



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	18,998,551	\$ 1,916,953,796
2	CLP HOLDINGS	29,786,735	\$ 2,362,088,086
3	HK & CHINA GAS	218,730,929	\$ 3,228,468,512
4	WHARF HOLDINGS	9,706,815	\$ 694,522,613
5	HSBC HOLDINGS	35,215,136	\$ 2,723,890,770
6	POWER ASSETS	16,760,358	\$ 1,143,056,416
8	PCCW	56,707,786	\$ 243,276,402
10	HANG LUNG GROUP	2,535,540	\$ 71,882,559
11	HANG SENG BANK	10,345,459	\$ 1,981,155,399
12	HENDERSON LAND	9,402,958	\$ 498,826,922
14	HYSAN DEV	4,401,970	\$ 165,073,875
16	SHK PPT	5,076,925	\$ 659,492,558
17	NEW WORLD DEV	67,173,708	\$ 772,497,642
19	SWIRE PACIFIC A	8,041,993	\$ 613,604,066
20	WHEELLOCK	2,741,558	\$ 155,720,494
23	BANK OF E ASIA	49,542,865	\$ 1,726,568,845
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	50,952,518	\$ 2,822,769,497
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	22,214,490	\$ 23,103,070
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	5,889,992	\$ 22,205,270
39	CH BEIDAHUANG	19,296,000	\$ 7,525,440
41	GREAT EAGLE H	158,707	\$ 6,649,823
43	C.P. POKPHAND	0	\$ 0
44	HAECO	35,608	\$ 1,824,910
45	HK&S HOTELS	7,793,119	\$ 101,310,547
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,451,678	\$ 45,800,441
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	947,000	\$ 29,214,950
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	63,489,071	\$ 2,869,706,009
69	SHANGRI-LA ASIA	13,430,365	\$ 194,740,293
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	4,042,624	\$ 21,062,071
83	SINO LAND	25,897,153	\$ 357,898,654
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	145,000	\$ 1,199,150
95	LVGEM CHINA	3,122,286	\$ 7,587,155
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	17,862,253	\$ 341,883,522
102	SUMMIT ASCENT	72,105,540	\$ 77,152,928
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	6,213,600	\$ 19,634,976
116	CHOW SANG SANG	344,000	\$ 6,157,600
119	POLY PROPERTY	69,942,949	\$ 283,968,373
123	YUEXIU PROPERTY	14,362,000	\$ 23,553,680
127	CHINESE EST H	740,500	\$ 10,441,050
129	ASIA STANDARD	2,404,000	\$ 5,192,640
133	CHINA MERCHANTS	34,000	\$ 465,800
135	KUNLUN ENERGY	295,717,695	\$ 2,235,625,774
136	HENGTEN NET	944,686,824	\$ 330,640,388
142	FIRST PACIFIC	11,169,059	\$ 70,365,072
144	CHINA MER PORT	22,072,592	\$ 533,053,097
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	2,727,190	\$ 119,178,203
151	WANT WANT CHINA	731,375,390	\$ 4,095,702,184
152	SHENZHEN INT'L	11,153,288	\$ 174,437,424
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	64,909,496	\$ 10,190,791
165	CHINA EB LTD	24,929,639	\$ 459,203,950
168	TSINGTAO BREW	17,413,831	\$ 531,992,537
171	SILVER GRANT	1,478,000	\$ 1,788,380
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	122,431,064	\$ 3,348,489,600
177	JIANGSU EXPRESS	13,418,493	\$ 166,657,683
178	SA SA INT'L	66,712,644	\$ 199,470,806
179	JOHNSON ELEC H	1,370,833	\$ 41,399,157
182	CONCORD NE	33,608,388	\$ 12,099,020
186	GRANDE HOLDINGS	0	\$ 0
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	33,428,360	\$ 21,728,434
198	SMI HOLDINGS	37,999,276	\$ 156,557,017
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	11,418,808	\$ 254,639,418
207	JOY CITY PPT	19,797,695	\$ 29,696,543
215	HUTCHTEL HK	76,427,505	\$ 218,582,664
217	CHINA CHENGTONG	1,690,040	\$ 997,124
218	SHENWANHONGYUAN	9,001,805	\$ 26,465,307
220	U-PRESID CHINA	21,073,000	\$ 159,733,340
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,740,000	\$ 2,244,600
232	AVIC INT'L	48,470,000	\$ 30,536,100
235	CHINA STRATEGIC	161,859,430	\$ 19,746,850
241	ALI HEALTH	329,381,915	\$ 1,294,470,926
242	SHUN TAK HOLD	13,671,666	\$ 47,030,531
245	CN MINSHENG FIN	33,357,895	\$ 14,677,474
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	510,786	\$ 4,035,209
