



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	9,908,304	\$ 1,002,720,365
2	CLP HOLDINGS	27,333,125	\$ 2,153,850,250
3	HK & CHINA GAS	242,440,421	\$ 3,714,187,250
4	WHARF HOLDINGS	38,965,722	\$ 1,260,541,107
5	HSBC HOLDINGS	11,954,722	\$ 1,000,610,231
6	POWER ASSETS	13,681,855	\$ 904,370,616
8	PCCW	34,139,458	\$ 160,455,453
10	HANG LUNG GROUP	2,662,537	\$ 82,804,901
11	HANG SENG BANK	6,844,292	\$ 1,294,940,046
12	HENDERSON LAND	14,386,580	\$ 755,295,450
14	HYSAN DEV	3,112,631	\$ 137,733,922
16	SHK PPT	10,967,577	\$ 1,458,687,741
17	NEW WORLD DEV	100,519,729	\$ 1,244,434,245
19	SWIRE PACIFIC A	12,847,820	\$ 984,143,012
20	WHEELLOCK	2,616,479	\$ 156,203,796
23	BANK OF E ASIA	48,710,971	\$ 1,653,737,465
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	35,107,095	\$ 2,111,691,764
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	22,992,604	\$ 21,613,048
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	690,955	\$ 3,219,850
38	FIRST TRACTOR	13,410,362	\$ 45,461,127
39	CH BEIDAHUANG	6,744,000	\$ 2,427,840
41	GREAT EAGLE H	336,707	\$ 14,108,023
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	5,001,745	\$ 61,321,394
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	250,500	\$ 8,091,150
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	763,500	\$ 24,241,125
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	15,600,000	\$ 4,446,000
66	MTR CORPORATION	57,871,434	\$ 2,644,724,534
69	SHANGRI-LA ASIA	22,244,719	\$ 393,731,526
71	MIRAMAR HOTEL	485,001	\$ 7,760,016



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	11,051,344	\$ 50,062,588
83	SINO LAND	14,512,841	\$ 208,984,910
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	2,490,286	\$ 7,919,109
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	26,090,105	\$ 534,847,153
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	7,492,081	\$ 22,101,639
116	CHOW SANG SANG	313,000	\$ 5,821,800
119	POLY PROPERTY	48,923,414	\$ 207,435,275
123	YUEXIU PROPERTY	16,740,952	\$ 26,450,704
127	CHINESE EST H	1,179,645	\$ 16,161,137
129	ASIA STANDARD	314,000	\$ 659,400
133	CHINA MERCHANTS	34,000	\$ 476,680
135	KUNLUN ENERGY	251,237,677	\$ 2,012,413,793
136	HENG TEN NET	752,003,856	\$ 282,001,446
142	FIRST PACIFIC	6,729,161	\$ 36,943,094
144	CHINA MER PORT	27,210,508	\$ 551,012,787
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	16,944,917	\$ 751,507,069
151	WANT WANT CHINA	646,273,805	\$ 4,233,093,423
152	SHENZHEN INT'L	2,155,297	\$ 34,010,587
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	42,250,000	\$ 5,070,000
165	CHINA EB LTD	8,955,140	\$ 163,879,062
168	TSINGTAO BREW	27,486,847	\$ 1,264,394,962
171	SILVER GRANT	726,000	\$ 1,154,340
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	109,114,197	\$ 2,946,083,319
177	JIANGSU EXPRESS	15,160,979	\$ 186,176,822
178	SA SA INT'L	22,195,372	\$ 69,915,422
179	JOHNSON ELEC H	1,267,500	\$ 38,405,250
182	CONCORD NE	30,218,388	\$ 10,878,620
186	GRANDE HOLDINGS	0	\$ 0
189	DONGYUE GROUP	1,091,000	\$ 5,945,950
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	36,542,042	\$ 32,887,838
198	SMI HOLDINGS	30,570,925	\$ 122,283,700
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	9,109,309	\$ 216,346,089
207	JOY CITY PPT	6,081,150	\$ 7,662,249
215	HUTCHTEL HK	111,121,931	\$ 351,145,302
217	CHINA CHENGTONG	1,690,040	\$ 836,570
218	SHENWANHONGYUAN	8,240,000	\$ 23,484,000
220	U-PRESID CHINA	18,039,003	\$ 119,959,370
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,562,000	\$ 2,124,320
232	AVIC INT'L	77,177,536	\$ 39,360,543
235	CHINA STRATEGIC	142,860,000	\$ 13,857,420
241	ALI HEALTH	381,525,020	\$ 1,526,100,080
242	SHUN TAK HOLD	2,465,666	\$ 7,964,101
245	CN MINSHENG FIN	30,240,247	\$ 10,281,684
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	588,069	\$ 6,903,930
