

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
Excel Precise Securities Limited	BJX394	Licensed Corporation	1
Green Transition Partners Limited	BRI311	Licensed Corporation	9
HK Keystone Capital Limited	BMV301	Licensed Corporation	4, 9
Jupiter Asset Management (Asia Pacific) Limited	AHS938	Licensed Corporation	1
New Universe Securities (HK) Limited	ABY892	Licensed Corporation	1
Pantheon Ventures (HK) LLP	AVD736	Licensed Corporation	1, 4
Velotrade Management Limited	BJL007	Licensed Corporation	1
YG International Partners Limited	BLH459	Licensed Corporation	1
AGARWAL Anuja	AKO184	Licensed Representative	9
AGGARWAL Srishti Pravin	BLL297	Licensed Representative	1
Al Yandi	BQL335	Licensed Representative	1, 4, 6
AU Wai Yu	AXG603	Licensed Representative	4
AU Wing Sze, Stella	AJL638	Licensed Representative	1
BAI Haojiang	BUC819	Licensed Representative	1, 6
BEH Seog Won	BJZ485	Licensed Representative	1, 4, 9
BI Jia	BIZ157	<u>'</u>	
BIAN Shihui	BNS181	Licensed Representative Licensed Representative	1, 2
		<u>'</u>	
BOBBARADA Sree Sudha	BPD893	Licensed Representative	1, 2
CAI Boda	BSB874	Licensed Representative	1
CAI Yixuan	BRJ209	Licensed Representative	1, 4, 6
CAMPBELL Harriet Elisabeth	BUA008	Licensed Representative	1, 6
CHAN Chun Lok	ATL497	Licensed Representative	1
CHAN Chung Wai	BPJ375	Licensed Representative	1
CHAN Keal Hung	AAC678	Licensed Representative	1
CHAN Lai Tsung	BTZ843	Licensed Representative	1
CHAN Lok Sang	BPW692	Licensed Representative	1, 2
CHAN Mireille	BAI850	Licensed Representative	1, 4
CHAN Ngai Yeung	BQC525	Licensed Representative	4, 9
CHAN Pong Chi	BMY367	Licensed Representative	1, 4, 9
CHAN Siu Ying, Angel	BFH221	Licensed Representative	4, 9
CHAN Ting Him	BIE645	Licensed Representative	1, 2
CHAN Tze Ying, Charlotte	AVE184	Licensed Representative	1
CHAN Wilson Wai Kwok	BUB980	Licensed Representative	1
CHAN Wing Ki, Nicole	BCN236	Licensed Representative	1, 4, 9
CHANG Yuan	BRF107	Licensed Representative	1, 6
CHEN Baojun	BHN824	Licensed Representative	2
CHEN Boyang	BHC785	Licensed Representative	1, 4, 6
CHEN Hao	BMV858	Licensed Representative	1, 4
CHEN Hui	BOX142	Licensed Representative	4
CHEN Kan	BRZ977	Licensed Representative	1, 4, 6
CHEN Keyao	BTO169	Licensed Representative	1, 6
CHEN Lijian	BTF311	Licensed Representative	1, 2, 4, 5, 9
CHEN Qiujun	BOC396	Licensed Representative	1, 4
CHEN Tse-Wei	BOT134	Licensed Representative	1, 4
CHEN Wen-Liang	BHE181	Licensed Representative	9
CHEN Yidan	BLG353	Licensed Representative	1, 4
CHEN Zhu	BRS805	Licensed Representative	1, 2, 4
CHENG Hon Wing, Cyrus	ADL601	Licensed Representative	1
CHENG Qiran	BRY574	Licensed Representative	1, 4



CE Name	CE No.	Licence Type	Types of Regulated Acitivity
CHENG Wai Yam	BEB583	Licensed Representative	1, 4, 6
CHEUNG Chi Hin, Cyrus	BHV686	Licensed Representative	4, 5, 9
CHEUNG Ka Kwan	BIQ404	Licensed Representative	1
CHEUNG Kai Ming	BGB954	Licensed Representative	1, 4, 6
CHEUNG Kin Man	AFH495	Licensed Representative	1, 4
CHEUNG Lit Wan, Kenneth	ACO074	Licensed Representative	1, 4
CHEUNG Wing Yi	BTK789	Licensed Representative	4, 9
CHIANG Kwan Hou	BTD477	Licensed Representative	1, 4, 9
CHO Sungwoo	BSU591	Licensed Representative	1, 6
CHONG Ching Ping	BFS368	Licensed Representative	1
CHONG Sai Kit	AET877	Licensed Representative	1
CHOU Fang-Hsi	BQX102	Licensed Representative	1, 2
CHOW Ka Ki	BLY472	Licensed Representative	1
CHOW Sik Hong	BJQ189	Licensed Representative	1, 2, 9
CHU Ho Yuen, Matthias	BPM075	Licensed Representative	9
CHUNG Hiu Ying	BUC468	Licensed Representative	1
CHUNG Wai Chung	BQA841	Licensed Representative	1
CHUNG Yin Fong, Idy	AWA163	Licensed Representative	4, 9
CUI Amanda Chuxuan	BSW209	Licensed Representative	1, 6
DAI Wei	BQM430	Licensed Representative	1, 4, 6
DAI Yihang	BLH885	Licensed Representative	1, 2, 9
DENG Huang	BOH543	Licensed Representative	1
DENG Jiahua	BSP501	Licensed Representative	9
DENG Wenxuan	BUA443	Licensed Representative	1, 2
DONG Sixie	BOJ947	Licensed Representative	1, 6
DUONG Jean Marc	AXZ775	Licensed Representative	1, 4, 9
DWIVEDI Pranshu	BET343	Licensed Representative	1
FONG Kwan Ming, Winson	ABX883	Licensed Representative	4, 5, 9
FORTIN Louis Henri Francois Charles	AQI974	Licensed Representative	1, 2, 7
FU Bo	BMW887	Licensed Representative	6
FU Yinan	BSI405	Licensed Representative	1, 2, 4
FUJIKURA Takashi	BFI204	Licensed Representative	1, 2, 4
FUKUSHIMA Hiroki	BSL944	Licensed Representative	1, 4, 9
FUNG Man Heng	BDN973	Licensed Representative	1
GAO Ge	BNX136	Licensed Representative	1, 4, 6
GAO Yang	BTC742	Licensed Representative	1
GAY Benny	BJP468	Licensed Representative	1, 4
GE Chang	AZN587	Licensed Representative	9
GONG Xiaoqi	BSQ968	Licensed Representative	1, 6
GUAN Bin	AGL097	Licensed Representative	1, 4, 6
GUO Mingzhu	ARD241	Licensed Representative	1, 4
GUO Ting	BRV805	Licensed Representative	9
HAN Zhixu	BMQ162	Licensed Representative	1, 4, 9
HANEY Charlotte Anne	BNH999	Licensed Representative	1, 4
HE Fang	BRJ899	Licensed Representative	1, 4, 6
HE Liu	BNL217	Licensed Representative	1, 6
HE Sida	BNN764	Licensed Representative	6
HE Wensen	BTW427	Licensed Representative	1, 4, 9
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REMOVALS OF LICENSED PERSONS/REC		T	I
CE Name	CE No.	Licence Type	Types of Regulated Acitivity
HE Ziyu	BNF440	Licensed Representative	1, 4
HO Chung	BCR059	Licensed Representative	1
HO Kwok Wai	ACZ323	Licensed Representative	1
HO Suet Lee	AQP757	Licensed Representative	1, 6
HO Wing Yan	AMK293	Licensed Representative	1
HONG Alan Ngou Kit	BRQ434	Licensed Representative	1, 6
HONG Lin	BRW942	Licensed Representative	4
HU Sen	BPW640	Licensed Representative	4, 9
HUA Jinshu	BSJ533	Licensed Representative	4
HUANG Can	BFE695	Licensed Representative	6
HUANG Chenjie	BPM346	Licensed Representative	1, 4, 9
HUANG Ganglong	BSI042	Licensed Representative	1, 4
HUANG Kun-sheng	BHV143	Licensed Representative	1, 4, 9
HUANG Xinwen	BSH938	Licensed Representative	1, 4
HUANG Yi-nung	BPK396	Licensed Representative	1, 4, 9
HUANG Yiwen	BRR409	Licensed Representative	9
HUI Yue Yat, Michael	AJA098	Licensed Representative	1, 4
HUNG Ka Keung	AEC081	Licensed Representative	1
HUNG Man Lok Danny	BMW854	Licensed Representative	1, 4
IP Man Ka	BKV989	Licensed Representative	4
IP Tze Fai, Alvin	ABE880	Licensed Representative	1, 4, 9
JAMES Richard Alan	BNR278	Licensed Representative	1, 4, 6
JAMES Robert Keith	ANR401	Licensed Representative	4, 9
JIANG Guorong	AKO861	Licensed Representative	1
JIN Wei	BUA733	Licensed Representative	4
KAN Shuk Ting	BHY024	Licensed Representative	1
KEYS Malcolm James	AVF631	Licensed Representative	1, 2
KIM Jeeseok	BID696	Licensed Representative	1, 4, 6
KIM Mee Song	BRR450	Licensed Representative	1, 6
KO Chi Pang	BUC701	Licensed Representative	1, 4
KOH Youngjun	BNJ133	Licensed Representative	1, 4
KONG Lingshu	BPJ277	Licensed Representative	1, 4, 6
KONG Shu	BSR061	Licensed Representative	1, 4
KONG Tik Yee	BOH721	Licensed Representative	1, 4
KUNG Lap Quin Kevin	BEH962	Licensed Representative	1, 4, 6
KWOK Siu Ting	BTX739	Licensed Representative	1, 4
LAI Jamian Wong	BTD619	Licensed Representative	4, 9
LAI Ka Leong	ASE664	Licensed Representative	1, 9
LAI Ki Ki	BRG052	Licensed Representative	1, 4, 9
LAI Man Hon	BDC308	Licensed Representative	1, 2
LAM Alvin Chun Hin	BGZ159	Licensed Representative	1, 2, 4, 5
LAM Chit Fai, Ashley	AMB071	Licensed Representative	1, 2
LAM Chun Yin Angus	BUF796	Licensed Representative	1, 2
LAM Hau Lun	ANG769	Licensed Representative	1
LAM Kwan Chor	BOL501	Licensed Representative	6
LAM Kwun Hei	BNU676	Licensed Representative	6
LAU Chun Yin	BSQ158	Licensed Representative	4, 9
LAU Hing Chung	AGC975	Licensed Representative	1
LAU Tat San	BPK639		4, 9
LAU Tat Sall	DEVOSA	Licensed Representative	4, 3



