



Aggregated Reportable Short Positions of Specified Shares as of 11/01/2013

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CHEUNG KONG	8,308,213	\$ 1,046,004,017
2	CLP HOLDINGS	21,976,340	\$ 1,429,560,917
3	HK & CHINA GAS	95,730,905	\$ 2,024,708,641
4	WHARF HOLDINGS	13,465,682	\$ 855,744,091
5	HSBC HOLDINGS	92,408,710	\$ 7,748,470,334
6	POWER ASSETS	14,238,893	\$ 932,647,492
11	HANG SENG BANK	27,131,783	\$ 3,239,534,890
12	HENDERSON LAND	16,001,668	\$ 927,296,661
13	HUTCHISON	22,320,028	\$ 1,879,346,358
16	SHK PPT	22,963,654	\$ 2,829,122,173
17	NEW WORLD DEV	26,140,897	\$ 358,130,289
19	SWIRE PACIFIC A	2,785,341	\$ 272,963,418
23	BANK OF E ASIA	53,133,733	\$ 1,644,489,036
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
66	MTR CORPORATION	3,952,468	\$ 123,317,002
83	SINO LAND	17,259,636	\$ 263,382,045
86	SUN HUNG KAI CO	0	\$ 0
101	HANG LUNG PPT	31,848,870	\$ 982,537,640
133	CHINA MERCHANTS	0	\$ 0
135	KUNLUN ENERGY	104,356,700	\$ 1,688,491,406
144	CHINA MER HOLD	20,799,600	\$ 545,989,500
151	WANT WANT CHINA	132,465,610	\$ 1,390,888,905
165	CHINA EB LTD	1,237,490	\$ 17,621,858
168	TSINGTAO BREW	26,593,521	\$ 1,235,269,050
171	SILVER GRANT	3,452,000	\$ 5,764,840
267	CITIC PACIFIC	128,024,935	\$ 1,692,489,641
291	CHINA RESOURCES	37,054,216	\$ 1,011,580,097
293	CATHAY PAC AIR	61,029,306	\$ 904,454,315
302	WING HANG BANK	1,046,091	\$ 83,687,280
322	TINGYI	57,241,702	\$ 1,204,937,827
330	ESPRIT HOLDINGS	113,063,936	\$ 1,273,099,919
358	JIANGXI COPPER	50,344,579	\$ 1,057,236,159
373	ALLIED GROUP	0	\$ 0
386	SINOPEC CORP	363,986,818	\$ 3,352,318,594
388	HKEX	58,946,380	\$ 8,488,278,720
390	CHINA RAILWAY	143,075,840	\$ 691,056,307
440	DAH SING	340,024	\$ 12,461,880
489	DONGFENG GROUP	100,652,922	\$ 1,296,409,635
494	LI & FUNG	526,228,368	\$ 7,304,049,748
662	ASIA FINANCIAL	0	\$ 0



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688	CHINA OVERSEAS	290,164,367	\$ 7,138,043,428
700	TENCENT	31,792,180	\$ 8,113,364,336
721	C FIN INT INV	0	\$ 0
728	CHINA TELECOM	402,327,368	\$ 1,738,054,230
753	AIR CHINA	65,751,316	\$ 466,176,830
762	CHINA UNICOM	220,812,494	\$ 2,874,978,672
763	ZTE	84,355,709	\$ 1,240,028,922
806	VALUE PARTNERS	7,784,000	\$ 40,554,640
836	CHINA RES POWER	15,924,965	\$ 316,588,304
857	PETROCHINA	340,275,732	\$ 3,702,199,964
883	CNOOC	150,239,450	\$ 2,436,883,879
902	HUANENG POWER	122,711,651	\$ 858,981,557
914	ANHUI CONCH	196,435,941	\$ 5,568,958,927
916	CHINA LONGYUAN	50,280,705	\$ 321,293,705
939	CCB	926,014,542	\$ 5,982,053,941
941	CHINA MOBILE	79,136,450	\$ 7,110,410,033
966	CHINA TAIPING	8,562,309	\$ 133,400,774
998	CITIC BANK	186,843,118	\$ 924,873,434
1031	KINGSTON FIN	6,352,000	\$ 4,827,520
1044	HENGAN INT'L	35,418,811	\$ 2,631,617,657
1066	WEIGAO GROUP	94,034,277	\$ 701,495,706
1088	CHINA SHENHUA	85,141,224	\$ 2,830,945,698
1099	SINOPHARM	53,274,753	\$ 1,361,169,939
1109	CHINA RES LAND	62,874,095	\$ 1,424,098,252
1111	CHONG HING BANK	0	\$ 0
1157	ZOOMLION	230,455,958	\$ 2,470,487,870
1171	YANZHOU COAL	198,181,290	\$ 2,746,792,679
1199	COSCO PACIFIC	6,577,545	\$ 77,615,031
1288	ABC	1,164,333,528	\$ 4,622,404,106
1299	AIA	63,911,046	\$ 1,914,135,828
1336	NCI	5,579,658	\$ 175,480,244
1398	ICBC	1,275,739,076	\$ 7,348,257,078
1800	CHINA COMM CONS	171,215,265	\$ 1,320,069,693
1880	BELLE INT'L	116,630,008	\$ 1,973,379,735
1898	CHINA COAL	195,696,974	\$ 1,706,477,613
1928	SANDS CHINA LTD	34,895,557	\$ 1,284,156,498
1988	MINSHENG BANK	669,520,113	\$ 6,340,355,470
2238	GAC GROUP	127,785,799	\$ 975,005,646
2318	PING AN	58,127,871	\$ 3,891,660,963
2328	PICC P&C	59,302,086	\$ 709,252,949
2333	GREATWALL MOTOR	85,582,351	\$ 2,336,398,182



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2338	WEICHAI POWER	70,917,304	\$ 2,542,385,348
2356	DAHSHING BANKING	0	\$ 0
2388	BOC HONG KONG	41,849,597	\$ 1,044,147,445
2600	CHALCO	502,964,054	\$ 1,986,708,013
2601	CPIC	48,041,341	\$ 1,494,085,705
2628	CHINA LIFE	221,987,357	\$ 5,771,671,282
2883	CHINA OILFIELD	13,940,981	\$ 216,085,206
2888	STANCHART	2,924,833	\$ 611,290,097
2899	ZIJIN MINING	710,113,259	\$ 2,194,249,970
3323	CNBM	270,150,418	\$ 3,220,192,983
3328	BANKCOMM	231,524,455	\$ 1,412,299,176
3360	FE HORIZON	7,023,000	\$ 44,244,900
3618	CQRC BANK	79,526,239	\$ 341,962,828
3968	CM BANK	184,897,939	\$ 3,246,807,809
3988	BANK OF CHINA	1,150,851,915	\$ 4,200,609,490
6030	CITIC SEC	123,818,981	\$ 2,350,084,259