

**NOTICE UNDER SECTION 208(1) OF THE
SECURITIES AND FUTURES ORDINANCE (CAP. 571)
(SFO)**

WHEREAS

1. A restriction notice (**Restriction Notice**) was issued pursuant to sections 204 and 205 of the SFO on 1 March 2018 to Interactive Brokers Hong Kong Limited (**Specified Corporation**) in relation to the following client account (**Account**):

Account no.
U9363995

2. For the reasons set out in the Statement of Reasons of even date, the Securities and Futures Commission (**Commission**) considers it appropriate to exercise the powers conferred by section 208 of the Ordinance to withdraw the Restriction Notice in respect of the Account.

THE COMMISSION HEREBY GIVES NOTICE THAT:-

3. Pursuant to section 208 of the SFO, the Commission withdraws the prohibition and requirement imposed by the Restriction Notice on the Specified Corporation in respect of the Account.

This Notice takes effect at the time of service upon the Specified Corporation.

Dated this 11th day of November 2024.

For and on behalf of
Securities and Futures Commission

Julia Leung
Chief Executive Officer

STATEMENT OF REASONS
PURSUANT TO SECTION 209(2) OF THE SECURITIES AND FUTURES
ORDINANCE (CAP.571) (SFO)

1. Interactive Brokers Hong Kong Limited (the **Specified Corporation**) is a corporation licensed under the SFO to carry on Type 1, 2 and 3 regulated activities.
2. The Securities and Futures Commission (**Commission**) issued a restriction notice (**Restriction Notice**) to the Specified Corporation on 1 March 2018 in respect of the following client account (**Account**):

Account no.
U9363995

3. The Commission suspected that the Account was involved in insider dealing in the ex-listed securities of Bloomage BioTechnology Corporation Limited (**Bloomage**, ex-Stock Code: 963).
4. The Restriction Notice prohibits the Specified Corporation from disposing of or dealing with, or assisting, counselling or procuring another person to dispose of or deal with any assets in any way in the Account up to the value of HK\$6,538,624.
5. In March 2023, the Commission referred the matter of suspected insider dealing in Bloomage shares to the Market Misconduct Tribunal (**MMT**) for an inquiry. The MMT has found that insider dealing in Bloomage shares had occurred involving the Account, and has imposed orders against the wrongdoer, including an order for disgorgement of the profits made from the insider dealing and costs orders. The wrongdoer has complied with and fully settled all the monetary orders made by the MMT.
6. For the above reason, the Commission considers it appropriate to withdraw the prohibition and requirement imposed by the Restriction Notice on the Specified Corporation in respect of the Account pursuant to section 208 of the SFO.

Dated this 11th day of November 2024.

For and on behalf of
Securities and Futures Commission

Julia Leung
Chief Executive Officer