

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2024

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Capital International, Inc.	ACI029	Licensed Corporation	4	
Lux Aeterna Capital Limited	BQP453	Licensed Corporation		1
Macquarie Markets Trading Limited	BPU280	Licensed Corporation		4
One China Securities Limited	AJH947	Licensed Corporation		2
Sauder Global Investment Management Limited	BSG303	Licensed Corporation	1	
Wonderland International Asset Management Limited	BGP105	Licensed Corporation	1, 4	
CHAN Ching Sum	BIY455	Licensed Representative	3	
CHAN Chiu Sze	BRT464	Licensed Representative	1	
CHAN Chiu Wun Leonard	BSH113	Licensed Representative	2	
CHAN Chun Kit	APJ481	Licensed Representative	3	
CHAN Chun Yue	BSI173	Licensed Representative	9	
CHAN Hee Man	BTE527	Licensed Representative		1
CHAN Man Kit	BIY774	Licensed Representative	2	
CHAN Tai Pang	AIR229	Licensed Representative	4	
CHAN Wai Kit, Winkins	AQD954	Licensed Representative		1
CHANG Chun-Fu	BHH517	Licensed Representative	2	
CHANG Hsun Fang	ANP491	Licensed Representative		1
CHANG Sanggil	BOJ103	Licensed Representative	4	6
CHAU Wai	AHT092	Licensed Representative		9
CHAU Wing Po	BDC828	Licensed Representative	4	
CHAU Yu Tai, Terry	AMO729	Licensed Representative		1
CHEN Dexin	BAZ282	Licensed Representative	2	
CHEN Lik Chit	APK224	Licensed Representative		2
CHEN Rupeng	BNZ819	Licensed Representative	9	
CHEN Zhenguang	BPP191	Licensed Representative	4	
CHEN Zhuo	BPS146	Licensed Representative		6
CHENG Lai Ching	BRD954	Licensed Representative	4	
CHEUK Kam Fai	AOW764	Licensed Representative	4	
CHEUK Wing Chi Gigi	BST086	Licensed Representative		1
CHEUNG Hung Fat	AAA157	Licensed Representative	4	
CHEUNG Tsz Kin	BJQ669	Licensed Representative	1, 4	9
CHIU Chee Keung, Benjamin	AMS034	Licensed Representative	2	
CHIU Man Kit	BER403	Licensed Representative		1
CHOO Dongbeom	BQB533	Licensed Representative		4
CHOO John Matthew	BOA759	Licensed Representative	9	2
CHOY Wing Shan	BSP648	Licensed Representative		4
CHUI Ambrose Wing Kiu	BRY548	Licensed Representative	4	
CUI Tong	BDJ567	Licensed Representative		1
DONDI Nicola	AZG935	Licensed Representative	2	
DU Wanzheng	BRP543	Licensed Representative	9	7
ELLIOT Graham	BJG894	Licensed Representative	9	
FAN Chun Wah	APV753	Licensed Representative	4, 9	
FAN King Yip Felix	AXC707	Licensed Representative		4
FUNG Man Wai	BOD280	Licensed Representative	5	
FUNG Po Wun	BOB678	Licensed Representative	13	
FUNG Yuen Yan	AUX016	Licensed Representative		1
GAO Wen	BHR562	Licensed Representative		1
GUO Shiliang	BTD464	Licensed Representative	9	6
HAN Jianan	BFS839	Licensed Representative	1, 9	
HAN Raymond Rui	BQF226	Licensed Representative	1, 4, 9	6
HE Wenqi	BUC440	Licensed Representative		6
HE Zhun	AVG355	Licensed Representative		1
HO Lap Sze, Beatrice	ADS141	Licensed Representative	9	1
HO Lok Shan	BJP426	Licensed Representative	9	
HO Winston Hon Tao	BDK999	Licensed Representative	3	