256	CITYCHAMP	20,412,000	\$ 37,762,200
257	CHINA EB INT'L	134,343,653	\$ 1,372,992,134
258	TOMSON GROUP	761,614	\$ 2,993,143
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	31,013,736	\$ 365,962,085
268	KINGDEE INT'L	179,230,200	\$ 621,928,794
270	GUANGDONG INV	18,176,461	\$ 207,211,655
272	SHUI ON LAND	51,536,559	\$ 108,742,139
273	MASON GP HOLD	134,276,001	\$ 14,367,532
275	MASTER GLORY GP	71,780,000	\$ 7,249,780
285	BYD ELECTRONIC	54,677,236	\$ 1,336,858,420
288	WH GROUP	116,651,289	\$ 974,038,263
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	24,024,679	\$ 526,140,470
293	CATHAY PAC AIR	72,937,632	\$ 873,792,831
297	SINOFERT	115,438,960	\$ 177,775,998
303	VTECH HOLDINGS	1,722,321	\$ 199,789,236
308	CHINA TRAVEL HK	49,677,374	\$ 141,580,516
315	SMARTONE TELE	44,531,592	\$ 418,596,965
316	OOIL	6,094,384	\$ 449,765,539
317	COMEC	13,762,742	\$ 200,936,033



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	18,863,842	\$ 91,300,995
322	TINGYI	172,189,775	\$ 1,997,401,390
323	MAANSHAN IRON	74,854,014	\$ 290,433,574
327	PAX GLOBAL	100,158,883	\$ 409,649,831
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	105,619,127	\$ 445,712,716
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	2,298,792	\$ 10,988,226
337	GREENLAND HK	4,043,225	\$ 13,180,914
338	SHANGHAI PECEM	46,223,012	\$ 223,719,378
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	1,764,000	\$ 42,865,200
342	NEWOCEAN ENERGY	43,608,120	\$ 94,193,539
345	VITASOY INT'L	4,154,479	\$ 72,287,935
347	ANGANG STEEL	62,630,399	\$ 444,675,833
354	CHINASOFT INT'L	97,216,190	\$ 431,639,884
358	JIANGXI COPPER	63,004,703	\$ 807,720,292
363	SHANGHAI IND H	1,322,000	\$ 33,182,200
368	SINOTRANS SHIP	52,010,028	\$ 122,223,566
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	164,600,135	\$ 1,050,148,861
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	11,222,000	\$ 14,925,260
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	80,975,226	\$ 1,987,941,798
386	SINOPEC CORP	176,476,514	\$ 1,030,622,842
388	HKEX	31,806,208	\$ 6,908,308,378
390	CHINA RAILWAY	65,306,657	\$ 431,023,936
392	BEIJING ENT	6,730,145	\$ 297,135,902
400	COGOBUY	28,001,000	\$ 137,204,900
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	62,107,851	\$ 300,601,999
412	CHINA INNO FIN	4,170,000	\$ 1,772,250
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 6,333,910
419	HUAYI TENCENT	205,080,401	\$ 76,905,150
425	MINTH GROUP	13,294,906	\$ 591,623,317
433	NORTH MINING	132,974,966	\$ 21,408,970
434	BOYAA	3,364,194	\$ 10,664,495



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	53,626,354	\$ 169,995,542
440	DAH SING	435,340	\$ 23,551,894
451	GCL NEWENERGY	117,234,000	\$ 62,134,020
460	SIHUAN PHARM	197,185,736	\$ 560,007,490
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	3,612,312	\$ 17,917,068
480	HKR INT'L	2,448,000	\$ 12,582,720
486	RUSAL	0	\$ 0
488	LAI SUN DEV	406,800	\$ 5,931,144
489	DONGFENG GROUP	90,837,696	\$ 968,329,839
493	GOME RETAIL	1,911,679,773	\$ 1,720,511,796
494	LI & FUNG	313,030,956	\$ 1,286,557,229
497	CSI PROPERTIES	3,000,000	\$ 1,155,000
506	CHINA FOODS	30,270,985	\$ 120,175,810
508	DINGYI GP INV	13,238,315	\$ 6,354,391
511	TVB	9,457,302	\$ 249,672,773
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,748,000	\$ 5,733,440
520	XIABUXIABU	846,000	\$ 8,045,460
521	HNA HOLDING	53,144,000	\$ 19,663,280
522	ASM PACIFIC	30,024,856	\$ 3,413,826,127
525	GUANGSHEN RAIL	59,718,728	\$ 281,275,209
526	LISI GP HOLD	1,432,000	\$ 1,832,960
530	GOLDIN FIN HOLD	13,028,000	\$ 47,812,760
535	GEMDALE PPT	65,757,212	\$ 63,126,924
538	AJISEN (CHINA)	852,846	\$ 2,984,961
546	FUFENG GROUP	41,780,984	\$ 222,274,835
547	DIGITAL DOMAIN	181,425,639	\$ 45,174,984
548	SHENZHENEXPRESS	2,116,000	\$ 16,166,240
