

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2024**

| CE Name   | CE No. | License Type            | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|---|--------|-------------------------|-----------------------------------|-------------------------------------|
| Ample Capital Limited                                     | AFZ323 | Licensed Corporation    |                                   | 1                                   |
| Bendura Wealth Management (Hong Kong) Limited             | AAJ294 | Licensed Corporation    |                                   | 6                                   |
| CANTON MUTUAL FINANCIAL LIMITED                           | BSU667 | Licensed Corporation    | 1                                 |                                     |
| CVG Capital Co, Limited                                   | BRZ292 | Licensed Corporation    | 1                                 |                                     |
| Foresee Global Asset Management (HK) Limited              | BHC747 | Licensed Corporation    |                                   | 4                                   |
| KINGKEY ASSET MANAGEMENT LIMITED                          | BKK610 | Licensed Corporation    |                                   | 1, 4                                |
| Patrons Capital Limited                                   | BSM550 | Licensed Corporation    | 1                                 |                                     |
| PingPong Intelligence Limited                             | BOW876 | Licensed Corporation    | 1, 4, 9                           |                                     |
| Pleiad Investment Advisors Limited                        | BDH770 | Licensed Corporation    | 1, 4                              |                                     |
| PrincipleOne Securities Limited                           | BTM530 | Licensed Corporation    | 4                                 |                                     |
| uSmart Securities Limited                                 | BJA907 | Licensed Corporation    | 6                                 |                                     |
| Victoria Harbour International Financial Holdings Limited | BRQ593 | Licensed Corporation    |                                   | 1                                   |
| ZQ Capital Hong Kong Limited                              | BMN683 | Licensed Corporation    | 9                                 |                                     |
| BHANNOT Rohan   | BQQ390 | Licensed Representative | 9                                 | 1, 4, 7                             |
| BU Fan  | BSQ534 | Licensed Representative | 1                                 |                                     |
| CHAN Chun Him   | BBE954 | Licensed Representative |                                   | 2, 5                                |
| CHAN Chun, Jerry  | BKT791 | Licensed Representative | 6                                 |                                     |
| CHAN Man Li   | AKJ254 | Licensed Representative |                                   | 5                                   |
| CHAN Tin Chung  | BUS939 | Licensed Representative |                                   | 9                                   |
| CHAN Ying Sin   | BGE633 | Licensed Representative | 4, 9                              | 1                                   |
| CHAU Lai Ping   | AHG509 | Licensed Representative |                                   | 2                                   |
| CHEN Bingjian   | BVH738 | Licensed Representative | 1                                 |                                     |
| CHEN Ching Wen  | BRE488 | Licensed Representative | 2                                 |                                     |
| CHEN Hsi-An   | ALB958 | Licensed Representative | 4                                 |                                     |
| CHEN Jixun  | BUJ029 | Licensed Representative | 4                                 |                                     |
| CHEN Xiaohua  | BAM436 | Licensed Representative |                                   | 4, 9                                |
| CHEN Yujie  | BDP287 | Licensed Representative | 2                                 |                                     |
| CHEN Zhijie   | BTK991 | Licensed Representative |                                   | 4                                   |
| CHEUNG Sze Man  | BOO286 | Licensed Representative | 1, 4                              |                                     |
| CHEUNG Chi Kwong  | BLT496 | Licensed Representative | 4, 9                              | 1                                   |
| CHEUNG Vien Wing Yan                                      | ANP353 | Licensed Representative | 1                                 |                                     |
| CHEUNG Wing Chow  | AUW530 | Licensed Representative |                                   | 1                                   |
| CHEUNG Wing Kwan, Louis                                   | AAH791 | Licensed Representative |                                   | 4, 9                                |
| CHIO Ka Fai   | BKJ536 | Licensed Representative | 4                                 |                                     |
| CHONG Chak Man  | AFT770 | Licensed Representative |                                   | 1, 4                                |
| CHONG Chi Kit   | BRX048 | Licensed Representative | 4                                 |                                     |
| CHOW Ching Man  | BSZ258 | Licensed Representative | 9                                 |                                     |
| CHU Ho Kan  | BGY644 | Licensed Representative | 1, 2                              | 6                                   |
| CHUNG Koon Wah, Jonathan                                  | ADH522 | Licensed Representative |                                   | 9                                   |
| CHUNG Man Yee   | BVK273 | Licensed Representative | 9                                 |                                     |
| CUI Tong  | BDJ567 | Licensed Representative | 1                                 |                                     |
| CURRAN Ross Niall   | AUC499 | Licensed Representative | 1                                 | 4                                   |