HONG Runze	BOJ612	Licensed Representative	9	1
HSU Ton Min, Michael	BDU747	Licensed Representative	9	2, 5
HU Qianlin	BRR017	Licensed Representative	1	
HU Sha	BMO300	Licensed Representative	1	4

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HUA Xueyi	BOS306	Licensed Representative	9	1, 2, 4
HUANG Chanjuan	AXY858	Licensed Representative		9
HUANG Xiaoxuan	BSY211	Licensed Representative		4
HUI Cassandra	BRK360	Licensed Representative		4, 5, 7
HUI Hu	BSH867	Licensed Representative	3	
HUNG Janet Yi Kui	ARJ827	Licensed Representative	4, 9	2
HUNG Peng-Sheng	BNU789	Licensed Representative	2	
JIA Yifei	BPM148	Licensed Representative		1, 2, 7
JIANG Yuelai	BUQ536	Licensed Representative	2	1, 4, 6
JIN Xiyang	BSZ442	Licensed Representative		1
KIM Myoung	BSX820	Licensed Representative	9	7
KUNG Yu Hang	AME200	Licensed Representative	4	
KWAN Suk On, Maria	ARO740	Licensed Representative	1	
KWOK Hei Yu	BLH236	Licensed Representative	4	
LAI Pak Seng	BSD338	Licensed Representative	4	
LAM Chun Ho	BOP792	Licensed Representative	4	
LAM Chun Hung	BBQ739	Licensed Representative		4, 9
LAM Chun Yin	BDZ119	Licensed Representative	2, 5	
LAM Sze Wing, Apple Ayumi	BKP017	Licensed Representative	4, 9	
LAM Tik Fai	BTT169	Licensed Representative		3
LAM Yuk Shing	BNQ273	Licensed Representative		1, 6
LAU Hui Long	BQM155	Licensed Representative	4	3
LAU Yik Ting	BBW340	Licensed Representative	7	
LEE Chun Yin, Wesley	ANS288	Licensed Representative	4, 9	2
LEE Ki Cheong	BHM253	Licensed Representative	1	
LEE Kin Ching	BRW280	Licensed Representative	4	
LEE Ming Tai	BFM956	Licensed Representative	10	1, 4, 6
LEE Seng Wang	BOC935	Licensed Representative	9	
LEE Shing Cheung	BPK878	Licensed Representative		6
LEE Shu Yan, Andrew	AFP239	Licensed Representative		1, 4
LEE Wai Kei Rickie	AZG522	Licensed Representative	4	
LEONG Chi Fung	AJM781	Licensed Representative	9	
LETEISSIER Arnaud Pierre Marie	APO665	Licensed Representative	9	1, 2
LEUNG Kwan Hoo	AUW512	Licensed Representative	4	
LEUNG Ping Chuen	BNG152	Licensed Representative		2
LI Ho Yin	BUL646	Licensed Representative	2	
LI Jianhao	BER124	Licensed Representative		6
LI Liangde	BPW620	Licensed Representative	9	1, 2
LI Ming	BAV336	Licensed Representative		3
LI Pan Wing	BIH304	Licensed Representative	1	
LI Wing Chee	BFS581	Licensed Representative		1
LI Xinyao	BUW275	Licensed Representative	6	
LI Yee Man	BTR827	Licensed Representative	4	
LIANG Ce	BRS191	Licensed Representative		4
LIM Jun Hong	BSV106	Licensed Representative	9	1
LIM Pak Fu	AAV508	Licensed Representative		1
LIN Fengyuan	BLJ174	Licensed Representative	4	
LIN I-Wen	AOV710	Licensed Representative	2	
LIU Beite	BRY876	Licensed Representative		1
LIU Junyi	BSU016	Licensed Representative	5	
LIU Raymond Ken	BNY203	Licensed Representative		4
LO Ka Kuen	APK408	Licensed Representative	2	
LO King Hei	BUQ995	Licensed Representative	6	
LO Kwok Loong, Sammy	AAH920	Licensed Representative		1, 2