CE Name			Turner of Demulated Acitivity
CE Name LAU Wilson	CE No.	Licence Type	Types of Regulated Acitivity 6
	BID034	Licensed Representative	
LAU Wing Sang	BEW750	Licensed Representative	1, 6
LAU Yuen Wah	ANM843	Licensed Representative	1
Law Lai Fun	ATE979	Licensed Representative	1
LAW Wing Cheung, Ryan	AEP792	Licensed Representative	1, 4, 9
LAYDON Frederick Tennyson	AFA237	Licensed Representative	4, 6, 9
LEE Chi Wai, Alice	AUD247	Licensed Representative	1
LEE Juliano	BBK717	Licensed Representative	1, 4
LEE Ryan Chun Yin	BNP599	Licensed Representative	1
LEUNG Jun Kei	AZS374	Licensed Representative	1
LEUNG Ka Bo	BSZ872	Licensed Representative	1, 4
LEUNG Kai Chun	APK360	Licensed Representative	1
LEUNG Kam Lok, Michael	BHC917	Licensed Representative	1
LEUNG Kwok Kui	ALK112	Licensed Representative	1, 4
LEUNG Kwok Wai, Dominic	AXF372	Licensed Representative	1
LEUNG Yee Man	BPB446	Licensed Representative	1, 4
LEVI David Eric	BOZ251	Licensed Representative	2, 5
LI Bo	BRZ494	Licensed Representative	1
LI Dan	BQX076	Licensed Representative	1, 6
LI Ge	BFE381	Licensed Representative	1, 4
LI Haosheng	BTQ668	Licensed Representative	1, 6
LI Junding	BDX164	Licensed Representative	1
LI Ka Man	BRK337	Licensed Representative	4
LI Kwan Lung	BUA359	Licensed Representative	4, 9
LI Man Luk	AWZ595	Licensed Representative	1, 4, 9
LI Mingyu	BSR342	Licensed Representative	1, 6
LI Qian	BGD421	Licensed Representative	1, 4, 6
LI Tiehejin	BBS754	Licensed Representative	1
LI Xiaoran	BRZ847	Licensed Representative	1
LI Xiujun	BSG724	Licensed Representative	1, 2
LI Xiuzhu	BPX605	Licensed Representative	1, 6
LI Yajie	BTY300	Licensed Representative	1, 4
LI YIQUN	BTC725	Licensed Representative	6
LI Yuyu	BJU225	Licensed Representative	4, 9
LI Zheng	BQW983	Licensed Representative	4, 9
LI Zhiyi	BRO680	Licensed Representative	9
LI Ziyong	AYS196	Licensed Representative	6
LIANG Yaoying	BMI334	Licensed Representative	1
LIN Hao	BSH900	Licensed Representative	1, 2, 4
LIN Rujie	BSA327	Licensed Representative	1
LIN Ruqi	BTX404	Licensed Representative	1, 4, 9
LIU Fangfei	BMC910	Licensed Representative	1
LIU Jaime Zuoyu	BTN310	Licensed Representative	1
LIU Kan	BTP352	Licensed Representative	1, 4
LIU Liying	BSA138	Licensed Representative	4
LIU Sum Wah	BPI157	Licensed Representative	9
LIU Wanxin	BSU965	Licensed Representative	1, 2, 4
LIU Xiaoyi	BSJ376	Licensed Representative	1, 6
LIU Yimei	BPM832	Licensed Representative	1



CE Name	CE No.	Licence Type	Types of Regulated Acitivity
LIU Yunpeng	BRG479	Licensed Representative	1, 6
LO Wan Yin	BUD934	Licensed Representative	9
LOK Wing Sum	AMH581	Licensed Representative	1, 2
LOO Zhao Xuan	BSZ021	Licensed Representative	1, 6
LU Mengyu	BTC056	Licensed Representative	1, 4, 6
LU Shaojun	BQP716	Licensed Representative	9
LU Yapeng	AUP546	Licensed Representative	1, 4, 9
LU Ying	BOB656	Licensed Representative	4
LUK Man Chun, Freddy	ABW348	Licensed Representative	1
LUK Pan Lung	BMB210	Licensed Representative	1, 6
LUO Xiong	BLW784	Licensed Representative	1
LUO Yi	BNH867	Licensed Representative	1, 6
LYU Jintao	BSZ073	Licensed Representative	1, 4, 6
MA Hsuan Chi	BPJ420	Licensed Representative	1
MA Ka Man	BRR905	Licensed Representative	1, 6
MA Oi Wan	AWD939	Licensed Representative	1
MA Rongyue	BTO091	Licensed Representative	1, 2, 4
MA Yue	BSF529	Licensed Representative	6
MAO Shaomeng	BQM505	Licensed Representative	1, 4, 6
MATHEW Theverkattil Bose	AIU563	Licensed Representative	4, 9
MEAU Ambrose Yin Hung	ALY778	Licensed Representative	9
MEHROTRA Vipul	AWE469	Licensed Representative	1, 4, 6
MENG Ke	BQW003	Licensed Representative	9
MEPANI Pravin	ALW554	Licensed Representative	1, 2
METCALF Leif Naoki Sakamoto	BTP292	Licensed Representative	1, 2
MO Wanpin	BSI925	Licensed Representative	1
MOU Tiantian	BQL336	Licensed Representative	1, 4, 6
MOU Tongjia	BRG939	Licensed Representative	6
NAKANO Yutaka	BTR457	Licensed Representative	1
NG Cheng Kiong	BBU308	Licensed Representative	4
NG Ki Fung, Donald	BHW803	Licensed Representative	1, 4
NG Wai Yan	AVD093	Licensed Representative	1, 2
NICOLAS Quentin Jacques	BEA413	Licensed Representative	1, 2
NURYANTI Yuyun Aisyah	BMK647	Licensed Representative	1, 4, 6
OHASHI Kenzo	BNJ771	Licensed Representative	1
OR Lai Yung	APO047	Licensed Representative	6
OUYANG Dengyan	BPN030	Licensed Representative	1, 4, 9
PAN Chaoze	BSU010	Licensed Representative	1
PARK Jun Tae	BFS165	Licensed Representative	1, 4
PARK Won Hyung	BTQ749	Licensed Representative	9
POON Bosco Ho Kong	BTJ299	Licensed Representative	1, 2, 4
QI Zhongbin	BTR627	Licensed Representative	1, 6
QIN Yu	BMF424	Licensed Representative	1
QIU Jin	ACK859	Licensed Representative	9
QIU Ruofei	AHC003	Licensed Representative	1, 4, 6
QU Chenyi	BRS665	Licensed Representative	1, 6
RADZKI Michael	AUE954	Licensed Representative	1
RAO Weinan	BDQ796	Licensed Representative	1, 2
REN Lida	ANT443	Licensed Representative	1, 6



