



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	12,750,415	\$ 1,085,697,837
2	CLP HOLDINGS	23,999,691	\$ 2,090,373,086
3	HK & CHINA GAS	201,456,325	\$ 3,005,728,369
4	WHARF HOLDINGS	38,104,454	\$ 758,278,635
5	HSBC HOLDINGS	76,917,140	\$ 5,264,978,233
6	POWER ASSETS	15,741,643	\$ 842,177,901
8	PCCW	52,072,957	\$ 228,079,552
10	HANG LUNG GROUP	8,783,839	\$ 176,555,164
11	HANG SENG BANK	9,546,864	\$ 2,001,022,694
12	HENDERSON LAND	19,393,244	\$ 751,488,205
14	HYSAN DEV	2,808,137	\$ 110,781,005
16	SHK PPT	18,325,764	\$ 2,008,503,734
17	NEW WORLD DEV	89,073,344	\$ 929,925,711
19	SWIRE PACIFIC A	19,175,475	\$ 1,588,688,104
20	WHEELLOCK	3,131,890	\$ 140,308,672
23	BANK OF E ASIA	26,649,571	\$ 744,855,509
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	76,997,874	\$ 3,730,546,995
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	7,170,000	\$ 4,445,400
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,496,833	\$ 5,672,997
41	GREAT EAGLE H	397,768	\$ 14,816,858
43	C.P. POKPHAND	0	\$ 0
44	HAECO	194,000	\$ 13,628,500
45	HK&S HOTELS	2,985,102	\$ 32,239,102
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	211,990	\$ 5,776,728
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,157,500	\$ 29,226,875
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	43,946,439	\$ 1,768,844,170
69	SHANGRI-LA ASIA	18,999,333	\$ 214,692,463
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	191,007	\$ 819,420



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81	CH OVS G OCEANS	43,000,135	\$ 104,060,327
83	SINO LAND	45,871,086	\$ 584,397,636
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	4,386,286	\$ 10,790,264
101	HANG LUNG PPT	34,203,496	\$ 508,948,020
102	SUMMIT ASCENT	27,258,706	\$ 27,258,706
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	4,370,081	\$ 10,269,690
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	12,549,189	\$ 33,129,859
120	COSMOPOL INT'L	0	\$ 0
121	C.P. LOTUS	0	\$ 0
123	YUEXIU PROPERTY	66,093,977	\$ 88,565,929
127	CHINESE EST H	6,036,213	\$ 53,722,296
129	ASIA STANDARD	322,000	\$ 518,420
133	CHINA MERCHANTS	34,000	\$ 335,920
135	KUNLUN ENERGY	324,129,360	\$ 2,943,094,589
136	HENGTEN NET	1,218,123,295	\$ 371,527,605
142	FIRST PACIFIC	39,716,106	\$ 156,481,458
144	CHINA MER PORT	44,477,356	\$ 635,136,644
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	7,322,015	\$ 178,657,166
151	WANT WANT CHINA	562,853,068	\$ 3,641,659,350
152	SHENZHEN INT'L	14,272,293	\$ 220,935,096
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	4,200,000	\$ 17,052,000
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	5,677,276	\$ 77,778,681
168	TSINGTAO BREW	24,525,504	\$ 855,940,090
169	WANDA HOTEL DEV	0	\$ 0
171	SILVER GRANT	26,316,000	\$ 44,737,200
173	K. WAH INT'L	16,667,501	\$ 59,836,329
175	GEELY AUTO	135,098,460	\$ 1,942,715,855
177	JIANGSU EXPRESS	3,984,986	\$ 38,614,514
178	SA SA INT'L	24,588,173	\$ 103,270,327
179	JOHNSON ELEC H	1,582,803	\$ 33,001,443
182	CONCORD NE	33,280,494	\$ 10,649,758
189	DONGYUE GROUP	12,941,244	\$ 66,517,994
191	LAI SUN INT'L	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	42,262,337	\$ 25,780,026
198	SMI HOLDINGS	27,473,239	\$ 64,287,379
200	MELCO INT'L DEV	24,743,006	\$ 387,475,474
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	85,124,947	\$ 257,077,340
220	U-PRESID CHINA	51,091,022	\$ 416,391,829
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,250,000	\$ 1,625,000
232	AVIC INT'L	184,788,101	\$ 38,805,501
235	CHINA STRATEGIC	112,540,000	\$ 7,877,800
241	ALI HEALTH	217,369,827	\$ 1,515,067,694
242	SHUN TAK HOLD	16,627,384	\$ 43,231,198
245	CN MINSHENG FIN	34,645,743	\$ 10,393,723
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	708,000	\$ 7,292,400
256	CITYCHAMP	4,920,000	\$ 8,167,200
257	CHINA EB INT'L	190,077,444	\$ 1,322,939,010
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
265	ORIENT VICTORY	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	42,401,481	\$ 496,945,357
268	KINGDEE INT'L	253,525,243	\$ 1,977,496,895
270	GUANGDONG INV	11,398,622	\$ 158,668,818
272	SHUI ON LAND	87,438,639	\$ 153,892,005
273	MASON GP HOLD	42,316,884	\$ 5,797,413
285	BYD ELECTRONIC	79,774,712	\$ 861,566,890
286	CS HEALTH	3,124,000	\$ 2,436,720
288	WH GROUP	104,047,312	\$ 617,000,560
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	46,042,676	\$ 1,312,216,266
293	CATHAY PAC AIR	23,173,693	\$ 264,180,100
295	KONG SUN HOLD	0	\$ 0
297	SINOFERT	107,399,099	\$ 103,103,135
303	VTECH HOLDINGS	1,105,644	\$ 96,688,568
308	CHINA TRAVEL HK	41,102,072	\$ 98,644,973
315	SMARTONE TELE	10,480,205	\$ 108,155,716
316	OOIL	302,436	\$ 14,683,268
317	COMEC	7,167,005	\$ 44,077,081
321	TEXWINCA HOLD	16,220,309	\$ 50,607,364



