

G.N. 7589

Notice under Section 208(1) of the Securities and Futures Ordinance (Cap. 571) (SFO)

WHEREAS

1. A restriction notice (**Restriction Notice**) was issued pursuant to section 204 of the SFO on 3 January 2019 to Futu Securities International (Hong Kong) Limited (**Specified Corporation**) in relation to the client account referenced 1001100320156989 (**Account**).
2. The Securities and Futures Commission (**Commission**), for the reasons set out in the Statement of Reasons of even date, considers it appropriate to exercise the powers conferred by section 208 of the SFO to withdraw the Restriction Notice issued in respect of the Account.

THE COMMISSION GIVES NOTICE THAT:

3. Pursuant to section 208 of the SFO, the Commission withdraws the prohibition and requirement imposed by the Restriction Notice on the Specified Corporation in respect of the Account.

This Notice takes effect at the time of service upon the Specified Corporation.

Dated this 3rd day of December 2024

For and on behalf of the Commission

Julia Leung
Chief Executive Officer

Statement of Reasons

Under section 209(2) of the Securities and Futures Ordinance (Cap. 571) (SFO)

1. Futu Securities International (Hong Kong) Limited (**Specified Corporation**) is a corporation licensed under the SFO to carry on Types 1, 2, 3, 4, 5, 7 and 9 regulated activities.
2. The Securities and Futures Commission (**Commission**) issued a restriction notice (**Restriction Notice**) to the Specified Corporation on 3 January 2019 in respect of the account (**Account**) of a client (**Client**) referenced 1001100320156989 held with the Specified Corporation.
3. The Restriction Notice prohibits the Specified Corporation from accepting instructions to place, through the Account or on behalf of the Client holding the Account, any buy or sell order on the stock market operated by the Stock Exchange of Hong Kong Limited in respect of any derivative warrant listed on the stock market.
4. Based on the evidence obtained during the Commission's investigation, the Commission has decided to withdraw the prohibition and requirement imposed by the Restriction Notice against the Account held with the Specified Corporation pursuant to section 208 of the SFO.

Dated this 3rd day of December 2024

For and on behalf of the Commission

Julia Leung
Chief Executive Officer