| DENG Jiaxin   | BUX818 | Licensed Representative | 1                                 |                                     |
| FAN Lok Chun  | BSR901 | Licensed Representative | 2                                 |                                     |
| FANG Jiawen   | BUU191 | Licensed Representative |                                   | 9                                   |
| FENG Dafu   | BFN926 | Licensed Representative |                                   | 1                                   |
| FU Sijia  | BRR517 | Licensed Representative | 1                                 | 9                                   |
| GUO Xiuyuan   | BTP300 | Licensed Representative | 1                                 |                                     |
| HAO Yi  | BPF923 | Licensed Representative | 4, 9                              |                                     |
| HE Chu Yu   | BOZ736 | Licensed Representative |                                   | 1                                   |
| HE Wenhao   | BUF650 | Licensed Representative |                                   | 9                                   |
| HO Ka Wing  | BLO676 | Licensed Representative | 2                                 |                                     |
| HONG Ming Him, Matthew                                    | AXZ098 | Licensed Representative |                                   | 4                                   |
| HU Yang   | BVB022 | Licensed Representative | 9                                 | 1, 6                                |
| HUANG Haizhou   | AMU474 | Licensed Representative |                                   | 2, 4, 5                             |
| HUANG Jen-te  | ACE953 | Licensed Representative |                                   | 1                                   |
| HUI Ka Yeung, Matthew                                     | BGN150 | Licensed Representative |                                   | 2, 3, 4, 5                          |
| IP Angel Kwok Yen   | AQJ327 | Licensed Representative | 1                                 |                                     |
| IP Chin Chung   | BAK884 | Licensed Representative |                                   | 2                                   |

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| CE Name                  | CE No. | Licence Type            | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|--------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| JIANG Jia                | BUM052 | Licensed Representative | 9                                 |                                     |
| JIANG Qianhua            | BUN984 | Licensed Representative |                                   | 4                                   |
| JIANG Shan               | BSZ764 | Licensed Representative | 2, 5                              |                                     |
| JIN Jiaqi                | BNN353 | Licensed Representative | 7                                 | 2                                   |
| JIN LING                 | BUD875 | Licensed Representative |                                   | 1                                   |
| JUNG Jaekyung            | BKI059 | Licensed Representative | 4, 9                              | 1, 6                                |
| KAM Shuk Yi, Ada         | ALN863 | Licensed Representative | 4                                 |                                     |
| KIM Jihun                | BSW703 | Licensed Representative | 2                                 |                                     |
| KIM Roy Yong             | BOI855 | Licensed Representative | 4                                 |                                     |
| KO Tai Fun               | ABD420 | Licensed Representative | 2                                 |                                     |
| KUNG Yu Hang             | AME200 | Licensed Representative | 9                                 |                                     |
| KWOK Hei Yu              | BLH236 | Licensed Representative |                                   | 2                                   |
| KWOK Man Chun, Felix     | AWS974 | Licensed Representative |                                   | 1                                   |
| KWONG Shung Chi, Jeffrey | BEE420 | Licensed Representative |                                   | 4                                   |
| LAM Chi Wai              | AKX862 | Licensed Representative | 9                                 | 1, 2, 5, 7                          |
| LAM Ka Kuen              | BOH655 | Licensed Representative | 4                                 |                                     |
| LAM Ka Wai               | BDM257 | Licensed Representative |                                   | 2, 5                                |
| LAM Pok Lai, Paul        | AXI106 | Licensed Representative | 4                                 |                                     |
| LAU Ka Chun              | AZG905 | Licensed Representative |                                   | 4                                   |
| LAU Ka Yi                | BQZ789 | Licensed Representative | 2                                 |                                     |
| LAUGHLIN Morgan Aird     | AKT392 | Licensed Representative | 1                                 |                                     |
| LEE Chi Ming             | ADA367 | Licensed Representative | 4                                 | 1, 2                                |
| LEE Hon Ying             | BUF984 | Licensed Representative |                                   | 9                                   |
| LEE Kai Yee              | BAD882 | Licensed Representative |                                   | 4                                   |
| LEE Wee Mun Kenneth      | BGG383 | Licensed Representative |                                   | 4                                   |
| LEE Woohyun              | BPT365 | Licensed Representative |                                   | 4                                   |
| LEUNG Hiu Tung           | BSH168 | Licensed Representative | 1                                 |                                     |
| LEUNG Wai Kee            | ANF250 | Licensed Representative | 2, 4, 5                           |                                     |
