

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2024

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CC Kingdom Corporate Finance Limited	BPF359	Licensed Corporation	1	
Gong Ping Securities Limited	AVW221	Licensed Corporation	4	
HongKong Yangtze River Asset Management Company Limited	BLN892	Licensed Corporation	4	
JQ Investments (HK) Limited	BQC933	Licensed Corporation	1	
Kai Yin Securities Limited	BHC102	Licensed Corporation	9	
Panda Securities Company Limited	BNC380	Licensed Corporation	2, 9	
Sino Capital Securities Limited	ABM401	Licensed Corporation	4, 9	
Visionary Group Capital Management Limited	BIN929	Licensed Corporation		1
BENMASSAOUD Mehdi	BCH410	Licensed Representative		5
CHAI Ruiting	BQB555	Licensed Representative	9	
CHAN Che Yan	ATO706	Licensed Representative		9
Chan Cho Man	BPM940	Licensed Representative	4	
CHAN Chun Kin	AZC678	Licensed Representative	2	
CHAN Chun Wai	BPF164	Licensed Representative	2	
CHAN Sze Hon	BSV193	Licensed Representative	2	
CHAN Yau Kit, Eric	AAD840	Licensed Representative	1	
CHAN Yuk Keung	ADC945	Licensed Representative		9
CHANG Kin Long	BOB281	Licensed Representative		9
CHANG Tun Fu	BHR628	Licensed Representative		1, 2, 4, 5
CHEN Hao	BRH953	Licensed Representative		1
CHEN JUN BING	BTZ126	Licensed Representative		1
CHEN Ronghua	BBD166	Licensed Representative		1
CHEN Shuo Steve	BDJ257	Licensed Representative		4
CHEN Ting	BNI312	Licensed Representative		4
CHEN Xinxin	APF451	Licensed Representative		1
CHEN Yixi	BTW341	Licensed Representative	9	
CHEN Zian	BTB840	Licensed Representative	4	
CHENG Chun Ching	AVH393	Licensed Representative	5	1
CHENG Yi Yan	BQD378	Licensed Representative	1, 5	
CHEUNG Chui Yi	BST352	Licensed Representative	1	
CHEUNG Hiu Ning	BOP322	Licensed Representative		1
CHEUNG Wing Wang	BSM211	Licensed Representative	9	1
CHIU Chun Pong	BBT546	Licensed Representative		3
CHOI Wai Kit	BCI434	Licensed Representative	1	
CHONG Sau Wai	AYG467	Licensed Representative	4	
CHOW Wing Cheong Wilson	BHG392	Licensed Representative	4	
CHU Ka Siu	BBK031	Licensed Representative		1, 4
CHU Ping Cheung	BKO648	Licensed Representative	4	
CHUK Chi Wing	AAI131	Licensed Representative	4	
CHUNG Fu Shing	BRK292	Licensed Representative	1	
DENG Cheng	BTG300	Licensed Representative	4	
DESCOURTIEUX Benoit Jacques B. M.	ADG052	Licensed Representative	4	
DONG Yu	BLX951	Licensed Representative	4, 9	
FANG Chih To	BPK735	Licensed Representative		1
FONG Siu Yat	AFA176	Licensed Representative		1, 2, 5
FU Wan Hin	ASG129	Licensed Representative		1
FUNG Wai Chi	AOV371	Licensed Representative	4	
GIBSON Michael	ADG323	Licensed Representative	1	9
GO Sing Fai	ACH859	Licensed Representative		1
GOH Kian Liem, Joel	ADI940	Licensed Representative	1	
GRATON Adrien Jean	BAK767	Licensed Representative	9	1, 2
HAN Bosong	BUD042	Licensed Representative	4, 5, 9	
HO Gerran Chun Yin	APR258	Licensed Representative	9	1
HO Ka Kuen	BNY636	Licensed Representative	1, 2	4, 5, 9
