



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1 | CKH HOLDINGS | 13,409,762 | \$ 1,330,248,390 |
| 2 | CLP HOLDINGS | 29,419,778 | \$ 2,352,111,251 |
| 3 | HK & CHINA GAS | 225,202,332 | \$ 3,468,115,913 |
| 4 | WHARF HOLDINGS | 37,717,637 | \$ 961,799,744 |
| 5 | HSBC HOLDINGS | 53,260,146 | \$ 4,148,965,373 |
| 6 | POWER ASSETS | 17,568,462 | \$ 1,173,573,262 |
| 8 | PCCW | 49,384,129 | \$ 226,179,311 |
| 10 | HANG LUNG GROUP | 3,340,967 | \$ 94,716,414 |
| 11 | HANG SENG BANK | 8,456,573 | \$ 1,629,581,617 |
| 12 | HENDERSON LAND | 11,934,725 | \$ 603,300,349 |
| 14 | HYSAN DEV | 5,443,766 | \$ 229,454,737 |
| 16 | SHK PPT | 10,086,392 | \$ 1,280,971,784 |
| 17 | NEW WORLD DEV | 98,303,359 | \$ 1,102,963,688 |
| 19 | SWIRE PACIFIC A | 12,492,567 | \$ 948,810,464 |
| 20 | WHEELLOCK | 2,711,558 | \$ 147,237,599 |
| 23 | BANK OF E ASIA | 50,665,134 | \$ 1,735,280,840 |
| 25 | CHEVALIER INT'L | 0 | \$ 0 |
| 26 | CHINA MOTOR BUS | 0 | \$ 0 |
| 27 | GALAXY ENT | 48,795,200 | \$ 2,800,844,480 |
| 28 | TIAN AN | 0 | \$ 0 |
| 31 | CHINA AEROSPACE | 24,696,490 | \$ 22,720,771 |
| 32 | CROSS-HAR(HOLD) | 0 | \$ 0 |
| 34 | KOWLOON DEV | 0 | \$ 0 |
| 35 | FE CONSORT INTL | 0 | \$ 0 |
| 38 | FIRST TRACTOR | 11,056,269 | \$ 35,711,749 |
| 39 | CH BEIDAHUANG | 6,896,000 | \$ 2,620,480 |
| 41 | GREAT EAGLE H | 328,707 | \$ 13,641,341 |
| 43 | C.P. POKPHAND | 0 | \$ 0 |
| 44 | HAECO | 35,608 | \$ 1,719,866 |
| 45 | HK&S HOTELS | 5,024,649 | \$ 55,572,618 |
| 50 | HK FERRY (HOLD) | 0 | \$ 0 |
| 51 | HARBOUR CENTRE | 0 | \$ 0 |
| 52 | FAIRWOOD HOLD | 424,500 | \$ 13,435,425 |
| 53 | GUOCO GROUP | 0 | \$ 0 |
| 54 | HOPEWELL HOLD | 324,000 | \$ 9,363,600 |
| 56 | ALLIED PPT (HK) | 0 | \$ 0 |
| 62 | TRANSPORT INT'L | 0 | \$ 0 |
| 64 | GET NICE | 6,276,000 | \$ 2,165,220 |
| 66 | MTR CORPORATION | 66,657,217 | \$ 3,066,231,982 |
| 69 | SHANGRI-LA ASIA | 22,370,450 | \$ 393,719,920 |
| 71 | MIRAMAR HOTEL | 0 | \$ 0 |



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|------------|-----------------|--|--|
| 75 | Y.T. REALTY | 0 | \$ 0 |
| 78 | REGAL INT'L | 323,350 | \$ 1,894,831 |
| 81 | CH OVS G OCEANS | 14,252,544 | \$ 60,430,787 |
| 83 | SINO LAND | 17,648,753 | \$ 248,847,417 |
| 86 | SUN HUNG KAI CO | 0 | \$ 0 |
| 88 | TAI CHEUNG HOLD | 0 | \$ 0 |
| 95 | LVGEM CHINA | 3,142,286 | \$ 7,478,641 |
| 100 | CLEAR MEDIA | 0 | \$ 0 |
| 101 | HANG LUNG PPT | 19,933,359 | \$ 373,949,815 |
| 105 | ASSO INT HOTELS | 0 | \$ 0 |
| 107 | SICHUAN EXPRESS | 5,752,000 | \$ 16,795,840 |
| 116 | CHOW SANG SANG | 435,840 | \$ 7,540,032 |
| 119 | POLY PROPERTY | 55,050,520 | \$ 207,540,460 |
| 123 | YUEXIU PROPERTY | 16,397,952 | \$ 23,285,092 |
| 127 | CHINESE EST H | 1,717,500 | \$ 21,468,750 |
| 129 | ASIA STANDARD | 1,456,000 | \$ 2,766,400 |
| 133 | CHINA MERCHANTS | 34,000 | \$ 448,800 |
| 135 | KUNLUN ENERGY | 215,966,702 | \$ 1,468,573,574 |
| 136 | HENG TEN NET | 859,853,212 | \$ 262,255,230 |
| 142 | FIRST PACIFIC | 7,392,665 | \$ 43,025,310 |
| 144 | CHINA MER PORT | 24,387,301 | \$ 503,597,766 |
| 147 | IB SETTLEMENT | 0 | \$ 0 |
| 148 | KINGBOARD CHEM | 18,551,040 | \$ 805,115,136 |
| 151 | WANT WANT CHINA | 677,790,659 | \$ 4,195,524,179 |
| 152 | SHENZHEN INT'L | 5,723,102 | \$ 81,039,124 |
| 158 | MELBOURNE ENT | 0 | \$ 0 |
| 163 | EMPEROR INT'L | 0 | \$ 0 |
| 164 | CHINA BAOLI TEC | 56,075,000 | \$ 5,607,500 |
| 165 | CHINA EB LTD | 14,413,505 | \$ 249,641,907 |
| 168 | TSINGTAO BREW | 25,507,098 | \$ 807,299,652 |
| 171 | SILVER GRANT | 1,302,000 | \$ 1,562,400 |
| 173 | K. WAH INT'L | 1,006,179 | \$ 4,256,137 |
| 175 | GEELY AUTO | 100,499,189 | \$ 2,763,727,698 |
| 177 | JIANGSU EXPRESS | 6,812,667 | \$ 73,849,310 |
| 178 | SA SA INT'L | 56,619,713 | \$ 169,859,139 |
| 179 | JOHNSON ELEC H | 1,165,000 | \$ 36,406,250 |
| 182 | CONCORD NE | 31,438,388 | \$ 11,003,436 |
| 186 | GRANDE HOLDINGS | 0 | \$ 0 |
| 189 | DONGYUE GROUP | 2,416,396 | \$ 12,081,980 |
| 191 | LAI SUN INT'L | 0 | \$ 0 |
| 194 | LIU CHONG HING | 0 | \$ 0 |



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|------------|-----------------|--|--|
| 196 | HONGHUA GROUP | 37,230,194 | \$ 24,199,626 |
| 198 | SMI HOLDINGS | 30,655,325 | \$ 126,299,939 |
| 199 | ITC PROPERTIES | 0 | \$ 0 |
| 200 | MELCO INT'L DEV | 8,260,276 | \$ 184,204,155 |
| 207 | JOY CITY PPT | 6,559,150 | \$ 8,002,163 |
| 215 | HUTCHTEL HK | 86,781,553 | \$ 247,327,426 |
| 217 | CHINA CHENGTONG | 1,690,040 | \$ 768,968 |
| 218 | SHENWANHONGYUAN | 8,911,805 | \$ 22,546,867 |
| 220 | U-PRESID CHINA | 21,031,069 | \$ 126,817,346 |
| 222 | MIN XIN HOLD | 0 | \$ 0 |
| 225 | POKFULAM | 0 | \$ 0 |
| 230 | MINMETALS LAND | 3,018,000 | \$ 3,651,780 |
| 232 | AVIC INT'L | 76,321,536 | \$ 35,871,122 |
| 235 | CHINA STRATEGIC | 143,209,179 | \$ 12,172,780 |
| 241 | ALI HEALTH | 381,882,319 | \$ 1,454,971,635 |
| 242 | SHUN TAK HOLD | 1,885,666 | \$ 5,619,285 |
| 245 | CN MINSHENG FIN | 27,720,247 | \$ 9,979,289 |
| 247 | TST PROPERTIES | 0 | \$ 0 |
| 251 | SEA HOLDINGS | 500,786 | \$ 4,456,995 |
| 256 | CITYCHAMP | 20,856,000 | \$ 38,166,480 |
| 257 | CHINA EB INT'L | 157,265,944 | \$ 1,645,001,774 |
| 258 | TOMSON GROUP | 761,046 | \$ 2,526,673 |
| 259 | YEEBO (INT'L H) | 906,000 | \$ 3,415,620 |
| 261 | CCT LAND | 0 | \$ 0 |
| 266 | TIAN TECK LAND | 0 | \$ 0 |
| 267 | CITIC | 29,460,229 | \$ 325,240,928 |
| 268 | KINGDEE INT'L | 181,246,855 | \$ 732,237,294 |
| 270 | GUANGDONG INV | 13,114,441 | \$ 141,635,963 |
| 272 | SHUI ON LAND | 95,422,910 | \$ 208,021,944 |
