



Aggregated Reportable Short Positions of Specified Shares as of 11/04/2014

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1          | CHEUNG KONG     | 10,593,770                                     | \$ 1,447,108,982                             |
| 2          | CLP HOLDINGS    | 50,733,977                                     | \$ 3,117,602,887                             |
| 3          | HK & CHINA GAS  | 146,674,076                                    | \$ 2,613,732,034                             |
| 4          | WHARF HOLDINGS  | 16,909,528                                     | \$ 962,152,143                               |
| 5          | HSBC HOLDINGS   | 56,413,147                                     | \$ 4,558,182,278                             |
| 6          | POWER ASSETS    | 9,968,794                                      | \$ 682,363,949                               |
| 11         | HANG SENG BANK  | 20,164,513                                     | \$ 2,581,057,664                             |
| 12         | HENDERSON LAND  | 22,867,603                                     | \$ 1,072,490,581                             |
| 13         | HUTCHISON       | 17,749,905                                     | \$ 1,920,539,721                             |
| 16         | SHK PPT         | 21,039,065                                     | \$ 2,096,542,827                             |
| 17         | NEW WORLD DEV   | 149,070,311                                    | \$ 1,213,432,332                             |
| 19         | SWIRE PACIFIC A | 5,921,172                                      | \$ 537,642,418                               |
| 23         | BANK OF E ASIA  | 39,366,125                                     | \$ 1,295,145,513                             |
| 27         | GALAXY ENT      | 29,108,935                                     | \$ 2,056,546,258                             |
| 53         | GUOCO GROUP     | 110,500  | \$ 10,403,575                                |
| 66         | MTR CORPORATION | 6,173,106                                      | \$ 183,341,248                               |
| 83         | SINO LAND       | 47,839,136                                     | \$ 576,939,980                               |
| 86         | SUN HUNG KAI CO | 1,100,000                                      | \$ 5,896,000                                 |
| 101        | HANG LUNG PPT   | 60,107,157                                     | \$ 1,430,550,337                             |
| 133        | CHINA MERCHANTS | 52,000   | \$ 530,400                                   |
| 135        | KUNLUN ENERGY   | 114,750,257                                    | \$ 1,471,098,295                             |
| 144        | CHINA MER HOLD  | 26,945,330                                     | \$ 722,134,844                               |
| 151        | WANT WANT CHINA | 327,929,053                                    | \$ 4,072,878,838                             |
| 165        | CHINA EB LTD    | 11,132,476                                     | \$ 128,023,474                               |
| 168        | TSINGTAO BREW   | 22,302,057                                     | \$ 1,256,720,912                             |
| 171        | SILVER GRANT    | 0  | \$ 0   |
| 194        | LIU CHONG HING  | 313,756  | \$ 5,176,974                                 |
| 267        | CITIC PACIFIC   | 184,638,757                                    | \$ 2,629,255,900                             |
| 291        | CHINA RESOURCES | 40,049,855                                     | \$ 935,164,114                               |
| 293        | CATHAY PAC AIR  | 27,938,989                                     | \$ 426,348,972                               |
| 302        | WING HANG BANK  | 511,371  | \$ 63,512,278                                |
| 322        | TINGYI          | 66,073,213                                     | \$ 1,483,343,632                             |
| 358        | JIANGXI COPPER  | 72,117,801                                     | \$ 1,000,995,078                             |
| 386        | SINOPEC CORP    | 455,116,294                                    | \$ 3,290,490,806                             |
| 388        | HKEX            | 25,497,071                                     | \$ 3,722,572,366                             |
| 390        | CHINA RAILWAY   | 183,375,098                                    | \$ 694,991,621                               |
| 440        | DAH SING        | 705,900  | \$ 24,777,090                                |
| 489        | DONGFENG GROUP  | 45,290,918                                     | \$ 508,164,100                               |
| 494        | LI & FUNG       | 439,362,107                                    | \$ 5,237,196,315                             |
| 530        | GOLDIN FIN HOLD | 0  | \$ 0   |
| 626        | PUBLIC FIN HOLD | 0  | \$ 0   |