551	YUE YUEN IND	7,637,957	\$ 230,284,404
552	CHINACOMSERVICE	11,925,388	\$ 55,333,800
563	SH IND URBAN	21,706,000	\$ 38,419,620
570	TRAD CHI MED	64,245,052	\$ 278,181,075
576	ZHEJIANGEXPRESS	12,213,582	\$ 124,334,265
579	JNCEC	11,144,000	\$ 24,293,920
580	SUN.KING ELEC	0	\$ 0
581	CHINA ORIENTAL	2,198,000	\$ 12,484,640
586	CONCH VENTURE	25,014,447	\$ 389,725,084
588	BEIJING N STAR	2,224,000	\$ 6,605,280
590	LUK FOOK HOLD	2,313,000	\$ 72,859,500



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598	SINOTRANS	12,593,580	\$ 49,366,834
603	CHINA OIL & GAS	8,980,000	\$ 5,118,600
604	SHENZHEN INVEST	16,097,523	\$ 60,848,637
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	1,208,000	\$ 4,372,960
607	FULLSHARE	853,972,447	\$ 2,886,426,871
622	ENERCHINA HOLD	121,784,938	\$ 22,773,783
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	1,106,000	\$ 2,654,400
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	37,856,422	\$ 70,791,509
651	CHI OCEAN IND	336,368,124	\$ 20,182,087
656	FOSUN INTL	116,919,179	\$ 2,092,853,304
658	C TRANSMISSION	23,834,332	\$ 221,182,601
659	NWS HOLDINGS	4,913,406	\$ 74,388,967
665	HAITONG INT'L	178,247,251	\$ 828,849,717
669	TECHTRONIC IND	6,345,952	\$ 275,097,019
670	CHINA EAST AIR	92,637,259	\$ 365,917,173
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	101,961,506	\$ 24,368,800
683	KERRY PPT	14,683,281	\$ 494,826,570
686	PANDA GREEN	120,451,906	\$ 127,679,020
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	37,666,984	\$ 1,001,941,774
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	7,559,155	\$ 95,245,353
696	TRAVELSKY TECH	6,787,424	\$ 139,142,192
697	SHOUGANG INT'L	20,969,040	\$ 4,864,817
698	TONGDA GROUP	719,953,294	\$ 1,504,702,384
699	CAR INC	78,775,821	\$ 564,034,878
700	TENCENT	104,526,207	\$ 36,793,224,864
702	SINO OIL & GAS	82,547,515	\$ 12,299,580
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	7,136,000	\$ 33,967,360
715	CHINA OCEANWIDE	34,172,227	\$ 22,211,948
716	SINGAMAS CONT	11,372,000	\$ 19,787,280
717	EMPEROR CAPITAL	23,345,007	\$ 15,641,155
718	TAI UNITED HOLD	1,505,000	\$ 1,956,500
721	C FIN INT INV	63,123,584	\$ 15,149,660
728	CHINA TELECOM	50,562,630	\$ 202,250,520
729	FDG EVEHICLES	426,333,897	\$ 162,006,881



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732	TRULY INT'L	141,855,843	\$ 364,569,517
735	CP CLEAN ENERGY	1,142,403	\$ 5,266,478
737	HOPEWELL INFR	5,521,500	\$ 27,000,135
750	SINGYES SOLAR	61,484,830	\$ 159,245,710
751	SKYWORTHDIGITAL	95,343,119	\$ 395,673,944
752	PICO FAR EAST	412,000	\$ 1,371,960
753	AIR CHINA	53,859,823	\$ 358,167,823
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	289,444,012	\$ 3,189,673,012
763	ZTE	28,989,961	\$ 872,597,826
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	3,382,505	\$ 89,467,257
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	61,208,914	\$ 47,130,864
798	CEOVU	0	\$ 0
799	IGG	85,960,017	\$ 933,525,785
806	VALUE PARTNERS	101,563,555	\$ 771,883,018
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,361,000	\$ 21,577,620
813	SHIMAO PROPERTY	12,166,541	\$ 228,244,309
815	CHI SILVER GP	25,278,482	\$ 58,393,293
816	HUADIAN FUXIN	117,057,704	\$ 216,556,752
817	CHINA JINMAO	189,317,643	\$ 802,706,806
818	HI SUN TECH	12,427,181	\$ 22,866,013
819	TIANNENG POWER	33,027,550	\$ 273,798,390
823	LINK REIT	21,363,420	\$ 1,357,645,341
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	8,344,191	\$ 29,788,762
836	CHINA RES POWER	31,264,482	\$ 446,456,803
838	EVA HOLDINGS	378,000	\$ 400,680
845	GLORIOUS PPT H	23,281,000	\$ 20,021,660
853	MICROPORT	12,313,987	\$ 89,892,105
855	CHINA WATER	44,933,394	\$ 249,380,337
856	VSTECs	350,000	\$ 945,000
857	PETROCHINA	338,576,931	\$ 1,706,427,732
860	O LUXE HOLD	20,929,765	\$ 34,115,517