| 273 | MASON GP HOLD | 132,476,001 | \$ 16,294,548 |
| 285 | BYD ELECTRONIC | 84,621,459 | \$ 1,526,571,120 |
| 288 | WH GROUP | 140,258,863 | \$ 1,137,499,379 |
| 289 | WING ON CO | 0 | \$ 0 |
| 291 | CHINA RES BEER | 16,985,365 | \$ 361,788,275 |
| 293 | CATHAY PAC AIR | 70,064,712 | \$ 828,164,896 |
| 297 | SINOFERT | 101,050,528 | \$ 118,229,118 |
| 303 | VTECH HOLDINGS | 1,571,288 | \$ 174,727,226 |
| 308 | CHINA TRAVEL HK | 12,154,072 | \$ 30,871,343 |
| 315 | SMARTONE TELE | 34,520,473 | \$ 320,695,194 |
| 316 | OOIL | 4,783,312 | \$ 355,878,413 |
| 317 | COMEC | 12,724,891 | \$ 148,881,225 |



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|------------|-----------------|--|--|
| 321 | TEXWINCA HOLD | 21,980,617 | \$ 97,154,327 |
| 322 | TINGYI | 133,381,040 | \$ 1,693,939,208 |
| 323 | MAANSHAN IRON | 81,982,989 | \$ 308,256,039 |
| 327 | PAX GLOBAL | 103,702,378 | \$ 359,847,252 |
| 329 | OCI INTL | 0 | \$ 0 |
| 330 | ESPRIT HOLDINGS | 138,461,824 | \$ 560,770,387 |
| 332 | YUANHENG GAS | 2,080,000 | \$ 1,726,400 |
| 336 | HUABAO INTL | 1,943,613 | \$ 9,582,012 |
| 337 | GREENLAND HK | 4,104,400 | \$ 13,216,168 |
| 338 | SHANGHAI PECEM | 48,806,070 | \$ 221,091,497 |
| 341 | CAFE DE CORAL H | 2,399,028 | \$ 53,138,470 |
| 342 | NEWOCEAN ENERGY | 45,640,372 | \$ 82,152,670 |
| 345 | VITASOY INT'L | 2,105,913 | \$ 42,960,625 |
| 347 | ANGANG STEEL | 82,604,476 | \$ 614,577,301 |
| 354 | CHINASOFT INT'L | 133,514,998 | \$ 672,915,590 |
| 358 | JIANGXI COPPER | 68,542,729 | \$ 815,658,475 |
| 363 | SHANGHAI IND H | 379,000 | \$ 8,338,000 |
| 368 | SINOTRANS SHIP | 33,739,523 | \$ 65,454,675 |
| 369 | WING TAI PPT | 0 | \$ 0 |
| 371 | BJ ENT WATER | 155,335,817 | \$ 944,441,767 |
| 373 | ALLIED GROUP | 0 | \$ 0 |
| 376 | YUNFENG FIN | 0 | \$ 0 |
| 378 | FDG KINETIC | 14,400,000 | \$ 12,816,000 |
| 382 | WELLING HOLDING | 0 | \$ 0 |
| 383 | CHINA MED&HCARE | 0 | \$ 0 |
| 384 | CHINA GAS HOLD | 73,523,689 | \$ 1,782,949,458 |
| 386 | SINOPEC CORP | 163,465,487 | \$ 900,694,833 |
| 388 | HKEX | 23,301,485 | \$ 5,489,829,866 |
| 390 | CHINA RAILWAY | 108,436,134 | \$ 631,098,300 |
| 392 | BEIJING ENT | 2,965,312 | \$ 132,401,181 |
| 400 | COGOBUY | 21,338,000 | \$ 83,858,340 |
| 405 | YUEXIU REIT | 0 | \$ 0 |
| 410 | SOHO CHINA | 90,730,756 | \$ 409,195,710 |
| 412 | CHINA SDHS FIN | 0 | \$ 0 |
| 413 | SC HOLDINGS | 0 | \$ 0 |
| 416 | BANKOFJINZHOU | 0 | \$ 0 |
| 419 | HUAYI TENCENT | 289,515,788 | \$ 98,435,368 |
| 425 | MINTH GROUP | 10,879,957 | \$ 468,382,149 |
| 433 | NORTH MINING | 124,407,111 | \$ 17,790,217 |
| 434 | BOYAA | 2,557,194 | \$ 8,004,017 |
| 435 | SUNLIGHT REIT | 0 | \$ 0 |



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| 439 | KUANGCHI | 33,793,163 | \$ 84,482,908 |
| 440 | DAH SING | 582,540 | \$ 29,068,746 |
| 451 | GCL NEWENERGY | 153,455,369 | \$ 99,745,990 |
| 460 | SIHUAN PHARM | 151,569,220 | \$ 406,205,510 |
| 467 | UNITEDENERGY GP | 0 | \$ 0 |
| 468 | GAPACK | 1,768,000 | \$ 9,706,320 |
| 480 | HKR INT'L | 1,509,600 | \$ 7,095,120 |
| 486 | RUSAL | 11,354,000 | \$ 53,931,500 |
| 488 | LAI SUN DEV | 887,100 | \$ 12,312,948 |
| 489 | DONGFENG GROUP | 66,229,959 | \$ 647,066,699 |
| 493 | GOME RETAIL | 1,850,804,172 | \$ 1,684,231,797 |
| 494 | LI & FUNG | 277,684,322 | \$ 963,564,597 |
| 497 | CSI PROPERTIES | 3,000,000 | \$ 1,185,000 |
| 500 | FRONTIER SER | 0 | \$ 0 |
| 506 | CHINA FOODS | 49,611,567 | \$ 191,500,649 |
| 511 | TVB | 13,924,732 | \$ 379,448,947 |
| 512 | CHINAGRANDPHARM | 0 | \$ 0 |
| 517 | COSCO SHIP INTL | 2,026,000 | \$ 6,199,560 |
| 520 | XIABUXIABU | 1,504,500 | \$ 18,054,000 |
| 521 | HNA HOLDING | 60,934,000 | \$ 21,936,240 |
| 522 | ASM PACIFIC | 24,001,050 | \$ 2,620,914,660 |
| 525 | GUANGSHEN RAIL | 60,638,900 | \$ 266,204,771 |
| 526 | LISI GP HOLD | 3,382,000 | \$ 4,667,160 |
| 530 | GOLDIN FIN HOLD | 6,906,000 | \$ 27,209,640 |
| 535 | GEMDALE PPT | 24,336,000 | \$ 19,468,800 |
| 538 | AJISEN (CHINA) | 360,000 | \$ 1,350,000 |
| 546 | FUFENG GROUP | 43,125,000 | \$ 227,268,750 |
| 547 | DIGITAL DOMAIN | 423,080,000 | \$ 82,077,520 |
| 548 | SHENZHENEXPRESS | 2,149,700 | \$ 16,423,708 |
| 551 | YUE YUEN IND | 3,124,223 | \$ 87,946,877 |
| 552 | CHINACOMSERVICE | 14,090,000 | \$ 70,590,900 |
| 563 | SH IND URBAN | 25,050,200 | \$ 42,585,340 |
| 570 | TRAD CHI MED | 68,678,120 | \$ 289,134,885 |
| 576 | ZHEJIANGEXPRESS | 10,688,143 | \$ 98,972,204 |
| 579 | JNCEC | 11,526,000 | \$ 22,936,740 |
| 580 | SUN.KING ELEC | 1,364,000 | \$ 2,141,480 |
| 581 | CHINA ORIENTAL | 5,882,000 | \$ 35,939,020 |
| 586 | CONCH VENTURE | 23,752,864 | \$ 408,549,261 |
| 588 | BEIJING N STAR | 1,970,000 | \$ 5,378,100 |
| 590 | LUK FOOK HOLD | 3,042,095 | \$ 104,039,649 |
| 598 | SINOTRANS | 36,422,541 | \$ 145,325,939 |



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|------------|-----------------|--|--|
| 603 | CHINA OIL & GAS | 8,407,936 | \$ 4,876,603 |
| 604 | SHENZHEN INVEST | 39,271,081 | \$ 128,809,146 |
| 605 | C FIN SERVICES | 0 | \$ 0 |
| 606 | CHINA AGRI | 7,255,000 | \$ 23,433,650 |
| 607 | FULLSHARE | 703,451,200 | \$ 2,272,147,376 |
| 622 | ENERCHINA HOLD | 19,545,022 | \$ 11,336,113 |
| 626 | PUBLIC FIN HOLD | 0 | \$ 0 |
| 633 | CH ALL ACCESS | 0 | \$ 0 |
| 636 | KERRY LOG NET | 0 | \$ 0 |
| 639 | SHOUGANG RES | 31,631,000 | \$ 51,242,220 |
| 656 | FOSUN INTL | 80,788,719 | \$ 1,315,240,345 |
| 658 | C TRANSMISSION | 21,466,478 | \$ 202,643,552 |