| LI Hu                    | AJG540 | Licensed Representative |                                   | 6                                   |
| LI Huasong               | BSI932 | Licensed Representative |                                   | 1, 2, 5                             |
| LI Jinze                 | BRJ242 | Licensed Representative | 4, 9                              |                                     |
| LI Mengyu                | BSW196 | Licensed Representative | 6                                 |                                     |
| LI Yayuan                | AXA435 | Licensed Representative | 4                                 |                                     |
| LI Yiwei                 | BSM573 | Licensed Representative | 1                                 |                                     |
| LIAO Huanhuan            | BUS260 | Licensed Representative | 2                                 |                                     |
| LIM In Ja                | ANT250 | Licensed Representative |                                   | 6                                   |
| LIM Marco Jun Kit        | AMM425 | Licensed Representative | 1                                 |                                     |
| LIU Min                  | AWI092 | Licensed Representative |                                   | 1                                   |
| LIU Yongqiang            | BFL027 | Licensed Representative |                                   | 9                                   |
| LO Chi Ming              | ANG986 | Licensed Representative |                                   | 2, 5                                |
| LO Kin On, William       | AXV216 | Licensed Representative | 9                                 |                                     |
| LU Lijian                | BTT257 | Licensed Representative | 2, 4                              |                                     |
| LU Yi Fu                 | BNE451 | Licensed Representative |                                   | 6                                   |
| LUO Ruohang              | BQC579 | Licensed Representative |                                   | 2                                   |
| MA Ken Hoe               | BPL746 | Licensed Representative | 2, 5                              |                                     |
| MENG Jiao                | BCU745 | Licensed Representative | 4                                 |                                     |
| MOK Jovy                 | BVN651 | Licensed Representative | 4                                 |                                     |
| NAGY David Paszkal       | BTV610 | Licensed Representative | 9                                 | 1, 2                                |
| NG Faye Xiaoying         | BRW126 | Licensed Representative |                                   | 1                                   |
| NG Kwok Lam              | APM132 | Licensed Representative | 1, 4                              |                                     |
| NG Shun Kong             | BTC884 | Licensed Representative |                                   | 9                                   |
| POON Chi Lik, Eric       | AJA981 | Licensed Representative | 1                                 |                                     |
| SIU Po Cheung            | BGD956 | Licensed Representative |                                   | 2                                   |
| SO Wai Ching             | BRB314 | Licensed Representative | 4, 9                              |                                     |
| SU Shishi                | AXQ890 | Licensed Representative | 2                                 |                                     |
| SU Ying                  | BSI618 | Licensed Representative |                                   | 4                                   |
| SUN Jifan                | BUF793 | Licensed Representative | 4                                 |                                     |
| SZE Cho Wang             | BHC541 | Licensed Representative | 1                                 |                                     |
| TAM Kai Yi, Kelly        | AVE810 | Licensed Representative | 4                                 | 3                                   |

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|------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| TAM Kim Fai            | ACU401 | Licensed Representative |                                   | 2, 5                                |
| TANG Jianpeng          | BRS868 | Licensed Representative |                                   | 1, 4                                |
| TANG Kwok Ho           | BRW048 | Licensed Representative | 2                                 |                                     |
| TANG Siu Kong          | AAH087 | Licensed Representative | 4                                 |                                     |
| TSE Wing Fai           | ACJ702 | Licensed Representative |                                   | 2, 5, 9                             |
| WANG Ching Wen         | BRR278 | Licensed Representative | 2                                 | 4                                   |
| WANG Liqiong           | BUX809 | Licensed Representative | 4, 9                              | 2                                   |
| WANG Shuo              | BHP870 | Licensed Representative | 2                                 |                                     |
| WANG Wenxin            | BUX610 | Licensed Representative | 2                                 |                                     |
| WANG Yansong           | BQA757 | Licensed Representative |                                   | 1                                   |
| WANG Yuan              | BUK162 | Licensed Representative | 4                                 |                                     |
| WANG Yunhua            | ANZ770 | Licensed Representative | 4                                 |                                     |
| WANG Zhicong           | BTS747 | Licensed Representative | 1                                 |                                     |
| WATGANAI Kesra         | BGI062 | Licensed Representative | 9                                 |                                     |