861	DC HOLDINGS	60,453,063	\$ 272,038,784
867	CMS	38,103,884	\$ 548,695,930
868	XINYI GLASS	41,661,272	\$ 321,208,407
874	BAIYUNSHAN PH	2,197,372	\$ 47,023,761
877	O-NET TECH GP	44,693,629	\$ 273,078,073



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878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	92,084,642	\$ 646,434,187
881	ZHONGSHENG HLDG	21,620,935	\$ 367,123,476
882	TIANJIN DEV	2,360,000	\$ 9,534,400
883	CNOOC	129,032,148	\$ 1,303,224,695
884	CIFI HOLD GP	103,954,753	\$ 503,141,005
885	RENTIAN TECH	16,860,000	\$ 6,406,800
887	EMPEROR WATCH&J	31,710,000	\$ 13,318,200
895	DONGJIANG ENV	3,322,550	\$ 38,009,972
902	HUANENG POWER	156,993,002	\$ 767,695,780
906	COFCO PACKAGING	2,381,338	\$ 11,287,542
914	CONCH CEMENT	82,558,430	\$ 2,625,358,074
915	DAOHE GLOBAL	2,385,000	\$ 6,367,950
916	CHINA LONGYUAN	130,938,458	\$ 775,155,671
921	HISENSE KELON	3,746,000	\$ 33,451,780
931	CHINA LNG	73,477,238	\$ 89,642,230
932	RM GROUP	2,964,000	\$ 22,615,320
933	BRIGHTOIL	43,844,654	\$ 65,766,981
934	SINOPEC KANTONS	15,504,591	\$ 83,879,837
939	CCB	974,946,965	\$ 6,707,635,119
941	CHINA MOBILE	35,087,347	\$ 2,770,146,046
950	LEE'S PHARM	857,077	\$ 5,271,024
951	CHAOWEI POWER	67,036,576	\$ 311,049,713
956	CHINA SUNTIEN	22,352,000	\$ 44,033,440
958	HN RENEWABLES	176,563,763	\$ 460,831,421
960	LONGFOR PPT	14,826,747	\$ 314,327,036
963	BLOOMAGE BIOTEC	1,594,366	\$ 25,222,870
966	CHINA TAIPING	31,078,377	\$ 699,263,483
968	XINYI SOLAR	179,004,316	\$ 497,631,998
973	L'OCCITANE	328,000	\$ 5,477,600
976	CHIHO ENV	12,341,399	\$ 53,931,914
978	CHI MER LAND	17,863,000	\$ 28,938,060
980	LIANHUA	7,044,709	\$ 22,895,304
981	SMIC	512,624,180	\$ 4,782,783,599
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	27,867,569	\$ 69,390,247
992	LENOVO GROUP	1,078,071,923	\$ 4,678,832,146
993	HUARONG INT FIN	813,000	\$ 2,349,570
995	ANHUIEXPRESSWAY	450,000	\$ 2,695,500
996	CARNIVAL GROUP	172,940,459	\$ 70,905,588
998	CITIC BANK	234,727,500	\$ 1,197,110,250



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999	I.T	0	\$ 0
1003	HUANXI MEDIA	3,395,520	\$ 7,164,547
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	281,406,000	\$ 55,155,576
1028	C.BANNER	1,147,000	\$ 3,142,780
1030	FUTURE LAND	15,076,875	\$ 59,704,425
1031	KINGSTON FIN	130,195,923	\$ 574,164,020
1033	SINOPEC SSC	115,141,545	\$ 155,441,086
1038	CKI HOLDINGS	43,972,241	\$ 3,025,290,181
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	49,891,910	\$ 3,736,904,059
1045	APT SATELLITE	1,661,614	\$ 6,181,204
1051	G-RESOURCES	43,733,872	\$ 4,679,524
1052	YUEXIUTRANSPORT	28,756,651	\$ 169,089,108
1055	CHINA SOUTH AIR	131,851,652	\$ 722,547,053
1060	ALI PICTURES	1,217,336,260	\$ 1,546,017,050
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	15,966,000	\$ 79,670,340
1066	WEIGAO GROUP	22,128,416	\$ 129,672,518
1068	YURUN FOOD	11,397,841	\$ 10,827,949
1070	TCL MULTIMEDIA	23,591,055	\$ 87,286,904
1071	HUADIAN POWER	52,649,407	\$ 162,686,668
1072	DONGFANG ELEC	3,820,110	\$ 30,217,070
1076	IMPERIAL PAC	218,240,855	\$ 25,534,180
1083	TOWNGAS CHINA	9,713,906	\$ 53,620,761
1086	GOODBABY INTL	8,278,000	\$ 36,671,540
1088	CHINA SHENHUA	23,380,194	\$ 457,316,595
1089	LEYOU TECH H	26,131,101	\$ 49,649,092
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	34,915,561	\$ 469,265,140
1098	ROAD KING INFRA	947,000	\$ 13,087,540
1099	SINOPHARM	29,234,896	\$ 1,030,530,084
1103	DASHENG AGR FIN	45,851,999	\$ 30,262,319
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	3,215,978	\$ 14,504,061
1109	CHINA RES LAND	52,322,636	\$ 1,294,985,241
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	11,417,000	\$ 444,121,300
1113	CK ASSET	1,322,604	\$ 86,233,781
1114	BRILLIANCE CHI	119,066,062	\$ 2,690,893,001