LO Wai Kwok Belinda	AUC170	Licensed Representative	2	
LO Yiu Chuen, Johnny	AMJ912	Licensed Representative		2
LUI Kin Wai	ANM045	Licensed Representative		2
LUI Wai Man	AIU192	Licensed Representative		1
MA Kwok Chau	BOG876	Licensed Representative	2, 5	1

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
MAK Chun Pong	AQP683	Licensed Representative		2
MAK Hoi Kiu Sonia	BGT599	Licensed Representative		4
MENG Hongyu	BQD385	Licensed Representative	6	
MOK Chue Man	AZL410	Licensed Representative		9
NG Sheung Yiu	BDR313	Licensed Representative		9
NG Ting Cheong	BEQ321	Licensed Representative		2
NG Tsun Hing Anthony	AZK146	Licensed Representative	4	
NG Wang To	ADH937	Licensed Representative		1, 4
OH Sang Hoon	BRY156	Licensed Representative		1
POON Alex Chun Man	AXF905	Licensed Representative		4
POON Chun Kit	AYS662	Licensed Representative	6	9
RUAN Wenjun	ARE186	Licensed Representative		9
SACUTO Pierre	BRC076	Licensed Representative	9	1, 2
SERAFINO Mario John	BFL567	Licensed Representative	4	
SHANG Lei	BNH677	Licensed Representative		5
SHANG Yating	BSV253	Licensed Representative	6	9
SHEN Zixin	BVK099	Licensed Representative	6	
SHIM Chi Wing	AUQ930	Licensed Representative	5	
SHIU Yik Hung	AEP548	Licensed Representative	2, 5	
SIN Ka Yiu	BDP260	Licensed Representative		1, 4
SO Calvin Jik To	AQO199	Licensed Representative		2
SO Chi Hang, Christopher	ADW540	Licensed Representative	4, 7	2
SUN Zongwei	BSG492	Licensed Representative	1, 4	
SZE Yin Cheung	AJC709	Licensed Representative	1, 2, 5	
TAM Nai Hon Johnny	BLN646	Licensed Representative	1, 4	
TAN Jeffrey Wei Sing	AGN701	Licensed Representative	2	
TANG James	AJC669	Licensed Representative		1
TANG Ningyuan	BTM555	Licensed Representative	1, 2	
TEO Kim Guan	ANX459	Licensed Representative	9	5
TEOH Chin Wei	ARP541	Licensed Representative	9	
TIAN Ruiguo	BVE100	Licensed Representative		4
TO Ming Kan	BHO110	Licensed Representative	1	
TONG Wai Mei	APQ567	Licensed Representative		9
TSANG Cheuk Yu, Catherine	BBT999	Licensed Representative	4	
TSE Ho Ting	BGO659	Licensed Representative	1, 2	9
TSUI Ho Yin	BIN084	Licensed Representative	5, 9	1
TSUI Kin Ling	AVY267	Licensed Representative	2, 5	
WAN Lai Sze	BOP273	Licensed Representative	5	1, 9
WANG He An	BSX145	Licensed Representative	1, 4	9
WANG Huiquan	BNX003	Licensed Representative	1, 2	4, 5, 9
WANG Miaozhi	BFJ414	Licensed Representative		4
WANG Xiaotian	BUS873	Licensed Representative	4	6
WANG Ying	BSF479	Licensed Representative	1, 4	
WANG Zijian	BRJ762	Licensed Representative	4, 5	
WILLIAMS Christine	BOS157	Licensed Representative		4, 9
WONG Chi Hung, Philip	ATE768	Licensed Representative	3	
WONG Chi Kin	BLF303	Licensed Representative	1, 4	
WONG Cho Wai	AUG836	Licensed Representative	2, 3	
WONG Chun Lang, Johann	AWJ931	Licensed Representative	2	4, 9
WONG Ho Fu, Billy	ADZ439	Licensed Representative		1, 2
WONG Leung Him	BUD105	Licensed Representative	9	
WONG Shing Kit	BHZ124	Licensed Representative	1	