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
322	TINGYI	110,283,857	\$ 1,559,413,738
323	MAANSHAN IRON	77,024,697	\$ 325,044,221
327	PAX GLOBAL	56,226,125	\$ 220,968,671
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	138,274,612	\$ 284,845,701
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	6,232,185	\$ 25,364,993
337	GREENLAND HK	8,472,325	\$ 18,723,838
338	SHANGHAI PECEM	85,593,318	\$ 386,881,797
340	TONGGUAN GOLD	0	\$ 0
341	CAFE DE CORAL H	3,523,907	\$ 61,879,807
342	NEWOCEAN ENERGY	39,164,972	\$ 99,087,379
345	VITASOY INT'L	4,657,584	\$ 122,028,701
347	ANGANG STEEL	184,212,933	\$ 1,245,279,427
354	CHINASOFT INT'L	89,849,534	\$ 408,815,380
358	JIANGXI COPPER	52,971,355	\$ 474,623,341
363	SHANGHAI IND H	226,368	\$ 3,866,365
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	33,688,000	\$ 88,262,560
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	495,974,961	\$ 2,023,577,841
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	14,156,000	\$ 1,783,656
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	89,452,753	\$ 1,963,487,928
386	SINOPEC CORP	349,991,440	\$ 2,551,437,598
388	HKEX	20,195,527	\$ 4,317,803,673
390	CHINA RAILWAY	98,487,357	\$ 778,050,120
392	BEIJING ENT	7,622,113	\$ 323,177,591
400	COGOBUY	20,411,562	\$ 57,356,489
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	114,652,194	\$ 341,663,538
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	121,898,194	\$ 35,350,476
425	MINTH GROUP	75,345,172	\$ 2,203,846,281
433	NORTH MINING	222,563,290	\$ 8,902,532
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	58,912,867	\$ 38,882,492



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
440	DAH SING	384,400	\$ 18,489,640
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	189,028,240	\$ 51,982,766
460	SIHUAN PHARM	148,733,267	\$ 243,922,558
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	770,000	\$ 3,880,800
480	HKR INT'L	853,476	\$ 3,362,695
486	RUSAL	0	\$ 0
488	LAI SUN DEV	377,746	\$ 4,223,200
489	DONGFENG GROUP	31,198,924	\$ 253,959,241
493	GOME RETAIL	1,646,146,021	\$ 1,300,455,357
494	LI & FUNG	471,405,299	\$ 839,101,432
496	KASEN	1,267,000	\$ 4,295,130
497	CSI PROPERTIES	9,530,000	\$ 3,430,800
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	26,455,050	\$ 101,322,842
508	DINGYI GP INV	41,051,430	\$ 22,578,287
511	TVB	13,200,143	\$ 293,703,182
512	CHINAGRANDPHARM	3,276,000	\$ 14,840,280
517	COSCO SHIP INTL	484,000	\$ 1,335,840
519	APPLIED DEV	849,454	\$ 552,145
520	XIABUXIABU	13,465,881	\$ 143,007,656
521	CWT INT'L	8,940,000	\$ 1,716,480
522	ASM PACIFIC	22,168,905	\$ 1,738,042,152
525	GUANGSHEN RAIL	5,984,900	\$ 19,929,717
526	LISI GP HOLD	0	\$ 0
535	GEMDALE PPT	103,022,000	\$ 72,115,400
538	AJISEN (CHINA)	8,991,120	\$ 28,951,406
546	FUFENG GROUP	85,429,636	\$ 325,486,913
547	DIGITAL DOMAIN	497,655,340	\$ 58,723,330
548	SHENZHENEXPRESS	2,624,172	\$ 19,340,148
551	YUE YUEN IND	30,402,335	\$ 644,529,502
552	CHINACOMSERVICE	16,526,000	\$ 116,177,780
563	SH IND URBAN	19,596,000	\$ 25,278,840
570	TRAD CHI MED	44,010,506	\$ 218,292,110
572	FUTURE WORLD FH	46,386,699	\$ 3,757,323
576	ZHEJIANGEXPRESS	12,599,334	\$ 85,171,498
579	JNCEC	15,073,058	\$ 22,609,587
580	SUN.KING ELEC	3,434,000	\$ 4,429,860
581	CHINA ORIENTAL	4,008,000	\$ 25,651,200
586	CONCH VENTURE	27,068,160	\$ 686,177,856