REMOVALS OF LICENSED PERSONS/R	EGISTERED IN	STITUTIONS DURING 05/2024	
CE Name	CE No.	Licence Type	Types of Regulated Acitivity
RONG Jiangbin	BTP150	Licensed Representative	1, 4
SAKAMOTO Ryoh	BMK020	Licensed Representative	1
SANG Yu	BTB768	Licensed Representative	1, 2
SCHMITZ Charline Florence	BPX545	Licensed Representative	1, 2
SHANG Zihan	BLW773	Licensed Representative	1, 2, 4, 9
SHEN Jiamin	BKQ448	Licensed Representative	4
SHEN Jing	BOZ611	Licensed Representative	1, 4
SHEN Qiang	BQW926	Licensed Representative	1
SHETH Samir	AXC315	Licensed Representative	1
SHI Jiadong	BSW733	Licensed Representative	1, 4, 6
SHI MENG	BNS125	Licensed Representative	1
SHI YANG	BUE146	Licensed Representative	1, 4
SIN Ting Ting	BPL669	Licensed Representative	1
SIN Wing Sze Raincy	BPU767	Licensed Representative	1, 4
SIT Kin Fung	BJC893	Licensed Representative	1, 2, 4
SO Chi Ming	BOI594	Licensed Representative	9
SO Kwok Kwan	BMF167	Licensed Representative	1, 4
SONG Guchuan	BQF157	Licensed Representative	6
SUKHIJA Avneesh Mahesh	BDF247	Licensed Representative	9
SUN Bihui	BRG094	Licensed Representative	1, 2
SUN Hugo Kai Jun	BIV470	Licensed Representative	1, 2
SUN Jiageng	BSW114	Licensed Representative	4
SUN Jianjun	BSU385	Licensed Representative	1, 4, 6
SUN Yijiang	BAQ096	Licensed Representative	1
TAI Sung Hei	BUK025	Licensed Representative	1, 4, 6
TAM Chi Yip, Kenny	ADH089	Licensed Representative	1, 4
TAN Hui	BRY938	Licensed Representative	1, 2
TAN Jenn Hui	AXH807	Licensed Representative	1, 9
TANG Cheuk Him	BPK872	Licensed Representative	9
TANG Wing Chi, Maggie	AQE994	Licensed Representative	9
TANG Wing Sze	AWS583	Licensed Representative	1
TIAN Tian	BOJ642	Licensed Representative	1, 4, 9
TING Chun	BQE808	Licensed Representative	1, 4
TO Kwok Wai	ANL983	Licensed Representative	1
TSAI Jun Wei Willis	BTG701	Licensed Representative	1, 4
TSAI Pei-En	BIL888	Licensed Representative	1, 4, 6
TSANG Chi Kin	BIV415	Licensed Representative	1, 2
TSE Chung Ting	BQS692	Licensed Representative	1
TSOI YIU FAI	BQC449	Licensed Representative	1
TSUI Ka Chun	BNE461	Licensed Representative	1, 2
TSUI Wai Kei	AEF381	Licensed Representative	9
TUDOR Harshika Chandrakant	BLG304	Licensed Representative	2, 5
VARO Desmond	BSE715	Licensed Representative	9
VASWANI Arun Purushotam	AMK294	Licensed Representative	4, 9
VERMA Divya Prem Kumar	BHX709	Licensed Representative	1, 4, 6
WAI Jian Rong	BRM660	Licensed Representative	1, 2
WALKER Richard	AWY708	Licensed Representative	1
WAN Man Fung	BTB462	Licensed Representative	1, 4
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CE Name	CE No.	Licence Type	Types of Regulated Acitivity
WANG Benjamin Pai-cheng	BSG943	Licensed Representative	1, 4, 9
WANG Chengjie	BMP504	Licensed Representative	1, 2
WANG Jiawei	BJK267	Licensed Representative	1, 4, 6
WANG Jing	BRT505	Licensed Representative	9
WANG Ka Kai, Gillian	AFV850	Licensed Representative	1, 2
WANG Ke	BOB557	Licensed Representative	1, 4, 6
WANG Ruizhi	BOL901	Licensed Representative	1, 4, 6
WANG Tianjiao	AZV699	Licensed Representative	1
WANG Yizhou	BRQ965	Licensed Representative	1, 4
WEE Junwei	BSR026	Licensed Representative	1, 4, 9
WEI Wei	BMH195	Licensed Representative	9
WEI Yifei	BTM512	Licensed Representative	1, 4
WEN Feng	BUC436	Licensed Representative	1, 2
WEN Zhongjie	AWF840	Licensed Representative	1, 4, 6
WINATA Nico	BPI516	Licensed Representative	1, 2, 7
WONG Cheong Kee	BTY143	Licensed Representative	4, 6, 9
WONG Chi Lung, Richard	ABP922	Licensed Representative	4, 9
WONG Chung Kay Joyce	BSR646	Licensed Representative	1, 4, 9
WONG Kin Chuen	BKA550	Licensed Representative	9
WONG Kin Fai	BSM479	Licensed Representative	1, 4
WONG Shing Wai	BJD129	Licensed Representative	1
WONG Siu Kit, Kelvin	APO544	Licensed Representative	1
WONG Wai Chi	AST272	Licensed Representative	2
WONG Wai Keung	APU876	Licensed Representative	1, 2
WONG Yiu Ming, Simon	BJC392	Licensed Representative	1, 6
WONG Yuk-bon	BEZ913	Licensed Representative	1, 4
WOO Elliott Tak On	AYR304	Licensed Representative	9
WU Chui Ni	BGM264	Licensed Representative	1, 4
WU Chung Ju	AXH210	Licensed Representative	1, 6
WU Di	BPK499	Licensed Representative	1, 4
WU Jiazhen	BUB169	Licensed Representative	1, 4, 9
WU Kwok Chuen	ABO012	Licensed Representative	1
WU Liang	BRN925	Licensed Representative	1, 4, 6
WU Nancy Bing Yun	AEZ131	Licensed Representative	9
WU Ren	BIJ837	Licensed Representative	9
WU Ruofan	BQB036	Licensed Representative	1, 2, 7
WU Ying	BPF568	Licensed Representative	1, 2, 9
WU Yiping	BSG228	Licensed Representative	4, 9
WURFBAIN Oliver Roderick Joan	BPX674	Licensed Representative	1, 2
XIA Siting	BTK499	Licensed Representative	1, 4
XIA Yang	BIR052	Licensed Representative	1, 4, 6
XIA Yujie	BUN424	Licensed Representative	1, 4, 6
XIAO Ruiyang	BUA372	Licensed Representative	1, 4, 9
XIE Yuan	BCH644	Licensed Representative	4
XIONG Yun	BKD914	Licensed Representative	6
XU Hu	ANV103	Licensed Representative	1, 4, 6
XU Junfeng	BSA424	Licensed Representative	1, 2, 4
XU Linyi	BDT347	Licensed Representative	1, 4, 6



CE Name	CE No.	Licence Type	Types of Regulated Acitivity
XU Lu	AYE980	Licensed Representative	1, 4, 6
XU Wen	BPF516	Licensed Representative	1, 2, 4
XU Yuqi	BST977	Licensed Representative	1, 4, 6
XU Zhewen	BMP072	Licensed Representative	1, 6
YANG Wing Hei	BJI742	Licensed Representative	1, 2, 4
YAO Qikun	BRB308	Licensed Representative	1, 4, 9
YAU Song, Christie	AUD238	Licensed Representative	4, 9
YEI Tak Sau	BHW501	Licensed Representative	1, 6
YEUNG Cheuk Fung Henry	BTW792	Licensed Representative	1, 4, 9
YEUNG Tsz Ning, Alan	AEF926	Licensed Representative	1, 4, 9
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YI Rujia YIM Kwok Man	BRX563	Licensed Representative	
	AFW086	Licensed Representative	4, 5, 9
YIN Yanhang	BNR675	Licensed Representative	9
YIP Kwan Yee	AXP601	Licensed Representative	
YIU Yin Man	BFU981	Licensed Representative	1, 4, 9
YU Fengbo YU Hang	BRN926 BRD495	Licensed Representative	1, 4, 6
		Licensed Representative	1, 4, 6
YU Hanping	BSH297	Licensed Representative	1, 4, 6
YU Jiaao YU Jialin	BQN383	Licensed Representative	1, 6
YU Ruihua	BRW938	Licensed Representative	4, 9
	BRS482	Licensed Representative	
YU Yin Nin Daymand	BQV094	Licensed Representative	1, 2, 4, 9
YU Yin Nin, Raymond	AAD371	Licensed Representative	1
YUAN Mengzhen	BNF377	Licensed Representative	1, 4
YUEN Christie Diane	BNV429	Licensed Representative	
YUNG Siu Ping, Sally	ABN367	Licensed Representative	1, 2
ZENG Hongbiao	BUC943	Licensed Representative	1, 2
ZHANG Da	BFZ494	Licensed Representative	1
ZHANG Hui	BMF480	Licensed Representative	1
ZHANG Junhui	BFA350	Licensed Representative	1, 2
ZHANG Peng	BNU775	Licensed Representative	2, 5
ZHANG Qiao	BRN896	Licensed Representative	1, 4, 6
ZHANG Qingran	BRH431	Licensed Representative	1, 4, 6
ZHANG Shuo	BLN911	Licensed Representative	1
ZHANG Wenqing	BRN914	Licensed Representative	1, 4, 6
ZHANG Xia	BNN534	Licensed Representative	1, 6
ZHANG Xiaoyu	BPV041	Licensed Representative	6
ZHANG Yiqi	BSJ620	Licensed Representative	1, 2
ZHANG Zhiyu	BPX122	Licensed Representative	4, 9
ZHAO Liujun	ANR808	Licensed Representative	1, 4, 6
ZHAO Yang	BSH088	Licensed Representative	1
ZHENG Xiaomeng	BTG353	Licensed Representative	1, 4
ZHENG Xiu	BSX035	Licensed Representative	1, 6
ZHU Yahui	AYO940	Licensed Representative	6
ZHU Zhen	BEF402	Licensed Representative	4, 9
ZITAN Oubeid	BRS771	Licensed Representative	1, 2, 4, 6
BAI Yang	BDQ057	Licensed Representative - Responsible Officer	9
BLENKIRON Philip Edward	ARG533	Licensed Representative - Responsible Officer	1, 2
BU Haidong	AVI091	Licensed Representative - Responsible Officer	9