256	CITYCHAMP	20,366,000	\$ 37,677,100
257	CHINA EB INT'L	178,417,893	\$ 2,126,741,285
258	TOMSON GROUP	681,046	\$ 2,342,798
259	YEEBO (INT'L H)	1,458,000	\$ 4,782,240
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	31,042,079	\$ 360,708,958
268	KINGDEE INT'L	204,897,910	\$ 954,824,261
270	GUANGDONG INV	11,068,000	\$ 118,427,600
272	SHUI ON LAND	105,044,145	\$ 237,399,768
273	MASON GP HOLD	46,106,001	\$ 6,085,992
285	BYD ELECTRONIC	75,423,914	\$ 1,389,308,496
288	WH GROUP	89,588,373	\$ 801,815,938
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	27,177,316	\$ 866,956,380
293	CATHAY PAC AIR	52,886,299	\$ 682,233,257
297	SINOFERT	102,486,038	\$ 138,356,151
303	VTECH HOLDINGS	1,541,987	\$ 170,697,961
308	CHINA TRAVEL HK	11,410,072	\$ 32,176,403
315	SMARTONE TELE	29,722,662	\$ 279,987,476
316	OOIL	3,559,827	\$ 265,029,120
317	COMEC	13,158,836	\$ 162,380,036



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	20,872,617	\$ 92,256,967
322	TINGYI	126,625,859	\$ 1,942,440,677
323	MAANSHAN IRON	89,306,047	\$ 358,117,248
327	PAX GLOBAL	112,383,378	\$ 432,676,005
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	116,818,079	\$ 489,467,751
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	3,326,641	\$ 19,959,846
337	GREENLAND HK	3,995,403	\$ 14,383,451
338	SHANGHAI PECEM	34,019,534	\$ 159,891,810
341	CAFE DE CORAL H	3,921,028	\$ 81,557,382
342	NEWOCEAN ENERGY	42,500,012	\$ 90,525,026
345	VITASOY INT'L	1,776,000	\$ 35,697,600
347	ANGANG STEEL	74,862,692	\$ 560,721,563
354	CHINASOFT INT'L	116,154,291	\$ 645,817,858
358	JIANGXI COPPER	58,873,370	\$ 781,838,354
363	SHANGHAI IND H	872,000	\$ 20,143,200
368	SINOTRANS SHIP	39,301,551	\$ 84,105,319
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	179,176,134	\$ 1,075,056,804
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	13,708,000	\$ 11,103,480
382	WELLING HOLDING	708,000	\$ 1,444,320
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	105,196,175	\$ 2,288,016,806
386	SINOPEC CORP	129,691,899	\$ 844,294,262
388	HKEX	24,317,700	\$ 6,682,503,960
390	CHINA RAILWAY	116,488,313	\$ 696,600,112
392	BEIJING ENT	3,799,178	\$ 173,432,476
400	COGOBUY	17,661,000	\$ 76,825,350
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	78,426,325	\$ 388,210,309
412	CHINA SDHS FIN	0	\$ 0
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	283,389,035	\$ 102,020,053
425	MINTH GROUP	8,319,321	\$ 392,255,985
433	NORTH MINING	130,667,339	\$ 23,912,123
434	BOYAA	1,336,942	\$ 4,518,864
435	SUNLIGHT REIT	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
439	KUANGCHI	33,088,163	\$ 79,411,591
440	DAH SING	840,140	\$ 43,855,308
451	GCL NEWENERGY	143,539,543	\$ 90,429,912
460	SIHUAN PHARM	141,698,677	\$ 460,520,700
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	1,019,000	\$ 5,472,030
480	HKR INT'L	1,521,600	\$ 7,821,024
486	RUSAL	11,225,000	\$ 64,094,750
488	LAI SUN DEV	890,100	\$ 12,550,410
489	DONGFENG GROUP	48,939,250	\$ 463,454,698
493	GOME RETAIL	1,681,836,906	\$ 1,614,563,430
494	LI & FUNG	289,199,132	\$ 1,205,960,380
497	CSI PROPERTIES	3,000,000	\$ 1,275,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	49,351,914	\$ 233,928,072
511	TVB	13,564,932	\$ 371,679,137
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,250,000	\$ 3,925,000
520	XIABUXIABU	0	\$ 0
521	CWT INT'L	54,304,000	\$ 20,364,000
522	ASM PACIFIC	24,381,625	\$ 2,706,360,375
525	GUANGSHEN RAIL	40,168,900	\$ 229,364,419
526	LISI GP HOLD	2,684,000	\$ 3,489,200
530	GOLDIN FIN HOLD	5,022,000	\$ 20,188,440
535	GEMDALE PPT	37,120,000	\$ 36,006,400
538	AJISEN (CHINA)	544,000	\$ 1,963,840
546	FUFENG GROUP	40,718,000	\$ 208,883,340
547	DIGITAL DOMAIN	428,040,000	\$ 80,471,520
548	SHENZHENEXPRESS	2,969,900	\$ 24,085,889
