



HONG KONG MONETARY AUTHORITY  
香港金融管理局



SECURITIES AND  
FUTURES COMMISSION  
證券及期貨事務監察委員會

List of prescribed persons that have reached the clearing threshold under the  
Securities and Futures (OTC Derivative Transactions – Clearing and Record Keeping  
Obligations and Designation of Central Counterparties) Rules  
(Clearing Rules)

Based on information currently available to the Hong Kong Monetary Authority (**HKMA**) and/or the Securities and Futures Commission (**SFC**), the following prescribed persons have reached the clearing threshold set out under Schedule 2 to the Clearing Rules -

(In alphabetical order)

- 1 Australia and New Zealand Banking Group Limited
- 2 Banco Santander, S.A.
- 3 Bank of China (Hong Kong) Limited
- 4 Bank of Communications (Hong Kong) Limited
- 5 Bank of Communications Co., Ltd.
- 6 Bank of East Asia, Limited (The)
- 7 BNP Paribas
- 8 China CITIC Bank International Limited
- 9 Chong Hing Bank Limited (Note)
- 10 Citibank, N.A.
- 11 Credit Agricole Corporate and Investment Bank
- 12 Credit Suisse (Hong Kong) Limited
- 13 DBS Bank (Hong Kong) Limited
- 14 DBS Bank Ltd.
- 15 Deutsche Bank Aktiengesellschaft
- 16 Hang Seng Bank, Limited
- 17 Hongkong and Shanghai Banking Corporation Limited (The)
- 18 Industrial and Commercial Bank of China (Asia) Limited
- 19 JPMorgan Chase Bank, National Association
- 20 Mizuho Bank, Ltd.
- 21 MUFG Bank, Ltd.
- 22 Oversea-Chinese Banking Corporation Limited
- 23 Standard Chartered Bank (Hong Kong) Limited
- 24 Sumitomo Mitsui Banking Corporation
- 25 United Overseas Bank Ltd.

Note: Newly added to the list. Mandatory clearing will apply to specified OTC derivative transactions entered into with this entity from 1 January 2024 subject to other relevant conditions specified in the Clearing Rules being met.

Disclaimer:

1. The prescribed persons on the list have consented to the publication of their respective names. Neither the HKMA nor the SFC assumes any responsibility for the accuracy, completeness or reliability of the list, and neither the HKMA nor the SFC shall be held responsible for any consequential effect, nor be held liable for any loss or damage, howsoever caused, arising from the use of or reliance upon any information provided above. Please also refer to the [Disclaimer](#) section of our website.
2. It is the responsibility of each prescribed person to ensure its compliance with the Clearing Rules, including ascertaining whether each of its counterparties has reached the clearing threshold where applicable.

31 August 2023