1115	TIBET WATER	107,803,914	\$ 329,879,977



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1117	CH MODERN D	52,410,783	\$ 90,670,655
1122	QINGLING MOTORS	480,000	\$ 1,248,000
1128	WYNN MACAU	66,617,561	\$ 1,365,660,001
1129	WATER INDUSTRY	7,326,880	\$ 12,089,352
1133	HARBIN ELECTRIC	5,917,000	\$ 22,425,430
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	11,725,000	\$ 51,003,750
1140	OP FIN INV	928,000	\$ 1,995,200
1141	CMBC CAPITAL	228,419,075	\$ 155,324,971
1157	ZOOMLION	112,738,630	\$ 408,113,841
1165	SFCE	59,631,553	\$ 25,641,568
1168	SINOLINK HOLD	52,172,000	\$ 78,258,000
1169	HAIER ELEC	3,090,340	\$ 61,065,118
1171	YANZHOU COAL	68,971,494	\$ 571,083,970
1176	ZHUGUANG HOLD	3,534,000	\$ 4,170,120
1177	SINO BIOPHARM	84,781,901	\$ 741,841,634
1185	CHINA ENERGINE	2,329,547	\$ 1,141,478
1186	CHINA RAIL CONS	106,173,782	\$ 1,057,490,869
1193	CHINA RES GAS	25,875,834	\$ 706,410,268
1196	REALORD GROUP	5,418,000	\$ 22,213,800
1199	COSCO SHIP PORT	35,953,800	\$ 317,472,054
1205	CITIC RESOURCES	50,891,837	\$ 45,802,653
1206	TECHNOVATOR	13,264,000	\$ 31,568,320
1208	MMG	75,182,371	\$ 286,444,834
1211	BYD COMPANY	117,428,452	\$ 9,447,118,963
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	745,273	\$ 1,497,999
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	6,028,033	\$ 10,910,740
1230	YASHILI INT'L	27,881,000	\$ 47,118,890
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	15,633,690	\$ 135,231,419
1234	CHINA LILANG	244,000	\$ 1,544,520
1238	POWERLONG	2,525,908	\$ 10,482,518
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	43,978,848	\$ 8,312,002
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	17,160,000	\$ 25,396,800
1270	LANGHAM-SS	0	\$ 0
1272	DATANG ENVIRO	0	\$ 0
1278	CHINANEWTOWN	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1282	CHINA GOLDJOY	140,869,987	\$ 85,930,692
1288	ABC	92,641,384	\$ 339,067,465
1293	GRAND BAOXIN	13,861,688	\$ 64,734,083
1297	SINOSOFT TECH	21,645,400	\$ 48,702,150
1299	AIA	51,240,955	\$ 3,053,960,918
1300	TRIGIANT	1,139,647	\$ 1,276,405
1302	LIFETECH SCI	86,227,562	\$ 165,556,919
1308	SITC	17,161,252	\$ 122,531,339
1310	HKBN	19,560,835	\$ 157,660,330
1313	CHINARES CEMENT	24,860,000	\$ 124,797,200
1315	VISION FAME	72,142,000	\$ 35,349,580
1316	NEXTEER	13,095,000	\$ 195,901,200
1317	MAPLELEAF EDU	31,766,000	\$ 298,600,400
1330	DYNAGREEN ENV	2,151,000	\$ 9,399,870
1332	CHINA TOUYUN	5,469,675	\$ 2,160,522
1333	CHINA ZHONGWANG	106,844,205	\$ 426,308,378
1336	NCI	16,734,140	\$ 794,034,943
1339	PICC GROUP	33,035,494	\$ 118,927,778
1347	HUA HONG SEMI	3,696,000	\$ 40,877,760
1349	FUDANZHANGJIANG	1,704,000	\$ 6,867,120
1358	PW MEDTECH	2,450,000	\$ 4,091,500
1359	CHINA CINDA	157,191,906	\$ 484,151,070
1360	MEGAEXPO HLDG	5,373,323	\$ 10,907,846
1361	361 DEGREES	13,830,000	\$ 41,766,600
1363	CTEG	225,777,944	\$ 248,355,738
1366	JIANGNAN GP	35,787,984	\$ 16,999,292
1368	XTEP INT'L	54,458,600	\$ 145,949,048
1375	CC SECURITIES	18,537,224	\$ 69,143,846
1378	CHINAHONGQIAO	67,039,766	\$ 472,630,350
1381	CANVEST ENV	16,037,000	\$ 67,996,880
1382	PACIFICTEXTILES	14,173,761	\$ 117,358,741
1385	SHANGHAI FUDAN	64,000	\$ 403,200
1387	RENHE COMM	540,452,653	\$ 96,200,572
1398	ICBC	596,714,988	\$ 3,807,041,623
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	17,418,112	\$ 44,242,004
1432	CHINA SHENGMU	36,869,000	\$ 49,035,770
1448	FU SHOU YUAN	4,023,000	\$ 21,120,750
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	21,458,500	\$ 159,651,240
1462	GOLD-FIN HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1478	Q TECH	11,401,000	\$ 181,275,900
1508	CHINA RE	21,530,000	\$ 37,462,200
1509	HARMONICARE	3,969,945	\$ 12,068,633
1513	LIVZON PHARMA	332,807	\$ 14,893,113
1515	CRPHOENIXHEALTH	51,182,231	\$ 500,562,219