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588	BEIJING N STAR	6,060,000	\$ 13,938,000
590	LUK FOOK HOLD	4,811,725	\$ 130,638,334
598	SINOTRANS	19,237,298	\$ 57,711,894
603	CHINA OIL & GAS	11,847,936	\$ 6,753,324
604	SHENZHEN INVEST	263,272,057	\$ 631,852,937
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	37,072,240	\$ 106,768,051
607	FULLSHARE	990,013,496	\$ 3,494,747,641
613	YUGANG INT'L	0	\$ 0
622	ENERCHINA HOLD	9,798,158	\$ 3,919,263
627	FULLSUN INT'L	0	\$ 0
636	KERRY LOG NET	597,532	\$ 7,827,669
639	SHOUGANG RES	29,663,151	\$ 51,613,883
650	IDG ENERGY INV	0	\$ 0
656	FOSUN INTL	25,400,192	\$ 335,282,534
658	C TRANSMISSION	28,805,910	\$ 238,800,994
659	NWS HOLDINGS	1,642,370	\$ 25,062,566
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	118,115,794	\$ 307,101,064
669	TECHTRONIC IND	9,818,412	\$ 468,829,173
670	CHINA EAST AIR	74,867,700	\$ 348,883,482
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	94,733,120	\$ 18,567,692
683	KERRY PPT	5,633,326	\$ 146,466,476
686	PANDA GREEN	82,261,992	\$ 27,557,767
687	HKICIM GROUP	783,079	\$ 1,409,542
688	CHINA OVERSEAS	55,233,549	\$ 1,297,988,402
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	55,963,830	\$ 474,573,278
696	TRAVELSKY TECH	17,984,322	\$ 324,077,482
697	SHOUGANG INT'L	32,876,910	\$ 6,082,228
698	TONGDA GROUP	176,037,210	\$ 200,682,419
699	CAR INC	67,520,728	\$ 415,252,477
700	TENCENT	87,179,212	\$ 26,589,659,660
707	ATV HOLDINGS	15,664,000	\$ 4,229,280
708	EVERG HEALTH	11,316,274	\$ 118,820,877
709	GIORDANO INT'L	7,234,970	\$ 28,216,383
710	BOE VARITRONIX	3,172,845	\$ 8,090,755
715	CHINA OCEANWIDE	5,158,000	\$ 1,934,250
716	SINGAMAS CONT	10,868,281	\$ 12,281,158
717	EMPEROR CAPITAL	10,692,974	\$ 4,170,260



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721	C FIN INT INV	21,664,658	\$ 4,202,944
728	CHINA TELECOM	103,031,941	\$ 406,976,167
729	FDG EVEHICLES	472,899,520	\$ 43,033,856
732	TRULY INT'L	58,101,612	\$ 70,883,967
735	CP CLEAN ENERGY	676,000	\$ 1,825,200
743	ASIA CEMENT CH	0	\$ 0
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	89,674,886	\$ 193,697,754
752	PICO FAR EAST	408,000	\$ 1,264,800
753	AIR CHINA	73,886,298	\$ 517,942,949
754	HOPSON DEV HOLD	1,422,647	\$ 9,232,979
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	133,771,991	\$ 1,211,974,238
763	ZTE	52,020,566	\$ 657,539,954
772	CHINA LIT	21,542,530	\$ 997,419,139
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	8,304,725	\$ 127,062,293
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	356,046,132	\$ 138,857,991
788	CHINA TOWER	644,161,227	\$ 734,343,799
798	CEOVU	0	\$ 0
799	IGG	23,542,470	\$ 220,592,944
806	VALUE PARTNERS	31,979,521	\$ 187,719,788
807	SIICENVIRONMENT	3,949,400	\$ 6,792,968
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	5,644,764	\$ 29,239,878
813	SHIMAO PROPERTY	19,483,248	\$ 340,567,175
815	CHI SILVER GP	24,299,956	\$ 25,514,954
816	HUADIAN FUXIN	7,922,345	\$ 12,279,635
817	CHINA JINMAO	168,723,171	\$ 570,284,318
818	HI SUN TECH	9,968,774	\$ 10,168,149
819	TIANNENG POWER	46,026,426	\$ 300,552,562
823	LINK REIT	26,284,270	\$ 1,864,868,957
826	TIANGONG INT'L	28,586,400	\$ 49,454,472
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	1,860,000	\$ 5,580,000
836	CHINA RES POWER	9,259,016	\$ 123,885,634
839	CHINA EDU GROUP	19,362,000	\$ 193,620,000
845	GLORIOUS PPT H	15,978,646	\$ 6,391,458
853	MICROPORT	12,827,760	\$ 121,222,332
855	CHINA WATER	29,644,712	\$ 236,268,355