CE Name	CE No.	Licence Type	Types of Regulated Acitivity
CHAN Kwok Tat, Daryl	ACP833	Licensed Representative - Responsible Officer	1, 2
CHAN Ping Cheung, Alvin	AAI355	Licensed Representative - Responsible Officer	4, 9
CHAN Wai Lung	AAC760	Licensed Representative - Responsible Officer	1
CHAN Yin Yi	APK747	Licensed Representative - Responsible Officer	1
		· · · · · · · · · · · · · · · · · · ·	1, 6
CHEN Won Liona	ARJ094 BHE181	Licensed Representative - Responsible Officer	,
CHEN Wen-Liang		Licensed Representative - Responsible Officer	1, 2, 4, 5
CHIU Yee Wan	AOZ097	Licensed Representative - Responsible Officer	4, 9
CHU Law Kwong, Jones	ADD805	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
CHU Yue	BRQ071	Licensed Representative - Responsible Officer	9
DAI Jixin	AVP032	Licensed Representative - Responsible Officer	4, 9
DAL BROI David	AXY476	Licensed Representative - Responsible Officer	1, 4
DOUGLAS Richard Howard	BPL635	Licensed Representative - Responsible Officer	1, 2, 3, 4, 9
DOWLING Charles Richardson	BOZ935	Licensed Representative - Responsible Officer	1, 2
FUNG Man Heng	BDN973	Licensed Representative - Responsible Officer	6
GAN Ching Wai	AOG220	Licensed Representative - Responsible Officer	4, 9
GILBERT Alexandre	BDH521	Licensed Representative - Responsible Officer	1, 2, 4, 7
GUO Kun	BOJ794	Licensed Representative - Responsible Officer	9
HO Ching	BMQ811	Licensed Representative - Responsible Officer	1
HONG Kam Kit, Eddie	ATX920	Licensed Representative - Responsible Officer	9
JAMES Robert Keith	ANR401	Licensed Representative - Responsible Officer	1
JIANG Guorong	AKO861	Licensed Representative - Responsible Officer	6
KLAUS Marco	BJD373	Licensed Representative - Responsible Officer	1, 4, 9
KWONG Chi Kong, Andy	ADV456	Licensed Representative - Responsible Officer	1, 2, 4, 5, 7, 9
KWONG Man Wai, Herman	AQS598	Licensed Representative - Responsible Officer	1, 4, 9
KWONG Ping Wai	AAA181	Licensed Representative - Responsible Officer	1
LALONDE Timothy Gilbert	BJY918	Licensed Representative - Responsible Officer	1, 4, 6
LAM Mei Fai	ARG361	Licensed Representative - Responsible Officer	1
LAU Chi Ching	APF062	Licensed Representative - Responsible Officer	4, 9
LEE Juliano	BBK717	Licensed Representative - Responsible Officer	6
LEE Yu Chuan	AGH978	Licensed Representative - Responsible Officer	9
LEE Yung Ho	BTO200	Licensed Representative - Responsible Officer	1, 6
LEI Bing	AXM402	Licensed Representative - Responsible Officer	6
LEUNG Chak Hei	AYN955	Licensed Representative - Responsible Officer	4, 9
LI Chao	AXX604	Licensed Representative - Responsible Officer	1, 4, 6
LI Kecheng	AKO454	Licensed Representative - Responsible Officer	9
LI Xiaoqiang	BGG148	Licensed Representative - Responsible Officer	1, 4, 6
LIU Shoujian	BDH497	Licensed Representative - Responsible Officer	1, 2, 4, 6
LO Wai Kong, Barry	ABC563	Licensed Representative - Responsible Officer	1, 2
LUI Hin Chuo, Aaron	ARG567	Licensed Representative - Responsible Officer	4, 9
LUI Wing Ho, Adrian Kim	AJB743	Licensed Representative - Responsible Officer	1, 2, 4, 6
LUM Ka Wai	BTT033	Licensed Representative - Responsible Officer	9
MAO Yunjun	AZR330	Licensed Representative - Responsible Officer	4
NG Cheng Kiong	BBU308	Licensed Representative - Responsible Officer	1
NG Lung George	AHC740	Licensed Representative - Responsible Officer	1, 4
NG Man Sau Toby	BJX832	Licensed Representative - Responsible Officer	9
O San Wing	BEI242	Licensed Representative - Responsible Officer	4, 9
QIU Jin	ACK859	Licensed Representative - Responsible Officer	1, 4
	APR850	Licensed Representative - Responsible Officer	4
SAM Chi Yung			



CE Name	CE No.	Licence Type	Types of Regulated Acitivity
SHUM Kin Chi Ludovic	BTE884	Licensed Representative - Responsible Officer	1, 4, 9
SUN Xiaodong	ATY657	Licensed Representative - Responsible Officer	4, 9
SYAL Sumit	AXL025	Licensed Representative - Responsible Officer	1, 2, 4, 5
SZE Kwok Fai	APH703	Licensed Representative - Responsible Officer	1
TAM Sing Kwan	ACR813	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
TANG Wing Chi, Maggie	AQE994	Licensed Representative - Responsible Officer	1
TSUI Fung, Jack	AEJ317	Licensed Representative - Responsible Officer	4, 9
UDING Gerardus Henricus Paulus	AUZ982	Licensed Representative - Responsible Officer	4, 9
WERKUN Erica Poon	AGN915	Licensed Representative - Responsible Officer	4, 5
WHITE Kevin Michael	BRJ188	Licensed Representative - Responsible Officer	2
WONG Fung Mei	AIJ316	Licensed Representative - Responsible Officer	1
WONG Kin Chuen	BKA550	Licensed Representative - Responsible Officer	4
WONG Pak Yan	AMU238	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
WONG Patrick	ACQ994	Licensed Representative - Responsible Officer	4, 9
WONG Pui Yew, Florence	AQP585	Licensed Representative - Responsible Officer	1, 4
WONG Shui	BOU818	<u> </u>	4
WONG Wai Kit	AFU946	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	9
WONG Yeung, Andy	APU946 AMI288	Licensed Representative - Responsible Officer	
	ADW478	· · · · · · · · · · · · · · · · · · ·	1, 2, 4, 5
WOO Ka Biu, Jackson		Licensed Representative - Responsible Officer	1, 2, 4, 6, 9
WU Yingmin	BMS557	Licensed Representative - Responsible Officer	1, 4, 6
XU Bo	BAI840	Licensed Representative - Responsible Officer	9
YANG Xinlin	APG517	Licensed Representative - Responsible Officer	4, 9
YEUNG Cheuk Kwong	ADB143	Licensed Representative - Responsible Officer	4, 9
YEUNG Shing Lone Gerald	BBF103	Licensed Representative - Responsible Officer	1, 4, 9
YEUNG Wai Kee	APK988	Licensed Representative - Responsible Officer	4, 5, 9
YIP Kwan Yee	AXP601	Licensed Representative - Responsible Officer	1
YUEN Ronald	BOE223	Licensed Representative - Responsible Officer	1, 6
ZHANG Larry Yiqing	AVS140	Licensed Representative - Responsible Officer	1, 4, 9
ZHANG Yiling	BTL456	Licensed Representative - Responsible Officer	4, 9
ZHAO Yushu	BTG615	Licensed Representative - Responsible Officer	4, 9
ZHEN Xu	BLH877	Licensed Representative - Responsible Officer	4, 9
ACHONEFTOS Laura Faye	BUY017	Licensed Representative (Provisional Licence)	1, 2
BHAGAVATH Sunil Sudhakar	BDR859	Licensed Representative (Provisional Licence)	1, 4
BUTLER Aric Andrew	BUY403	Licensed Representative (Provisional Licence)	9
CAI Minghui	BUX222	Licensed Representative (Provisional Licence)	1
CAO Ke	BUT936	Licensed Representative (Provisional Licence)	1, 6
CAO Zhuoming	BUT776	Licensed Representative (Provisional Licence)	4
CHAM Yin Hung	BUX811	Licensed Representative (Provisional Licence)	1
CHAN Ashly Chi Yan	BRW609	Licensed Representative (Provisional Licence)	9
CHAN Hiu Lung	BGU842	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ka Yun, Jenny	AHX334	Licensed Representative (Provisional Licence)	1, 4
CHAN Lik Yee Jenny	BUW044	Licensed Representative (Provisional Licence)	1
CHAN Man Chung	BUV989	Licensed Representative (Provisional Licence)	1
CHAN Ngai Kiu Justin	BUU927	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN PUI GA	BUV557	Licensed Representative (Provisional Licence)	1, 2
CHAN Yan Wing	BUT883	Licensed Representative (Provisional Licence)	4, 9
CHAN Yin Shum	BUU935	Licensed Representative (Provisional Licence)	1, 2
CHAO Lin	BTE510	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Biwei	BKD538	Licensed Representative (Provisional Licence)	1