551	YUE YUEN IND	1,231,295	\$ 37,862,321
552	CHINACOMSERVICE	14,810,000	\$ 72,865,200
563	SH IND URBAN	17,852,000	\$ 39,274,400
570	TRAD CHI MED	56,291,066	\$ 267,945,474
576	ZHEJIANGEXPRESS	9,454,663	\$ 87,928,366
579	JNCEC	15,990,000	\$ 32,619,600
580	SUN.KING ELEC	1,766,000	\$ 3,055,180
581	CHINA ORIENTAL	5,336,000	\$ 30,521,920
586	CONCH VENTURE	19,486,907	\$ 387,789,449
588	BEIJING N STAR	1,882,000	\$ 5,514,260
590	LUK FOOK HOLD	2,634,000	\$ 84,814,800
598	SINOTRANS	36,664,541	\$ 155,091,008



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
603	CHINA OIL & GAS	10,147,936	\$ 8,219,828
604	SHENZHEN INVEST	44,599,158	\$ 153,421,104
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	13,171,043	\$ 48,206,017
607	FULLSHARE	787,378,453	\$ 2,755,824,586
622	ENERCHINA HOLD	22,100,422	\$ 16,354,312
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	404,000	\$ 1,005,960
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	26,763,000	\$ 48,441,030
656	FOSUN INTL	71,509,767	\$ 1,322,930,690
658	C TRANSMISSION	23,900,667	\$ 268,643,497
659	NWS HOLDINGS	1,581,558	\$ 23,533,583
665	HAITONG INT'L	144,812,065	\$ 728,404,687
669	TECHTRONIC IND	7,393,570	\$ 367,460,429
670	CHINA EAST AIR	98,509,155	\$ 645,234,965
672	ZHONGAN REALEST	50,136,792	\$ 43,117,641
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	108,568,384	\$ 26,816,391
683	KERRY PPT	11,471,468	\$ 420,429,302
686	PANDA GREEN	127,671,274	\$ 131,501,412
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	50,225,029	\$ 1,484,149,607
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	8,538,244	\$ 101,946,633
696	TRAVELSKY TECH	8,249,131	\$ 213,240,036
698	TONGDA GROUP	439,310,704	\$ 874,228,301
699	CAR INC	73,207,504	\$ 473,652,551
700	TENCENT	104,425,407	\$ 46,176,914,975
702	SINO OIL & GAS	64,211,327	\$ 8,861,163
707	CO-PROSPERITY	16,082,000	\$ 6,513,210
708	EVERG HEALTH	7,980,000	\$ 24,658,200
709	GIORDANO INT'L	7,706,711	\$ 31,751,649
710	BOE VARITRONIX	2,449,812	\$ 13,694,449
715	CHINA OCEANWIDE	30,203,253	\$ 16,309,757
716	SINGAMAS CONT	10,950,000	\$ 16,863,000
717	EMPEROR CAPITAL	24,283,690	\$ 15,055,888
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	44,613,360	\$ 9,904,166
728	CHINA TELECOM	161,009,555	\$ 602,175,736
729	FDG EVEHICLES	537,183,897	\$ 182,642,525



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732	TRULY INT'L	138,821,754	\$ 490,040,792
735	CP CLEAN ENERGY	265,000	\$ 1,152,750
737	HOPEWELL INFR	1,271,500	\$ 6,128,630
743	ASIA CEMENT CH	0	\$ 0
750	SINGYES SOLAR	40,697,696	\$ 142,441,936
751	SKYWORTHDIGITAL	151,718,368	\$ 550,737,676
752	PICO FAR EAST	412,000	\$ 1,285,440
753	AIR CHINA	39,465,656	\$ 430,964,964
754	HOPSON DEV HOLD	2,294,000	\$ 18,833,740
762	CHINA UNICOM	264,253,783	\$ 2,838,085,629
763	ZTE	70,113,538	\$ 2,078,866,402
772	CHINA LIT	1,712,022	\$ 147,319,493
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	6,562,014	\$ 144,364,308
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	185,921,547	\$ 122,708,221
798	CEOVU	1,588,000	\$ 1,143,360
799	IGG	78,632,934	\$ 742,294,897
806	VALUE PARTNERS	63,137,176	\$ 626,952,158
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,917,364	\$ 18,262,699
813	SHIMAO PROPERTY	9,184,561	\$ 202,978,798
815	CHI SILVER GP	15,993,967	\$ 39,505,098
816	HUADIAN FUXIN	21,898,163	\$ 44,453,271
817	CHINA JINMAO	134,875,109	\$ 535,454,183
818	HI SUN TECH	11,562,181	\$ 19,540,086
819	TIANNENG POWER	31,323,531	\$ 241,817,659
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	19,248,497	\$ 1,356,056,614
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	2,452,000	\$ 9,145,960
836	CHINA RES POWER	32,055,458	\$ 452,623,067
838	EVA HOLDINGS	378,000	\$ 453,600
845	GLORIOUS PPT H	26,992,000	\$ 21,593,600
853	MICROPORT	14,712,904	\$ 138,448,427