WONG Wai Kwan	BOZ993	Licensed Representative	2, 4, 5	
WONG Ying Kit	AWH541	Licensed Representative	1	
WOO Wai Tong	ADZ983	Licensed Representative		4
WROBEL Maximilian Frederick	BRY137	Licensed Representative		4
WU Hiu Fung	AOV629	Licensed Representative	1, 4	9
WU Yue	BJJ583	Licensed Representative	9	1, 4
XIA Chun	BGD821	Licensed Representative		1

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
XIAO Qihan	BUU145	Licensed Representative	6	
XU Chen	BTK332	Licensed Representative	1, 6	4
XU Haitong	BUQ414	Licensed Representative		1
XU Zhiqing	BST620	Licensed Representative	6	9
YANG Linhe	BRK988	Licensed Representative	9	
YANG Peiyu	BUE619	Licensed Representative		4
YANG Yi	BUR210	Licensed Representative	1, 2	
YANG Yuxuan	BOP151	Licensed Representative	7	4, 5
YAO Yingqi	BDM677	Licensed Representative		1, 4
YEUNG Pui Tak	AJ1792	Licensed Representative	9	2
YIP Chun Man	AWO866	Licensed Representative		1, 2, 5
YIP Kai Hong	BHK665	Licensed Representative		1
YIP Wing Sum	APD255	Licensed Representative	4	
YU Honglao	BOM317	Licensed Representative	9	
YU Jintong	BLZ710	Licensed Representative	1, 2	
YU Lien Wei	AXS905	Licensed Representative	1, 2, 4, 5	
YU Shuet Ying	BEN542	Licensed Representative	4	
YU Xi	BLF174	Licensed Representative	6	
YU Xinning	BOE725	Licensed Representative		4, 6
YUK Michael Ming Tak	AQN893	Licensed Representative	1	
ZENG Chao	BUJ270	Licensed Representative	4, 9	
ZENG Fanjie	BKU662	Licensed Representative	9	
ZHANG Jia Le	BRZ945	Licensed Representative		4
ZHANG Long	BGM921	Licensed Representative	9	2
ZHANG Xuecong	BRG367	Licensed Representative	2	4, 9
ZHANG Yuxuan	BTZ861	Licensed Representative	2, 9	
ZHANG Zixuan	BUS746	Licensed Representative	1	
ZHENG Qianyi	BVG851	Licensed Representative		1, 4
ZHENG Zhiwei	BIJ651	Licensed Representative	2	4, 9
ZHOU Kai Feng	BNJ486	Licensed Representative	4, 9	
ZHOU Yuanxing	BII415	Licensed Representative	1	
ZHU Bingxue	BTB594	Licensed Representative		1, 2
ZOU Haimeng	BTR722	Licensed Representative	4	
ANGARA Aarti	AOG182	Licensed Representative - Responsible Officer	1	
BIZID Abdelhamid Ameer	APL605	Licensed Representative - Responsible Officer	6	
CHAN Che Hong, Bruce	AKQ590	Licensed Representative - Responsible Officer	1, 4	
CHAN Ramand Wai Ming	ANS053	Licensed Representative - Responsible Officer	2, 5	
CHAN Yuen Ching, Toby	AMH725	Licensed Representative - Responsible Officer	4	
CHEN lei	BSW328	Licensed Representative - Responsible Officer	4	
CHEUNG Tze Man	AJK669	Licensed Representative - Responsible Officer		1
CHIU Wai Ho	AAD871	Licensed Representative - Responsible Officer		2
CHIU Yau Chun, Jackie	ARN620	Licensed Representative - Responsible Officer	5	
CHUNG Wai Ting	BOB604	Licensed Representative - Responsible Officer	4	
DING Yuchao	AQS596	Licensed Representative - Responsible Officer		1
GAO Jue	BSG748	Licensed Representative - Responsible Officer	1	
HO Wing Hong	BOS863	Licensed Representative - Responsible Officer	1	