CE Name	CE No.		Types of Pagulated Acitivity
CHEN Haolan		Licence Type	Types of Regulated Acitivity
	BUX388	Licensed Representative (Provisional Licence)	
CHEN Jiebin	BUU072	Licensed Representative (Provisional Licence)	1, 6
CHEN Ming	BUX198	Licensed Representative (Provisional Licence)	1
CHEN Siyuan	BUX144	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Ye	BUV974	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yongyao	BUV388	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
CHEN Yue	BUW293	Licensed Representative (Provisional Licence)	1
CHEN Yusi	BUV017	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Yihong	BUU778	Licensed Representative (Provisional Licence)	1, 6
CHENG Yingying	BUV020	Licensed Representative (Provisional Licence)	1, 2, 4
CHEUNG Ah Lai Peggy	ASD085	Licensed Representative (Provisional Licence)	1
CHEUNG Chun King	BUV694	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Ka Mun, Carmen	APD418	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHEUNG Man Ling	ANM983	Licensed Representative (Provisional Licence)	4, 9
CHIN CHEUK YIN	BUW371	Licensed Representative (Provisional Licence)	1, 4, 9
CHIU Chiu-Huang	BUX959	Licensed Representative (Provisional Licence)	1
CHOI Wai Hin	BUX699	Licensed Representative (Provisional Licence)	4
CHOI Yik Hin, Thomson	AQE831	Licensed Representative (Provisional Licence)	9
CHONG Po Wai	BUX114	Licensed Representative (Provisional Licence)	1, 4
CHOW Lai Yin	AHS607	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Lok Yi	BUU975	Licensed Representative (Provisional Licence)	4
COGNE Tatiana Natalia	BUV831	Licensed Representative (Provisional Licence)	4, 9
COLLINS Michael Antiporda	BUV371	Licensed Representative (Provisional Licence)	1, 4
CUI Lin	BPI049	Licensed Representative (Provisional Licence)	1, 6
CUI Yuan	BUV280	Licensed Representative (Provisional Licence)	1, 4, 9
DAI Yanbing	BRZ547	Licensed Representative (Provisional Licence)	4
DAI Yuancan	BUT737	Licensed Representative (Provisional Licence)	4
DENG Yawen	BUT969	Licensed Representative (Provisional Licence)	4
DING Tao	BUU020	Licensed Representative (Provisional Licence)	1, 6
DING Wenjie	BUX704	Licensed Representative (Provisional Licence)	4, 9
DONG Shiyang	BUU722	Licensed Representative (Provisional Licence)	1, 4, 9
DU Changqing	BUU858	Licensed Representative (Provisional Licence)	1, 6
DU Jinsong	AMF177	Licensed Representative (Provisional Licence)	9
DU Sen	BUW306	Licensed Representative (Provisional Licence)	1
DUPUY VAUDOUR Elise Lydia Michele	BUW206	Licensed Representative (Provisional Licence)	1
ENG Tik San	ADH644	Licensed Representative (Provisional Licence)	9
FAKIYESI Paul Patience Tolulope	BUY305	Licensed Representative (Provisional Licence)	1, 2, 7
FAN Dingding	BUV642	Licensed Representative (Provisional Licence)	1, 2, 4
FAN Yuze	BUL418	Licensed Representative (Provisional Licence)	4, 9
FANG Jiawen	BUU191	Licensed Representative (Provisional Licence)	
FANG Jiaweii	BUS220	Licensed Representative (Provisional Licence)	1, 4, 9
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FENG Mengyuan	BUU928	Licensed Representative (Provisional Licence)	1 4 0
FOO Chi Ming	ACE455	Licensed Representative (Provisional Licence)	1, 4, 9
FOO Yi Kai Matthew	BUV662	Licensed Representative (Provisional Licence)	4, 9
FOSTER Derek Patrick Jack	ARU732	Licensed Representative (Provisional Licence)	1
GAI Yilin	BUT779	Licensed Representative (Provisional Licence)	1, 6
GAO HONG	BUQ312	Licensed Representative (Provisional Licence)	4
GOELDI Kirin	BUU855	Licensed Representative (Provisional Licence)	1
GONG Zhuo	BRI327	Licensed Representative (Provisional Licence)	1, 6



CE Name	CE No.	Licence Type	Types of Regulated Acitivity
GUARIN Jan Derrick Salas	BUX035	Licensed Representative (Provisional Licence)	4
GUO Yuzhou	BUV710	Licensed Representative (Provisional Licence)	9
GUO ZIYU	BUV320	Licensed Representative (Provisional Licence)	4, 9
GUPTA Pragya	BUX619	Licensed Representative (Provisional Licence)	1, 6
HA Ho Yin, Wilson	AVT247	Licensed Representative (Provisional Licence)	1, 2
HE Jia	BUY407	Licensed Representative (Provisional Licence)	1, 2
HE Jiawei	BPC121	Licensed Representative (Provisional Licence)	9
HERGESHEIMER Niklaus Charles	BFN303	Licensed Representative (Provisional Licence)	1, 4
HO Chor Wan, Laetitia	AFU618	Licensed Representative (Provisional Licence)	1, 4
HO Ho Ching Daniel	BUW169	Licensed Representative (Provisional Licence)	1, 2
HO Tammy	BFU378	Licensed Representative (Provisional Licence)	4
HO Yui Kwong, Kenny	AAA321	Licensed Representative (Provisional Licence)	1, 2, 4
HU Jiachen	BKV101	Licensed Representative (Provisional Licence)	1, 6
HU Yanmeng	BUV073	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HUANG Weitao	BUV861	Licensed Representative (Provisional Licence)	1, 2
HUANG Zhiting	BUT576	Licensed Representative (Provisional Licence)	1
HUFTON Michael John	BCA269	Licensed Representative (Provisional Licence)	6
HWANG II Youn	BUX217	Licensed Representative (Provisional Licence)	2, 5
IRVING Jonathan David	BUV682	Licensed Representative (Provisional Licence)	2
IWAMI Eugene Satoshi	BEG521	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Xiaoya	BUU007	Licensed Representative (Provisional Licence)	6
JIANG YIkai	BUV655	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Weifeng	BUT550	Licensed Representative (Provisional Licence)	1, 6
JING Yilin	BUV945	Licensed Representative (Provisional Licence)	9
KAZAHAYA Takahiro	ARO540	Licensed Representative (Provisional Licence)	4
KHOU Victor	BUX909	Licensed Representative (Provisional Licence)	1, 2
KINGER Samir	BUX128	Licensed Representative (Provisional Licence)	1, 4, 6
KOH Benjamin Zhi-Qiang	BUV061	Licensed Representative (Provisional Licence)	1, 4
KONG Xiaoyu	BUT717	Licensed Representative (Provisional Licence)	1, 6
KWAK Harim	BTM779	Licensed Representative (Provisional Licence)	1, 2
LAI Brian Chi Fung	BUS957	Licensed Representative (Provisional Licence)	1
LAI On Kee	BUW302	Licensed Representative (Provisional Licence)	1
LAM Ching Long	BUU873	Licensed Representative (Provisional Licence)	1, 2
LAU Ka Fung	BUV731	Licensed Representative (Provisional Licence)	3
LEE Jae Jun	AWB625	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Jamie Jin-ming	BHY529	Licensed Representative (Provisional Licence)	4, 9
LEE Pik Shan	BUW380	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Seung Eun	BUV900	Licensed Representative (Provisional Licence)	1, 6
LEE TSZ TUNG SONIA	BUW370	Licensed Representative (Provisional Licence)	1, 4
LEUNG Chi Wai	BTH772	Licensed Representative (Provisional Licence)	1, 2
LEUNG Kwok Wai	BTT107	Licensed Representative (Provisional Licence)	1, 2
LI Cheng	BUX188	Licensed Representative (Provisional Licence)	1
LI Fengyun	BUW435	Licensed Representative (Provisional Licence)	1, 4
LI Leyu	BUV113	Licensed Representative (Provisional Licence)	1, 6
LI Shengjie	BUU861	Licensed Representative (Provisional Licence)	1, 6
<u> </u>	BUT572	, , ,	
LI Xuyang		Licensed Representative (Provisional License)	1, 4, 6
LI Yan	BUX396	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LI Yang	BUW121	Licensed Representative (Provisional Licence)	1, 2, 4
LI Yuxuan	BUS864	Licensed Representative (Provisional Licence)	1, 4, 6