HO Ming Lap	APW012	Licensed Representative	4	
HO Shing Sat	BPU221	Licensed Representative	4, 6	
HO Wai Fat	AQE681	Licensed Representative	1	
HO Wai Man, Raymond	AGG546	Licensed Representative	2	
HO Yeuk Lam	BRS016	Licensed Representative	2	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HSU Kuang-yao	BCI351	Licensed Representative	1	9
HU Jerry	BQL334	Licensed Representative	2	
HU Jia	BDA720	Licensed Representative	1, 2	9
HUANG Jianjun	BUQ204	Licensed Representative	4, 9	6
HUANG Ping Hung	BNY554	Licensed Representative	5	
HUANG Xiaoxuan	BSY211	Licensed Representative	1, 6	
HUI On Kei	BQF970	Licensed Representative	9	1
HUNG Hing Man	ABM858	Licensed Representative		1, 2
IP Ho Ting	BNW289	Licensed Representative		9
JAKEWAY Craig	BJQ449	Licensed Representative		4, 5
JIA Yifei	BPM148	Licensed Representative	4	
JIANG Wenda	BTV638	Licensed Representative	1, 9	
JIN Wu	BUK195	Licensed Representative		6
JONES Edward Harry James	AVV550	Licensed Representative	9	1
KAN Siu Lun	AGC831	Licensed Representative		6
KIM Heejung	BOS906	Licensed Representative	4	2
KO Lap Chuen	AIH610	Licensed Representative	2, 4	
KONG Ka Ki	BSA417	Licensed Representative	9	
KONG Xin Ying	BTY046	Licensed Representative	1	
KOTHARI Aditya	BHK842	Licensed Representative	1	
KUNG Man	BQS929	Licensed Representative	9	
KUO Chen-feng	ATH912	Licensed Representative	2	
KWAN Kin Shing	BIX408	Licensed Representative		4
KWAN Man Leung	ACN428	Licensed Representative	2	
KWAN Man Lung	ARD456	Licensed Representative	4	
LAI Wing Hung	BTR443	Licensed Representative	9	
LAM Chi Yan	BUF969	Licensed Representative	2, 4, 5	
LAM Chui Fei	BRW509	Licensed Representative		1
LAM Kwok Kei	APC063	Licensed Representative	4	
LAM Oi Ching	AGA422	Licensed Representative	4, 5, 9	
LAM Siu Lai	BQA677	Licensed Representative	2	
LAM Yuk Ying, Elsa	AFU002	Licensed Representative		1
LAU Wai Man	ALW732	Licensed Representative	1	5
LAU Yik Ting	BBW340	Licensed Representative		2, 7
LAW Ying Tsz	AVV920	Licensed Representative	4	
LEE Chun	AAK357	Licensed Representative		3, 5
LEE Ka Ki	AVT065	Licensed Representative	4	
LEE Kwok Chung	AGN414	Licensed Representative		1
LEE Kwok Wan	AZL662	Licensed Representative		1
LEE Ming Han	BNL589	Licensed Representative	1	
LEE Ying Kin	AUO103	Licensed Representative	6	
LEUNG Hiu Mei	BFP141	Licensed Representative	4	
LEUNG Ho Chi Edmund	BRR541	Licensed Representative	4, 9	
LEUNG Kwok Wai	BTC907	Licensed Representative	4	
LI Chenxing	BSX568	Licensed Representative	4	
LI Guohui	BTX654	Licensed Representative	2	
LI Hiu Chung	BEZ340	Licensed Representative	2	
LI Hong Kei	ALQ499	Licensed Representative		1
LI Juhui	BUM118	Licensed Representative	1	
LI Xiaoyao	BUQ234	Licensed Representative	2	
LI Xinyao	BUW275	Licensed Representative	1	
LI Zhengwen	BJY487	Licensed Representative	4, 9	
LIAO Xueyan	BRS104	Licensed Representative	9	
LIN Chih-Chien	AMB196	Licensed Representative		9