| 659 | NWS HOLDINGS | 2,902,024 | \$ 40,744,417 |
| 665 | HAITONG INT'L | 173,072,765 | \$ 789,211,808 |
| 669 | TECHTRONIC IND | 5,281,485 | \$ 240,835,716 |
| 670 | CHINA EAST AIR | 138,230,294 | \$ 633,094,747 |
| 672 | ZHONGAN REALEST | 13,739,000 | \$ 12,227,710 |
| 678 | GENTING HK | 0 | \$ 0 |
| 680 | NAN HAI CORP | 101,833,120 | \$ 22,199,620 |
| 683 | KERRY PPT | 13,340,728 | \$ 460,922,152 |
| 686 | PANDA GREEN | 118,950,735 | \$ 124,898,272 |
| 687 | HKICIM GROUP | 0 | \$ 0 |
| 688 | CHINA OVERSEAS | 28,695,714 | \$ 714,523,279 |
| 693 | TAN CHONG INT'L | 0 | \$ 0 |
| 694 | BEIJING AIRPORT | 12,875,450 | \$ 145,750,094 |
| 696 | TRAVELSKY TECH | 6,224,224 | \$ 135,688,083 |
| 698 | TONGDA GROUP | 500,146,382 | \$ 1,030,301,547 |
| 699 | CAR INC | 73,739,213 | \$ 501,426,648 |
| 700 | TENCENT | 111,801,037 | \$ 43,043,399,245 |
| 702 | SINO OIL & GAS | 77,282,515 | \$ 11,205,965 |
| 707 | CO-PROSPERITY | 6,014,000 | \$ 2,766,440 |
| 708 | EVERG HEALTH | 2,905,000 | \$ 8,889,300 |
| 709 | GIORDANO INT'L | 7,136,000 | \$ 29,328,960 |
| 710 | BOE VARITRONIX | 1,840,812 | \$ 10,345,363 |
| 715 | CHINA OCEANWIDE | 35,410,227 | \$ 21,600,238 |
| 716 | SINGAMAS CONT | 18,123,559 | \$ 29,541,401 |
| 717 | EMPEROR CAPITAL | 21,412,847 | \$ 12,847,708 |
| 718 | TAI UNITED HOLD | 1,135,000 | \$ 1,203,100 |
| 721 | C FIN INT INV | 49,993,360 | \$ 11,498,473 |
| 728 | CHINA TELECOM | 191,686,366 | \$ 728,408,191 |
| 729 | FDG EVEHICLES | 562,381,986 | \$ 191,209,875 |



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| 732 | TRULY INT'L | 133,599,723 | \$ 500,998,961 |
| 735 | CP CLEAN ENERGY | 336,000 | \$ 1,404,480 |
| 737 | HOPEWELL INFR | 2,830,000 | \$ 13,442,500 |
| 743 | ASIA CEMENT CH | 0 | \$ 0 |
| 750 | SINGYES SOLAR | 69,297,277 | \$ 182,944,811 |
| 751 | SKYWORTHDIGITAL | 158,406,855 | \$ 525,910,759 |
| 752 | PICO FAR EAST | 412,000 | \$ 1,355,480 |
| 753 | AIR CHINA | 24,692,575 | \$ 207,170,704 |
| 754 | HOPSON DEV HOLD | 1,950,000 | \$ 14,449,500 |
| 762 | CHINA UNICOM | 317,898,207 | \$ 3,624,039,560 |
| 763 | ZTE | 79,311,159 | \$ 2,188,987,988 |
| 775 | CKLIFE SCIENCES | 0 | \$ 0 |
| 777 | NETDRAGON | 4,592,235 | \$ 104,243,735 |
| 778 | FORTUNE REIT | 0 | \$ 0 |
| 787 | GLOBAL BRANDS | 175,010,917 | \$ 119,007,424 |
| 798 | CEOVU | 0 | \$ 0 |
| 799 | IGG | 98,722,923 | \$ 748,319,756 |
| 806 | VALUE PARTNERS | 84,162,055 | \$ 683,395,887 |
| 808 | PROSPERITY REIT | 0 | \$ 0 |
| 811 | XINHUA WINSHARE | 3,214,000 | \$ 19,991,080 |
| 813 | SHIMAO PROPERTY | 11,468,284 | \$ 179,134,596 |
| 815 | CHI SILVER GP | 15,345,720 | \$ 39,898,872 |
| 816 | HUADIAN FUXIN | 40,258,163 | \$ 78,503,418 |
| 817 | CHINA JINMAO | 123,633,822 | \$ 438,900,068 |
| 818 | HI SUN TECH | 11,170,181 | \$ 17,537,184 |
| 819 | TIANNENG POWER | 32,521,531 | \$ 214,642,105 |
| 820 | HSBCDRAGON FUND | 0 | \$ 0 |
| 823 | LINK REIT | 15,219,558 | \$ 1,065,369,060 |
| 831 | CR ASIA | 0 | \$ 0 |
| 832 | CENTRAL CHINA | 3,244,000 | \$ 11,808,160 |
| 836 | CHINA RES POWER | 23,530,246 | \$ 344,482,801 |
| 838 | EVA HOLDINGS | 378,000 | \$ 423,360 |
| 845 | GLORIOUS PPT H | 27,362,000 | \$ 18,879,780 |
| 853 | MICROPORT | 14,982,904 | \$ 133,048,188 |
| 855 | CHINA WATER | 57,297,890 | \$ 370,144,369 |
| 856 | VSTECs | 657,250 | \$ 2,990,488 |
| 857 | PETROCHINA | 273,964,797 | \$ 1,435,575,536 |
| 860 | O LUXE HOLD | 27,163,765 | \$ 41,832,198 |
| 861 | DC HOLDINGS | 68,986,808 | \$ 286,295,253 |
| 862 | VISION VALUES | 0 | \$ 0 |
| 867 | CMS | 37,136,478 | \$ 577,100,868 |



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| 868 | XINYI GLASS | 45,373,355 | \$ 421,972,202 |
| 874 | BAIYUNSHAN PH | 1,388,400 | \$ 31,239,000 |
| 877 | O-NET TECH GP | 37,038,383 | \$ 181,117,693 |
| 878 | SOUNDWILL HOLD | 0 | \$ 0 |
| 880 | SJM HOLDINGS | 107,732,857 | \$ 683,026,313 |
| 881 | ZHONGSHENG HLDG | 26,689,200 | \$ 428,628,552 |
| 882 | TIANJIN DEV | 2,428,000 | \$ 8,837,920 |
| 883 | CNOOC | 69,746,594 | \$ 746,288,556 |
| 884 | CIFI HOLD GP | 96,312,193 | \$ 418,958,040 |
| 895 | DONGJIANG ENV | 4,489,624 | \$ 51,630,676 |
| 902 | HUANENG POWER | 142,788,825 | \$ 719,655,678 |
| 906 | COFCO PACKAGING | 5,435,227 | \$ 34,404,987 |
| 914 | CONCH CEMENT | 62,069,912 | \$ 2,299,690,240 |
| 915 | DAOHE GLOBAL | 3,047,000 | \$ 6,337,760 |
| 916 | CHINA LONGYUAN | 121,405,714 | \$ 644,664,341 |
| 921 | HISENSE KELON | 7,319,000 | \$ 63,675,300 |
| 931 | CHINA LNG | 73,523,238 | \$ 89,698,350 |
| 932 | RM GROUP | 9,912,000 | \$ 67,698,960 |
| 933 | BRIGHTOIL | 43,844,654 | \$ 65,766,981 |
| 934 | SINOPEC KANTONS | 10,238,906 | \$ 47,406,135 |
| 939 | CCB | 1,044,667,722 | \$ 7,114,187,187 |
| 941 | CHINA MOBILE | 22,484,445 | \$ 1,771,774,266 |
| 950 | LEE'S PHARM | 603,077 | \$ 3,980,308 |
| 951 | CHAOWEI POWER | 85,139,614 | \$ 321,827,741 |
| 952 | OCEANWIDE FIN | 0 | \$ 0 |
| 956 | CHINA SUNTIEN | 20,721,000 | \$ 40,198,740 |
| 958 | HN RENEWABLES | 198,730,827 | \$ 494,839,759 |
| 960 | LONGFOR PPT | 10,075,501 | \$ 185,792,238 |
| 966 | CHINA TAIPING | 46,543,659 | \$ 1,333,475,830 |
| 968 | XINYI SOLAR | 153,354,066 | \$ 426,324,303 |
| 973 | L'OCCITANE | 6,823,853 | \$ 98,809,391 |
| 976 | CHIHO ENV | 12,856,049 | \$ 51,295,636 |
| 978 | CHI MER LAND | 18,803,219 | \$ 26,700,571 |
| 980 | LIANHUA | 5,428,709 | \$ 16,394,701 |
| 981 | SMIC | 508,961,220 | \$ 5,761,441,010 |
| 988 | LOUDONG GN RES | 0 | \$ 0 |
| 989 | GROUND INTL DEV | 965,000 | \$ 1,939,650 |
| 991 | DATANG POWER | 10,190,245 | \$ 26,188,930 |