HUANG Yao	AXX667	Licensed Representative - Responsible Officer	1, 4	
HUI Sze Nga	BJT982	Licensed Representative - Responsible Officer	2, 5	
KIM Sung Gi	BBN655	Licensed Representative - Responsible Officer	4	
KWOK Kai Wai	ACL567	Licensed Representative - Responsible Officer		1
KWOK Wang Hei	BGN049	Licensed Representative - Responsible Officer		6
LAM Chun, Wilson	AFP892	Licensed Representative - Responsible Officer	1	
LEE Christopher Fai Man	ACW239	Licensed Representative - Responsible Officer	4	
LEE Mei Yi	AGH969	Licensed Representative - Responsible Officer	13	
LEE Tak Luen	BAU136	Licensed Representative - Responsible Officer	13	
LEUNG Hoi Yuen, Arthur	AFD257	Licensed Representative - Responsible Officer	9	
LIN Chii-Horng	AXM969	Licensed Representative - Responsible Officer	1	
LO Kwok Loong, Sammy	AAH920	Licensed Representative - Responsible Officer	4, 9	
LO Tang To	AZQ963	Licensed Representative - Responsible Officer		1, 4

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LU Feng	BOT887	Licensed Representative - Responsible Officer	1, 4	
LUI Wai Man	AIU192	Licensed Representative - Responsible Officer	9	
LUO Hua	AWJ906	Licensed Representative - Responsible Officer		1, 4
NG Hong Shui	BTW445	Licensed Representative - Responsible Officer	9	
OKAMOTO Keiji	BIM685	Licensed Representative - Responsible Officer		2
PANG Kai Ming	ADA181	Licensed Representative - Responsible Officer		2
PIGOTT Christopher Charles	BIH451	Licensed Representative - Responsible Officer	13	
PU Yuechuan	BPT472	Licensed Representative - Responsible Officer	13	
RUAN Wenjun	ARE186	Licensed Representative - Responsible Officer	1	
SHENG jie	BPV046	Licensed Representative - Responsible Officer	4	
TAN Kim Kuan Francis	AOO513	Licensed Representative - Responsible Officer		1
TIAN Yuanhui	BPW492	Licensed Representative - Responsible Officer	4	
TSE Chi Kin, Daniel	ARP474	Licensed Representative - Responsible Officer		9
TSE Raymond	ABF543	Licensed Representative - Responsible Officer	1	
WANG Jing	BRR040	Licensed Representative - Responsible Officer	4	
WANG Tianyu	BDD888	Licensed Representative - Responsible Officer		1
WATSON Steven Thomas	AFA783	Licensed Representative - Responsible Officer	4	
WILLIAMS Christine	BOS157	Licensed Representative - Responsible Officer	1	
YAU Tak Lung, Simon	AQS425	Licensed Representative - Responsible Officer	1, 2, 5	
YIP Chi Ming	BIX790	Licensed Representative - Responsible Officer	2	
ZHAO Hai Hua	AYG618	Licensed Representative - Responsible Officer	13	
ZHOU Gengyun	BPL714	Licensed Representative - Responsible Officer	1	
ZHOU Haigong	ALF311	Licensed Representative - Responsible Officer	1	
XU Lingling	BVJ944	Licensed Representative (Provisional Licence)	1	
YANG Shuyi	BIL572	Licensed Representative (Provisional Licence)	2	
BNP Paribas	AAF564	Registered Institution	13	
Mox Bank Limited	BNO808	Registered Institution	4	
State Street Bank and Trust Company	AAV561	Registered Institution	13	