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856	VSTECs	0	\$ 0
857	PETROCHINA	213,887,544	\$ 1,332,519,399
860	WE SOLUTIONS	55,101,900	\$ 52,346,805
861	DC HOLDINGS	32,013,262	\$ 134,135,568
862	VISION VALUES	0	\$ 0
867	CMS	10,898,477	\$ 108,003,907
868	XINYI GLASS	62,501,592	\$ 617,515,729
874	BAIYUNSHAN PH	2,070,303	\$ 53,206,787
877	O-NET TECH GP	21,976,532	\$ 85,708,475
880	SJM HOLDINGS	159,189,103	\$ 1,144,569,651
881	ZHONGSHENG HLDG	79,357,195	\$ 1,385,576,625
882	TIANJIN DEV	3,895,000	\$ 10,906,000
883	CNOOC	141,716,401	\$ 2,100,237,063
884	CIFI HOLD GP	53,295,585	\$ 187,600,459
887	EMPEROR WATCH&J	1,510,000	\$ 460,550
895	DONGJIANG ENV	3,604,526	\$ 31,864,010
902	HUANENG POWER	129,814,489	\$ 636,090,996
906	COFCO PACKAGING	53,100,610	\$ 148,150,702
914	CONCH CEMENT	150,016,153	\$ 6,743,226,077
916	CHINA LONGYUAN	57,327,782	\$ 368,044,360
921	HISENSE KELON	12,058,506	\$ 83,686,032
928	LIFE HEALTHCARE	0	\$ 0
931	CHINA LNG	98,773,863	\$ 105,688,033
934	SINOPEC KANTONS	31,132,799	\$ 106,162,845
939	CCB	438,157,047	\$ 2,795,441,960
941	CHINA MOBILE	71,069,672	\$ 5,511,453,064
950	LEE'S PHARM	4,814,739	\$ 29,755,087
951	CHAOWEI POWER	37,646,500	\$ 133,268,610
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	1,739,000	\$ 3,408,440
958	HN RENEWABLES	68,731,104	\$ 162,205,405
960	LONGFOR GROUP	16,415,420	\$ 316,160,989
966	CHINA TAIPING	19,241,768	\$ 511,831,029
968	XINYI SOLAR	341,756,462	\$ 806,545,250
973	L'OCCITANE	7,518,106	\$ 103,148,414
976	CHIHO ENV	5,696,049	\$ 16,974,226
978	CHI MER LAND	3,314,000	\$ 3,579,120
981	SMIC	486,817,579	\$ 3,860,463,401
989	GROUND INTL DEV	8,810,000	\$ 9,074,300
991	DATANG POWER	49,267,017	\$ 93,114,662
992	LENOVO GROUP	735,725,716	\$ 3,722,772,123



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995	ANHUIEXPRESSWAY	1,149,737	\$ 5,369,272
996	CARNIVAL GROUP	228,567,422	\$ 50,513,400
998	CITIC BANK	130,157,590	\$ 635,169,039
999	I.T	0	\$ 0
1003	HUANXI MEDIA	3,350,000	\$ 5,393,500
1004	C SMARTERENERGY	2,179,495	\$ 1,743,596
1028	C.BANNER	9,565,000	\$ 5,834,650
1030	FUTURE LAND	93,041,323	\$ 444,737,524
1031	KINGSTON FIN	12,512,000	\$ 24,773,760
1033	SINOPEC SSC	59,805,460	\$ 62,197,678
1038	CKI HOLDINGS	21,195,229	\$ 1,292,908,969
1044	HENGAN INT'L	55,268,005	\$ 3,924,028,355
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	90,312,523	\$ 5,418,751
1052	YUEXIUTRANSPORT	19,110,000	\$ 119,246,400
1055	CHINA SOUTH AIR	104,871,996	\$ 478,216,302
1060	ALI PICTURES	1,253,529,337	\$ 1,278,599,924
1061	ESSEX BIO-TECH	173,000	\$ 1,027,620
1065	TIANJIN CAPITAL	8,052,000	\$ 24,558,600
1066	WEIGAO GROUP	19,294,774	\$ 142,781,328
1068	YURUN FOOD	15,773,498	\$ 11,514,654
1070	TCL ELECTRONICS	4,611,416	\$ 16,693,326
1071	HUADIAN POWER	57,281,900	\$ 160,389,320
1072	DONGFANG ELEC	7,983,011	\$ 35,524,399
1076	IMPERIAL PAC	68,800,000	\$ 4,403,200
1083	TOWNGAS CHINA	9,362,423	\$ 55,706,417
1086	GOODBABY INTL	37,658,765	\$ 113,352,883
1088	CHINA SHENHUA	18,243,452	\$ 318,165,803
1089	LEYOU TECH H	13,760,000	\$ 30,684,800
1093	CSPC PHARMA	70,082,352	\$ 1,097,489,632
1098	ROAD KING INFRA	358,000	\$ 4,682,640
1099	SINOPHARM	37,484,790	\$ 1,396,308,428
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	1,720,000	\$ 1,926,400
1109	CHINA RES LAND	47,210,544	\$ 1,215,671,508
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	5,477,750	\$ 242,390,438
1113	CK ASSET	19,721,954	\$ 1,114,290,401
1114	BRILLIANCE CHI	351,815,490	\$ 3,869,970,390
1115	TIBET WATER	22,576,141	\$ 55,311,545
1117	CH MODERN D	30,026,242	\$ 30,626,767