1518	NC HEALTHCARE	0	\$ 0
1520	RUNWAY GLOBAL	0	\$ 0
1528	RS MACALLINE	3,194,400	\$ 34,882,848
1530	3SBIO	83,710,638	\$ 1,145,161,528
1548	GENSCRIPT BIO	9,278,000	\$ 75,151,800
1558	HEC PHARM	0	\$ 0
1559	KWAN ON HLDGS	0	\$ 0
1566	CHINA ANIMATION	9,052,000	\$ 27,427,560
1569	MINSHENG EDU	1,280,000	\$ 2,585,600
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	267,000	\$ 1,735,500
1585	YADEA	10,456,000	\$ 30,949,760
1589	CNLP	14,394,000	\$ 37,424,400
1599	UCD	189,000	\$ 895,860
1600	TIAN LUN GAS	600,000	\$ 3,540,000
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	18,685,426	\$ 28,775,556
1616	SILVERMAN HL	1,660,228	\$ 1,162,160
1618	MCC	53,601,960	\$ 140,437,135
1622	REDCO PPT	3,174,000	\$ 11,521,620
1628	YUZHOU PPT	7,003,000	\$ 30,533,080
1632	FOODWISE HLDG	380,000	\$ 3,807,600
1635	DZUG	0	\$ 0
1636	CMRU	2,964,000	\$ 8,921,640
1658	PSBC	119,118,462	\$ 553,900,848
1661	WISDOM SPORTS	33,596,000	\$ 40,315,200
1666	TONG REN TANG	14,548,531	\$ 144,757,883
1668	CHINASOUTHCITY	126,270,018	\$ 304,310,743
1680	MACAU LEGEND	59,755,561	\$ 76,487,118
1681	CONSUN PHARMA	534,000	\$ 3,449,640
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	44,563,483	\$ 416,668,566
1766	CRRC	257,869,023	\$ 1,859,235,656
1776	GF SEC	26,887,996	\$ 470,002,170



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1777	FANTASIA	5,190,000	\$ 6,020,400
1778	COLOUR LIFE	9,344,800	\$ 47,191,240
1786	CRCCE	12,210,500	\$ 31,503,090
1788	GUOTAI JUNAN I	211,562,013	\$ 545,829,994
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	57,059,378	\$ 561,464,280
1803	BJ SPORTS & ENT	662,500	\$ 1,484,000
1811	CGN NEW ENERGY	12,256,000	\$ 13,359,040
1812	CHENMING PAPER	9,535,500	\$ 143,986,050
1813	KWG PROPERTY	51,119,595	\$ 453,430,808
1816	CGN POWER	538,786,050	\$ 1,190,717,171
1818	ZHAOJIN MINING	58,449,332	\$ 385,181,098
1828	DCH HOLDINGS	3,886,487	\$ 15,157,299
1829	CMEC	11,758,951	\$ 59,147,524
1836	STELLA HOLDINGS	2,531,075	\$ 34,574,485
1848	CALC	3,985,805	\$ 32,444,453
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,073,351	\$ 162,687,073
1883	CITIC TELECOM	1,964,259	\$ 4,399,940
1886	HUIYUAN JUICE	12,553,000	\$ 30,252,730
1888	KB LAMINATES	7,902,500	\$ 105,577,400
1893	SINOMA	3,770,000	\$ 16,776,500
1898	CHINA COAL	193,531,404	\$ 748,966,533
1899	XINGDA INT'L	23,026,929	\$ 66,778,094
1910	SAMSONITE	18,377,299	\$ 609,207,462
1913	PRADA	18,790,585	\$ 542,108,377
1918	SUNAC	167,394,192	\$ 6,829,683,034
1919	COSCO SHIP HOLD	149,648,785	\$ 655,461,678
1928	SANDS CHINA LTD	108,852,193	\$ 4,310,546,843
1929	CHOW TAI FOOK	16,351,248	\$ 148,796,357
1958	BAIC MOTOR	81,368,538	\$ 631,419,855
1963	BCQ	828,000	\$ 5,299,200
1966	CHINA SCE PPT	6,670,000	\$ 26,479,900
1970	IMAX CHINA	19,406,304	\$ 479,335,709
1972	SWIREPROPERTIES	10,691,701	\$ 284,933,832
1980	TIANGE	4,082,000	\$ 23,308,220
1988	MINSHENG BANK	375,422,725	\$ 2,796,899,301
1999	MAN WAH HLDGS	50,785,908	\$ 384,957,183
2005	SSY GROUP	2,148,000	\$ 7,646,880
2006	JINJIANG HOTELS	20,590,498	\$ 62,801,019



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2007	COUNTRY GARDEN	268,226,153	\$ 3,540,585,220
2008	PHOENIX TV	8,762,000	\$ 9,988,680
2009	BBMG	145,572,459	\$ 585,201,285
2014	OZNER WATER	38,098,000	\$ 80,005,800
2016	CZBANK	0	\$ 0
2018	AAC TECH	29,876,095	\$ 4,021,322,387
2020	ANTA SPORTS	45,557,775	\$ 1,519,351,796
2038	FIH	61,161,598	\$ 148,622,683
2039	CIMC	3,085,953	\$ 45,425,228
2066	SHENGJINGBANK	2,133,500	\$ 13,441,050
2098	ZALL GROUP	0	\$ 0
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	14,212,000	\$ 61,822,200
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	17,205,146	\$ 93,768,046
2136	LIFESTYLE CHI	354,500	\$ 978,420
2186	LUYE PHARMA	212,201,765	\$ 1,001,592,331