| 992 | LENOVO GROUP | 1,044,701,604 | \$ 4,607,134,074 |
| 993 | HUARONG INT FIN | 856,000 | \$ 2,225,600 |
| 995 | ANHUIEXPRESSWAY | 498,300 | \$ 3,189,120 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 996 | CARNIVAL GROUP | 117,030,458 | \$ 45,056,726 |
| 998 | CITIC BANK | 260,947,299 | \$ 1,309,955,441 |
| 999 | I.T | 0 | \$ 0 |
| 1003 | HUANXI MEDIA | 1,819,326 | \$ 3,602,265 |
| 1004 | C SMARTERENERGY | 0 | \$ 0 |
| 1028 | C.BANNER | 1,120,000 | \$ 2,900,800 |
| 1030 | FUTURE LAND | 38,272,000 | \$ 171,841,280 |
| 1031 | KINGSTON FIN | 148,135,029 | \$ 1,321,364,459 |
| 1033 | SINOPEC SSC | 96,148,454 | \$ 116,339,629 |
| 1038 | CKI HOLDINGS | 45,986,315 | \$ 3,069,586,526 |
| 1044 | HENGAN INT'L | 32,798,485 | \$ 2,492,684,860 |
| 1045 | APT SATELLITE | 1,882,989 | \$ 6,590,462 |
| 1051 | G-RESOURCES | 36,834,106 | \$ 3,830,747 |
| 1052 | YUEXIUTRANSPORT | 30,552,651 | \$ 170,789,319 |
| 1055 | CHINA SOUTH AIR | 102,136,707 | \$ 704,743,278 |
| 1060 | ALI PICTURES | 1,197,034,313 | \$ 1,209,004,656 |
| 1061 | ESSEX BIO-TECH | 0 | \$ 0 |
| 1065 | TIANJIN CAPITAL | 18,188,000 | \$ 79,299,680 |
| 1066 | WEIGAO GROUP | 12,442,250 | \$ 66,939,305 |
| 1068 | YURUN FOOD | 16,541,000 | \$ 13,232,800 |
| 1070 | TCL MULTIMEDIA | 22,137,055 | \$ 92,975,631 |
| 1071 | HUADIAN POWER | 48,723,098 | \$ 148,118,218 |
| 1072 | DONGFANG ELEC | 4,846,710 | \$ 31,503,615 |
| 1076 | IMPERIAL PAC | 221,620,855 | \$ 24,599,915 |
| 1083 | TOWNGAS CHINA | 9,906,599 | \$ 61,817,178 |
| 1086 | GOODBABY INTL | 5,996,000 | \$ 24,343,760 |
| 1088 | CHINA SHENHUA | 36,955,877 | \$ 717,683,131 |
| 1089 | LEYOU TECH H | 11,130,460 | \$ 21,704,397 |
| 1090 | DA MING INT'L | 0 | \$ 0 |
| 1093 | CSPC PHARMA | 27,468,563 | \$ 425,762,727 |
| 1098 | ROAD KING INFRA | 539,000 | \$ 6,769,840 |
| 1099 | SINOPHARM | 39,725,509 | \$ 1,243,408,432 |
| 1103 | DASHENG AGR FIN | 58,976,009 | \$ 34,206,085 |
| 1106 | SINO HAIJING | 0 | \$ 0 |
| 1107 | MODERN LAND | 904,000 | \$ 1,428,320 |
| 1108 | LUOYANG GLASS | 3,747,826 | \$ 14,616,521 |
| 1109 | CHINA RES LAND | 58,863,628 | \$ 1,336,204,356 |
| 1111 | CHONG HING BANK | 0 | \$ 0 |
| 1112 | H&H INTL HLDG | 7,503,696 | \$ 330,162,624 |
| 1113 | CK ASSET | 1,145,783 | \$ 75,965,413 |
| 1114 | BRILLIANCE CHI | 113,346,230 | \$ 2,357,601,584 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1115 | TIBET WATER | 45,638,527 | \$ 154,714,607 |
| 1117 | CH MODERN D | 53,766,162 | \$ 82,262,228 |
| 1122 | QINGLING MOTORS | 480,000 | \$ 1,195,200 |
| 1128 | WYNN MACAU | 50,010,561 | \$ 1,137,740,263 |
| 1129 | WATER INDUSTRY | 7,324,000 | \$ 13,036,720 |
| 1131 | AGRITRADE RES | 360,000 | \$ 1,015,200 |
| 1133 | HARBIN ELECTRIC | 5,079,001 | \$ 16,608,333 |
| 1138 | COSCO SHIP ENGY | 22,890,320 | \$ 95,681,538 |
| 1140 | OP FIN INV | 452,000 | \$ 1,039,600 |
| 1141 | CMBC CAPITAL | 304,379,075 | \$ 191,758,817 |
| 1157 | ZOOMLION | 126,081,227 | \$ 419,850,486 |
| 1166 | SOLARTECH INT'L | 9,560,000 | \$ 5,258,000 |
| 1168 | SINOLINK HOLD | 90,932,186 | \$ 90,932,186 |
| 1169 | HAIER ELEC | 19,135,124 | \$ 397,053,823 |
| 1171 | YANZHOU COAL | 88,573,919 | \$ 667,847,349 |
| 1176 | ZHUGUANG HOLD | 3,936,000 | \$ 4,014,720 |
| 1177 | SINO BIOPHARM | 54,197,065 | \$ 558,229,770 |
| 1186 | CHINA RAIL CONS | 97,843,528 | \$ 899,182,022 |
| 1193 | CHINA RES GAS | 28,323,779 | \$ 832,719,103 |
| 1196 | REALORD GROUP | 3,068,000 | \$ 17,088,760 |
| 1199 | COSCO SHIP PORT | 37,467,621 | \$ 292,996,796 |
| 1205 | CITIC RESOURCES | 54,325,018 | \$ 44,003,265 |
| 1206 | TECHNOVATOR | 13,284,000 | \$ 25,903,800 |
| 1208 | MMG | 77,287,244 | \$ 272,823,971 |
| 1211 | BYD COMPANY | 126,651,555 | \$ 8,732,624,717 |
| 1212 | LIFESTYLE INT'L | 336,667 | \$ 3,602,337 |
| 1219 | TENWOW INT'L | 4,374,273 | \$ 7,742,463 |
| 1221 | SINO HOTELS | 0 | \$ 0 |
| 1224 | C C LAND | 6,084,723 | \$ 9,857,251 |
| 1230 | YASHILI INT'L | 25,508,000 | \$ 38,772,160 |
| 1231 | NEWTON RES | 0 | \$ 0 |
| 1233 | TIMES PPT | 12,726,690 | \$ 94,177,506 |
| 1234 | CHINA LILANG | 255,000 | \$ 1,611,600 |
| 1238 | POWERLONG | 1,659,000 | \$ 5,889,450 |
| 1243 | WANG ON PPT | 0 | \$ 0 |
| 1250 | BE CLEAN ENERGY | 15,967,420 | \$ 4,071,692 |
| 1252 | CHINA TIANRUI | 0 | \$ 0 |
| 1253 | GREENLAND BROAD | 11,696,000 | \$ 15,087,840 |
| 1257 | CEB GREENTECH | 781,524 | \$ 5,314,363 |
| 1258 | CHINFMINING | 0 | \$ 0 |
| 1270 | LANGHAM-SS | 1,123,158 | \$ 3,841,200 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1272 | DATANG ENVIRO | 0 | \$ 0 |
| 1281 | LT SMART ENERGY | 0 | \$ 0 |
| 1282 | CHINA GOLDJOY | 90,516,000 | \$ 58,835,400 |
| 1288 | ABC | 105,604,552 | \$ 382,288,478 |
| 1293 | GRAND BAOXIN | 28,341,895 | \$ 110,249,972 |
| 1297 | SINOSOFT TECH | 19,168,068 | \$ 44,278,237 |
| 1299 | AIA | 50,541,747 | \$ 3,194,238,410 |
| 1300 | TRIGIANT | 1,121,647 | \$ 1,087,998 |
| 1302 | LIFETECH SCI | 89,834,839 | \$ 165,296,104 |
| 1308 | SITC | 13,847,498 | \$ 103,994,710 |
| 1310 | HKBN | 7,387,875 | \$ 70,554,206 |
| 1313 | CHINARES CEMENT | 14,960,000 | \$ 77,044,000 |
| 1315 | VISION FAME | 53,996,000 | \$ 19,978,520 |
| 1316 | NEXTEER | 30,648,643 | \$ 510,606,392 |
| 1317 | MAPLELEAF EDU | 24,300,000 | \$ 200,232,000 |
| 1330 | DYNAGREEN ENV | 2,179,000 | \$ 9,347,910 |
| 1332 | CHINA TOUYUN | 5,880,000 | \$ 2,058,000 |
| 1333 | CHINA ZHONGWANG | 111,387,385 | \$ 418,816,568 |
| 1336 | NCI | 11,459,474 | \$ 569,535,858 |
| 1339 | PICC GROUP | 68,836,156 | \$ 276,032,986 |