855	CHINA WATER	35,444,067	\$ 248,462,910
856	VSTECs	850,000	\$ 3,927,000
857	PETROCHINA	181,700,191	\$ 1,077,482,133
860	O LUXE HOLD	27,929,893	\$ 46,363,622
861	DC HOLDINGS	68,510,483	\$ 346,663,044
862	VISION VALUES	0	\$ 0



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867	CMS	28,071,134	\$ 536,720,082
868	XINYI GLASS	38,390,788	\$ 425,369,931
874	BAIYUNSHAN PH	1,452,400	\$ 35,946,900
877	O-NET TECH GP	35,262,009	\$ 200,288,211
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	130,100,475	\$ 969,248,539
881	ZHONGSHENG HLDG	38,264,044	\$ 765,280,880
882	TIANJIN DEV	3,646,000	\$ 13,745,420
883	CNOOC	126,145,918	\$ 1,576,823,975
884	CIFI HOLD GP	46,082,161	\$ 282,483,647
895	DONGJIANG ENV	3,597,521	\$ 42,882,450
902	HUANENG POWER	194,207,004	\$ 988,513,650
906	COFCO PACKAGING	5,117,227	\$ 30,191,639
914	CONCH CEMENT	52,343,081	\$ 2,096,340,394
915	DAOHE GLOBAL	2,375,000	\$ 4,203,750
916	CHINA LONGYUAN	121,109,406	\$ 691,534,708
921	HISENSE KELON	5,655,000	\$ 60,508,500
931	CHINA LNG	83,751,238	\$ 125,626,857
932	RM GROUP	40,272,000	\$ 47,118,240
933	BRIGHTOIL	43,832,625	\$ 65,748,938
934	SINOPEC KANTONS	9,054,906	\$ 47,176,060
939	CCB	1,081,011,753	\$ 8,410,271,438
941	CHINA MOBILE	21,692,160	\$ 1,686,565,440
950	LEE'S PHARM	424,025	\$ 4,176,646
951	CHAOWEI POWER	79,333,913	\$ 354,622,591
952	OCEANWIDE FIN	2,689,896	\$ 3,012,684
956	CHINA SUNTIEN	26,418,000	\$ 54,949,440
958	HN RENEWABLES	207,704,234	\$ 550,416,220
960	LONGFOR PPT	5,839,445	\$ 136,643,013
966	CHINA TAIPING	39,358,542	\$ 1,259,473,344
968	XINYI SOLAR	133,035,097	\$ 477,595,998
973	L'OCCITANE	8,010,103	\$ 118,549,524
976	CHIHO ENV	12,654,049	\$ 54,792,032
978	CHI MER LAND	12,893,219	\$ 20,371,286
980	LIANHUA	4,690,709	\$ 13,180,892
981	SMIC	539,927,295	\$ 6,705,897,004
988	LOUDONG GN RES	5,706,000	\$ 1,825,920
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	80,705,470	\$ 196,921,347
992	LENOVO GROUP	1,004,714,605	\$ 4,551,357,161
993	HUARONG INT FIN	0	\$ 0



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995	ANHUIEXPRESSWAY	508,300	\$ 3,273,452
996	CARNIVAL GROUP	130,366,708	\$ 46,932,015
998	CITIC BANK	253,330,806	\$ 1,380,652,893
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,449,326	\$ 2,478,347
1004	C SMARTERENERGY	0	\$ 0
1028	C.BANNER	1,149,000	\$ 2,918,460
1030	FUTURE LAND	43,742,957	\$ 299,201,826
1031	KINGSTON FIN	197,179,625	\$ 1,668,139,628
1033	SINOPEC SSC	101,583,216	\$ 144,248,167
1038	CKI HOLDINGS	40,338,586	\$ 2,670,414,393
1044	HENGAN INT'L	34,410,065	\$ 2,799,258,788
1045	APT SATELLITE	1,463,114	\$ 5,603,727
1051	G-RESOURCES	44,667,106	\$ 4,556,045
1052	YUEXIUTRANSPORT	30,547,751	\$ 171,983,838
1055	CHINA SOUTH AIR	94,748,540	\$ 855,579,316
1060	ALI PICTURES	1,226,772,529	\$ 1,324,914,331
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	15,426,000	\$ 73,736,280
1066	WEIGAO GROUP	12,004,205	\$ 69,744,431
1068	YURUN FOOD	19,401,000	\$ 18,818,970
1070	TCL MULTIMEDIA	38,413,549	\$ 162,873,448
1071	HUADIAN POWER	51,899,595	\$ 151,546,817
1072	DONGFANG ELEC	3,929,971	\$ 26,998,901
1076	IMPERIAL PAC	234,920,855	\$ 25,136,531
1083	TOWNGAS CHINA	10,875,861	\$ 66,451,511
1086	GOODBABY INTL	4,786,000	\$ 20,579,800
1088	CHINA SHENHUA	19,110,452	\$ 433,807,260
1089	LEYOU TECH H	11,012,442	\$ 19,932,520
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	25,515,761	\$ 471,020,948
1098	ROAD KING INFRA	590,000	\$ 8,378,000
1099	SINOPHARM	46,201,416	\$ 1,575,468,286
1103	DASHENG AGR FIN	62,646,009	\$ 38,840,526
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	1,064,000	\$ 2,032,240
1108	LUOYANG GLASS	4,259,826	\$ 17,593,081
1109	CHINA RES LAND	67,175,030	\$ 1,786,855,798
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	7,533,945	\$ 354,095,415