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1122	QINGLING MOTORS	578,000	\$ 1,231,140
1128	WYNN MACAU	79,349,354	\$ 1,404,483,566
1129	WATER INDUSTRY	13,972,000	\$ 18,722,480
1131	AGRITRADE RES	61,830,000	\$ 100,164,600
1133	HARBIN ELECTRIC	25,113,532	\$ 61,779,289
1137	HKTV	6,563,000	\$ 16,013,720
1138	COSCO SHIP ENGY	89,700,234	\$ 351,624,917
1140	OP FINANCIAL	8,752,000	\$ 23,805,440
1141	CMBC CAPITAL	538,569,319	\$ 196,577,801
1157	ZOOMLION	41,077,802	\$ 124,876,518
1166	SOLARTECH INT'L	28,180,000	\$ 26,207,400
1168	SINOLINK HOLD	28,978,000	\$ 18,545,920
1169	HAIER ELEC	17,433,074	\$ 342,385,573
1171	YANZHOU COAL	27,535,896	\$ 245,620,192
1176	ZHUGUANG HOLD	9,308,000	\$ 14,241,240
1177	SINO BIOPHARM	237,179,488	\$ 1,605,705,134
1186	CHINA RAIL CONS	92,747,999	\$ 964,579,190
1188	HYBRID KINETIC	34,651,950	\$ 2,668,200
1193	CHINA RES GAS	34,933,654	\$ 1,068,969,812
1196	REALORD GROUP	3,436,000	\$ 14,225,040
1199	COSCO SHIP PORT	50,449,265	\$ 421,755,855
1205	CITIC RESOURCES	44,148,837	\$ 32,670,139
1208	MMG	128,373,148	\$ 465,994,527
1211	BYD COMPANY	139,303,717	\$ 7,257,723,656
1212	LIFESTYLE INT'L	1,326,167	\$ 20,449,495
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,450,000	\$ 1,522,500
1224	C C LAND	4,987,000	\$ 9,525,170
1230	YASHILI INT'L	11,631,924	\$ 16,866,290
1233	TIMES CHINA	2,680,953	\$ 21,501,243
1234	CHINA LILANG	788,235	\$ 5,312,704
1238	POWERLONG	2,795,000	\$ 8,860,150
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	223,207,312	\$ 32,141,853
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	8,271,734	\$ 6,534,670
1257	CEB GREENTECH	7,595,251	\$ 50,204,609
1258	CHINFMING	0	\$ 0
1266	XIWANG STEEL	4,693,000	\$ 7,508,800
1268	MEIDONG AUTO	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1270	LANGHAM-SS	600,000	\$ 1,728,000
1282	CHINA GOLDJOY	39,520,000	\$ 15,610,400
1288	ABC	245,666,604	\$ 891,769,773
1293	GRAND BAOXIN	27,904,426	\$ 64,459,224
1297	SINOSOFT TECH	7,915,735	\$ 17,493,774
1299	AIA	120,720,506	\$ 7,695,932,258
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	33,256,273	\$ 64,517,170
1308	SITC	579,000	\$ 3,601,380
1310	HKBN	3,858,000	\$ 48,996,600
1313	CHINARES CEMENT	51,426,771	\$ 445,870,105
1316	NEXTEER	20,848,759	\$ 245,181,406
1317	MAPLELEAF EDU	43,488,091	\$ 158,731,532
1330	DYNAGREEN ENV	18,082,232	\$ 52,980,940
1333	CHINA ZHONGWANG	118,591,351	\$ 443,531,653
1336	NCI	14,212,756	\$ 515,212,405
1337	RAZER	105,580,213	\$ 175,263,154
1339	PICC GROUP	102,585,444	\$ 366,230,035
1347	HUA HONG SEMI	20,356,999	\$ 321,640,584
1359	CHINA CINDA	308,404,246	\$ 579,799,982
1360	MEGAEXPO HLDG	2,243,323	\$ 5,226,943
1361	361 DEGREES	24,805,700	\$ 47,875,001
1363	CTEG	159,173,774	\$ 127,339,019
1368	XTEP INT'L	15,734,690	\$ 67,659,167
1375	CC SECURITIES	19,571,583	\$ 37,577,439
1378	CHINAHONGQIAO	63,570,813	\$ 354,089,428
1381	CANVEST ENV	16,489,252	\$ 68,595,288
1382	PACIFICTEXTILES	12,186,930	\$ 86,527,203
1383	SUNCITY GROUP	8,976,225	\$ 10,950,995
1385	SHANGHAI FUDAN	264,000	\$ 2,204,400
1387	RENHE COMM	55,008,181	\$ 14,027,086
1398	ICBC	265,629,280	\$ 1,418,460,355
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	7,628,000	\$ 12,128,520
1448	FU SHOU YUAN	17,722,899	\$ 105,451,249
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	80,385,318	\$ 364,145,491
1462	GOLD-FIN HLDG	7,836,476	\$ 14,027,292
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	27,887,000	\$ 135,251,950
1508	CHINA RE	127,842,612	\$ 189,207,066



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1513	LIVZON PHARMA	1,600,227	\$ 41,605,902
1515	CRPHOENIXHEALTH	21,694,556	\$ 152,729,674
1518	NC HEALTHCARE	112,500	\$ 840,375
1528	RS MACALLINE	2,055,074	\$ 14,406,069
1530	3SBIO	68,285,403	\$ 863,127,494
1548	GENSCRIPT BIO	77,469,556	\$ 1,100,067,695
1551	GRCB	0	\$ 0
1558	HEC PHARM	2,774,400	\$ 85,590,240
1569	MINSHENG EDU	1,162,000	\$ 1,789,480
1571	XIN POINT HOLD	0	\$ 0
1573	CH UNIENERGY	152,000	\$ 2,197,920
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	18,618,000	\$ 342,943,560
1585	YADEA	11,206,000	\$ 28,575,300
1599	UCD	1,620,000	\$ 4,989,600
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	9,260,426	\$ 10,464,281
1617	NANFANG COMM	0	\$ 0
1618	MCC	39,312,936	\$ 85,309,071
1622	REDCO GROUP	14,445,957	\$ 57,783,828
1628	YUZHOU PPT	26,632,746	\$ 79,365,583
1636	CMRU	2,156,000	\$ 9,680,440
1638	KAISA GROUP	24,712,745	\$ 63,511,755
1651	TSUGAMI CHINA	0	\$ 0
1658	PSBC	1,057,604,453	\$ 4,991,893,018
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	12,860,824	\$ 140,182,982
1668	CHINASOUTHCITY	28,154,800	\$ 34,348,856
1673	HUAZHANG TECH	0	\$ 0
1680	MACAU LEGEND	14,166,507	\$ 19,833,110
1681	CONSUN PHARMA	7,438,214	\$ 44,926,813
1686	SUNEVISION	3,025,217	\$ 15,156,337
1696	SISRAM MED	0	\$ 0
1697	SDITC	0	\$ 0
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	12,683,091	\$ 103,620,853
1720	PUTIAN COMM	504,000	\$ 1,249,920
1727	HEBEI CONS	657,500	\$ 2,761,500
1728	ZHENGTONGAUTO	201,875,535	\$ 1,031,583,984
1766	CRRRC	67,643,312	\$ 481,620,381