| 1347 | HUA HONG SEMI | 517,000 | \$ 7,165,620 |
| 1359 | CHINA CINDA | 156,623,536 | \$ 455,774,490 |
| 1360 | MEGAEXPO HLDG | 4,838,323 | \$ 9,966,945 |
| 1361 | 361 DEGREES | 15,665,964 | \$ 42,768,082 |
| 1363 | CTEG | 246,680,650 | \$ 318,218,039 |
| 1368 | XTEP INT'L | 42,017,600 | \$ 123,531,744 |
| 1375 | CC SECURITIES | 19,166,344 | \$ 64,207,252 |
| 1378 | CHINAHONGQIAO | 38,138,100 | \$ 322,266,945 |
| 1381 | CANVEST ENV | 16,166,117 | \$ 73,555,832 |
| 1382 | PACIFICTEXTILES | 13,324,586 | \$ 108,062,392 |
| 1385 | SHANGHAI FUDAN | 0 | \$ 0 |
| 1387 | RENHE COMM | 256,232,153 | \$ 63,545,574 |
| 1398 | ICBC | 575,971,034 | \$ 3,501,903,887 |
| 1415 | COWELL | 13,435,000 | \$ 40,573,700 |
| 1426 | SPRING REIT | 0 | \$ 0 |
| 1428 | BRIGHT SMART | 17,306,000 | \$ 43,611,120 |
| 1432 | CHINA SHENGMU | 36,732,000 | \$ 48,853,560 |
| 1448 | FU SHOU YUAN | 2,642,124 | \$ 16,328,326 |
| 1456 | GUOLIAN SEC | 0 | \$ 0 |
| 1458 | ZHOU HEI YA | 25,988,500 | \$ 185,298,005 |
| 1462 | GOLD-FIN HLDG | 0 | \$ 0 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1478 | Q TECH | 13,035,771 | \$ 210,397,344 |
| 1508 | CHINA RE | 30,659,956 | \$ 51,508,726 |
| 1509 | HARMONICARE | 3,317,000 | \$ 9,619,300 |
| 1513 | LIVZON PHARMA | 277,701 | \$ 15,579,026 |
| 1515 | CRPHOENIXHEALTH | 41,694,838 | \$ 408,609,412 |
| 1518 | NC HEALTHCARE | 0 | \$ 0 |
| 1520 | CEFC FIN INV | 0 | \$ 0 |
| 1528 | RS MACALLINE | 2,051,000 | \$ 20,038,270 |
| 1530 | 3SBIO | 98,140,225 | \$ 1,462,289,353 |
| 1548 | GENSCRIPT BIO | 22,118,000 | \$ 218,083,480 |
| 1551 | GRCB | 0 | \$ 0 |
| 1558 | HEC PHARM | 386,600 | \$ 9,143,090 |
| 1566 | CHINA ANIMATION | 13,668,625 | \$ 42,372,738 |
| 1569 | MINSHENG EDU | 1,280,000 | \$ 2,073,600 |
| 1573 | CH UNIENERGY | 178,000 | \$ 1,468,500 |
| 1578 | BANK OF TIANJIN | 0 | \$ 0 |
| 1579 | YIHAI INTL | 0 | \$ 0 |
| 1585 | YADEA | 10,878,000 | \$ 27,521,340 |
| 1589 | CNLP | 14,893,000 | \$ 37,232,500 |
| 1599 | UCD | 546,000 | \$ 2,424,240 |
| 1606 | CDB LEASING | 0 | \$ 0 |
| 1608 | VPOWER GROUP | 0 | \$ 0 |
| 1610 | COFCO MEAT | 16,877,426 | \$ 26,666,333 |
| 1618 | MCC | 44,592,357 | \$ 102,562,421 |
| 1622 | REDCO PPT | 4,170,800 | \$ 15,390,252 |
| 1628 | YUZHOU PPT | 19,373,186 | \$ 75,361,694 |
| 1636 | CMRU | 2,436,000 | \$ 7,332,360 |
| 1658 | PSBC | 81,714,000 | \$ 359,541,600 |
| 1666 | TONG REN TANG | 22,415,419 | \$ 242,086,525 |
| 1668 | CHINASOUTHCITY | 170,817,590 | \$ 321,137,069 |
| 1680 | MACAU LEGEND | 64,391,094 | \$ 79,844,957 |
| 1681 | CONSUN PHARMA | 768,223 | \$ 5,515,841 |
| 1700 | SPRINGLAND | 0 | \$ 0 |
| 1717 | AUSNUTRIA | 0 | \$ 0 |
| 1728 | ZHENGTONGAUTO | 53,513,500 | \$ 426,502,595 |
| 1766 | CRRC | 199,261,625 | \$ 1,432,691,084 |
| 1776 | GF SEC | 17,577,010 | \$ 278,068,298 |
| 1777 | FANTASIA | 5,943,000 | \$ 6,359,010 |
| 1778 | COLOUR LIFE | 11,122,800 | \$ 53,389,440 |
| 1786 | CRCCE | 13,628,250 | \$ 26,029,958 |
| 1788 | GUOTAI JUNAN I | 245,408,013 | \$ 620,882,273 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1798 | DATANG RENEW | 0 | \$ 0 |
| 1799 | XINTE ENERGY | 0 | \$ 0 |
| 1800 | CHINA COMM CONS | 90,456,917 | \$ 786,975,178 |
| 1803 | BJ SPORTS & ENT | 657,500 | \$ 1,919,900 |
| 1811 | CGN NEW ENERGY | 12,496,000 | \$ 13,995,520 |
| 1812 | CHENMING PAPER | 6,080,800 | \$ 82,212,416 |
| 1813 | KWG PROPERTY | 44,202,194 | \$ 370,414,386 |
| 1816 | CGN POWER | 664,422,802 | \$ 1,421,864,796 |
| 1818 | ZHAOJIN MINING | 64,078,204 | \$ 383,187,660 |
| 1828 | DCH HOLDINGS | 5,721,019 | \$ 21,224,980 |
| 1829 | CMEC | 3,903,392 | \$ 19,477,926 |
| 1836 | STELLA HOLDINGS | 5,380,085 | \$ 63,700,206 |
| 1848 | CALC | 4,533,214 | \$ 37,716,340 |
| 1881 | REGAL REIT | 0 | \$ 0 |
| 1882 | HAITIAN INT'L | 8,789,000 | \$ 199,949,750 |
| 1883 | CITIC TELECOM | 1,771,259 | \$ 3,737,356 |
| 1886 | HUIYUAN JUICE | 9,752,500 | \$ 22,723,325 |
| 1888 | KB LAMINATES | 8,667,000 | \$ 110,417,580 |
| 1893 | SINOMA | 5,812,400 | \$ 34,060,664 |
| 1898 | CHINA COAL | 62,224,330 | \$ 217,785,155 |
| 1899 | XINGDA INT'L | 19,186,388 | \$ 52,378,839 |
| 1910 | SAMSONITE | 16,245,902 | \$ 540,988,537 |
| 1913 | PRADA | 24,314,875 | \$ 662,580,344 |
| 1918 | SUNAC | 182,709,816 | \$ 6,358,301,597 |
| 1919 | COSCO SHIP HOLD | 173,568,582 | \$ 647,410,811 |
| 1928 | SANDS CHINA LTD | 91,535,965 | \$ 3,528,711,451 |
| 1929 | CHOW TAI FOOK | 10,159,780 | \$ 85,850,141 |
| 1958 | BAIC MOTOR | 34,487,623 | \$ 324,183,656 |
| 1963 | BCQ | 1,110,500 | \$ 6,829,575 |
| 1966 | CHINA SCE PPT | 20,512,000 | \$ 69,945,920 |
| 1970 | IMAX CHINA | 15,854,813 | \$ 372,588,106 |
| 1972 | SWIREPROPERTIES | 8,632,117 | \$ 227,887,889 |
| 1980 | TIANGE | 7,853,000 | \$ 47,824,770 |
| 1988 | MINSHENG BANK | 298,351,498 | \$ 2,368,910,894 |
| 1997 | WHARF REIC | 3,630,329 | \$ 176,797,022 |
| 1999 | MAN WAH HLDGS | 29,423,726 | \$ 200,669,811 |
| 2001 | NEW HIGHER EDU | 460,000 | \$ 2,019,400 |
| 2005 | SSY GROUP | 6,252,087 | \$ 28,634,558 |
| 2006 | JINJIANG HOTELS | 15,722,000 | \$ 42,921,060 |
| 2007 | COUNTRY GARDEN | 474,537,175 | \$ 6,112,038,814 |
| 2008 | PHOENIX TV | 7,808,000 | \$ 8,354,560 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2009 | BBMG | 193,817,181 | \$ 695,803,680 |
| 2014 | OZNER WATER | 39,502,000 | \$ 92,829,700 |
| 2016 | CZBANK | 0 | \$ 0 |
| 2018 | AAC TECH | 22,753,681 | \$ 3,490,414,665 |
| 2020 | ANTA SPORTS | 28,060,316 | \$ 954,050,744 |
| 2038 | FIH | 80,230,968 | \$ 193,356,633 |
| 2039 | CIMC | 2,198,400 | \$ 30,821,568 |