| WONG Chi Ho            | BGB737 | Licensed Representative | 1, 4                              |                                     |
| WONG Chi Ling          | ACG593 | Licensed Representative |                                   | 2, 5, 7                             |
| WONG Chun Kwong        | ACP183 | Licensed Representative |                                   | 1, 2                                |
| WONG Chun Lun          | AQE929 | Licensed Representative | 2, 4, 5                           |                                     |
| WONG Chun Yeung        | BSQ866 | Licensed Representative | 4, 9                              |                                     |
| WONG Kwing Nam         | BUQ291 | Licensed Representative | 2                                 | 9                                   |
| WONG Kwok On, David    | AAD163 | Licensed Representative |                                   | 2                                   |
| WONG Pak Kwan          | AXG488 | Licensed Representative | 4, 9                              | 1                                   |
| WONG Wai Kwan          | BOZ993 | Licensed Representative |                                   | 2, 5                                |
| WOO Pik Chi            | BJR921 | Licensed Representative | 1, 4                              |                                     |
| WU Chia-an             | BPY308 | Licensed Representative |                                   | 9                                   |
| WU Tong                | BTW849 | Licensed Representative | 1, 4                              | 6                                   |
| XU Da                  | BSZ036 | Licensed Representative |                                   | 6                                   |
| XU Minggang            | BRS071 | Licensed Representative | 9                                 | 1, 2, 4                             |
| XU Na                  | BCS522 | Licensed Representative |                                   | 1, 4                                |
| YANG Yutian            | BRJ153 | Licensed Representative | 4                                 |                                     |
| YAO Ciyi               | BVE699 | Licensed Representative | 4                                 |                                     |
| YAO Lifang             | BQN229 | Licensed Representative |                                   | 4                                   |
| YAO Yutong             | BSM376 | Licensed Representative | 7                                 |                                     |
| YEUNG Ho Lun           | BPT126 | Licensed Representative |                                   | 2                                   |
| YEUNG Ka Fung          | BQC183 | Licensed Representative | 9                                 |                                     |
| YEUNG King Yip         | BEY896 | Licensed Representative | 1                                 |                                     |
| YEUNG Lap Cheung       | AIH289 | Licensed Representative |                                   | 9                                   |
| YIM Ka Kit             | BHC514 | Licensed Representative | 4                                 |                                     |
| YING Ka Wai            | BNZ056 | Licensed Representative |                                   | 9                                   |
| YOUNG Ting Kar         | AEY445 | Licensed Representative |                                   | 1, 2                                |
| YU Lawrence Lang-cheng | BCV082 | Licensed Representative |                                   | 9                                   |
| YU Ming Yu             | BQG819 | Licensed Representative |                                   | 3                                   |
| YU Tai Wai             | BCC292 | Licensed Representative |                                   | 1                                   |
| YUAN Xi                | BOJ575 | Licensed Representative | 4, 5, 9                           |                                     |
| YUE Yi                 | BHK601 | Licensed Representative | 1                                 |                                     |
| YUEN Pui Kwong, George | ACG743 | Licensed Representative | 9                                 |                                     |
| ZENG Xianlin           | BUU864 | Licensed Representative | 4                                 |                                     |
| ZHAN Jianzhou          | BEC885 | Licensed Representative | 1                                 |                                     |
| ZHANG Di               | BRM462 | Licensed Representative |                                   | 1                                   |
| ZHANG Dianquan         | BSA296 | Licensed Representative | 1, 4                              |                                     |
| ZHANG Geng Fan         | BAP181 | Licensed Representative |                                   | 4                                   |
| ZHANG Guilin           | AWF886 | Licensed Representative | 1, 6                              | 4, 9                                |
| ZHANG Hanyu            | BBR102 | Licensed Representative | 1, 9                              |                                     |
| ZHANG Ke               | BGA342 | Licensed Representative | 2                                 | 9                                   |
| ZHANG Lingyushan       | BSD103 | Licensed Representative | 7                                 | 4                                   |
| ZHANG Yuang            | BPY864 | Licensed Representative |                                   | 4                                   |
| ZHAO Haiyan            | BVA361 | Licensed Representative |                                   | 1                                   |
| ZHAO Tong              | BHR770 | Licensed Representative | 1                                 |                                     |
| ZHOU Sijia             | BOS500 | Licensed Representative | 4, 9                              | 6                                   |

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|-------------------------------|--------|---|-----------------------------------|-------------------------------------|
| ZHOU Yuanzheng                | BTH275 | Licensed Representative                       |                                   | 9                                   |