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1776	GF SEC	37,543,919	\$ 355,916,352
1777	FANTASIA	14,856,800	\$ 13,371,120
1778	COLOUR LIFE	11,262,605	\$ 47,302,941
1788	GUOTAI JUNAN I	305,973,109	\$ 403,884,504
1789	AK MEDICAL	7,835,118	\$ 41,056,018
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	46,913,793	\$ 373,902,930
1803	BJ SPORTS & ENT	4,087,500	\$ 10,177,875
1810	XIAOMI-W	197,925,114	\$ 2,826,370,628
1811	CGN NEW ENERGY	1,774,000	\$ 2,164,280
1812	CHENMING PAPER	8,757,250	\$ 41,071,503
1813	KWG GROUP	45,828,289	\$ 306,591,253
1816	CGN POWER	680,276,451	\$ 1,251,708,670
1818	ZHAOJIN MINING	93,118,249	\$ 564,296,589
1828	DCH HOLDINGS	1,121,000	\$ 3,329,370
1829	CMEC	13,457,326	\$ 51,272,412
1836	STELLA HOLDINGS	8,325,169	\$ 58,775,693
1848	CALC	548,500	\$ 4,607,400
1862	JINGRUI HLDGS	4,975,087	\$ 11,044,693
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	10,086,658	\$ 171,473,186
1883	CITIC TELECOM	2,440,259	\$ 6,320,271
1888	KB LAMINATES	21,298,964	\$ 143,980,997
1898	CHINA COAL	40,361,415	\$ 139,650,496
1899	XINGDA INT'L	12,930,058	\$ 28,704,729
1908	C&D INTL GROUP	1,932,000	\$ 12,789,840
1910	SAMSONITE	45,022,207	\$ 1,258,370,686
1913	PRADA	8,530,533	\$ 294,303,389
1918	SUNAC	198,968,676	\$ 4,645,918,585
1919	COSCO SHIP HOLD	173,088,744	\$ 531,382,444
1928	SANDS CHINA LTD	108,982,994	\$ 3,945,184,383
1929	CHOW TAI FOOK	6,581,300	\$ 53,571,782
1958	BAIC MOTOR	58,390,921	\$ 348,009,889
1963	BCQ	6,198,709	\$ 27,770,216
1966	CHINA SCE GROUP	4,741,000	\$ 13,748,900
1970	IMAX CHINA	6,609,711	\$ 132,524,706
1972	SWIREPROPERTIES	11,510,507	\$ 333,804,703
1980	TIANGE	6,362,000	\$ 30,155,880
1986	TSAKER CHEM	678,000	\$ 3,647,640



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1988	MINSHENG BANK	258,889,374	\$ 1,439,424,919
1997	WHARF REIC	19,790,529	\$ 1,016,243,664
1999	MAN WAH HLDGS	85,910,056	\$ 373,708,744
2001	NEW HIGHER EDU	17,472,187	\$ 85,963,160
2005	SSY GROUP	27,526,861	\$ 191,311,684
2006	JINJIANG HOTELS	8,000,000	\$ 16,480,000
2007	COUNTRY GARDEN	341,464,643	\$ 3,312,207,037
2008	PHOENIX TV	7,405,947	\$ 4,961,984
2009	BBMG	212,299,544	\$ 537,117,846
2014	OZNER WATER	43,759,000	\$ 78,766,200
2016	CZBANK	0	\$ 0
2018	AAC TECH	62,519,028	\$ 4,895,239,892
2020	ANTA SPORTS	26,481,292	\$ 913,604,574
2038	FIH	153,694,597	\$ 130,640,407
2039	CIMC	9,998,724	\$ 78,389,996
2066	SHENGJINGBANK	600,000	\$ 2,244,000
2098	ZALL SMARTCOM	7,535,651	\$ 42,501,072
2099	CHINAGOLDINTL	1,101,600	\$ 12,426,048
2111	BEST PACIFIC	9,656,871	\$ 23,852,471
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	4,378,000	\$ 19,000,520
2136	LIFESTYLE CHI	13,025,267	\$ 38,815,296
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	209,490,708	\$ 1,319,791,460
2196	FOSUN PHARMA	26,334,775	\$ 771,608,908
2198	CHINA SANJIANG	1,410,000	\$ 3,045,600
2199	REGINA MIRACLE	13,988,684	\$ 72,601,270
2202	CHINA VANKE	38,403,803	\$ 917,850,892
2208	GOLDWIND	10,209,391	\$ 80,654,189
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	14,585,000	\$ 72,633,300
2233	WESTCHINACEMENT	18,198,506	\$ 25,295,923
2236	WISON ENGRG	868,000	\$ 1,032,920
2238	GAC GROUP	132,122,601	\$ 1,009,416,672
2255	HAICHANG HLDG	4,981,000	\$ 8,019,410
2268	YOUYUAN HLDGS	9,874,039	\$ 25,672,501
2269	WUXI BIO	30,952,505	\$ 2,253,342,364
2280	HC GROUP	28,827,636	\$ 152,209,918
2282	MGM CHINA	86,785,701	\$ 1,091,764,119
2283	TK GROUP HLDG	642,000	\$ 2,760,600
2298	COSMO LADY	19,828,743	\$ 69,400,601