| 2066 | SHENGJINGBANK | 1,515,000 | \$ 9,165,750 |
| 2098 | ZALL GROUP | 3,140,929 | \$ 23,117,237 |
| 2099 | CHINAGOLDINTL | 145,100 | \$ 1,848,574 |
| 2111 | BEST PACIFIC | 13,994,195 | \$ 70,950,569 |
| 2118 | TIAN SHAN DEV | 0 | \$ 0 |
| 2128 | CHINA LESSO | 3,726,000 | \$ 18,555,480 |
| 2136 | LIFESTYLE CHI | 354,500 | \$ 868,525 |
| 2186 | LUYE PHARMA | 193,999,222 | \$ 1,001,035,986 |
| 2196 | FOSUN PHARMA | 20,218,876 | \$ 762,251,625 |
| 2198 | CHINA SANJIANG | 1,203,000 | \$ 3,681,180 |
| 2199 | REGINA MIRACLE | 15,504,662 | \$ 117,060,198 |
| 2200 | HOSA INT'L | 5,732,000 | \$ 13,240,920 |
| 2202 | CHINA VANKE | 13,956,962 | \$ 410,334,683 |
| 2208 | GOLDWIND | 42,007,183 | \$ 452,837,433 |
| 2233 | WESTCHINACEMENT | 22,622,000 | \$ 26,693,960 |
| 2238 | GAC GROUP | 62,228,889 | \$ 1,234,621,158 |
| 2255 | HAICHANG HLDG | 6,137,000 | \$ 10,432,900 |
| 2268 | YOUYUAN HLDGS | 8,104,000 | \$ 30,552,080 |
| 2269 | WUXI BIO | 2,011,486 | \$ 94,539,842 |
| 2277 | HUARONG INV | 0 | \$ 0 |
| 2280 | HC INTL | 29,950,170 | \$ 173,710,986 |
| 2282 | MGM CHINA | 121,804,968 | \$ 2,521,362,838 |
| 2298 | COSMO LADY | 63,987,664 | \$ 188,123,732 |
| 2300 | AMVIG HOLDINGS | 0 | \$ 0 |
| 2313 | SHENZHOU INTL | 4,123,710 | \$ 301,030,830 |
| 2314 | LEE & MAN PAPER | 32,600,408 | \$ 304,813,815 |
| 2318 | PING AN | 1,453,797,551 | \$ 109,761,715,101 |
| 2319 | MENGNU DAIRY | 29,819,770 | \$ 595,799,005 |
| 2322 | NOBLE CENTURY | 0 | \$ 0 |
| 2323 | CHINA HKBRIDGE | 0 | \$ 0 |
| 2326 | NPE HOLDINGS | 125,570,000 | \$ 20,719,050 |
| 2328 | PICC P&C | 13,601,468 | \$ 201,301,726 |
| 2329 | GUORUI PPT | 0 | \$ 0 |
| 2331 | LI NING | 41,242,795 | \$ 247,456,770 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 2333 | GREATWALL MOTOR | 516,258,011 | \$ 4,728,923,381 |
| 2338 | WEICHAI POWER | 185,312,408 | \$ 1,582,567,964 |
| 2342 | COMBA | 35,664,525 | \$ 60,273,047 |
| 2343 | PACIFIC BASIN | 253,179,426 | \$ 440,532,201 |
| 2345 | SHANGHAI PRIME | 212,000 | \$ 313,760 |
| 2348 | DAWNRAYS PHARMA | 866,800 | \$ 3,753,244 |
| 2355 | BAOYE GROUP | 0 | \$ 0 |
| 2356 | DAHSHING BANKING | 991,332 | \$ 17,150,044 |
| 2357 | AVICHINA | 155,961,429 | \$ 639,441,859 |
| 2362 | JINCHUAN INTL | 25,658,832 | \$ 27,198,362 |
| 2369 | COOLPAD GROUP | 70,344,148 | \$ 50,647,787 |
| 2378 | PRU | 0 | \$ 0 |
| 2380 | CHINA POWER | 181,332,682 | \$ 378,985,305 |
| 2382 | SUNNY OPTICAL | 32,262,984 | \$ 4,258,713,888 |
| 2383 | TOM GROUP | 0 | \$ 0 |
| 2386 | SINOPEC SEG | 5,056,202 | \$ 33,067,561 |
| 2388 | BOC HONG KONG | 41,494,628 | \$ 1,634,888,343 |
| 2389 | BJ ENT M&H | 36,213,451 | \$ 13,761,111 |
| 2393 | YESTAR HEALTH | 4,357,500 | \$ 14,771,925 |
| 2588 | BOC AVIATION | 604,900 | \$ 25,103,350 |
| 2600 | CHALCO | 530,723,311 | \$ 2,722,610,585 |
| 2601 | CPIC | 96,723,616 | \$ 3,573,937,611 |
| 2607 | SH PHARMA | 38,463,325 | \$ 761,573,835 |
| 2608 | SUNSHINE 100 | 0 | \$ 0 |
| 2611 | GTJA | 296,600 | \$ 5,042,200 |
| 2628 | CHINA LIFE | 48,640,714 | \$ 1,230,610,064 |
| 2638 | HKELECTRIC-SS | 273,585,917 | \$ 1,969,818,602 |
| 2662 | CAMSING INTL | 856,000 | \$ 4,485,440 |
| 2666 | UNI MEDICAL | 37,976,273 | \$ 275,707,742 |
| 2669 | CHINA OVS PPT | 25,683,577 | \$ 53,165,004 |
| 2678 | TEXHONG TEXTILE | 3,490,923 | \$ 34,106,318 |
| 2686 | AAG ENERGY | 0 | \$ 0 |
| 2688 | ENN ENERGY | 54,863,236 | \$ 3,053,139,083 |
| 2689 | ND PAPER | 71,507,750 | \$ 963,924,470 |
| 2722 | CHONGQING M&E | 0 | \$ 0 |
| 2727 | SH ELECTRIC | 224,120,140 | \$ 688,048,830 |
| 2768 | JIAYUAN INTL | 5,664,000 | \$ 37,439,040 |
| 2777 | R&F PROPERTIES | 30,606,552 | \$ 529,493,350 |
| 2778 | CHAMPION REIT | 5,721,000 | \$ 32,495,280 |
| 2799 | CHINA HUARONG | 258,965,339 | \$ 919,326,953 |
| 2800 | TRACKER FUND | 8,157,500 | \$ 239,014,750 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2801 | ISHARES CHINA | 0 | \$ 0 |
| 2802 | ISHARES EM ASIA | 0 | \$ 0 |
| 2803 | PREMIA BEDROCK | 0 | \$ 0 |
| 2805 | VG FTSE ASIAXJ | 0 | \$ 0 |
| 2808 | EFUND CG BOND | 0 | \$ 0 |
| 2811 | HT CSI300 ETF | 0 | \$ 0 |
| 2819 | ABF HK IDX ETF | 0 | \$ 0 |
| 2821 | ABF PAIF | 0 | \$ 0 |
| 2822 | CSOP A50 ETF | 90,188,670 | \$ 1,378,082,878 |
| 2823 | ISHARES A50 | 197,620,857 | \$ 2,944,550,769 |
| 2824 | LIPPO HK&M PROP | 0 | \$ 0 |
| 2825 | WISECSIHK100ETF | 0 | \$ 0 |
| 2827 | X WISECSI300ETF | 0 | \$ 0 |
| 2828 | HS H ETF | 6,570,681 | \$ 762,198,996 |
| 2832 | BOSERA FA50 | 0 | \$ 0 |
| 2833 | HS HSI ETF | 0 | \$ 0 |
| 2834 | ISHARESND100 | 0 | \$ 0 |
| 2835 | MR KOSPI200 ETF | 0 | \$ 0 |
| 2836 | ISHARES INDIA | 0 | \$ 0 |
| 2838 | HS FCI50 ETF | 0 | \$ 0 |
| 2840 | SPDR GOLD TRUST | 0 | \$ 0 |
| 2843 | AMUNDI A50 | 0 | \$ 0 |
| 2846 | ISHARESCSI300 | 0 | \$ 0 |
| 2847 | ISHARESFS100 | 0 | \$ 0 |
| 2848 | DBMSCIKOREA | 0 | \$ 0 |
| 2866 | COSCO SHIP DEV | 88,802,563 | \$ 142,084,101 |
| 2868 | BJ CAPITAL LAND | 4,215,674 | \$ 16,356,815 |
| 2869 | GREENTOWN SER | 10,425,117 | \$ 61,612,441 |
| 2877 | SHINEWAY PHARM | 5,476,731 | \$ 39,158,627 |
| 2880 | DALIAN PORT | 47,684,288 | \$ 72,003,275 |
| 2883 | CHINA OILFIELD | 135,791,809 | \$ 993,996,042 |
| 2888 | STANCHART | 6,456,253 | \$ 501,650,858 |
| 2899 | ZIJIN MINING | 213,409,156 | \$ 565,534,263 |
| 3007 | DBFXIC50 | 0 | \$ 0 |
| 3008 | C-SHS CSI 300 | 0 | \$ 0 |
| 3010 | ISHARES AXJ | 0 | \$ 0 |
| 3012 | AMUNDI HK35 | 0 | \$ 0 |
| 3015 | X DBNIFTY50 | 0 | \$ 0 |
| 3016 | DBMSCIPHIL | 0 | \$ 0 |
| 3019 | X DBMSCIWORLD | 0 | \$ 0 |