| AU Ka Leung                   | ABZ887 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| BISWAS Shubhomoy              | ATJ132 | Licensed Representative - Responsible Officer |                                   | 6                                   |
| CHAN Kin Ho                   | ALQ830 | Licensed Representative - Responsible Officer | 1, 4, 9                           |                                     |
| CHAN Ping Yip                 | AEF250 | Licensed Representative - Responsible Officer |                                   | 2, 5                                |
| CHAN Yiu Kwong                | AED596 | Licensed Representative - Responsible Officer |                                   | 1                                   |
| CHANG Hoi Yiu                 | AUN662 | Licensed Representative - Responsible Officer |                                   | 9                                   |
| CHEN Zhiren                   | BVD868 | Licensed Representative - Responsible Officer |                                   | 4                                   |
| CHUNG Ka Fai Franki           | ACW769 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| DA COSTA MONTEIRO Paulo Jorge | BLA832 | Licensed Representative - Responsible Officer | 7                                 |                                     |
| FORSTER Philipp               | BUF398 | Licensed Representative - Responsible Officer |                                   | 6                                   |
| GAO Han                       | BCF244 | Licensed Representative - Responsible Officer | 1, 7                              |                                     |
| GE Lei                        | BOU627 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| GIBSON Michael                | ADG323 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| GRAY Matthew Newman William   | ASO318 | Licensed Representative - Responsible Officer |                                   | 1                                   |
| HOW Sze Ming                  | AIH218 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| HUANG Haizhou                 | AMU474 | Licensed Representative - Responsible Officer | 7                                 |                                     |
| HUI Ka Yeung, Matthew         | BGN150 | Licensed Representative - Responsible Officer | 7                                 |                                     |
| HUI Lam Chiu                  | ADF453 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| IP Hon Tak                    | AQJ001 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| KWOK Wai Shun                 | AFM346 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| LAI Wai Nam                   | BNY633 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| LEE Ha Young                  | ADI252 | Licensed Representative - Responsible Officer |                                   | 9                                   |
| LEE Jae Jun                   | AWB625 | Licensed Representative - Responsible Officer |                                   | 9                                   |
| LEE Kenneth Sea Leong         | AYS141 | Licensed Representative - Responsible Officer | 1, 4                              |                                     |
| LEE Shu Yan, Andrew           | AFP239 | Licensed Representative - Responsible Officer | 1, 4                              |                                     |
| LEE Tak Lun                   | AAG127 | Licensed Representative - Responsible Officer |                                   | 2, 5                                |
| LEE Zhi Xing                  | ASU238 | Licensed Representative - Responsible Officer | 1, 4, 9                           |                                     |
| LEUNG Chi Chung               | AJE370 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| LI Hu                         | AJG540 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| LI YEMIAO                     | BUK412 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| LO Sung Kwan                  | BRR396 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| NG Faye Xiaoying              | BRW126 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| NG Michael Chiu Ho            | ADA727 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| SHEN Zheqing                  | ANQ750 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| SONG Se Han                   | BFE217 | Licensed Representative - Responsible Officer |                                   | 2                                   |
| SZE Ka Wo                     | BIV051 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| TANG Ho Wai, Howard           | ADQ936 | Licensed Representative - Responsible Officer |                                   | 1                                   |
| TANIGUCHI Masaki              | AOD538 | Licensed Representative - Responsible Officer | 1, 4                              |                                     |
| WANG Shaohua                  | BJE214 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| WONG Chun Kwong               | ACP183 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| WONG Ka Chun                  | AUU925 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| YANG Jiawei                   | BGO424 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| YOSHINO Michael Stephen       | AWX474 | Licensed Representative - Responsible Officer | 1, 4                              |                                     |
| YU Chih Wen                   | BFJ640 | Licensed Representative - Responsible Officer |                                   | 1                                   |
| WANG Wei                      | BIZ145 | Licensed Representative (Provisional Licence) | 4                                 |                                     |
| WONG Shing Chi                | AOS348 | Licensed Representative (Provisional Licence) | 2                                 |                                     |
| United Overseas Bank Limited  | AAK840 | Registered Institution                        | 6                                 |                                     |