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	376,000	\$ 3,008,000
2313	SHENZHOU INTL	17,506,546	\$ 1,643,864,669
2314	LEE & MAN PAPER	28,695,544	\$ 204,599,229
2318	PING AN	1,324,564,382	\$ 101,329,175,223
2319	MENGNU DAIRY	61,237,429	\$ 1,478,883,910
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	73,672,416	\$ 649,790,709
2329	GUORUI PPT	5,402,268	\$ 10,588,445
2331	LI NING	39,017,355	\$ 273,511,659
2333	GREATWALL MOTOR	663,768,358	\$ 3,139,624,333
2338	WEICHAI POWER	246,464,417	\$ 2,247,755,483
2342	COMBA	46,921,629	\$ 54,429,090
2343	PACIFIC BASIN	153,871,624	\$ 289,278,653
2348	DAWNRAYS PHARMA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	1,175,082	\$ 18,636,801
2357	AVICHINA	138,384,357	\$ 708,527,908
2362	JINCHUAN INTL	35,203,000	\$ 35,203,000
2378	PRU	0	\$ 0
2380	CHINA POWER	226,056,570	\$ 377,514,472
2382	SUNNY OPTICAL	38,776,487	\$ 3,175,794,285
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	12,426,845	\$ 109,231,968
2388	BOC HONG KONG	44,158,925	\$ 1,576,473,623
2389	BJ ENT M&H	28,561,272	\$ 9,710,832
2393	YESTAR HEALTH	0	\$ 0
2588	BOC AVIATION	5,778,576	\$ 331,979,191
2600	CHALCO	352,315,609	\$ 1,349,368,782
2601	CPIC	112,054,798	\$ 3,199,164,483
2607	SH PHARMA	18,365,995	\$ 346,749,986
2608	SUNSHINE 100	1,033,000	\$ 3,202,300
2611	GTJA	15,360,033	\$ 245,146,127
2628	CHINA LIFE	83,568,915	\$ 1,427,357,068
2638	HKELECTRIC-SS	158,013,371	\$ 1,197,741,352
2662	CAMSING INTL	3,077,920	\$ 19,083,104
2666	UNI MEDICAL	49,908,756	\$ 291,467,135
2669	CHINA OVS PPT	23,960,134	\$ 50,076,680
2678	TEXHONG TEXTILE	342,000	\$ 4,028,760
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	11,737,046	\$ 727,110,000



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2689	ND PAPER	57,208,997	\$ 477,123,035
2698	WEIQIAO TEXTILE	266,000	\$ 712,880
2727	SH ELECTRIC	219,859,260	\$ 602,414,372
2768	JIAYUAN INTL	46,945,142	\$ 638,453,931
2777	R&F PROPERTIES	48,488,717	\$ 674,962,941
2778	CHAMPION REIT	8,348,000	\$ 44,745,280
2779	CH XINHUA EDU	1,901,000	\$ 4,467,350
2799	CHINA HUARONG	507,400,497	\$ 684,990,671
2800	TRACKER FUND	40,703,600	\$ 1,119,349,000
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	162,324,633	\$ 2,090,741,273
2823	ISHARES A50	90,525,345	\$ 1,138,808,840
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	4,476,881	\$ 478,130,891
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	12,432,478	\$ 29,713,622
2866	COSCO SHIP DEV	169,157,047	\$ 167,465,477
2868	BJ CAPITAL LAND	12,082,320	\$ 33,347,203
2869	GREENTOWN SER	59,183,911	\$ 355,103,466
2877	SHINEWAY PHARM	894,451	\$ 9,588,515



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2880	DALIAN PORT	14,330,800	\$ 14,904,032
2883	CHINA OILFIELD	113,165,869	\$ 929,091,784
2888	STANCHART	488,640	\$ 30,686,592
2899	ZIJIN MINING	117,325,368	\$ 346,109,836
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3101	VANGUARDDDVEUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIATEC	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	54,055,569	\$ 2,081,139,407
3197	CAM MSCI AINC	0	\$ 0
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	13,467,120	\$ 129,553,694
3306	JNBY	4,696,500	\$ 60,396,990
3308	GOLDEN EAGLE	1,410,000	\$ 12,140,100
3309	C-MER EYE	2,752,000	\$ 11,448,320
3311	CHINA STATE CON	179,445,408	\$ 1,476,835,708
3319	A-LIVING	4,878,451	\$ 55,907,048
3320	CHINARES PHARMA	34,860,309	\$ 414,140,471
3323	CNBM	178,747,977	\$ 1,195,823,966
3328	BANKCOMM	100,369,983	\$ 560,064,505
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	316,366,768	\$ 7,070,797,265
3337	ANTON OILFIELD	30,043,540	\$ 40,558,779
3339	LONKING	10,253,400	\$ 25,120,830
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	26,763,574	\$ 194,838,819
3369	QHD PORT	752,500	\$ 1,271,725
3377	SINO-OCEAN GP	28,120,799	\$ 90,830,181
3378	XIAMEN PORT	1,222,000	\$ 1,319,760
3380	LOGAN PPT	59,420,445	\$ 496,754,920
3382	TIANJINPORT DEV	1,292,000	\$ 1,149,880
3383	AGILE GROUP	73,763,249	\$ 786,316,234
3393	WASION HOLDINGS	4,948,671	\$ 19,052,383
3396	LEGENDHOLDING	5,767,947	\$ 130,932,397
3606	FUYAO GLASS	20,070,330	\$ 564,979,790
3613	TONGRENTANGCM	283,000	\$ 4,194,060
3618	CQRC BANK	62,652,860	\$ 254,370,612
3633	ZHONGYU GAS	3,218,299	\$ 20,564,931
3636	POLY CULTURE	322,714	\$ 3,194,869
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	56,680,936	\$ 385,997,174
3690	MEITUAN-W	46,299,632	\$ 3,143,745,013
3698	HUIZHANG BANK	0	\$ 0
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	106,180,056	\$ 634,956,735
3800	GCL-POLY ENERGY	1,253,882,545	\$ 652,018,923
3808	SINOTRUK	198,528,934	\$ 2,950,139,959



