,149	\$ 3,809,110,041
136	HENGTEN NET	1,128,744,875	\$ 304,761,116
142	FIRST PACIFIC	33,161,633	\$ 100,148,132
144	CHINA MER PORT	50,267,230	\$ 714,800,011
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	6,162,618	\$ 137,734,512
151	WANT WANT CHINA	460,657,053	\$ 2,657,991,196
152	SHENZHEN INT'L	11,243,108	\$ 176,516,796
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	3,240,000	\$ 13,802,400
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	4,891,519	\$ 71,318,347
168	TSINGTAO BREW	20,765,447	\$ 661,379,487
171	SILVER GRANT	28,532,000	\$ 49,645,680
173	K. WAH INT'L	29,175,957	\$ 111,743,915
175	GEELY AUTO	167,594,500	\$ 2,584,307,190
177	JIANGSU EXPRESS	6,429,073	\$ 67,633,848
178	SA SA INT'L	58,770,219	\$ 186,301,594
179	JOHNSON ELEC H	2,233,553	\$ 39,087,178
182	CONCORD NE	19,150,494	\$ 5,649,396
189	DONGYUE GROUP	6,801,396	\$ 28,905,933
194	LIU CHONG HING	436,000	\$ 5,118,640
196	HONGHUA GROUP	51,328,249	\$ 25,407,483
198	SMI HOLDINGS	27,682,839	\$ 64,777,843
200	MELCO INT'L DEV	29,986,319	\$ 470,785,208
207	JOY CITY PPT	0	\$ 0

**Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
215	HUTCHTEL HK	78,402,947	\$ 230,504,664
220	U-PRESID CHINA	52,591,792	\$ 374,979,477
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,849,159	\$ 2,496,365
241	ALI HEALTH	196,767,566	\$ 1,377,372,962
242	SHUN TAK HOLD	17,399,384	\$ 44,716,417
245	CN MINSHENG FIN	13,985,496	\$ 3,258,621
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	442,000	\$ 4,490,720
256	CITYCHAMP	5,114,000	\$ 8,489,240
257	CHINA EB INT'L	201,844,266	\$ 1,380,614,779
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	85,927,266	\$ 1,079,246,461
268	KINGDEE INT'L	241,746,764	\$ 1,885,624,759
270	GUANGDONG INV	8,381,997	\$ 126,568,155
272	SHUI ON LAND	66,233,502	\$ 122,531,979
273	MASON GP HOLD	37,536,884	\$ 5,405,311
285	BYD ELECTRONIC	62,455,870	\$ 685,765,453
286	CS HEALTH	5,256,000	\$ 3,468,960
288	WH GROUP	110,804,705	\$ 632,694,866
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	61,647,003	\$ 1,633,645,580
293	CATHAY PAC AIR	18,142,693	\$ 204,649,577
297	SINOFERT	94,567,099	\$ 82,273,376
303	VTECH HOLDINGS	2,025,976	\$ 150,327,419
308	CHINA TRAVEL HK	46,534,279	\$ 100,979,385
315	SMARTONE TELE	5,429,649	\$ 52,884,781
317	COMEC	6,498,505	\$ 37,236,434
321	TEXWINCA HOLD	8,022,263	\$ 25,510,796
322	TINGYI	96,691,536	\$ 980,452,175
323	MAANSHAN IRON	235,236,000	\$ 846,849,600
327	PAX GLOBAL	42,775,315	\$ 152,280,121
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	124,128,881	\$ 225,914,563
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	6,308,185	\$ 22,772,548
337	GREENLAND HK	2,947,000	\$ 6,100,290
338	SHANGHAI PEICHEM	70,288,015	\$ 249,522,453
341	CAFE DE CORAL H	2,546,137	\$ 49,089,521

## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
342	NEWOCEAN ENERGY	38,008,540	\$ 109,844,681
345	VITASOY INT'L	1,754,000	\$ 55,601,800
347	ANGANG STEEL	172,936,638	\$ 1,105,065,117
354	CHINASOFT INT'L	101,557,465	\$ 467,164,339
358	JIANGXI COPPER	43,591,339	\$ 411,938,154
363	SHANGHAI IND H	1,033,959	\$ 17,639,341
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	36,729,891	\$ 93,661,222
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	457,032,464	\$ 2,070,357,062
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	8,026,000	\$ 802,600
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	103,242,130	\$ 2,772,051,191
386	SINOPEC CORP	416,335,620	\$ 2,768,631,873
388	HKEX	23,465,228	\$ 5,350,071,984
390	CHINA RAILWAY	135,858,034	\$ 976,819,264
392	BEIJING ENT	7,603,182	\$ 348,986,054
400	COGOBUY	17,614,699	\$ 52,844,097
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	92,576,892	\$ 270,324,525
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	75,428,194	\$ 14,105,072
425	MINTH GROUP	69,976,797	\$ 1,836,890,921
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	43,726,867	\$ 27,547,926
440	DAH SING	0	\$ 0
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	159,868,000	\$ 46,361,720
460	SIHUAN PHARM	173,802,845	\$ 302,416,950
467	UNITEDENERGY GP	6,466,000	\$ 9,569,680
468	GAPACK	0	\$ 0
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	165,212	\$ 2,177,494
489	DONGFENG GROUP	27,414,584	\$ 206,980,109
493	GOME RETAIL	1,567,140,608	\$ 1,096,998,426

**Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
494	LI & FUNG	281,333,023	\$ 450,132,837
496	KASEN	1,820,000	\$ 6,406,400
497	CSI PROPERTIES	6,940,000	\$ 2,186,100
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	18,940,643	\$ 65,345,218
508	DINGYI GP INV	40,832,680	\$ 23,682,954
511	TVB	11,502,075	\$ 197,835,690
512	CHINAGRANDPHARM	7,400,000	\$ 29,600,000
517	COSCO SHIP INTL	484,000	\$ 1,326,160
519	APPLIED DEV	844,454	\$ 506,672
520	XIABUXIABU	27,081,200	\$ 304,392,688
522	ASM PACIFIC	18,793,708	\$ 1,503,496,640
525	GUANGSHEN RAIL	11,015,440	\$ 33,707,246
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	0	\$ 0
535	GEMDALE PPT	90,386,000	\$ 66,885,640
538	AJISEN (CHINA)	8,930,120	\$ 20,985,782
546	FUFENG GROUP	77,264,636	\$ 247,246,835
547	DIGITAL DOMAIN	397,995,340	\$ 42,187,506
548	SHENZHENEXPRESS	4,187,000	\$ 32,323,640
551	YUE YUEN IND	17,973,090	\$ 408,887,798
552	CHINACOMSERVICE	9,029,720	\$ 58,964,072
563	SH IND URBAN	15,332,000	\$ 20,544,880
570	TRAD CHI MED	44,020,964	\$ 228,909,013
576	ZHEJIANGEXPRESS	8,238,611	\$ 54,127,674
579	JNCEC	11,326,000	\$ 16,762,480
580	SUN.KING ELEC	2,942,000	\$ 3,089,100
581	CHINA ORIENTAL	16,329,571	\$ 81,974,446
586	CONCH VENTURE	19,888,702	\$ 482,301,024
588	BEIJING N STAR	4,078,000	\$ 9,501,740
590	LUK FOOK HOLD	4,070,725	\$ 93,016,066
593	DREAMEAST	0	\$ 0
596	INSPUR INT'L	0	\$ 0
598	SINOTRANS	24,885,802	\$ 77,892,560
603	CHINA OIL & GAS	11,627,936	\$ 5,930,247
604	SHENZHEN INVEST	212,320,234	\$ 541,416,597
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	32,428,671	\$ 95,988,866
607	FULLSHARE	920,885,960	\$ 2,725,822,442
622	ENERCHINA HOLD	9,836,861	\$ 3,983,929
627	FULLSUN INT'L	0	\$ 0

**Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
631	SANY INT'L	2,816,000	\$ 7,124,480
636	KERRY LOG NET	1,029,223	\$ 12,947,625
639	SHOUGANG RES	29,523,151	\$ 48,417,968
650	IDG ENERGY INV	0	\$ 0
656	FOSUN INTL	31,687,890	\$ 394,197,352
658	C TRANSMISSION	30,528,883	\$ 280,865,724
659	NWS HOLDINGS	2,664,422	\$ 43,856,386
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	138,695,243	\$ 375,864,109
669	TECHTRONIC IND	21,555,148	\$ 907,471,731
670	CHINA EAST AIR	43,837,290	\$ 213,049,229
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	77,133,120	\$ 13,498,296
683	KERRY PPT	4,943,952	\$ 132,745,111
686	PANDA GREEN	78,966,992	\$ 23,295,263
687	HKICIM GROUP	4,832,000	\$ 11,838,400
688	CHINA OVERSEAS	72,556,847	\$ 1,980,801,923
689	EPI (HOLDINGS)	3,315,000	\$ 1,408,875
694	BEIJING AIRPORT	25,592,317	\$ 222,653,158
696	TRAVELSKY TECH	15,703,603	\$ 330,560,843
697	SHOUGANG INT'L	11,902,661	\$ 2,606,683
698	TONGDA GROUP	159,812,864	\$ 140,635,320
699	CAR INC	60,933,114	\$ 419,219,824
700	TENCENT	79,532,391	\$ 24,814,105,992
707	ATV HOLDINGS	11,242,000	\$ 3,372,600
708	EVERG HEALTH	7,980,000	\$ 87,939,600
709	GIORDANO INT'L	7,178,970	\$ 25,844,292
710	BOE VARITRONIX	2,096,033	\$ 4,275,907
715	CHINA OCEANWIDE	4,142,000	\$ 1,573,960
716	SINGAMAS CONT	14,460,073	\$ 15,327,677
717	EMPEROR CAPITAL	10,776,974	\$ 4,256,905
721	C FIN INT INV	17,093,738	\$ 3,196,529
728	CHINA TELECOM	282,482,611	\$ 1,189,251,792
729	FDG EVEHICLES	437,370,147	\$ 34,552,242
732	TRULY INT'L	47,390,072	\$ 55,920,285
735	CP CLEAN ENERGY	699,000	\$ 1,768,470
743	ASIA CEMENT CH	0	\$ 0
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	49,712,392	\$ 95,447,793
752	PICO FAR EAST	408,000	\$ 1,183,200
753	AIR CHINA	48,824,950	\$ 369,604,872

**Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
754	HOPSON DEV HOLD	1,679,355	\$ 10,479,175
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	78,639,017	\$ 713,255,884
763	ZTE	37,681,165	\$ 579,536,318
772	CHINA LIT	29,107,633	\$ 1,193,412,953
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	5,790,225	\$ 82,568,609
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	422,776,136	\$ 158,541,051
788	CHINA TOWER	199,108,000	\$ 230,965,280
798	CEOVU	0	\$ 0
799	IGG	12,796,740	\$ 120,801,226
806	VALUE PARTNERS	30,460,874	\$ 187,943,593
807	SIICENVIRONMENT	2,960,800	\$ 4,530,024
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,430,764	\$ 12,737,203
813	SHIMAO PROPERTY	23,067,412	\$ 446,123,748
815	CHI SILVER GP	23,961,956	\$ 20,607,282
816	HUADIAN FUXIN	6,548,100	\$ 10,215,036
817	CHINA JINMAO	155,294,193	\$ 582,353,224
818	HI SUN TECH	12,880,918	\$ 15,843,529
819	TIANNENG POWER	50,508,126	\$ 360,122,938
823	LINK REIT	25,153,255	\$ 1,873,917,498
826	TIANGONG INT'L	34,862,400	\$ 58,568,832
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	4,238,000	\$ 12,163,060
836	CHINA RES POWER	14,222,476	\$ 210,777,094
839	CHINA EDU GROUP	15,804,152	\$ 171,633,091
853	MICROPORT	22,397,382	\$ 192,617,485
855	CHINA WATER	36,329,247	\$ 319,697,374
856	VSTECS	638,000	\$ 2,552,000
857	PETROCHINA	184,909,780	\$ 1,015,154,692
860	WE SOLUTIONS	31,231,063	\$ 15,927,842
861	DC HOLDINGS	25,828,229	\$ 98,147,270
867	CMS	9,889,672	\$ 84,358,902
868	XINYI GLASS	56,670,686	\$ 476,600,469
874	BAIYUNSHAN PH	1,751,500	\$ 55,347,400
877	O-NET TECH GP	13,267,610	\$ 49,886,214
880	SJM HOLDINGS	119,529,901	\$ 842,685,802
881	ZHONGSHENG HLDG	65,631,613	\$ 1,043,542,647
882	TIANJIN DEV	3,570,000	\$ 9,710,400

## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
883	CNOOC	133,359,488	\$ 1,773,681,190
884	CIFI HOLD GP	51,615,307	\$ 202,332,003
895	DONGJIANG ENV	3,063,730	\$ 27,144,648
902	HUANENG POWER	150,312,270	\$ 733,523,878
906	COFCO PACKAGING	53,186,951	\$ 171,261,982
914	CONCH CEMENT	126,595,939	\$ 5,171,444,108
916	CHINA LONGYUAN	20,813,907	\$ 128,005,528
921	HISENSE HA	9,620,393	\$ 58,203,378
931	CHINA LNG	47,057,749	\$ 62,586,806
934	SINOPEC KANTONS	23,716,799	\$ 76,130,925
939	CCB	630,056,891	\$ 4,202,479,463
941	CHINA MOBILE	88,995,974	\$ 6,910,537,381
950	LEE'S PHARM	5,052,739	\$ 31,579,619
951	CHAOWEI POWER	26,567,568	\$ 97,768,650
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	2,479,000	\$ 5,305,060
958	HN RENEWABLES	42,550,028	\$ 104,673,069
960	LONGFOR GROUP	12,806,002	\$ 281,732,044
966	CHINA TAIPING	12,073,821	\$ 312,711,964
968	XINYI SOLAR	255,950,706	\$ 749,935,569
973	L'OCCITANE	6,258,322	\$ 93,248,998
976	CHIHO ENV	4,546,038	\$ 11,274,174
978	CHI MER LAND	1,466,000	\$ 1,685,900
981	SMIC	573,849,426	\$ 4,125,977,373
989	GROUND INTL DEV	12,655,000	\$ 4,745,625
991	DATANG POWER	58,449,433	\$ 112,222,911
992	LENOVO GROUP	501,970,098	\$ 2,836,131,054
995	ANHUIEXPRESSWAY	708,500	\$ 3,379,545
996	CARNIVAL GROUP	167,451,605	\$ 19,591,838
998	CITIC BANK	156,850,949	\$ 777,980,707
999	I.T	0	\$ 0
1003	HUANXI MEDIA	2,210,000	\$ 3,513,900
1004	C SMARTERENERGY	2,179,495	\$ 1,242,312
1030	FUTURE LAND	107,176,561	\$ 565,892,242
1031	KINGSTON FIN	5,342,000	\$ 9,989,540
1033	SINOPEC SSC	47,841,960	\$ 37,795,148
1038	CKI HOLDINGS	14,529,125	\$ 864,482,938
1044	HENGAN INT'L	56,333,071	\$ 3,602,499,890
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	64,632,461	\$ 3,425,520
1052	YUEXIUTRANSPORT	25,194,990	\$ 147,642,641



**Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1055	CHINA SOUTH AIR	100,430,666	\$ 535,295,450
1060	ALI PICTURES	1,058,620,557	\$ 1,291,517,080
1061	ESSEX BIO-TECH	859,481	\$ 4,598,223
1065	TIANJIN CAPITAL	7,310,000	\$ 22,295,500
1066	WEIGAO GROUP	24,430,946	\$ 179,567,453
1068	YURUN FOOD	11,521,570	\$ 8,180,315
1070	TCL ELECTRONICS	4,332,369	\$ 14,036,876
1071	HUADIAN POWER	53,791,597	\$ 180,201,850
1072	DONGFANG ELEC	8,136,013	\$ 35,961,177
1076	IMPERIAL PAC	68,800,000	\$ 3,990,400
1083	TOWNGAS CHINA	7,815,426	\$ 47,674,099
1086	GOODBABY INTL	36,232,580	\$ 101,451,224
1088	CHINA SHENHUA	40,598,049	\$ 705,594,092
1089	LEYOU TECH H	26,210,985	\$ 55,567,288
1093	CSPC PHARMA	93,733,765	\$ 1,484,742,838
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	24,199,786	\$ 932,901,750
1106	SINO HAIJING	16,160,000	\$ 1,228,160
1107	MODERN LAND	590,000	\$ 643,100
1109	CHINA RES LAND	34,514,914	\$ 1,000,932,506
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,585,146	\$ 431,832,844
1113	CK ASSET	19,433,577	\$ 1,095,082,064
1114	BRILLIANCE CHI	351,057,891	\$ 2,429,320,606
1115	TIBET WATER	20,282,141	\$ 41,984,032
1117	CH MODERN D	20,055,492	\$ 17,047,168
1122	QINGLING MOTORS	578,000	\$ 1,190,680
1128	WYNN MACAU	67,619,770	\$ 1,202,279,511
1129	WATER INDUSTRY	12,048,000	\$ 14,216,640
1131	AGRITRADE RES	70,540,000	\$ 109,337,000
1133	HARBIN ELECTRIC	23,651,132	\$ 57,472,251
1138	COSCO SHIP ENGY	74,117,351	\$ 313,516,395
1140	OP FINANCIAL	8,156,000	\$ 20,634,680
1141	CMBC CAPITAL	478,539,319	\$ 143,561,796
1157	ZOOMLION	19,336,674	\$ 55,109,521
1166	SOLARTECH INT'L	17,200,000	\$ 1,909,200
1168	SINOLINK HOLD	18,470,000	\$ 9,235,000
1169	HAIER ELEC	11,753,635	\$ 214,151,230
1171	YANZHOU COAL	27,411,980	\$ 192,432,100
1176	ZHUGUANG HOLD	14,302,000	\$ 19,879,780
1177	SINO BIOPHARM	170,006,411	\$ 1,213,845,775

## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1186	CHINA RAIL CONS	144,927,416	\$ 1,452,172,708
1188	HYBRID KINETIC	22,894,330	\$ 1,259,188
1193	CHINA RES GAS	39,163,900	\$ 1,235,621,045
1194	MUNSUN CAPITAL	0	\$ 0
1196	REALORD GROUP	980,138	\$ 4,832,080
1199	COSCO SHIP PORT	36,524,105	\$ 290,001,394
1205	CITIC RESOURCES	34,140,837	\$ 22,532,952
1208	MMG	118,520,706	\$ 459,860,339
1211	BYD COMPANY	133,990,331	\$ 7,858,532,913
1212	LIFESTYLE INT'L	7,469,422	\$ 93,218,387
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,450,000	\$ 1,450,000
1224	C C LAND	6,665,000	\$ 12,263,600
1230	YASHILI INT'L	11,298,924	\$ 15,027,569
1233	TIMES CHINA	1,774,000	\$ 12,950,200
1234	CHINA LILANG	0	\$ 0
1238	POWERLONG	2,968,000	\$ 9,408,560
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	166,251,446	\$ 17,456,402
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	11,543,319	\$ 67,990,149
1258	CHINFMINING	0	\$ 0
1266	XIWANG STEEL	2,975,600	\$ 4,314,620
1270	LANGHAM-SS	1,176,500	\$ 3,435,380
1282	CHINA GOLDJOY	25,532,000	\$ 8,553,220
1288	ABC	261,293,717	\$ 924,979,758
1293	GRAND BAOXIN	58,074,652	\$ 147,509,616
1297	SINOSOFT TECH	6,528,635	\$ 14,297,711
1299	AIA	131,384,478	\$ 8,388,898,920
1302	LIFETECH SCI	26,550,090	\$ 42,745,645
1308	SITC	1,273,294	\$ 8,416,473
1310	HKBN	3,442,500	\$ 41,929,650
1313	CHINARES CEMENT	81,647,069	\$ 623,783,607
1316	NEXTEER	22,204,525	\$ 261,569,305
1317	MAPLELEAF EDU	70,874,831	\$ 240,974,425
1330	DYNAGREEN ENV	13,818,725	\$ 42,423,486
1333	CHINA ZHONGWANG	110,248,455	\$ 397,996,923
1336	NCI	16,519,531	\$ 565,793,937
1337	RAZER	106,567,080	\$ 127,880,496
1339	PICC GROUP	177,961,955	\$ 599,731,788

## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1347	HUA HONG SEMI	22,920,426	\$ 380,020,663
1359	CHINA CINDA	276,298,909	\$ 580,227,709
1360	MEGAEXPO HLDG	400,000	\$ 1,408,000
1361	361 DEGREES	19,247,500	\$ 37,147,675
1363	CTEG	68,602,868	\$ 22,295,932
1368	XTEP INT'L	9,414,190	\$ 39,351,314
1375	CC SECURITIES	18,121,583	\$ 31,893,986
1378	CHINAHONGQIAO	77,648,501	\$ 376,595,230
1381	CANVEST ENV	20,581,830	\$ 82,738,957
1382	PACIFICTEXTILES	4,760,105	\$ 38,556,851
1383	SUNCITY GROUP	7,657,500	\$ 8,806,125
1385	SHANGHAI FUDAN	277,425	\$ 2,352,564
1387	RENHE COMM	60,081,726	\$ 15,020,432
1398	ICBC	381,789,203	\$ 2,122,747,969
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	21,391,899	\$ 127,281,799
1458	ZHOU HEI YA	47,749,765	\$ 189,566,567
1462	GOLD-FIN HLDG	8,863,957	\$ 15,511,925
1475	NISSIN FOODS	234,000	\$ 849,420
1478	Q TECH	21,269,540	\$ 96,776,407
1508	CHINA RE	99,489,931	\$ 162,168,588
1513	LIVZON PHARMA	2,619,979	\$ 74,538,403
1515	CR MEDICAL	18,151,302	\$ 108,907,812
1518	NC HEALTHCARE	112,500	\$ 725,625
1528	RS MACALLINE	1,367,200	\$ 9,925,872
1530	3SBIO	57,827,812	\$ 666,176,394
1548	GENSCRIPT BIO	66,796,972	\$ 908,438,819
1551	GRCB	0	\$ 0
1558	HEC PHARM	2,437,400	\$ 69,709,640
1566	CHINA ANIMATION	4,617,274	\$ 12,743,676
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1571	XIN POINT HOLD	0	\$ 0
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	25,640,995	\$ 538,460,895
1585	YADEA	17,810,000	\$ 48,799,400
1599	UCD	267,000	\$ 715,560
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0

**Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1610	COFCO MEAT	5,151,001	\$ 6,026,671
1617	NANFANG COMM	0	\$ 0
1618	MCC	36,368,209	\$ 72,372,736
1622	REDCO GROUP	18,628,813	\$ 78,613,591
1628	YUZHOU PPT	22,552,900	\$ 77,356,447
1636	CMRU	1,636,000	\$ 6,969,360
1638	KAISA GROUP	36,034,669	\$ 90,447,019
1658	PSBC	1,044,557,779	\$ 4,940,758,295
1660	ZHAOBANGJI PPT	504,000	\$ 5,231,520
1666	TONG REN TANG	12,575,919	\$ 140,850,293
1668	CHINASOUTHCITY	19,251,748	\$ 22,909,580
1680	MACAU LEGEND	7,860,000	\$ 10,768,200
1681	CONSUN PHARMA	5,339,435	\$ 30,968,723
1686	SUNEVISION	4,333,661	\$ 19,284,791
1697	SDITC	0	\$ 0
1700	SPRINGLAND	822,000	\$ 1,150,800
1717	AUSNUTRIA	11,728,316	\$ 112,826,400
1727	HEBEI CONS	434,000	\$ 1,749,020
1728	ZHENGTONGAUTO	200,688,342	\$ 923,166,373
1766	CRRC	87,938,246	\$ 641,949,196
1773	TIANLI EDU	0	\$ 0
1776	GF SEC	43,102,315	\$ 499,986,854
1777	FANTASIA	12,621,000	\$ 11,863,740
1778	COLOUR LIFE	14,436,088	\$ 61,064,652
1787	SD GOLD	0	\$ 0
1788	GUOTAI JUNAN I	206,170,739	\$ 282,453,912
1789	AK MEDICAL	5,813,118	\$ 29,879,427
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	45,696,990	\$ 347,297,124
1803	BJ SPORTS & ENT	4,117,500	\$ 11,117,250
1806	HUIFU PAYMENT	283,600	\$ 1,020,960
1810	XIAOMI-W	218,541,354	\$ 3,120,770,535
1811	CGN NEW ENERGY	1,058,000	\$ 1,280,180
1812	CHENMING PAPER	6,559,250	\$ 29,975,773
1813	KWG GROUP	42,590,820	\$ 296,432,107
1816	CGN POWER	546,247,071	\$ 1,059,719,318
1818	ZHAOJIN MINING	82,824,591	\$ 617,043,203
1828	DCH HOLDINGS	1,594,000	\$ 4,638,540
1829	CMEC	7,870,967	\$ 30,696,771
1833	PA GOODDOCTOR	17,789,300	\$ 620,846,570

**Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1836	STELLA HOLDINGS	5,193,945	\$ 39,525,921
1848	CALC	411,000	\$ 3,357,870
1862	JINGRUI HLDGS	6,522,000	\$ 14,609,280
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	8,389,264	\$ 141,107,420
1883	CITIC TELECOM	4,497,259	\$ 11,917,736
1888	KB LAMINATES	18,610,819	\$ 138,650,602
1898	CHINA COAL	32,589,663	\$ 102,005,645
1899	XINGDA INT'L	15,268,637	\$ 35,423,238
1910	SAMSONITE	32,842,503	\$ 775,083,071
1913	PRADA	7,984,802	\$ 216,787,374
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	199,364,129	\$ 5,183,467,354
1919	COSCO SHIP HOLD	151,973,090	\$ 483,274,426
1928	SANDS CHINA LTD	113,047,245	\$ 3,826,649,243
1929	CHOW TAI FOOK	8,500,400	\$ 56,102,640
1958	BAIC MOTOR	42,522,260	\$ 204,106,848
1963	BCQ	3,903,709	\$ 18,425,506
1966	CHINA SCE GROUP	7,400,673	\$ 20,721,884
1970	IMAX CHINA	6,438,842	\$ 131,352,377
1972	SWIREPROPERTIES	5,339,625	\$ 145,504,781
1980	TIANGE	5,624,596	\$ 23,623,303
1986	TSAKER CHEM	0	\$ 0
1988	MINSHENG BANK	273,254,034	\$ 1,579,408,317
1997	WHARF REIC	19,524,946	\$ 918,648,709
1999	MAN WAH HLDGS	84,457,063	\$ 287,154,014
2001	NEW HIGHER EDU	15,918,561	\$ 66,062,028
2005	SSY GROUP	27,258,746	\$ 218,887,730
2006	JINJIANG HOTELS	3,780,000	\$ 7,938,000
2007	COUNTRY GARDEN	506,670,811	\$ 4,874,173,202
2009	BBMG	211,816,657	\$ 533,777,976
2014	OZNER WATER	43,711,000	\$ 73,871,590
2016	CZBANK	0	\$ 0
2018	AAC TECH	73,909,162	\$ 4,098,263,033
2020	ANTA SPORTS	40,484,965	\$ 1,439,240,506
2038	FIH	190,181,850	\$ 178,770,939
2039	CIMC	3,219,119	\$ 27,040,600
2066	SHENGJINGBANK	530,000	\$ 2,003,400
2083	NATURE HOME	0	\$ 0
2098	ZALL SMARTCOM	4,867,000	\$ 29,396,680

## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2099	CHINAGOLDINTL	605,000	\$ 6,485,600
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	2,569,411	\$ 10,329,032
2136	LIFESTYLE CHI	12,421,106	\$ 34,282,253
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	167,981,230	\$ 1,048,202,875
2196	FOSUN PHARMA	29,902,884	\$ 807,377,868
2198	CHINA SANJIANG	2,057,200	\$ 4,052,684
2199	REGINA MIRACLE	13,320,000	\$ 79,920,000
2202	CHINA VANKE	60,237,621	\$ 1,620,392,005
2208	GOLDWIND	7,968,473	\$ 61,675,981
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	7,013,500	\$ 32,542,640
2233	WESTCHINACEMENT	14,312,714	\$ 17,604,638
2238	GAC GROUP	156,420,973	\$ 1,273,266,720
2255	HAICHANG HLDG	3,982,000	\$ 6,371,200
2268	YOUYUAN HLDGS	12,988,919	\$ 32,082,630
2269	WUXI BIO	32,962,949	\$ 2,162,369,454
2280	HC GROUP	27,978,448	\$ 134,296,550
2282	MGM CHINA	105,806,626	\$ 1,413,576,523
2283	TK GROUP HLDG	208,000	\$ 894,400
2298	COSMO LADY	18,739,664	\$ 56,593,785
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	256,000	\$ 1,536,000
2313	SHENZHO INTL	14,938,475	\$ 1,419,155,125
2314	LEE & MAN PAPER	38,385,639	\$ 272,154,181
2318	PING AN	1,267,715,360	\$ 95,966,052,752
2319	MENGNU DAIRY	55,922,598	\$ 1,353,326,872
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	89,982,584	\$ 722,560,150
2329	GUORUI PPT	8,808,268	\$ 18,145,032
2331	LI NING	47,987,600	\$ 402,136,088
2333	GREATWALL MOTOR	599,373,388	\$ 2,996,866,940
2338	WEICHA POWER	191,843,138	\$ 1,611,482,359
2342	COMBA	48,595,115	\$ 60,257,943
2343	PACIFIC BASIN	138,412,074	\$ 242,221,130
2348	DAWN RAYS PHARMA	443,000	\$ 863,850
2356	DAHSING BANKING	1,124,682	\$ 16,645,294
2357	AVICHINA	117,740,053	\$ 646,392,891
2362	JINCHUAN INTL	22,142,995	\$ 15,057,237
2378	PRU	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2380	CHINA POWER	225,152,877	\$ 412,029,765
2382	SUNNY OPTICAL	45,107,872	\$ 3,414,665,910
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	10,173,807	\$ 73,760,101
2388	BOC HONG KONG	51,778,989	\$ 1,579,259,165
2389	BJ ENT M&H	15,475,608	\$ 3,435,585
2393	YESTAR HEALTH	0	\$ 0
2399	FORDOO	0	\$ 0
2588	BOC AVIATION	8,836,173	\$ 532,821,232
2600	CHALCO	244,061,649	\$ 683,372,617
2601	CPIC	120,037,327	\$ 3,325,033,958
2607	SH PHARMA	13,349,678	\$ 257,648,785
2608	SUNSHINE 100	647,000	\$ 2,458,600
2611	GTJA	13,538,086	\$ 220,129,278
2628	CHINA LIFE	109,230,974	\$ 1,835,080,363
2633	JACOBSON PHARMA	0	\$ 0
2638	HKELECTRIC-SS	138,955,143	\$ 1,042,163,573
2662	CAMSING INTL	1,656,000	\$ 12,734,640
2666	UNI MEDICAL	48,128,317	\$ 303,208,397
2669	CHINA OVS PPT	24,854,627	\$ 55,425,818
2678	TEXHONG TEXTILE	0	\$ 0
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	14,762,465	\$ 1,037,063,166
2689	ND PAPER	48,729,348	\$ 386,423,730
2698	WEIQIAO TEXTILE	88,500	\$ 221,250
2727	SH ELECTRIC	182,932,152	\$ 486,599,524
2768	JIAYUAN INTL	48,549,734	\$ 680,667,271
2777	R&F PROPERTIES	62,533,184	\$ 757,902,190
2778	CHAMPION REIT	13,338,457	\$ 72,561,206
2779	CH XINHUA EDU	1,241,000	\$ 2,792,250
2799	CHINA HUARONG	489,251,354	\$ 787,694,680
2800	TRACKER FUND	19,639,000	\$ 524,361,300
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0

**Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	151,392,279	\$ 1,868,180,723
2823	ISHARES A50	70,395,334	\$ 848,967,728
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	1,494,350	\$ 160,642,625
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	18,557,978	\$ 37,487,116
2866	COSCO SHIP DEV	99,161,998	\$ 87,262,558
2868	BJ CAPITAL LAND	9,943,687	\$ 30,527,119
2869	GREENTOWN SER	54,012,448	\$ 363,503,775
2877	SHINeway PHARM	6,453,841	\$ 62,924,950
2880	DALIAN PORT	7,699,600	\$ 8,161,576
2883	CHINA OILFIELD	162,144,365	\$ 1,183,653,865
2888	STANCHART	1,087,540	\$ 66,774,956
2899	ZIJIN MINING	110,103,222	\$ 324,804,505
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHAREMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARES DAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARES K200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIA ASIATEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	47,437,800	\$ 1,731,479,700
3197	CAM MSCI AINC	0	\$ 0
3199	CSOP 5Y CGBOND	0	\$ 0
3301	RONSHINECHINA	8,767,668	\$ 85,747,793
3306	JNBY	3,867,500	\$ 52,520,650
3308	GOLDEN EAGLE	1,376,000	\$ 12,260,160
3309	C-MER EYE	4,282,000	\$ 25,049,700
3311	CHINA STATE CON	170,043,852	\$ 1,159,699,071
3319	A-LIVING	7,515,484	\$ 77,108,866
3320	CHINARES PHARMA	24,183,910	\$ 278,114,965
3323	CNBM	99,568,739	\$ 606,373,621
3328	BANKCOMM	106,216,560	\$ 631,988,532
3331	VINDA INT'L	1,716,685	\$ 23,140,914
3333	EVERGRANDE	367,691,102	\$ 9,063,585,664

**Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	51,250,090	\$ 50,225,088
3339	LONKING	11,479,056	\$ 23,302,484
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	27,995,155	\$ 218,082,257
3369	QHD PORT	491,500	\$ 874,870
3377	SINO-OCEAN GP	21,280,183	\$ 74,480,641
3380	LOGAN PPT	47,329,619	\$ 433,066,014
3382	TIANJINPORT DEV	1,292,000	\$ 1,098,200
3383	AGILE GROUP	75,276,322	\$ 799,434,540
3393	WASION HOLDINGS	4,507,737	\$ 18,616,954
3396	LEGENDHOLDING	5,899,955	\$ 135,993,963
3606	FUYAO GLASS	19,193,903	\$ 463,532,757
3613	TONGRENTANGCM	223,000	\$ 2,921,300
3618	CQRC BANK	50,638,919	\$ 231,419,860
3633	ZHONGYU GAS	5,198,997	\$ 28,542,494
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	55,184,471	\$ 275,922,355
3690	MEITUAN-W	104,321,112	\$ 5,471,642,324
3698	HUIZHANG BANK	0	\$ 0
3700	INKE	0	\$ 0
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	98,701,030	\$ 569,504,943
3800	GCL-POLY ENERGY	965,489,993	\$ 521,364,596
3808	SINOTRUK	186,545,296	\$ 2,395,241,601
3813	POU SHENG INT'L	41,049,100	\$ 61,163,159
3818	CHINA DONGXIANG	51,974,018	\$ 64,967,523
3836	HARMONY AUTO	48,897,000	\$ 149,624,820
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	23,468,979	\$ 117,344,895
3888	KINGSOFT	84,373,470	\$ 1,103,604,988
3898	CRRC TIMES ELEC	22,239,045	\$ 934,039,890
3899	CIMC ENRIC	9,878,000	\$ 62,428,960
3900	GREENTOWN CHINA	14,824,244	\$ 94,578,677
3908	CICC	84,369,960	\$ 1,255,425,005
3918	NAGACORP	4,476,000	\$ 37,777,440
3933	UNITED LAB	150,297,862	\$ 793,572,711
3948	YITAI COAL	0	\$ 0
3958	DFZQ	8,511,983	\$ 45,794,469
3968	CM BANK	191,938,381	\$ 6,209,206,625
3969	CHINA CRSC	50,022,092	\$ 276,622,169
3983	CHINA BLUECHEM	8,658,800	\$ 21,647,000

## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3988	BANK OF CHINA	242,864,937	\$ 830,598,085
3993	CMOC	264,870,990	\$ 844,938,458
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	23,719,399	\$ 32,495,577
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	128,129,247	\$ 1,870,687,006
6060	ZA ONLINE	31,593,746	\$ 1,012,579,559
6066	CSC	9,712,500	\$ 46,425,750
6068	WISDOM EDU INTL	5,524,000	\$ 17,676,800
6088	FIT HON TENG	5,804,000	\$ 19,443,400
6098	CG SERVICES	36,243,244	\$ 469,712,442
6099	CMSC	8,256,938	\$ 88,349,237
6100	TALENT LIEPIN	1,420,600	\$ 42,404,910
6116	LA CHAPELLE	388,200	\$ 2,678,580
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	0	\$ 0
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	30,762,000	\$ 100,591,740
6178	EB SECURITIES	2,027,800	\$ 15,391,002
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,269,021	\$ 14,645,214
6808	SUNART RETAIL	162,141,932	\$ 1,417,120,486
6818	CEB BANK	81,150,784	\$ 281,593,220
6823	HKT-SS	19,700,942	\$ 223,014,663
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	94,916,756	\$ 23,254,605
6837	HAITONG SEC	155,700,984	\$ 1,264,291,990
6858	HONMAGOLF	916,000	\$ 7,273,040
6868	TENFU	0	\$ 0
6869	YOFC	17,745,353	\$ 374,426,948
6878	DIFFER GROUP	0	\$ 0
6881	CGS	371,403,526	\$ 1,559,894,809
6886	HTSC	66,553,508	\$ 861,202,394
6889	DYNAM JAPAN	0	\$ 0

**Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	7,200,000	\$ 47,736,000
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	6,766,700	\$ 39,043,859
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	6,300,000	\$ 30,492,000
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	6,600,000	\$ 33,330,000
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	6,350,976	\$ 5,398,330
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	0	\$ 0
8083	CHINA YOUZAN	201,993,820	\$ 123,216,230
8137	HONBRIDGE	17,840,624	\$ 18,732,655
8207	CHONGSING HLDGS	41,458,342	\$ 9,411,044
8265	CHINA TRUSTFUL	0	\$ 0
8279	AGTECH HOLDINGS	8,647,423	\$ 5,274,928
8283	ZHENG LI HLDG	245,000	\$ 1,641,500
8293	SINGASIA HLDG	0	\$ 0
8407	CISI FIN	0	\$ 0
8469	SHENGYE CAPITAL	176,000	\$ 1,117,600
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARD DVEUR-U	0	\$ 0
9115	ISHARES HSI-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9181	PREMIAASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 30/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0