| 3020 | X DBMSCIUSA | 0 | \$ 0 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3021 | CSOP MSCI T50 | 0 | \$ 0 |
| 3024 | WISE SSE50ETF | 0 | \$ 0 |
| 3027 | X DBMSCIRUSSIA | 0 | \$ 0 |
| 3036 | DBMSCITAIWAN | 0 | \$ 0 |
| 3040 | MR MSCI CN ETF | 0 | \$ 0 |
| 3041 | VALUE KOREA ETF | 0 | \$ 0 |
| 3043 | DBMSCIPACEXJ | 0 | \$ 0 |
| 3046 | VALUE CHINA ETF | 0 | \$ 0 |
| 3048 | DBMSCIBRAZIL | 0 | \$ 0 |
| 3049 | X DBCSI300 | 0 | \$ 0 |
| 3054 | MR ASIA CON ETF | 0 | \$ 0 |
| 3055 | DBMSCICHINA | 0 | \$ 0 |
| 3056 | MR GL CON ETF | 0 | \$ 0 |
| 3060 | VALUE TW ETF | 0 | \$ 0 |
| 3065 | DBMSCISG | 0 | \$ 0 |
| 3070 | PING AN HKDIV | 0 | \$ 0 |
| 3073 | SPDR GREATCHINA | 0 | \$ 0 |
| 3074 | ISHARESMSCITW | 0 | \$ 0 |
| 3081 | VALUEGOLD ETF | 0 | \$ 0 |
| 3082 | DBMSCIMALAY | 0 | \$ 0 |
| 3084 | VALUE JAPAN ETF | 0 | \$ 0 |
| 3085 | VGFTSEASIAJHDY | 0 | \$ 0 |
| 3086 | BMO NASDAQ100 | 0 | \$ 0 |
| 3087 | X DBFTSEVIET | 0 | \$ 0 |
| 3092 | DBMSCITHAI | 0 | \$ 0 |
| 3095 | VALUE A SHARE | 0 | \$ 0 |
| 3097 | F MR OIL ETF | 0 | \$ 0 |
| 3099 | X DBMSCIINDO | 0 | \$ 0 |
| 3100 | EFUND CSI100 | 0 | \$ 0 |
| 3101 | VG FTSE DEV EUR | 0 | \$ 0 |
| 3102 | XIE GARY ETF | 0 | \$ 0 |
| 3107 | C-SHS CSI STA | 0 | \$ 0 |
| 3110 | MR HS HDIVY ETF | 0 | \$ 0 |
| 3115 | ISHARESHSI | 0 | \$ 0 |
| 3116 | XIE GOLD MINERS | 0 | \$ 0 |
| 3118 | HGI MSCI CN A | 0 | \$ 0 |
| 3120 | EFUND CES 120 | 0 | \$ 0 |
| 3121 | BMO AP REAL EST | 0 | \$ 0 |
| 3122 | CSOP CNSTBOND | 0 | \$ 0 |
| 3126 | VG FTSE JAPAN | 0 | \$ 0 |
| 3127 | MR CSI300 ETF | 0 | \$ 0 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3128 | HS AINDTOPETF | 0 | \$ 0 |
| 3129 | CSOP 300SMART | 0 | \$ 0 |
| 3132 | C-SHS CSI HLC | 0 | \$ 0 |
| 3135 | F CSOP OIL ETF | 0 | \$ 0 |
| 3136 | HGI MSCIA50 | 0 | \$ 0 |
| 3137 | CSOP CES A80 | 0 | \$ 0 |
| 3140 | VG S&P 500 | 0 | \$ 0 |
| 3141 | BMO ASIA IGBOND | 0 | \$ 0 |
| 3143 | BMO HK BANKS | 0 | \$ 0 |
| 3145 | BMO ASIA HIGHDV | 0 | \$ 0 |
| 3146 | ISHARESDAX | 0 | \$ 0 |
| 3147 | CSOP CHINEXT | 0 | \$ 0 |
| 3149 | CSOP MSCI A I | 0 | \$ 0 |
| 3150 | HGI CSI500 | 0 | \$ 0 |
| 3153 | MR A HEALTH ETF | 0 | \$ 0 |
| 3155 | ISHARESES50 | 0 | \$ 0 |
| 3156 | GFI MSCI A I | 0 | \$ 0 |
| 3157 | CAM SCSMALLCAP | 0 | \$ 0 |
| 3160 | BMO JAPAN HDG | 0 | \$ 0 |
| 3161 | XIE CHIMER ETF | 0 | \$ 0 |
| 3162 | ISHARESMSCIAI | 0 | \$ 0 |
| 3165 | BMO EU QLTY HDG | 0 | \$ 0 |
| 3167 | CSOP NEW CHINA | 0 | \$ 0 |
| 3170 | ISHARESXS200 | 0 | \$ 0 |
| 3171 | COMSTAGE DAX | 0 | \$ 0 |
| 3173 | PREMIA NEW ECON | 0 | \$ 0 |
| 3175 | F SAMSUNG OIL | 0 | \$ 0 |
| 3177 | COMSTAGE DIVDAX | 0 | \$ 0 |
| 3180 | CAM CES A80 | 0 | \$ 0 |
| 3188 | CAM CSI300 | 31,607,044 | \$ 1,513,977,408 |
| 3199 | CSOP 5YCVBOND | 0 | \$ 0 |
| 3301 | RONSHINECHINA | 5,164,758 | \$ 44,003,738 |
| 3308 | GOLDEN EAGLE | 7,110,342 | \$ 66,623,905 |
| 3311 | CHINA STATE CON | 71,761,586 | \$ 747,755,726 |
| 3320 | CHINARES PHARMA | 63,929,816 | \$ 644,412,545 |
| 3323 | CNBM | 309,234,134 | \$ 2,180,100,645 |
| 3328 | BANKCOMM | 137,110,361 | \$ 793,868,990 |
| 3329 | BOCOM INTL | 0 | \$ 0 |
| 3331 | VINDA INT'L | 720,000 | \$ 11,232,000 |
| 3333 | EVERGRANDE | 220,787,245 | \$ 5,751,507,732 |
| 3336 | JU TENG INTL | 3,734,000 | \$ 8,513,520 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3337 | ANTON OILFIELD | 69,643,257 | \$ 57,803,903 |
| 3339 | LONKING | 51,666,100 | \$ 142,081,775 |
| 3360 | FE HORIZON | 25,130,540 | \$ 179,683,361 |
| 3369 | QHD PORT | 3,664,000 | \$ 10,259,200 |
| 3377 | SINO-OCEAN GP | 36,426,737 | \$ 183,955,022 |
| 3378 | XIAMEN PORT | 2,391,964 | \$ 3,516,187 |
| 3380 | LOGAN PPT | 38,645,192 | \$ 289,452,488 |
| 3382 | TIANJINPORT DEV | 0 | \$ 0 |
| 3383 | AGILE GROUP | 109,462,113 | \$ 1,239,111,119 |
| 3393 | WASION GROUP | 21,259,789 | \$ 83,338,373 |
| 3396 | LEGENDHOLDING | 4,061,400 | \$ 83,664,840 |
| 3606 | FUYAO GLASS | 27,123,130 | \$ 817,762,370 |
| 3618 | CQRC BANK | 47,445,591 | \$ 260,001,839 |
| 3633 | ZHONGYU GAS | 0 | \$ 0 |
| 3636 | POLY CULTURE | 662,800 | \$ 10,591,544 |
| 3639 | YIDA CHINA | 0 | \$ 0 |
| 3669 | YONGDA AUTO | 6,433,160 | \$ 60,471,704 |
| 3698 | HUIZHANG BANK | 5,204,000 | \$ 20,555,800 |
| 3708 | YAT SING HLDG | 845,000 | \$ 2,450,500 |
| 3799 | DALI FOODS | 5,964,500 | \$ 36,502,740 |
| 3800 | GCL-POLY ENERGY | 1,638,809,251 | \$ 2,048,511,564 |
| 3808 | SINOTRUK | 98,135,500 | \$ 822,375,490 |
| 3813 | POU SHENG INT'L | 120,032,910 | \$ 140,438,505 |
| 3818 | CHINA DONGXIANG | 42,863,079 | \$ 60,008,311 |
| 3836 | HARMONY AUTO | 41,668,298 | \$ 199,174,464 |
| 3866 | BQD | 0 | \$ 0 |
| 3883 | CHINA AOYUAN | 22,707,000 | \$ 98,094,240 |
| 3886 | TOWN HEALTH | 106,533,754 | \$ 73,508,290 |
| 3888 | KINGSOFT | 100,004,836 | \$ 2,240,108,326 |
| 3898 | CRRC TIMES ELEC | 35,548,045 | \$ 1,597,884,623 |
| 3899 | CIMC ENRIC | 27,299,167 | \$ 150,145,419 |
| 3900 | GREENTOWN CHINA | 86,904,065 | \$ 786,481,788 |
| 3908 | CICC | 22,613,392 | \$ 373,120,968 |
| 3918 | NAGACORP | 22,330,512 | \$ 129,963,580 |
| 3933 | UNITED LAB | 165,545,114 | \$ 1,026,379,707 |
| 3948 | YITAI COAL | 0 | \$ 0 |
| 3958 | DFZQ | 3,611,200 | \$ 27,734,016 |
| 3968 | CM BANK | 110,851,514 | \$ 3,347,715,723 |
| 3969 | CHINA CRSC | 5,183,000 | \$ 30,165,060 |
| 3983 | CHINA BLUECHEM | 25,924,929 | \$ 57,034,844 |
| 3988 | BANK OF CHINA | 232,221,929 | \$ 884,765,549 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3989 | CAPITAL ENV | 12,735,395 | \$ 4,139,003 |
| 3993 | CMOC | 376,886,002 | \$ 1,790,208,510 |