REMOVALS OF LICENSED PERSONS/REG			
CE Name	CE No.	Licence Type	Types of Regulated Acitivity
LI Zhaoxiang	BUU905	Licensed Representative (Provisional Licence)	1, 6
LI Zhen	BUX151	Licensed Representative (Provisional Licence)	2
LI Zhuolin	BUW410	Licensed Representative (Provisional Licence)	1, 6
LIM Naeun	BUV328	Licensed Representative (Provisional Licence)	1
LIN Zhenze	ATN329	Licensed Representative (Provisional Licence)	9
LIU Jiayin	BUU102	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Jincheng	BUU110	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Simin	BUY423	Licensed Representative (Provisional Licence)	1, 4
LIU Yanling	BUV887	Licensed Representative (Provisional Licence)	1, 4
LIU Zhongyuan	BUV495	Licensed Representative (Provisional Licence)	1, 4
LO Yuen Fong	AOS067	Licensed Representative (Provisional Licence)	1
LONNQUIST Tobias	BUV490	Licensed Representative (Provisional Licence)	1, 4, 6
LU Lu	BUT787	Licensed Representative (Provisional Licence)	1, 6
LU Sihan	BUV195	Licensed Representative (Provisional Licence)	1, 4, 6
LUK Lou Louise	BUS912	Licensed Representative (Provisional Licence)	1, 2, 3
LUO Zenglong	AWZ523	Licensed Representative (Provisional Licence)	9
MAK Wing Yan	AYS444	Licensed Representative (Provisional Licence)	1, 4
MALLICK Sunny	BUE053	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MAO Zheyuan	BUU004	Licensed Representative (Provisional Licence)	1, 6
MILLER Elan	BUV960	Licensed Representative (Provisional Licence)	9
MIO Chi Mei	ARI789	Licensed Representative (Provisional Licence)	1, 4
NG Wai Fung	BUV824	Licensed Representative (Provisional Licence)	1, 4
NING Jiajun	BUU717	Licensed Representative (Provisional Licence)	4
OH Yookyung	BUU833	Licensed Representative (Provisional Licence)	1, 4, 9
PAN Jiahao	BNF786	Licensed Representative (Provisional Licence)	9
PANG Ling	BUW299	Licensed Representative (Provisional Licence)	1
PARK Ji Eun	BJG148	Licensed Representative (Provisional Licence)	1, 4
PERRIN Mark Richard	BLF186	Licensed Representative (Provisional Licence)	1, 4, 9
POON Chi Wai	AJC313	Licensed Representative (Provisional Licence)	1, 2, 4, 9
POON Man Kit	BDY012	Licensed Representative (Provisional Licence)	1, 2, 4
PU Guiyang	BUT429	Licensed Representative (Provisional Licence)	1, 6
QIN Qianwen	BUV278	Licensed Representative (Provisional Licence)	1, 2, 4
QIU Juying	BUU990	Licensed Representative (Provisional Licence)	1, 6
QU Shaohang	BUW158	Licensed Representative (Provisional Licence)	1, 6
QUAN Xiaomeng	BUV135	Licensed Representative (Provisional Licence)	1, 4, 9
RAHMAN Naveed	BUV823	Licensed Representative (Provisional Licence)	1
RUAN Yu	BUU112	Licensed Representative (Provisional Licence)	1, 4, 6
SHARMA Jayant	BUV079	Licensed Representative (Provisional Licence)	1, 4, 9
SHELLEY JONATHAN MICHAEL	BUU831	Licensed Representative (Provisional Licence)	1, 4
SHI Ruizhe	BUU839	Licensed Representative (Provisional Licence)	1, 2
SHI Zhiyuan	BUV714	Licensed Representative (Provisional Licence)	9
SHIH Ching Li	BUV908	Licensed Representative (Provisional Licence)	1, 4
SI Bao Ling	BUT943	Licensed Representative (Provisional Licence)	1, 4, 9
SINGH Arvind	BUV242	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SO Kai Chun	BUX221	Licensed Representative (Provisional Licence)	9
SONG Dinan	BUV711	Licensed Representative (Provisional Licence)	1, 4
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SONG Wenzhi	BDV263	Licensed Representative (Provisional Licence)	19
SONG Wenzhi SONG Yuezhen	BDV263 BUX502	Licensed Representative (Provisional Licence) Licensed Representative (Provisional Licence)	9



REMOVALS OF LICENSED PERSONS/REG		Tito fions doking 05/2024	T
CE Name	CE No.	Licence Type	Types of Regulated Acitivity
SUN Ke	BUU944	Licensed Representative (Provisional Licence)	1, 6
SUN Tiffany Oi Ying	BQ1807	Licensed Representative (Provisional Licence)	9
SUN Wenxin	BUX322	Licensed Representative (Provisional Licence)	1, 2
SUN Xijuan	AXT427	Licensed Representative (Provisional Licence)	4, 9
SUN Xu	BUS354	Licensed Representative (Provisional Licence)	1, 4, 6
SUSSAMS Luke	BUX706	Licensed Representative (Provisional Licence)	4
TAKEMASU Shinya	BUV401	Licensed Representative (Provisional Licence)	9
TAM Wai Sze Tiana	BUV558	Licensed Representative (Provisional Licence)	1, 4
TANG Hao	BUT585	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Lingling	BUU856	Licensed Representative (Provisional Licence)	1
TANG Su See	AFU064	Licensed Representative (Provisional Licence)	1, 4
TIAN Guining	BUT650	Licensed Representative (Provisional Licence)	1, 6
TIAN Jiachao	BUT923	Licensed Representative (Provisional Licence)	9
TING Chung Man	AJH459	Licensed Representative (Provisional Licence)	1
TO Cheuk Hin	BUX170	Licensed Representative (Provisional Licence)	1
TSE Hang Yik	AWW275	Licensed Representative (Provisional Licence)	1, 4, 9
TSE Pui Kwan	BUV065	Licensed Representative (Provisional Licence)	1, 4
TSOI Ying Kit	BUX820	Licensed Representative (Provisional Licence)	9
VARATHAN SO MUNISAMY Vishnu	BUV166	Licensed Representative (Provisional Licence)	1, 4
VIRDI Kieran Singh	BUV317	Licensed Representative (Provisional Licence)	2, 5
WAH Chi Shing	BUV800	Licensed Representative (Provisional Licence)	9
WANG Huilin	BUS439	Licensed Representative (Provisional Licence)	1, 4
WANG Jiawei	BPW730	Licensed Representative (Provisional Licence)	1, 6
WANG Ran	BUU867	Licensed Representative (Provisional Licence)	1, 6
WANG Wusheng	BUU779	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Xuemin	BUW047	Licensed Representative (Provisional Licence)	1, 6
WANG Yichen	BBB859	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Yimeng	BUW185	Licensed Representative (Provisional Licence)	1, 6
WANG Zihan	BUU755	Licensed Representative (Provisional Licence)	4
WANG Zixia	BUS501	Licensed Representative (Provisional Licence)	1, 4, 6
WEN Chaozhi	BUV913	Licensed Representative (Provisional Licence)	1, 2, 4
WHEATCROFT James Christopher Corkey	BUY218	Licensed Representative (Provisional Licence)	4
WONG Chi Ho	BUW157	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Chin Wing Michelle	BUV102	Licensed Representative (Provisional Licence)	1, 4
WONG Hak Ping	AEX219	Licensed Representative (Provisional Licence)	1
WONG KA WAI CLARIE	BUU857	Licensed Representative (Provisional Licence)	1
WONG Kwan Pang	BND499	Licensed Representative (Provisional Licence)	1, 4
WONG Lai, Sarah	ANR234	Licensed Representative (Provisional Licence)	4, 6
WU Beiyi	BUV914	Licensed Representative (Provisional Licence)	1, 2, 4
WU Lei	BUS551	Licensed Representative (Provisional Licence)	1
WU Yu	BUV987	Licensed Representative (Provisional Licence)	4, 9
WU Yue	BUW217	Licensed Representative (Provisional Licence)	1, 6
XIANG Chen	BUT061	Licensed Representative (Provisional Licence)	1, 6
XIANG Xuezhou	BUV912	Licensed Representative (Provisional Licence)	1, 2, 4
XIAO Mingyue	BUT692	Licensed Representative (Provisional Licence)	1, 4, 6
XIAO Xu	BUU232	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Andrew	BUU071	Licensed Representative (Provisional Licence)	1, 2
XIE Xinyan	BUU946	Licensed Representative (Provisional Licence)	1, 2
XU Qi	BOZ408	Licensed Representative (Provisional Licence)	1, 4
70 XI	DOZ400	Liberised Representative (F10visional Liberise)	1, 7