1113	CK ASSET	2,857,270	\$ 209,009,301



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1114	BRILLIANCE CHI	115,059,452	\$ 2,324,200,930
1115	TIBET WATER	43,513,527	\$ 147,510,857
1117	CH MODERN D	47,599,162	\$ 69,494,777
1122	QINGLING MOTORS	480,000	\$ 1,224,000
1128	WYNN MACAU	48,768,625	\$ 1,148,501,119
1129	WATER INDUSTRY	7,242,984	\$ 12,313,073
1131	AGRITRADE RES	14,060,000	\$ 13,216,400
1133	HARBIN ELECTRIC	1,428,000	\$ 4,883,760
1138	COSCO SHIP ENGY	19,872,817	\$ 86,446,754
1140	OP FIN INV	0	\$ 0
1141	CMBC CAPITAL	304,589,075	\$ 173,615,773
1157	ZOOMLION	116,530,206	\$ 432,327,064
1166	SOLARTECH INT'L	11,923,719	\$ 8,346,603
1168	SINOLINK HOLD	70,967,494	\$ 79,483,593
1169	HAIER ELEC	29,273,758	\$ 733,307,638
1171	YANZHOU COAL	84,463,312	\$ 1,006,802,679
1176	ZHUGUANG HOLD	0	\$ 0
1177	SINO BIOPHARM	78,988,671	\$ 1,214,845,760
1186	CHINA RAIL CONS	93,930,374	\$ 868,855,960
1193	CHINA RES GAS	38,186,315	\$ 962,295,138
1196	REALORD GROUP	1,822,000	\$ 9,328,640
1199	COSCO SHIP PORT	37,822,526	\$ 310,144,713
1205	CITIC RESOURCES	46,440,018	\$ 37,152,014
1206	TECHNOVATOR	12,714,000	\$ 28,479,360
1208	MMG	53,346,637	\$ 246,461,463
1211	BYD COMPANY	126,742,472	\$ 8,992,378,388
1212	LIFESTYLE INT'L	595,167	\$ 6,273,060
1219	TENWOW INT'L	4,458,273	\$ 7,445,316
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	5,813,723	\$ 10,464,701
1230	YASHILI INT'L	23,706,000	\$ 34,847,820
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	6,928,463	\$ 59,307,643
1234	CHINA LILANG	406,000	\$ 2,988,160
1238	POWERLONG	1,129,000	\$ 4,527,290
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	16,565,715	\$ 4,472,743
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	10,888,000	\$ 13,174,480
1257	CEB GREENTECH	3,892,204	\$ 29,619,672
1258	CHINFMINING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1270	LANGHAM-SS	1,128,500	\$ 4,040,030
1272	DATANG ENVIRO	0	\$ 0
1281	LT SMART ENERGY	0	\$ 0
1282	CHINA GOLDJOY	79,012,000	\$ 54,518,280
1288	ABC	141,608,896	\$ 566,435,584
1293	GRAND BAOXIN	21,936,763	\$ 84,456,538
1297	SINOSOFT TECH	17,167,933	\$ 40,172,963
1299	AIA	40,510,457	\$ 2,724,328,233
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	84,120,639	\$ 169,082,484
1308	SITC	11,833,072	\$ 92,771,284
1310	HKBN	6,258,000	\$ 61,641,300
1313	CHINARES CEMENT	6,499,000	\$ 37,369,250
1315	VISION FAME	55,472,000	\$ 21,911,440
1316	NEXTEER	29,013,544	\$ 551,257,336
1317	MAPLELEAF EDU	25,799,650	\$ 241,742,721
1330	DYNAGREEN ENV	2,462,000	\$ 11,891,460
1332	CHINA TOUYUN	5,760,000	\$ 1,584,000
1333	CHINA ZHONGWANG	105,188,657	\$ 463,881,977
1336	NCI	20,889,911	\$ 1,114,476,752
1339	PICC GROUP	137,257,680	\$ 562,756,488
1347	HUA HONG SEMI	1,439,000	\$ 25,009,820
1359	CHINA CINDA	169,602,139	\$ 513,894,481
1360	MEGAEXPO HLDG	1,878,323	\$ 4,470,409
1361	361 DEGREES	11,188,000	\$ 33,004,600
1363	CTEG	221,999,714	\$ 337,439,565
1368	XTEP INT'L	52,958,100	\$ 186,412,512
1375	CC SECURITIES	17,349,778	\$ 58,468,752
1378	CHINAHONGQIAO	53,660,320	\$ 575,238,630
1381	CANVEST ENV	11,498,490	\$ 52,778,069
1382	PACIFICTEXTILES	14,865,586	\$ 121,897,805
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	224,671,090	\$ 46,731,587
1398	ICBC	404,738,607	\$ 2,687,464,350
1415	COWELL	8,183,000	\$ 22,094,100
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	14,838,000	\$ 39,914,220
1432	CHINA SHENGMU	35,401,000	\$ 46,375,310
1448	FU SHOU YUAN	4,220,000	\$ 28,274,000
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	33,152,500	\$ 268,535,250



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1462	GOLD-FIN HLDG	4,534,000	\$ 14,100,740
1478	Q TECH	8,898,170	\$ 109,625,454
1508	CHINA RE	29,984,623	\$ 53,372,629
1509	HARMONICARE	2,540,000	\$ 8,026,400
1513	LIVZON PHARMA	855,759	\$ 56,223,366