LIN Lin	AVS675	Licensed Representative		4, 6
LIU Fangzhou	BRZ410	Licensed Representative	4	
LIU Huashi	BPQ331	Licensed Representative	9	
LIU Pu	BHH899	Licensed Representative	9	
LIU Raymond Ken	BNY203	Licensed Representative	1	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Zijun	BRA319	Licensed Representative	1	
LO Mei Ki	BEH729	Licensed Representative	4	
LO Tsz Ying Joey	BRF687	Licensed Representative		5, 9
LU Zilin	BRO895	Licensed Representative	2	
LUI Edward Ho Kong	AOV379	Licensed Representative	5, 9	
LUK Quentin	BSW376	Licensed Representative		1
LUO Ao	BIZ162	Licensed Representative		9
LUO Yangduan	BNE883	Licensed Representative	2	
MA Danwei	BLD382	Licensed Representative		1
MA Xiaofu	BSF466	Licensed Representative	1	
MA Xin	BUV663	Licensed Representative		1
MAK Hiu Yan	BPY320	Licensed Representative	4	1, 6
MAK Lai Yin Joanne	BEK903	Licensed Representative	4	
MAN Key Wai	ACW740	Licensed Representative		4
MAN She Sum	BSA122	Licensed Representative	4, 5	
MOK Wesley Siu Kay	BDW676	Licensed Representative		2, 4
NG Mei Kuen, Vivian	AEK109	Licensed Representative		4, 9
NG Sze Ho	AFI898	Licensed Representative	9	4
OGASAWARA Rei	BTF554	Licensed Representative	9	4
OR Yu Yeung	BLI759	Licensed Representative		4, 9
OU Hui	BAM665	Licensed Representative		1
PADMASOLA Rahul	BSD829	Licensed Representative	4	
PAK Chi Hung	ATB861	Licensed Representative		1
POLLOCK Benjamin Pierce	BNS463	Licensed Representative	4	
POON Cheuk Nam, Miranda	AFD430	Licensed Representative		1
PUN Yat Kan	BKW033	Licensed Representative	2	
QI Xianqing	BOP956	Licensed Representative	4	6
QIAN Guangsen	BOH806	Licensed Representative		7
QIU Jianfeng	BUQ576	Licensed Representative	2	4
RAMSAY James Thomas	AVD143	Licensed Representative		5
REN Yutong	BUD474	Licensed Representative	9	1
SANCHEZ Marlon Mikhail	ADJ822	Licensed Representative		1
SHAM Che Wai	BNO062	Licensed Representative	4	6
SHEN Yiqian	ASZ667	Licensed Representative	1	
SHI Yue	BMJ774	Licensed Representative	9	6
SHIH Pai Chien	BF1763	Licensed Representative		1
SHIN Yeeun	BOU812	Licensed Representative		9
SILVER Nicholas Eugene	AOU413	Licensed Representative	1	
SPRATT Stephen Kevin	BRX579	Licensed Representative	5	1, 2
SU Ying	BSI618	Licensed Representative		5
SUNDARAM Santhosh Kumar	BNO086	Licensed Representative	9	1
SUNG Pui Wah, Karen	ANE698	Licensed Representative	4	
TAI Tak Yee, Yvonne	ADU050	Licensed Representative		4
TAM Kam Fai	BPN435	Licensed Representative	5	1
TAM Yu Kit, Benny	ANV170	Licensed Representative	4	
TANG Fu Chung	BML143	Licensed Representative	4, 9	
THE Audrey Ruth Chiung Ying	BSM548	Licensed Representative	1	
TING Chung Man	AJH459	Licensed Representative	2	
TONG Tsang Fai	BAR578	Licensed Representative	1	
TOPIWALLA Hozefa Moiz	ALI161	Licensed Representative	5	
TSANG Chun Sing	BRR768	Licensed Representative	4	
TSE Hillary Wai Yi	BOQ785	Licensed Representative	9	
TUNG Kai Ah	BGH919	Licensed Representative		4, 9