2196	FOSUN PHARMA	17,968,105	\$ 629,782,080
2198	CHINA SANJIANG	2,078,000	\$ 5,569,040
2199	REGINA MIRACLE	18,148,662	\$ 137,022,398
2200	HOSA INT'L	6,170,090	\$ 14,499,712
2202	CHINA VANKE	17,120,357	\$ 479,369,996
2208	GOLDWIND	39,274,271	\$ 388,422,540
2226	HONWORLD GP	253,500	\$ 996,255
2233	WESTCHINACEMENT	54,100,000	\$ 70,871,000
2238	GAC GROUP	28,826,896	\$ 566,736,775
2255	HAICHANG HLDG	7,182,000	\$ 14,435,820
2277	HUARONG INV	0	\$ 0
2280	HC INTL	27,392,274	\$ 177,775,858
2282	MGM CHINA	127,257,109	\$ 2,293,173,104
2298	COSMO LADY	65,058,664	\$ 197,778,339
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	6,066,646	\$ 387,658,679
2314	LEE & MAN PAPER	2,341,033	\$ 24,253,102
2318	PING AN	1,245,406,989	\$ 79,456,965,898
2319	MENGNU DAIRY	49,879,693	\$ 1,097,353,246
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	145,670,127	\$ 26,220,623
2328	PICC P&C	41,427,836	\$ 579,989,704
2329	GUORUI PPT	905,000	\$ 2,353,000



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2331	LI NING	33,793,404	\$ 249,733,256
2333	GREATWALL MOTOR	447,483,098	\$ 4,461,406,487
2338	WEICHAI POWER	175,734,478	\$ 1,532,404,648
2342	COMBA	16,166,609	\$ 25,543,242
2343	PACIFIC BASIN	223,869,921	\$ 416,398,053
2348	DAWNRAYS PHARMA	820,800	\$ 3,693,600
2349	CH CITY INFRA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	346,532	\$ 6,057,379
2357	AVICHINA	141,544,418	\$ 672,335,986
2362	JINCHUAN INTL	11,801,364	\$ 13,217,528
2369	COOLPAD GROUP	75,028,148	\$ 54,020,267
2378	PRU	0	\$ 0
2380	CHINA POWER	221,764,451	\$ 578,805,217
2382	SUNNY OPTICAL	19,195,856	\$ 2,530,013,821
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	19,569,981	\$ 136,598,467
2388	BOC HONG KONG	37,231,745	\$ 1,429,699,008
2389	BJ ENT M&H	36,114,803	\$ 15,709,939
2393	YESTAR HEALTH	7,962,968	\$ 27,233,351
2588	BOC AVIATION	597,425	\$ 25,390,563
2600	CHALCO	562,796,843	\$ 3,990,229,617
2601	CPIC	6,880,602	\$ 241,509,130
2607	SH PHARMA	8,112,061	\$ 160,943,290
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	57,848,330	\$ 1,394,144,753
2638	HKELECTRIC-SS	270,308,720	\$ 1,930,004,261
2666	UNI MEDICAL	20,850,544	\$ 135,320,031
2669	CHINA OVS PPT	55,850,865	\$ 111,701,730
2678	TEXHONG TEXTILE	6,213,000	\$ 65,733,540
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	43,856,228	\$ 2,539,275,601
2689	ND PAPER	74,010,949	\$ 1,230,061,972
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	230,912,803	\$ 805,885,682
2768	JIAYUAN INTL	3,392,000	\$ 18,486,400
2777	R&F PROPERTIES	47,447,106	\$ 944,197,409
2778	CHAMPION REIT	0	\$ 0
2799	CHINA HUARONG	200,059,482	\$ 774,230,195
2800	TRACKER FUND	5,324,500	\$ 156,274,075
2801	ISHARES CHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	87,199,830	\$ 1,267,885,528
2823	ISHARES A50	200,025,075	\$ 2,808,352,053
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	2,793,800	\$ 323,242,660
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	79,867,979	\$ 144,561,042
2868	BJ CAPITAL LAND	5,058,000	\$ 23,924,340
2869	GREENTOWN SER	15,164,000	\$ 74,151,960
2877	SHINEWAY PHARM	4,334,000	\$ 30,251,320
2880	DALIAN PORT	44,365,287	\$ 60,336,790
2883	CHINA OILFIELD	142,446,721	\$ 1,018,494,055
2888	STANCHART	4,601,569	\$ 363,984,108
2899	ZIJIN MINING	230,783,567	\$ 636,962,645
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHAREMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	45,877,806	\$ 2,172,314,114
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	3,250,000	\$ 30,290,000
3308	GOLDEN EAGLE	24,258,754	\$ 225,606,412
3311	CHINA STATE CON	50,874,447	\$ 585,056,141
3320	CHINARES PHARMA	10,309,364	\$ 97,732,771
3323	CNBM	260,081,551	\$ 1,433,049,346
3328	BANKCOMM	121,686,975	\$ 730,121,850
3331	VINDA INT'L	743,117	\$ 10,968,407