1515	CRPHOENIXHEALTH	37,206,000	\$ 391,407,120
1518	NC HEALTHCARE	0	\$ 0
1520	CEFC FIN INV	0	\$ 0
1528	RS MACALLINE	2,800,780	\$ 35,233,812
1530	3SBIO	115,844,036	\$ 2,052,756,318
1548	GENSCRIPT BIO	17,561,665	\$ 399,527,879
1551	GRCB	0	\$ 0
1558	HEC PHARM	683,600	\$ 21,430,860
1566	CHINA ANIMATION	14,309,625	\$ 42,499,586
1569	MINSHENG EDU	1,280,000	\$ 2,163,200
1573	CH UNIENERGY	230,000	\$ 2,562,200
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	475,000	\$ 4,208,500
1585	YADEA	11,182,000	\$ 28,290,460
1589	CNLP	12,509,633	\$ 30,773,697
1599	UCD	463,000	\$ 2,046,460
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	15,868,426	\$ 25,548,166
1618	MCC	31,763,369	\$ 79,090,789
1622	REDCO PPT	3,958,800	\$ 15,122,616
1628	YUZHOU PPT	17,726,401	\$ 86,682,101
1636	CMRU	2,436,000	\$ 11,619,720
1658	PSBC	73,349,000	\$ 329,337,010
1666	TONG REN TANG	21,017,419	\$ 240,439,273
1668	CHINASOUTHCITY	110,336,731	\$ 237,223,972
1680	MACAU LEGEND	69,528,133	\$ 84,824,322
1681	CONSUN PHARMA	1,269,223	\$ 11,093,009
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	87,651,423	\$ 733,642,411
1766	CRRC	188,430,819	\$ 1,539,479,791
1776	GF SEC	16,699,107	\$ 273,197,391
1777	FANTASIA	1,408,500	\$ 1,788,795
1778	COLOUR LIFE	9,066,646	\$ 50,229,219
1786	CRCCE	9,207,750	\$ 20,257,050



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1788	GUOTAI JUNAN I	198,410,604	\$ 551,581,479
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	68,268,420	\$ 619,194,569
1803	BJ SPORTS & ENT	327,500	\$ 913,725
1811	CGN NEW ENERGY	9,728,000	\$ 10,895,360
1812	CHENMING PAPER	5,479,631	\$ 79,673,835
1813	KWG PROPERTY	39,304,572	\$ 445,713,846
1816	CGN POWER	790,417,044	\$ 1,715,204,985
1818	ZHAOJIN MINING	59,908,068	\$ 367,236,457
1828	DCH HOLDINGS	4,102,999	\$ 15,263,156
1829	CMEC	5,225,392	\$ 27,015,277
1836	STELLA HOLDINGS	6,329,085	\$ 72,151,569
1848	CALC	3,487,000	\$ 29,395,410
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	8,729,000	\$ 211,241,800
1883	CITIC TELECOM	2,292,259	\$ 4,744,976
1886	HUIYUAN JUICE	8,321,000	\$ 18,472,620
1888	KB LAMINATES	21,509,168	\$ 282,630,468
1893	SINOMA	5,379,649	\$ 35,398,090
1898	CHINA COAL	49,895,664	\$ 200,081,613
1899	XINGDA INT'L	15,936,469	\$ 50,199,877
1910	SAMSONITE	13,517,213	\$ 467,695,570
1913	PRADA	25,545,738	\$ 766,372,140
1918	SUNAC	178,576,054	\$ 6,482,310,760
1919	COSCO SHIP HOLD	125,552,649	\$ 531,087,705
1928	SANDS CHINA LTD	78,190,165	\$ 3,260,529,881
1929	CHOW TAI FOOK	5,802,242	\$ 49,203,012
1958	BAIC MOTOR	29,679,534	\$ 347,844,138
1963	BCQ	1,275,600	\$ 8,393,448
1966	CHINA SCE PPT	7,839,000	\$ 29,788,200
1970	IMAX CHINA	13,155,728	\$ 311,790,754
1972	SWIREPROPERTIES	8,277,277	\$ 216,450,794
1980	TIANGE	11,515,000	\$ 80,374,700
1988	MINSHENG BANK	261,766,692	\$ 2,149,104,541
1997	WHARF REIC	3,366,394	\$ 176,735,685
1999	MAN WAH HLDGS	50,443,726	\$ 384,381,192
2001	NEW HIGHER EDU	0	\$ 0
2005	SSY GROUP	6,992,237	\$ 34,122,117
2006	JINJIANG HOTELS	16,524,000	\$ 48,745,800
2007	COUNTRY GARDEN	341,098,002	\$ 6,242,093,437



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2008	PHOENIX TV	7,780,000	\$ 8,402,400
2009	BBMG	146,733,719	\$ 570,794,167
2014	OZNER WATER	39,604,000	\$ 94,653,560
2016	CZBANK	0	\$ 0
2018	AAC TECH	18,529,372	\$ 2,736,788,244
2020	ANTA SPORTS	23,277,806	\$ 889,212,189
2038	FIH	68,949,375	\$ 163,410,019
2039	CIMC	2,147,600	\$ 34,017,984
2066	SHENGJINGBANK	1,948,500	\$ 12,665,250
2098	ZALL GROUP	6,355,000	\$ 55,034,300
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	13,769,973	\$ 66,922,069
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	3,879,000	\$ 20,907,810
2136	LIFESTYLE CHI	354,500	\$ 822,440
2186	LUYE PHARMA	177,151,310	\$ 1,275,489,432
2196	FOSUN PHARMA	25,791,814	\$ 1,339,884,737