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3813	POU SHENG INT'L	48,969,445	\$ 74,923,251
3818	CHINA DONGXIANG	49,120,502	\$ 61,891,833
3836	HARMONY AUTO	50,526,649	\$ 170,780,074
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	31,346,000	\$ 159,237,680
3888	KINGSOFT	77,728,195	\$ 1,103,740,369
3898	CRRC TIMES ELEC	25,839,802	\$ 1,129,199,347
3899	CIMC ENRIC	13,696,000	\$ 105,459,200
3900	GREENTOWN CHINA	32,205,901	\$ 208,694,238
3908	CICC	98,004,700	\$ 1,342,664,390
3918	NAGACORP	2,723,186	\$ 22,057,807
3933	UNITED LAB	179,557,898	\$ 1,141,988,231
3948	YITAI COAL	0	\$ 0
3958	DFZQ	12,372,400	\$ 60,377,312
3968	CM BANK	107,643,909	\$ 3,234,699,465
3969	CHINA CRSC	58,561,324	\$ 323,844,122
3983	CHINA BLUECHEM	10,566,800	\$ 32,017,404
3988	BANK OF CHINA	151,379,966	\$ 504,095,287
3989	CAPITAL ENV	0	\$ 0
3993	CMOC	350,889,444	\$ 1,129,864,010
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	29,151,399	\$ 31,483,511
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	118,360,624	\$ 1,567,094,662
6060	ZA ONLINE	34,728,675	\$ 934,201,358
6066	CSC	10,227,500	\$ 43,773,700
6068	WISDOM EDU INTL	16,534,000	\$ 60,845,120
6088	FIT HON TENG	8,781,000	\$ 33,543,420
6098	CG SERVICES	42,939,237	\$ 535,881,678
6099	CMSC	6,699,165	\$ 61,230,368
6116	LA CHAPELLE	502,400	\$ 3,567,040
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	0	\$ 0
6168	CHINA U-TON	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6169	YUHUA EDU	32,202,863	\$ 119,150,593
6178	EB SECURITIES	1,827,600	\$ 12,354,576
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,909,000	\$ 20,287,710
6808	SUNART RETAIL	159,900,278	\$ 1,567,022,724
6818	CEB BANK	82,936,893	\$ 281,156,067
6823	HKT-SS	16,350,069	\$ 169,059,713
6826	HAOHAI BIOTEC	21,600	\$ 1,036,800
6828	BG BLUE SKY	26,075,022	\$ 14,341,262
6837	HAITONG SEC	127,757,709	\$ 885,360,923
6858	HONMAGOLF	287,500	\$ 1,995,250
6868	TENFU	0	\$ 0
6869	YOFC	20,729,200	\$ 471,589,300
6881	CGS	382,445,265	\$ 1,342,382,880
6886	HTSC	57,021,456	\$ 631,797,732
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	8,286,976	\$ 7,292,539
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
8078	HMV DIGIT CHINA	0	\$ 0
8083	CHINA YOUZAN	257,805,871	\$ 146,949,346
8137	HONBRIDGE	21,640,624	\$ 18,827,343
8158	CRMI	45,227,748	\$ 4,251,408
8207	CHONGSING HLDGS	666,758,156	\$ 286,706,007
8265	CHINA TRUSTFUL	2,068,000	\$ 4,756,400
8279	AGTECH HOLDINGS	9,268,000	\$ 5,560,800
8293	SINGASIA HLDG	0	\$ 0
8407	CISI FIN	0	\$ 0
8469	SHENGYE CAPITAL	186,000	\$ 1,266,660
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARD DVEUR-U	0	\$ 0
9115	ISHARES HSI-U	0	\$ 0
9126	VANGUARD JAPAN-U	0	\$ 0
9140	VANGUARD SP500-U	0	\$ 0
9146	ISHARES DAX-U	0	\$ 0
9155	ISHARES SES50-U	0	\$ 0
9167	ICBCC SOP CHINA-U	0	\$ 0
9169	VANGUARD CHINA-U	0	\$ 0
9170	ISHARES K200-U	0	\$ 0
9181	PREMIA ASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARD ASXJP-U	0	\$ 0
9810	PREMIA EMASEAN-U	0	\$ 0
9834	ISHARES ND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARES CSI300-U	0	\$ 0
9847	ISHARES SFS100-U	0	\$ 0
82805	VANGUARD ASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0