CE Name	CE No.	Licence Type	Types of Regulated Acitivity
XU Xi	BUU838	Licensed Representative (Provisional Licence)	1, 6
XU Xinyan	BUV691	Licensed Representative (Provisional Licence)	9
XU Yiyin	BUU808	Licensed Representative (Provisional Licence)	1, 2
XUE Ming	BUV441	Licensed Representative (Provisional Licence)	1, 4
YAN Tao	BUX504	Licensed Representative (Provisional Licence)	1, 2, 4, 9
YAN Yishu	BGT857	Licensed Representative (Provisional Licence)	4
YANG Kar Yee	BUX709	Licensed Representative (Provisional Licence)	1, 9
YANG Liu	BUU895	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Chun Chak	BFZ639	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Wah Yin, Victor	BUT331	Licensed Representative (Provisional Licence)	9
YI Longyu	BUU904	Licensed Representative (Provisional Licence)	1
YI Shuhan	BUU997	Licensed Representative (Provisional Licence)	1
YIN Geheng	BUG655	Licensed Representative (Provisional Licence)	1
YIN Haobo	BUV975	Licensed Representative (Provisional Licence)	1, 2
YIN Xiaoqian	BUT562	Licensed Representative (Provisional Licence)	1
YING Chengxun	BTI835	Licensed Representative (Provisional Licence)	1, 2, 4
YIP Fat Kong	BUS930	Licensed Representative (Provisional Licence)	9
YOUNG Wai Ling	BUW193	Licensed Representative (Provisional Licence)	1, 4, 9
YU Chung Man, Joseph	ACG635	Licensed Representative (Provisional Licence)	1, 2, 7
YU Haodong	BUS977	Licensed Representative (Provisional Licence)	1, 4, 6
YU Shuk Fong	BUV500	Licensed Representative (Provisional Licence)	1, 2, 3, 4
YU Wai Chi, Josephine	AOJ052	Licensed Representative (Provisional Licence)	1
YUNG LAI HEI	BUT306	Licensed Representative (Provisional Licence)	1, 4, 9
ZENG Cheng	BUT373	Licensed Representative (Provisional Licence)	9
ZENG Xianlin	BUU864	Licensed Representative (Provisional Licence)	1, 2
ZHANG Chen	AOV341	Licensed Representative (Provisional Licence)	9
ZHANG Hao	BUW241	Licensed Representative (Provisional Licence)	1, 4
ZHANG Haoyang	BUV076	Licensed Representative (Provisional Licence)	1, 2
ZHANG Ran	BHP610	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Songzhu	BUU715	Licensed Representative (Provisional Licence)	1, 6
ZHANG Wenxin	BUW104	Licensed Representative (Provisional Licence)	4
ZHANG Xiaozhou	BUW163	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xinyu	BUS366	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yichi	BUV375	Licensed Representative (Provisional Licence)	1
ZHANG Yuer	BIE512	Licensed Representative (Provisional Licence)	1, 2, 4
ZHAO Huangguan	BUV197	Licensed Representative (Provisional Licence)	4, 5
ZHAO Wenlin	BUT634	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Xu	BUT383	Licensed Representative (Provisional Licence)	9
ZHENG Kai	BUU989	Licensed Representative (Provisional Licence)	4
ZHENG XuTong	BUV829	Licensed Representative (Provisional Licence)	1
ZHU Bowen	BUU853	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Jingbo	BUV645	Licensed Representative (Provisional Licence)	1
ZHU Yuxiu	BLF263	Licensed Representative (Provisional Licence)	4, 9
ZHUANG Xiaofei	BUX127	Licensed Representative (Provisional Licence)	9
ZHUGE Leyi	BUW037	Licensed Representative (Provisional Licence)	4
ZUO Wei	BUV722	Licensed Representative (Provisional Licence)	1, 4, 9
ABAQUITA Micaela Gabrielle	BUY254	Licensed Representative (Temporary Licence)	4
AFZAL Zieshan	BTJ036	Licensed Representative (Temporary Licence)	1, 4
AGARWAL Somesh Kumar	AVM201	Licensed Representative (Temporary Licence)	4



REMOVALS OF LICENSED PERSONS/RE	GISTERED IN	STITUTIONS DURING 05/2024	
CE Name	CE No.	Licence Type	Types of Regulated Acitivity
AHMAD HASHIM Noor Hashima Binti	BNP595	Licensed Representative (Temporary Licence)	1
AHMAD Mohammad	BJO390	Licensed Representative (Temporary Licence)	1, 4
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
APITHY Yenadjro Robert	BUW250	Licensed Representative (Temporary Licence)	1
ARORA Rohit	ART681	Licensed Representative (Temporary Licence)	4
BAPAT Rahul Rajendra	BUX916	Licensed Representative (Temporary Licence)	1
BODEREAU Philippe	BLC808	Licensed Representative (Temporary Licence)	1, 4
BROWN Richard John Russell	BKR647	Licensed Representative (Temporary Licence)	1, 4
BYRNE James Robert	BNP385	Licensed Representative (Temporary Licence)	4
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CASTELLI Massimiliano	BUY683	Licensed Representative (Temporary Licence)	1, 4
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHOI Jae Hyung	BDG877	Licensed Representative (Temporary Licence)	4
CHOKHANI Varun	BUY010	Licensed Representative (Temporary Licence)	1, 6
CHONG Charis Ern Ai	BUY133	Licensed Representative (Temporary Licence)	1
CHUNG Chi Kin Joe	BUX053	Licensed Representative (Temporary Licence)	1, 4
CHUNG Jeehyun	BEX928	Licensed Representative (Temporary Licence)	1
COLESANTO Nino	BUY691	Licensed Representative (Temporary Licence)	1, 4
CURLEY Marcus Christopher	BFR251	Licensed Representative (Temporary Licence)	4
CURRIE Jemima Elizabeth	BUV568	Licensed Representative (Temporary Licence)	1
DASWANI Dheeraj	BUX886	Licensed Representative (Temporary Licence)	1, 2
DAVIS Ryan	BUJ269	Licensed Representative (Temporary Licence)	4
DE BRUIJN Jan Cornelis	BTZ290	Licensed Representative (Temporary Licence)	1
DE GROOT Wilhelmina Annemarie	BMO316	Licensed Representative (Temporary Licence)	1
DELMENICO Susan Jane	AQC327	Licensed Representative (Temporary Licence)	1
DONALD Luke Geoffrey	AJM931	Licensed Representative (Temporary Licence)	1, 2
DU Yi	BEB003	Licensed Representative (Temporary Licence)	1
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FOO Hui Fang	APF951	Licensed Representative (Temporary Licence)	1, 2
FOWLER Gerald Kenneth	BUW450	Licensed Representative (Temporary Licence)	4
FRIESTAD Sukrit	BTL133	Licensed Representative (Temporary Licence)	4
GARTHWAITE Andrew William David	BUY452	Licensed Representative (Temporary Licence)	4
GRAMBLING Stephen White	BTT510	Licensed Representative (Temporary Licence)	4
GUNDLAPALLE Pranav Dheeraj	BOQ958	Licensed Representative (Temporary Licence)	4
GURUVANICH Aekapop	BUO451	Licensed Representative (Temporary Licence)	1, 2
HARGIS Kent W	BAG066	Licensed Representative (Temporary Licence)	4
HONG Ji Woo	BUY453	Licensed Representative (Temporary Licence)	4
HORNBACH Matthew Thomas	AWZ985	Licensed Representative (Temporary Licence)	4, 5
HOSANGADY Ranjit Rammohan	AVW682	Licensed Representative (Temporary Licence)	1
HUH Yoonsoo	BJQ672	Licensed Representative (Temporary Licence)	1
HUYNH Trung Chuong	BUX059	Licensed Representative (Temporary Licence)	4
HWANG Kyung Jae	BAJ130	Licensed Representative (Temporary Licence)	4
HYDER Riaz	AUT957	Licensed Representative (Temporary Licence)	1
JARUPRATEEPKUL JANJIRA	BTR547	Licensed Representative (Temporary Licence)	1, 4
JAVELLANA Nicole Marie Fortune Tan	BFH781	Licensed Representative (Temporary Licence)	1
JIN Yixuan	BUY704	Licensed Representative (Temporary Licence)	1
KANEKAR Jyotindra Mohan	BDV342	Licensed Representative (Temporary Licence)	1, 2
KANG Yoojin	BUF502	Licensed Representative (Temporary Licence)	1
KAPTEIJN Arie Jacobus	BIV026	Licensed Representative (Temporary Licence)	4