2198	CHINA SANJIANG	1,369,200	\$ 5,038,656
2199	REGINA MIRACLE	13,389,690	\$ 101,092,160
2200	HOSA INT'L	5,822,000	\$ 17,640,660
2202	CHINA VANKE	29,660,827	\$ 1,143,424,881
2208	GOLDWIND	52,294,484	\$ 695,516,637
2233	WESTCHINACEMENT	20,474,000	\$ 28,663,600
2238	GAC GROUP	104,856,182	\$ 1,931,450,872
2255	HAICHANG HLDG	6,781,000	\$ 12,070,180
2268	YOUYUAN HLDGS	10,527,973	\$ 33,478,954
2269	WUXI BIO	3,846,405	\$ 205,205,707
2277	HUARONG INV	0	\$ 0
2280	HC INTL	32,392,069	\$ 185,606,555
2282	MGM CHINA	96,394,520	\$ 2,168,876,700
2298	COSMO LADY	61,488,664	\$ 171,553,373
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	2,826,323	\$ 220,453,194
2314	LEE & MAN PAPER	30,786,461	\$ 294,934,296
2318	PING AN	1,410,189,643	\$ 121,840,385,155
2319	MENGNU DAIRY	30,293,058	\$ 739,150,615
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	NPE HOLDINGS	108,700,000	\$ 18,044,200
2328	PICC P&C	32,852,760	\$ 517,102,442
2329	GUORUI PPT	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2331	LI NING	47,194,284	\$ 324,696,674
2333	GREATWALL MOTOR	469,200,663	\$ 4,443,330,279
2338	WEICHAI POWER	177,343,800	\$ 1,780,531,752
2342	COMBA	39,198,806	\$ 60,366,161
2343	PACIFIC BASIN	242,424,112	\$ 436,363,402
2345	SHANGHAI PRIME	212,000	\$ 354,040
2348	DAWNRAYS PHARMA	785,000	\$ 3,611,000
2355	BAOYE GROUP	0	\$ 0
2356	DAHONG BANKING	1,284,132	\$ 22,729,136
2357	AVICHINA	147,451,519	\$ 639,939,592
2362	JINCHUAN INTL	10,798,524	\$ 14,578,007
2369	COOLPAD GROUP	63,020,148	\$ 45,374,507
2378	PRU	0	\$ 0
2380	CHINA POWER	116,779,974	\$ 238,231,147
2382	SUNNY OPTICAL	50,554,158	\$ 5,626,677,785
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	11,465,730	\$ 95,853,503
2388	BOC HONG KONG	34,722,974	\$ 1,413,225,042
2389	BJ ENT M&H	36,941,665	\$ 13,668,416
2393	YESTAR HEALTH	3,595,000	\$ 11,575,900
2588	BOC AVIATION	313,400	\$ 14,604,440
2600	CHALCO	518,806,417	\$ 2,993,513,026
2601	CPIC	104,244,968	\$ 3,909,186,300
2607	SH PHARMA	37,438,178	\$ 801,177,009
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	3,604,400	\$ 67,762,720
2628	CHINA LIFE	45,046,132	\$ 1,121,648,687
2638	HKELECTRIC-SS	301,023,354	\$ 2,161,347,682
2662	CAMSING INTL	2,198,000	\$ 13,649,580
2666	UNI MEDICAL	31,170,447	\$ 236,271,988
2669	CHINA OVS PPT	13,772,577	\$ 33,467,362
2678	TEXHONG TEXTILE	3,303,923	\$ 37,136,095
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	54,225,853	\$ 2,930,907,355
2689	ND PAPER	92,652,957	\$ 1,226,725,151
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	200,511,464	\$ 637,626,456
2768	JIAYUAN INTL	3,842,000	\$ 30,889,680
2777	R&F PROPERTIES	30,460,964	\$ 627,495,858
2778	CHAMPION REIT	6,723,000	\$ 38,321,100
2799	CHINA HUARONG	270,331,666	\$ 1,024,557,014



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2800	TRACKER FUND	4,874,000	\$ 153,531,000
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	86,904,900	\$ 1,444,359,438
2823	ISHARES A50	134,647,360	\$ 2,175,901,338
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HS H ETF	5,702,320	\$ 717,351,856
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	72,310,840	\$ 117,866,669
2868	BJ CAPITAL LAND	4,515,651	\$ 20,907,464
2869	GREENTOWN SER	18,010,000	\$ 111,301,800
2877	SHINEWAY PHARM	4,912,000	\$ 37,920,640
2880	DALIAN PORT	46,132,288	\$ 66,891,818
2883	CHINA OILFIELD	130,782,480	\$ 1,255,511,808
2888	STANCHART	5,665,297	\$ 497,696,341
2899	ZIJIN MINING	259,902,453	\$ 901,861,512
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESKS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	35,967,949	\$ 1,881,123,733
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	2,645,000	\$ 24,334,000
3308	GOLDEN EAGLE	6,990,377	\$ 66,967,812
3311	CHINA STATE CON	105,193,825	\$ 1,256,014,271
3320	CHINARES PHARMA	59,087,982	\$ 633,423,167
