

**Notice under Section 208(1) of the  
Securities and Futures Ordinance  
Cap. 571 (the "SFO")**

**WHEREAS**

1. A restriction notice ("**Restriction Notice**") was issued pursuant to sections 204 and 205 of the Ordinance on 18 February 2021 and amended on 16 March 2021 in respect of Kingkey Securities Group Limited ("**Specified Corporation**") in relation to the following client account ("**Account**"):

<b>Account no.</b>	<b>Restriction Amount</b>
M00599	HK\$8,679,580

2. The Securities and Futures Commission ("**the Commission**"), for the reasons set out in the Statement of Reasons of even date, considers it appropriate to exercise the powers conferred by section 208 of the SFO to withdraw the Restriction Notice issued in respect of the Account.

**THE COMMISSION GIVES NOTICE THAT:**

3. Pursuant to section 208 of the SFO, the Commission withdraws the prohibition and requirement imposed by the Restriction Notice on the Specified Corporation in respect of the Account.

This Notice takes effect at the time of service upon the Specified Corporation.

Dated this 27<sup>th</sup> day of May 2024

For and on behalf of the Commission

Julia Leung  
Chief Executive Officer

**Statement of Reasons**  
**Under section 209(2) of the Securities and Futures Ordinance**  
**(Cap. 571) (the “SFO”)**

1. Kingkey Securities Group Limited (“**Specified Corporation**”) is a corporation licensed under the SFO to carry on Types 1 and 4 regulated activities.
2. The Securities and Futures Commission (“**Commission**”) issued a restriction notice (“**Restriction Notice**”) to the Specified Corporation on 18 February 2021 and amended on 16 March 2021 in respect of the following account (“**Account**”) of a client (“**Client**”) held with the Specified Corporation.

<b>Account no.</b>	<b>Restriction Amount</b>
M00599	HK\$8,679,580

3. The Restriction Notice prohibits the Specified Corporation from disposing of or dealing with, or assisting, counselling or procuring another person to dispose of or deal with, any assets in any way in the Account, up to the amount being restricted, including:
  - (a) entering into transactions in respect of any securities;
  - (b) processing any withdrawals or transfers of securities and/or cash on the instructions of any authorized person of the Account or by any person acting on their behalf;
  - (c) disposing of or dealing with any securities and/or cash on the instructions of any authorized person of the Account or by any person acting on their behalf; and/or
  - (d) assisting another person to dispose of any relevant property or deal with any relevant property in a specified manner.
4. The Commission has conducted periodic review of the prohibitions imposed by the Restriction Notice issued against the Account of the Client. Based on the evidence obtained to date during the Commission’s investigation, the Commission decided to withdraw the prohibition and requirement imposed by the Restriction Notice against the Account held with the Specified Corporation.
5. For the above reason, the Commission considers it appropriate to withdraw the Restriction Notice imposed on the Specified Corporation in respect of the Account pursuant to section 208 of the Ordinance.

Dated this 27<sup>th</sup> day of May 2024

For and on behalf of the Commission

Julia Leung  
Chief Executive Officer