| 3996 | CH ENERGY ENG | 0 | \$ 0 |
| 3998 | BOSIDENG | 3,360,000 | \$ 2,318,400 |
| 4332 | AMGEN-T | 0 | \$ 0 |
| 4333 | CISCO-T | 0 | \$ 0 |
| 4335 | INTEL-T | 0 | \$ 0 |
| 4336 | APPL MATERIAL-T | 0 | \$ 0 |
| 4337 | STARBUCKS-T | 0 | \$ 0 |
| 4338 | MICROSOFT-T | 0 | \$ 0 |
| 4362 | ISHARES KOR-TR | 0 | \$ 0 |
| 4363 | ISHARES TWN-TR | 0 | \$ 0 |
| 6030 | CITIC SEC | 92,886,822 | \$ 1,564,214,082 |
| 6060 | ZA ONLINE | 6,251,604 | \$ 447,927,427 |
| 6066 | CSC | 10,904,500 | \$ 72,951,105 |
| 6068 | WISDOM EDU INTL | 2,616,000 | \$ 12,190,560 |
| 6099 | CMSC | 1,667,750 | \$ 20,013,000 |
| 6116 | LA CHAPELLE | 0 | \$ 0 |
| 6122 | JIUTAI RCB | 0 | \$ 0 |
| 6138 | HARBIN BANK | 0 | \$ 0 |
| 6139 | JINMAO HOTEL-SS | 0 | \$ 0 |
| 6169 | YUHUA EDU | 14,177,000 | \$ 51,037,200 |
| 6178 | EB SECURITIES | 3,706,000 | \$ 35,540,540 |
| 6196 | BANKOFZHENGZHOU | 0 | \$ 0 |
| 6198 | QINGDAO PORT | 3,218,000 | \$ 16,862,320 |
| 6808 | SUNART RETAIL | 142,141,203 | \$ 1,112,965,619 |
| 6818 | CEB BANK | 121,521,510 | \$ 441,123,081 |
| 6823 | HKT-SS | 44,083,803 | \$ 429,817,079 |
| 6826 | HAOHAI BIOTEC | 29,800 | \$ 1,093,660 |
| 6828 | BG BLUE SKY | 35,840,000 | \$ 18,278,400 |
| 6837 | HAITONG SEC | 82,520,639 | \$ 960,540,238 |
| 6858 | HONMAGOLF | 0 | \$ 0 |
| 6863 | HUIZHAN DAIRY | 353,383,431 | \$ 148,421,041 |
| 6869 | YOFC | 6,870,000 | \$ 259,342,500 |
| 6881 | CGS | 148,471,383 | \$ 911,614,292 |
| 6886 | HTSC | 46,959,489 | \$ 748,534,255 |
| 6889 | DYNAM JAPAN | 165,800 | \$ 2,016,128 |
| 7200 | FL2 CSOP HSI | 0 | \$ 0 |
| 7202 | FL2CSOP NIFTY50 | 0 | \$ 0 |
| 7205 | FL2SAMSUNGHSI | 0 | \$ 0 |
| 7210 | XL2 XIE CHIMER | 0 | \$ 0 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 7221 | FL2CAMDXNHSI | 0 | \$ 0 |
| 7222 | XL2 MR S&P500 | 0 | \$ 0 |
| 7225 | FL2 MR TOPIX | 0 | \$ 0 |
| 7228 | FL2SAMSUNGHSCIE | 0 | \$ 0 |
| 7230 | FL2 MR HSCEI | 0 | \$ 0 |
| 7231 | FL2 MR HSI | 0 | \$ 0 |
| 7242 | FL2EFUND YT HSI | 0 | \$ 0 |
| 7261 | FL2CAMDXNNDQ100 | 0 | \$ 0 |
| 7267 | FL2CAMDXNHSCEI | 0 | \$ 0 |
| 7288 | FL2 CSOP HSCEI | 0 | \$ 0 |
| 7300 | FI CSOP HSI | 0 | \$ 0 |
| 7302 | FI EFUND YT HSI | 0 | \$ 0 |
| 7312 | FISAMSUNGHSI | 0 | \$ 0 |
| 7315 | FI MR TOPIX | 0 | \$ 0 |
| 7321 | FICAMDXNHSI | 0 | \$ 0 |
| 7322 | XI MR S&P500 | 0 | \$ 0 |
| 7328 | FISAMSUNGHSCIE | 0 | \$ 0 |
| 7331 | FICAMDXNNDQ100 | 0 | \$ 0 |
| 7335 | FICSOP NIFTY50 | 0 | \$ 0 |
| 7336 | FI MR HSI | 0 | \$ 0 |
| 7341 | FICAMDXNHSCEI | 0 | \$ 0 |
| 7362 | FI MR HSCEI | 0 | \$ 0 |
| 7388 | FI CSOP HSCEI | 0 | \$ 0 |
| 8008 | SUNEVISION | 0 | \$ 0 |
| 8032 | VIVA CHINA | 7,184,976 | \$ 4,957,633 |
| 8057 | MADISON HLDG | 1,012,000 | \$ 1,690,040 |
| 8078 | HMV DIGIT CHINA | 4,660,000 | \$ 1,141,700 |
| 8083 | INNOVATIONPAY | 50,016,866 | \$ 20,756,999 |
| 8102 | LI BAO GE GP | 0 | \$ 0 |
| 8137 | HONBRIDGE | 19,792,000 | \$ 33,646,400 |
| 8138 | TONGRENTANGCM | 460,000 | \$ 4,968,000 |
| 8158 | CRMI | 97,085,000 | \$ 19,125,745 |
| 8207 | CHONGSING HLDGS | 92,744,000 | \$ 95,526,320 |
| 8237 | LINK HOLDINGS | 0 | \$ 0 |
| 8265 | CHINA TRUSTFUL | 1,424,000 | \$ 4,343,200 |
| 8279 | AGTECH HOLDINGS | 8,876,000 | \$ 11,893,840 |
| 8370 | ZHI SHENG GP | 0 | \$ 0 |
| 8407 | CISI FIN | 0 | \$ 0 |
| 9010 | ISHARES AXJ-U | 0 | \$ 0 |
| 9074 | ISHARES MSCITW-U | 0 | \$ 0 |
| 9081 | VALUEGOLD ETF-U | 0 | \$ 0 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 9115 | ISHARESHSI-U | 0 | \$ 0 |
| 9146 | ISHARESDAX-U | 0 | \$ 0 |
| 9155 | ISHARESE50-U | 0 | \$ 0 |
| 9170 | ISHARESKS200-U | 0 | \$ 0 |
| 9834 | ISHARESND100-U | 0 | \$ 0 |
| 9836 | ISHARES INDIA-U | 0 | \$ 0 |
| 9846 | ISHARESCSI300-U | 0 | \$ 0 |
| 9847 | ISHARESFS100-U | 0 | \$ 0 |
| 80737 | HOPEWELL INFR-R | 0 | \$ 0 |
| 82808 | EFUND CG BOND-R | 0 | \$ 0 |
| 82811 | HT CSI300 ETF-R | 0 | \$ 0 |
| 82822 | CSOP A50 ETF-R | 0 | \$ 0 |
| 82823 | ISHARES A50-R | 0 | \$ 0 |
| 82828 | HS H ETF-R | 0 | \$ 0 |
| 82832 | BOSEFA FA50-R | 0 | \$ 0 |
| 82833 | HS HSI ETF-R | 0 | \$ 0 |
| 82834 | ISHARESND100-R | 0 | \$ 0 |
| 82836 | ISHARES INDIA-R | 0 | \$ 0 |
| 82843 | AMUNDI A50-R | 0 | \$ 0 |
| 82846 | ISHARESCSI300-R | 0 | \$ 0 |
| 82847 | ISHARESFS100-R | 0 | \$ 0 |
| 83008 | C-SHS CSI 300-R | 0 | \$ 0 |
| 83010 | ISHARES AXJ-R | 0 | \$ 0 |
| 83012 | AMUNDI HK35-R | 0 | \$ 0 |
| 83074 | ISHARESMSCITW-R | 0 | \$ 0 |
| 83081 | VALUEGOLD ETF-R | 0 | \$ 0 |
| 83095 | VALUE A SHARE-R | 0 | \$ 0 |
| 83100 | EFUND CSI100-R | 0 | \$ 0 |
| 83107 | C-SHS CSI STA-R | 0 | \$ 0 |
| 83115 | ISHARESHSI-R | 0 | \$ 0 |
| 83118 | HGI MSCI CN A-R | 0 | \$ 0 |
| 83120 | EFUND CES 120-R | 0 | \$ 0 |
| 83122 | CSOP CNSTBOND-R | 0 | \$ 0 |
| 83127 | MR CSI300 ETF-R | 0 | \$ 0 |
| 83128 | HS AINDTOPETF-R | 0 | \$ 0 |
| 83129 | CSOP 300SMART-R | 0 | \$ 0 |
| 83132 | C-SHS CSI HLC-R | 0 | \$ 0 |
| 83136 | HGI MSCIA50-R | 0 | \$ 0 |
| 83137 | CSOP CES A80-R | 0 | \$ 0 |
| 83146 | ISHARESDAX-R | 0 | \$ 0 |
| 83147 | CSOP CHINEXT-R | 0 | \$ 0 |



Aggregated Reportable Short Positions of Specified Shares as of 01/12/2017

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 83149 | CSOP MSCI A I-R | 0 | \$ 0 |
| 83150 | HGI CSI500-R | 0 | \$ 0 |
| 83155 | ISHARESES50-R | 0 | \$ 0 |
| 83156 | GFI MSCI A I-R | 0 | \$ 0 |
| 83162 | ISHAREMSCIAI-R | 0 | \$ 0 |
| 83168 | HS RMB GOLD ETF | 0 | \$ 0 |
| 83170 | ISHARESKS200-R | 0 | \$ 0 |
| 83180 | CAM CES A80-R | 0 | \$ 0 |
| 83188 | CAM CSI300-R | 0 | \$ 0 |
| 83199 | CSOP 5YCGBOND-R | 0 | \$ 0 |
| 87001 | HUI XIAN REIT | 0 | \$ 0 |