WAN Kwong Ming	BCD636	Licensed Representative	1	
WAN Yin Chung	AVK969	Licensed Representative	2	
WANG Ming-Chih	AOX152	Licensed Representative		9
WANG Shu Matthew	BRV506	Licensed Representative		5
WONG Arnold Tin Po	BSW940	Licensed Representative		4, 9
WONG Chun Wah	AUP359	Licensed Representative		4

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Hei Lim	BIX437	Licensed Representative	2	
WONG Hin Lam	ALA802	Licensed Representative		1
WONG Man Man, Catherine	AUW025	Licensed Representative	4	
WONG Pang Chak	BQN713	Licensed Representative	2, 7	4, 9
WONG Sau Man	ALY638	Licensed Representative	9	1
WONG Sung Hei	BTF668	Licensed Representative		9
WONG Sze Tung, Christopher	AEA664	Licensed Representative	6	
WONG Wai Yi	AOA561	Licensed Representative	2	
WONG Yu Lam	BRJ096	Licensed Representative	1, 9	
WONG Yu Wo	BJW187	Licensed Representative	1, 9	4
WU Chih-Jung	BQV050	Licensed Representative		5
XIAO Dongyuan, Sofia	BEV350	Licensed Representative		4
XIE Shengsi	BNR161	Licensed Representative	2	
XU Huangsheng	BQK562	Licensed Representative		4
XU Luyan	AOO806	Licensed Representative		4
XU Shaohong, Alex	ARG166	Licensed Representative	1	
YAN Ming Jiao	BUQ225	Licensed Representative	1	
YANG Bohan	AWH555	Licensed Representative	1	
YANG Jianying	AYO960	Licensed Representative		4
YAU Ka Chi	AMA951	Licensed Representative	2	
YAU Shuk Han	ACZ998	Licensed Representative		4
YAU Wai Ching	ASZ106	Licensed Representative		1
YEUNG Chun Ki	AEP502	Licensed Representative		3, 5
YEUNG Fung Yee, Flora	ACE799	Licensed Representative		9
YEUNG Man Lung	ANU416	Licensed Representative		4, 9
YIN Jufeng	BOB625	Licensed Representative	9	
YIN Shimeng	BNP393	Licensed Representative	4	
YIP Tak Chun Timothy	BUO951	Licensed Representative	9	
YU Chi Kwong	BBG507	Licensed Representative		2, 5
YU Fan	BRY610	Licensed Representative	1	
YU Ka Ho	AQO196	Licensed Representative	2	
YU Rong	BQR038	Licensed Representative		5
YU Yipeng	BQB505	Licensed Representative		1, 2
YUEN Ho Chun	BRZ645	Licensed Representative		2, 4
ZENG Jiaqi	BUF341	Licensed Representative	4, 5, 9	
ZENG Ziwei	BSF668	Licensed Representative	4	6
ZHANG Jia Le	BRZ945	Licensed Representative	1, 2, 7	
ZHANG Jing	BUY405	Licensed Representative		1
ZHANG Liang	BTZ257	Licensed Representative	1	
ZHANG Xinxiang	ARO301	Licensed Representative	4	
ZHAO Yida	BRG427	Licensed Representative	2	
ZHOU Hang	BRP267	Licensed Representative	6	
ZHOU Yuting	BNQ425	Licensed Representative		1
ZHU Jianfeng	BPX064	Licensed Representative		4, 9
ZHU Yuanyuan	AYS681	Licensed Representative		4
CAO Min	BCZ312	Licensed Representative - Responsible Officer	1	
CHAN Chi Ho, Ron	ADR493	Licensed Representative - Responsible Officer	1, 4	
CHAN Wah Man Carman	AQD276	Licensed Representative - Responsible Officer	1	
CHAN Yue Yuen	ANM307	Licensed Representative - Responsible Officer	1	
CHANG Yan Wing	ADX897	Licensed Representative - Responsible Officer	4, 9	