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| 662        | ASIA FINANCIAL  | 0  | \$ 0   |
| 665        | HAITONG INT'L   | 8,736,938                                      | \$ 42,548,888                                |
| 688        | CHINA OVERSEAS  | 182,325,165                                    | \$ 3,828,828,465                             |
| 700        | TENCENT         | 35,433,783                                     | \$ 18,602,736,075                            |
| 721        | C FIN INT INV   | 2,750,000                                      | \$ 1,375,000                                 |
| 728        | CHINA TELECOM   | 271,297,020                                    | \$ 954,965,510                               |
| 753        | AIR CHINA       | 94,031,647                                     | \$ 437,247,159                               |
| 762        | CHINA UNICOM    | 102,418,959                                    | \$ 1,095,882,861                             |
| 806        | VALUE PARTNERS  | 10,201,829                                     | \$ 52,539,419                                |
| 836        | CHINA RES POWER | 22,153,683                                     | \$ 482,950,289                               |
| 857        | PETROCHINA      | 350,527,666                                    | \$ 3,102,169,844                             |
| 883        | CNOOC           | 131,690,416                                    | \$ 1,685,637,325                             |
| 902        | HUANENG POWER   | 105,940,264                                    | \$ 821,037,046                               |
| 914        | ANHUI CONCH     | 260,708,140                                    | \$ 7,860,350,421                             |
| 916        | CHINA LONGYUAN  | 147,486,940                                    | \$ 1,196,119,083                             |
| 939        | CCB             | 939,046,598                                    | \$ 5,136,584,891                             |
| 941        | CHINA MOBILE    | 109,826,673                                    | \$ 8,193,069,806                             |
| 966        | CHINA TAIPING   | 2,996,505                                      | \$ 41,231,909                                |
| 992        | LENOVO GROUP    | 675,679,879                                    | \$ 6,405,445,253                             |
| 998        | CITIC BANK      | 374,105,928                                    | \$ 1,840,601,166                             |
| 1031       | KINGSTON FIN    | 0  | \$ 0   |
| 1044       | HENGAN INT'L    | 25,698,147                                     | \$ 2,159,929,255                             |
| 1066       | WEIGAO GROUP    | 78,935,621                                     | \$ 647,272,092                               |
| 1088       | CHINA SHENHUA   | 130,045,494                                    | \$ 2,893,512,242                             |
| 1099       | SINOPHARM       | 48,720,751                                     | \$ 989,031,245                               |
| 1109       | CHINA RES LAND  | 77,932,153                                     | \$ 1,385,633,680                             |
| 1111       | CHONG HING BANK | 0  | \$ 0   |
| 1171       | YANZHOU COAL    | 269,328,450                                    | \$ 1,758,714,779                             |
| 1199       | COSCO PACIFIC   | 19,795,437                                     | \$ 213,790,720                               |
| 1211       | BYD COMPANY     | 51,010,566                                     | \$ 2,606,639,923                             |
| 1288       | ABC             | 569,881,168                                    | \$ 1,909,101,913                             |
| 1299       | AIA             | 70,882,806                                     | \$ 2,810,503,258                             |
| 1336       | NCI             | 8,610,034                                      | \$ 208,793,325                               |
| 1339       | PICC GROUP      | 121,079,762                                    | \$ 386,244,441                               |
| 1359       | CHINA CINDA     | 474,717,704                                    | \$ 2,060,274,835                             |
| 1398       | ICBC            | 979,086,928                                    | \$ 4,709,408,124                             |
| 1788       | GUOTAI JUNAN I  | 5,753,000                                      | \$ 26,808,980                                |
| 1800       | CHINA COMM CONS | 174,486,321                                    | \$ 945,715,860                               |
| 1880       | BELLE INT'L     | 229,494,584                                    | \$ 1,918,574,722                             |
| 1898       | CHINA COAL      | 657,815,009                                    | \$ 2,979,901,991                             |
| 1928       | SANDS CHINA LTD | 26,777,550                                     | \$ 1,684,307,895                             |



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| 1988       | MINSHENG BANK    | 1,081,153,197                                  | \$ 9,449,278,942                             |
| 2238       | GAC GROUP        | 90,594,262                                     | \$ 790,887,907                               |
| 2318       | PING AN          | 409,261,904                                    | \$ 25,128,680,906                            |
| 2319       | MENGNU DAIRY     | 23,114,304                                     | \$ 975,423,629                               |
| 2328       | PICC P&C         | 135,573,142                                    | \$ 1,469,612,859                             |
| 2333       | GREATWALL MOTOR  | 72,141,885                                     | \$ 2,928,960,531                             |
| 2338       | WEICHAI POWER    | 74,835,439                                     | \$ 2,215,128,994                             |
| 2356       | DAHSHING BANKING | 5,365,141                                      | \$ 65,240,115                                |
| 2378       | PRU              | 0  | \$ 0   |
| 2388       | BOC HONG KONG    | 99,201,745                                     | \$ 2,311,400,659                             |
| 2601       | CPIC             | 64,208,570                                     | \$ 1,653,370,678                             |
| 2628       | CHINA LIFE       | 181,909,781                                    | \$ 3,920,155,781                             |
| 2883       | CHINA OILFIELD   | 43,036,896                                     | \$ 871,497,144                               |
| 2888       | STANCHART        | 6,416,474                                      | \$ 1,102,350,233                             |
| 2899       | ZIJIN MINING     | 839,591,884                                    | \$ 1,662,391,930                             |
| 3323       | CNBM             | 344,799,382                                    | \$ 2,813,562,957                             |
| 3328       | BANKCOMM         | 201,615,646                                    | \$ 1,024,207,482                             |
| 3360       | FE HORIZON       | 13,260,853                                     | \$ 73,862,951                                |
| 3618       | CQRC BANK        | 134,034,682                                    | \$ 466,440,693                               |
| 3698       | HUIZHANG BANK    | 26,806,663                                     | \$ 93,823,321                                |
| 3968       | CM BANK          | 248,529,606                                    | \$ 3,539,061,589                             |
| 3988       | BANK OF CHINA    | 1,179,670,058                                  | \$ 4,140,641,904                             |
| 6030       | CITIC SEC        | 135,794,752                                    | \$ 2,400,851,215                             |
| 6818       | CEB BANK         | 6,577,000                                      | \$ 20,520,240                                |
| 6837       | HAITONG SEC      | 58,725,683                                     | \$ 709,406,251                               |
| 6881       | CGS              | 31,624,263                                     | \$ 169,822,292                               |