3333	EVERGRANDE	208,417,316	\$ 6,294,202,943
3336	JU TENG INTL	9,952,831	\$ 30,157,078
3337	ANTON OILFIELD	72,065,602	\$ 56,931,826
3339	LONKING	31,557,700	\$ 105,087,141



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3344	ADDCHANCE	0	\$ 0
3360	FE HORIZON	27,720,340	\$ 210,397,381
3369	QHD PORT	2,539,500	\$ 6,729,675
3377	SINO-OCEAN GP	25,240,196	\$ 138,063,872
3378	XIAMEN PORT	2,448,648	\$ 3,697,458
3380	LOGAN PPT	52,310,401	\$ 437,314,952
3382	TIANJINPORT DEV	1,746,000	\$ 2,147,580
3383	AGILE GROUP	80,863,087	\$ 994,615,970
3389	HENGDELI	21,144,475	\$ 8,880,680
3393	WASION GROUP	34,613,789	\$ 137,762,880
3396	LEGENDHOLDING	2,680,600	\$ 55,622,450
3606	FUYAO GLASS	27,174,001	\$ 763,589,428
3618	CQRC BANK	48,992,387	\$ 251,820,869
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	436,000	\$ 8,371,200
3669	YONGDA AUTO	10,369,000	\$ 109,496,640
3698	HUIZHANG BANK	5,200,671	\$ 20,334,624
3708	YAT SING HLDG	550,000	\$ 2,029,500
3709	KORADIOR	1,385,000	\$ 8,559,300
3799	DALI FOODS	58,226,000	\$ 328,394,640
3800	GCL-POLY ENERGY	1,824,967,797	\$ 2,062,213,611
3808	SINOTRUK	60,773,147	\$ 700,106,653
3813	POU SHENG INT'L	97,839,332	\$ 140,888,638
3818	CHINA DONGXIANG	40,138,000	\$ 56,193,200
3836	HARMONY AUTO	25,782,859	\$ 127,625,152
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	16,780,000	\$ 83,396,600
3886	TOWN HEALTH	103,893,754	\$ 72,725,628
3888	KINGSOFT	60,639,493	\$ 1,129,107,360
3898	CRRC TIMES ELEC	38,480,377	\$ 1,764,325,285
3899	CIMC ENRIC	28,285,846	\$ 148,217,833
3900	GREENTOWN CHINA	67,029,801	\$ 656,221,752
3908	CICC	11,038,591	\$ 189,863,765
3918	NAGACORP	18,565,441	\$ 99,325,109
3933	UNITED LAB	163,397,044	\$ 1,019,597,555
3958	DFZQ	2,506,000	\$ 20,799,800
3968	CM BANK	151,597,641	\$ 4,494,870,056
3969	CHINA CRSC	1,648,000	\$ 9,476,000
3983	CHINA BLUECHEM	21,643,879	\$ 56,274,085
3988	BANK OF CHINA	83,957,305	\$ 340,866,658
3993	CMOC	172,600,968	\$ 845,744,743



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	0	\$ 0
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	89,505,898	\$ 1,607,525,928
6066	CSC	5,337,000	\$ 39,440,430
6068	WISDOM EDU INTL	460,000	\$ 2,254,000
6099	CMSC	1,137,800	\$ 15,337,544
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	12,400,000	\$ 51,336,000
6178	EB SECURITIES	5,224,800	\$ 56,532,336
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,632,000	\$ 19,213,280
6808	SUNART RETAIL	191,606,353	\$ 1,352,740,852
6818	CEB BANK	131,527,357	\$ 501,119,230
6823	HKT-SS	53,214,465	\$ 506,069,562
6826	HAOHAI BIOTEC	9,100	\$ 328,510
6828	BG BLUE SKY	31,032,000	\$ 16,136,640
6837	HAITONG SEC	76,677,042	\$ 1,001,402,169
6839	YUNNAN WATER	4,013,000	\$ 13,403,420
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	4,352,400	\$ 129,701,520
6881	CGS	128,471,384	\$ 910,862,113
6886	HTSC	47,655,152	\$ 876,854,797
6889	DYNAM JAPAN	429,400	\$ 5,187,152
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7228	FL2SAMSUNGHSC	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSC	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,496,000	\$ 2,377,280
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	9,229,451	\$ 6,552,910
8057	MADISON HLDG	1,128,000	\$ 2,064,240
8078	HMV DIGIT CHINA	4,660,000	\$ 1,160,340
8083	INNOVATIONPAY	56,896,866	\$ 28,163,949
8095	BEIDA JADE BIRD	781,000	\$ 1,132,450
8102	LI BAO GE GP	250,000	\$ 1,702,500
8137	HONBRIDGE	1,978,000	\$ 2,472,500
8138	TONGRENTANGCM	475,000	\$ 4,854,500
8158	CRMI	56,770,000	\$ 11,070,150
8207	CHONGSING HLDGS	75,048,000	\$ 79,550,880
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	860,000	\$ 2,786,400
8279	AGTECH HOLDINGS	8,856,000	\$ 13,638,240
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 06/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0