3323	CNBM	337,510,712	\$ 2,676,459,946
3328	BANKCOMM	94,309,224	\$ 580,001,728
3329	BOCOM INTL	712,000	\$ 1,751,520
3331	VINDA INT'L	698,000	\$ 11,070,280
3333	EVERGRANDE	171,410,316	\$ 4,928,046,585
3336	JU TENG INTL	13,134,000	\$ 35,461,800



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	53,535,144	\$ 59,959,361
3339	LONKING	52,723,900	\$ 199,296,342
3360	FE HORIZON	27,540,345	\$ 212,336,060
3369	QHD PORT	2,443,751	\$ 6,622,565
3377	SINO-OCEAN GP	44,232,087	\$ 278,662,148
3378	XIAMEN PORT	1,815,994	\$ 2,796,631
3380	LOGAN PPT	34,033,283	\$ 302,215,553
3382	TIANJINPORT DEV	1,978,000	\$ 2,353,820
3383	AGILE GROUP	77,149,696	\$ 1,101,697,659
3393	WASION GROUP	18,777,789	\$ 78,115,602
3396	LEGENDHOLDING	3,714,700	\$ 102,525,720
3606	FUYAO GLASS	25,999,346	\$ 876,177,960
3618	CQRC BANK	45,421,888	\$ 272,077,109
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	533,400	\$ 8,001,000
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	7,115,203	\$ 67,879,037
3698	HUIZHANG BANK	4,398,000	\$ 18,251,700
3708	YAT SING HLDG	765,000	\$ 2,317,950
3799	DALI FOODS	2,869,000	\$ 19,710,030
3800	GCL-POLY ENERGY	985,114,658	\$ 1,457,969,694
3808	SINOTRUK	114,973,880	\$ 1,135,941,934
3813	POU SHENG INT'L	123,171,070	\$ 157,658,970
3818	CHINA DONGXIANG	35,615,000	\$ 54,134,800
3836	HARMONY AUTO	39,177,215	\$ 226,836,075
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	19,402,463	\$ 116,414,778
3886	TOWN HEALTH	106,533,754	\$ 73,508,290
3888	KINGSOFT	58,332,043	\$ 1,577,881,763
3898	CRRC TIMES ELEC	23,317,799	\$ 1,116,922,572
3899	CIMC ENRIC	18,092,588	\$ 144,378,852
3900	GREENTOWN CHINA	68,892,836	\$ 843,248,313
3908	CICC	25,688,972	\$ 432,088,509
3918	NAGACORP	18,168,512	\$ 111,736,349
3933	UNITED LAB	173,295,382	\$ 1,266,789,242
3948	YITAI COAL	70,380	\$ 716,468
3958	DFZQ	3,618,400	\$ 28,766,280
3968	CM BANK	112,589,632	\$ 3,901,230,749
3969	CHINA CRSC	5,957,000	\$ 36,278,130
3983	CHINA BLUECHEM	21,524,754	\$ 57,686,341
3988	BANK OF CHINA	97,181,946	\$ 399,417,798



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3989	CAPITAL ENV	0	\$ 0
3993	CMOC	268,706,457	\$ 1,601,490,484
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,438,000	\$ 3,417,260
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	119,114,028	\$ 2,070,201,807
6060	ZA ONLINE	6,415,100	\$ 476,641,930
6066	CSC	9,655,500	\$ 68,554,050
6068	WISDOM EDU INTL	436,000	\$ 2,092,800
6099	CMSC	3,326,450	\$ 42,046,328
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	15,834,000	\$ 66,502,800
6178	EB SECURITIES	2,323,796	\$ 22,540,821
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,653,000	\$ 20,420,270
6808	SUNART RETAIL	137,709,129	\$ 1,163,642,140
6818	CEB BANK	122,005,548	\$ 466,061,193
6823	HKT-SS	52,397,078	\$ 523,970,780
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	30,715,522	\$ 16,586,382
6837	HAITONG SEC	92,174,620	\$ 1,107,938,932
6858	HONMAGOLF	216,500	\$ 1,740,660
6863	HUIZHAN DAIRY	335,793,610	\$ 141,033,316
6869	YOFC	6,337,000	\$ 234,152,150
6881	CGS	146,713,598	\$ 858,274,548
6886	HTSC	46,064,521	\$ 792,309,761
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	9,104,976	\$ 8,285,528
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	7,360,000	\$ 1,810,560
8083	INNOVATIONPAY	46,584,866	\$ 19,565,644
8102	LI BAO GE GP	0	\$ 0
8137	HONBRIDGE	15,886,000	\$ 26,529,620
8138	TONGRENTANGCM	459,000	\$ 5,416,200
8158	CRMI	97,970,000	\$ 19,202,120
8207	CHONGSING HLDGS	103,216,000	\$ 106,312,480
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	1,556,000	\$ 5,119,240
8279	AGTECH HOLDINGS	8,768,000	\$ 10,872,320
8370	ZHI SHENG GP	0	\$ 0
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	136,200	\$ 7,019,748
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0