CHAU Heung Wing, Edmond	AAA895	Licensed Representative - Responsible Officer	4, 9	
CHEUNG Kong Wai	ANM032	Licensed Representative - Responsible Officer	1	
CHEUNG Ronney Lok-yin	APD921	Licensed Representative - Responsible Officer	1	
CHEUNG Wai On, Simon	AJK782	Licensed Representative - Responsible Officer	1	
JIAN Wenrui	BCU800	Licensed Representative - Responsible Officer	4, 9	
KAN Siu Lun	AGC831	Licensed Representative - Responsible Officer	9	
KUNG Kim Cho	AXO982	Licensed Representative - Responsible Officer	4	
LAI Matthew	BBQ037	Licensed Representative - Responsible Officer	2, 9	
LAM Man Hon, Geoffrey	ADI840	Licensed Representative - Responsible Officer		1

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LAM Yu On	ABW082	Licensed Representative - Responsible Officer	1	
LAU Yiu Wai	ACI099	Licensed Representative - Responsible Officer	1	
LEE Sau Fong, Bianca	AFB358	Licensed Representative - Responsible Officer	1	
LEUNG Chi Ming	AAF501	Licensed Representative - Responsible Officer		1
LEUNG Pak Wah	ANO983	Licensed Representative - Responsible Officer	2, 9	
LEUNG Yan Kin	ARI557	Licensed Representative - Responsible Officer	9	
LIANG Zibin	BBT510	Licensed Representative - Responsible Officer	4	
LIAO Jie	BQH159	Licensed Representative - Responsible Officer	4	
LIU Yuchen	BKK370	Licensed Representative - Responsible Officer		4
LU xinzheng	BPR644	Licensed Representative - Responsible Officer	9	
SHI Tian	AXH325	Licensed Representative - Responsible Officer	9	
SHIH Mei Ling	AMO736	Licensed Representative - Responsible Officer	4, 9	
SHIN Tetsuro	BRQ645	Licensed Representative - Responsible Officer	1	
SHUM Ka Lun	AQS188	Licensed Representative - Responsible Officer	1	
SO Kuen Kei	ANR645	Licensed Representative - Responsible Officer	4	
SUN Charles Kar Jon	BG848	Licensed Representative - Responsible Officer	4, 5	
TAM King Ho, Howard	AFN346	Licensed Representative - Responsible Officer	1	
TANG Thomas Yaw Fay	ARH348	Licensed Representative - Responsible Officer	9	
TSO Siu Lun, Alan	AOG305	Licensed Representative - Responsible Officer		1
WANG Jiyu	ASH808	Licensed Representative - Responsible Officer	2, 9	
WANG Junqi	BHJ011	Licensed Representative - Responsible Officer	5	
WANG Shuai	BNB844	Licensed Representative - Responsible Officer	1	
WONG Siu Man	APR830	Licensed Representative - Responsible Officer	4, 9	
WU Lishan	BEX966	Licensed Representative - Responsible Officer	4	
XU Hao	AVA631	Licensed Representative - Responsible Officer	1	
YANG Jie	BRZ672	Licensed Representative - Responsible Officer	4	
YAU Chi Wai	AUA441	Licensed Representative - Responsible Officer	1, 4, 9	
YIP Ho Pak	BFU720	Licensed Representative - Responsible Officer	4	
YUAN Zijia	AYN418	Licensed Representative - Responsible Officer	1, 4	
ZHANG Junyong	ALL467	Licensed Representative - Responsible Officer	4	
HU Qizhen	BUX091	Licensed Representative (Provisional Licence)		1, 9
LAU Kam Lin	BVC493	Licensed Representative (Provisional Licence)	9	