CE Name	CE No.	Licence Type	Types of Regulated Acitivity
KEENE Michael Donald	BOZ245	Licensed Representative (Temporary Licence)	1
KIM Dong Hyun	AMY253	Licensed Representative (Temporary Licence)	1
KONDO Masakatsu	BFA117	Licensed Representative (Temporary Licence)	1
KRAMER Yves Dominique	BGV290	Licensed Representative (Temporary Licence)	1
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	4
KUMAR Shaleen	BJQ605	Licensed Representative (Temporary Licence)	4
LAUDE Windy	BTK737	Licensed Representative (Temporary Licence)	1
LEE May Lin	AVT527	Licensed Representative (Temporary Licence)	1
LEE Seung Won	BTV015	Licensed Representative (Temporary Licence)	1
LEI Juncheng	BTP310	Licensed Representative (Temporary Licence)	4
LIANG Haokai	BUY705	Licensed Representative (Temporary Licence)	1
LIEW Xun	BJI674	Licensed Representative (Temporary Licence)	1
LIU Jinglin	BUZ388	Licensed Representative (Temporary Licence)	1
LIU Kunyuan	BUY228	Licensed Representative (Temporary Licence)	4
LUANGMETTAKUL Warayut	BPD902	Licensed Representative (Temporary Licence)	4
MALCOLM James David	BJL213	Licensed Representative (Temporary Licence)	4
MALHOTRA Rahul	BOR217	Licensed Representative (Temporary Licence)	4
MAN Ronald Lok-tod	BGC485	Licensed Representative (Temporary Licence)	4, 5
MANOONPOL Alex	BOS797	Licensed Representative (Temporary Licence)	4
MC LANE Douglas William	BUX836	Licensed Representative (Temporary Licence)	1, 4
MENG Cheng	BUY130	Licensed Representative (Temporary Licence)	1
MOUTHON Alexandre Remy	BCT481	Licensed Representative (Temporary Licence)	1
MUIRHEAD Angus William Haig	BUQ047	Licensed Representative (Temporary Licence)	1, 4
MURRAY Jonathan James	BTM873	Licensed Representative (Temporary Licence)	1
MYLOTT Louise Renee	BLB228	Licensed Representative (Temporary Licence)	1
NABER Natalia	BUT116	Licensed Representative (Temporary Licence)	1, 2
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NASSER DE REZENDE FILHO Ricardo	BUX436	Licensed Representative (Temporary Licence)	4
NEO Wee Tong Andy	BUY906	Licensed Representative (Temporary Licence)	6
NG Kheng Siang	AWH494	Licensed Representative (Temporary Licence)	1, 4
NISHIYAMA Yuta	BTO872	Licensed Representative (Temporary Licence)	4
ONG Jun Kai Benjamin	BVA257	Licensed Representative (Temporary Licence)	6
OUYANG Lianzi	BJP598	Licensed Representative (Temporary Licence)	1
PAPANDREA Benjamin Joseph	BOB580	Licensed Representative (Temporary Licence)	1
PARK Jaeryung	BUY637	Licensed Representative (Temporary Licence)	1
PAYNE Gareth David	BTV371	Licensed Representative (Temporary Licence)	1
PEK WEN DA Raymond	BTR301	Licensed Representative (Temporary Licence)	1
POOLE Gregory Hauston	BIW523	Licensed Representative (Temporary Licence)	1
PRINCE Alexander Gilbert	AXH803	Licensed Representative (Temporary Licence)	1, 2
PUTRI Marissa	BUY706	Licensed Representative (Temporary Licence)	4
QUEK Jonathan Shiou Yong	BVA250	Licensed Representative (Temporary Licence)	6
RABINOWITZ David	AHW502		1, 2
RADOVIC Tina	BUX139	Licensed Representative (Temporary License)	<u>'</u>
	BUX139 BUY553	Licensed Representative (Temporary License)	1, 4
RAMSKILL Timothy St John		Licensed Representative (Temporary License)	
SAKAGAMI Ryota	AWO794	Licensed Representative (Temporary Licence)	4
SCHELLBACH Richard Hurley	BTL125	Licensed Representative (Temporary Licence)	4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHAH Kunal Deepak	BTO450	Licensed Representative (Temporary Licence)	4
SHAW Lachlan John Rayner	BGL492	Licensed Representative (Temporary Licence)	4



CE Name	CE No.	Licence Type	Types of Regulated Acitivity
SHEN Suxin	BUV865	Licensed Representative (Temporary Licence)	1
SHI Yi	BUI831	Licensed Representative (Temporary Licence)	1
SIEGENTHALER Craig William	BTS787	Licensed Representative (Temporary Licence)	4
SOLE Jay Daniel	BEK759	Licensed Representative (Temporary Licence)	4
SUTCLIFFE Laura Francesca Rose	BTW538	Licensed Representative (Temporary Licence)	4
TAN Jian Kai Darren	BTV057	Licensed Representative (Temporary Licence)	1
TAN Toon Leng	BDM837	Licensed Representative (Temporary Licence)	1
TANAKA Wataru	BUX850	Licensed Representative (Temporary Licence)	1, 2
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
TE John Victor Cabarles	BJC545	Licensed Representative (Temporary Licence)	4
TEMPLE Ronald Scott	AFA186	Licensed Representative (Temporary Licence)	1, 4
TIRON Cesar, Adrian	BUX174	Licensed Representative (Temporary Licence)	4
TRAN Nguyen Khai	BTZ093	Licensed Representative (Temporary Licence)	1
TSANG Daegal	BUX517	Licensed Representative (Temporary Licence)	1
TUPPER Nigel Robert	ACS592	Licensed Representative (Temporary Licence)	4
WANG Jie	BPY134	Licensed Representative (Temporary Licence)	1
WEE Yi Ning, Samantha	BTS042	Licensed Representative (Temporary Licence)	1
WILSON Joseph Douglas	BUX747	Licensed Representative (Temporary Licence)	1, 4
WITHAAR Jon Justin	BTL489	Licensed Representative (Temporary Licence)	1
WONG Carson	BUX060	Licensed Representative (Temporary Licence)	4
WONG Ferry Wijaya	ARB231	Licensed Representative (Temporary Licence)	4
WONG May-Li Melanie	BOJ484	Licensed Representative (Temporary Licence)	1, 4
WOOD Paul Anthony	ARW848	Licensed Representative (Temporary Licence)	1
WOODWARD Anthony James Passingham	ACS226	Licensed Representative (Temporary Licence)	1, 2
WURTZ Gregory Aaron	BEB748	Licensed Representative (Temporary Licence)	1, 2
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1
YANG Le	BUR147	Licensed Representative (Temporary Licence)	1, 4
YE Linfei	BMW900	Licensed Representative (Temporary Licence)	1
YERRAM Murali Krishna	BUW244	Licensed Representative (Temporary Licence)	1
YIN Jun	BFN301	Licensed Representative (Temporary Licence)	1
YIU Wong Ki	AYW262	Licensed Representative (Temporary Licence)	4
ZHAI Mengqi	BUV854	Licensed Representative (Temporary Licence)	1, 2
ZHAO Zuri Z	BUX435	Licensed Representative (Temporary Licence)	4, 5
ZHENG Xin	BKD975	Licensed Representative (Temporary Licence)	1
ZHONG Cheng	BTW235	Licensed Representative (Temporary Licence)	1
ZHONG Tiantian	AZB127	Licensed Representative (Temporary Licence)	1
ZHU Jiqiong	BUY636	Licensed Representative (Temporary Licence)	1
Credit Suisse AG	AAP920	Registered Institution	1, 4, 9