

G.N. 5638

SECURITIES AND FUTURES ORDINANCE (Chapter 571)

Pursuant to section 399 of the Securities and Futures Ordinance, the Securities and Futures Commission publishes the following amendments to the Fund Manager Code of Conduct.

The amendments shall become effective on 2 October 2024.

1. Substitute paragraph 4.2.2(c) of the Fund Manager Code of Conduct:–

“(c) a banking institution or trust company outside Hong Kong that is subject to prudential supervision;”

2. Add the following paragraph after paragraph 4.2.2(c) of the Fund Manager Code of Conduct:–

“(cc) a depository licensed or registered to carry on Type 13 regulated activity under Part V of the SFO; or”

23 September 2024

Eric YIP  
*Executive Director, Intermediaries  
Securities and Futures Commission*