

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
Cloud Investment Group HK Limited	AYW248	Licensed Corporation	9
Corrington Securities & Investment Limited	AAY991	Licensed Corporation	1
Landmark Investment Partners Limited	BOB084	Licensed Corporation	1, 4
Le Chiffre Capital Limited	BOT691	Licensed Corporation	1, 4, 9
Major Domus Limited	BGQ666	Licensed Corporation	4, 9
Ping An of China Securities (Hong Kong) Company Limited	ASC577	Licensed Corporation	1, 4, 9
Wheelock Chatfield Limited	AAJ337	Licensed Corporation	9
Macquarie Bank Limited	ACR072	Registered Institution	1, 4
ABBAS Syed Asad	AIP440	Licensed Representative	1, 2
ALMEIDA Cristy	AUA663	Licensed Representative	1, 2, 4
AU Alan	BFO819	Licensed Representative	1, 2
BAEK June Seok	AJC640	Licensed Representative	9
BARRY Joseph William	BJV094	Licensed Representative	1, 7
BI Mingqiang	BNP254	Licensed Representative	1, 4, 6
BUTT Ayad	BPJ384	Licensed Representative	9
CHAN Chester Chi-to	AYB952	Licensed Representative	1, 4
CHAN Chin Fai	ARK366	Licensed Representative	1
CHAN Cho Sin	BOP244	Licensed Representative	1, 4, 9
CHAN Chun Yin	AXG071	Licensed Representative	1
CHAN Henry	BAE424	Licensed Representative	1, 2
CHAN Hin Man	BNC158	Licensed Representative	1
CHAN Hoi Tik	APD069	Licensed Representative	1, 2
CHAN Kam Yin	ABS476	Licensed Representative	1, 2
CHAN Kin Man	AUG795	Licensed Representative	1, 4, 9
CHAN Kwok Yin	BIZ550	Licensed Representative	6
CHAN Sau Wai, Sylvia	ADU457	Licensed Representative	4
CHAN Tat Hong	BMV838	Licensed Representative	1
CHAN Tsz Man	AGY565	Licensed Representative	4
CHAN Tsz Man	AXP990	Licensed Representative	2
CHAN Wai Man, Raymend	AAJ847	Licensed Representative	1, 2
CHAN Wing Cham, Lawrence	ADT360	Licensed Representative	1
CHANG Chung Yuan	BQW143	Licensed Representative	1
CHANG Mei Ling	BKD637	Licensed Representative	1
CHANG Nga Wai	BHT302	Licensed Representative	1
CHEE Yuk Hing, Carick	AWH916	Licensed Representative	1, 4
CHEN Bingjian	AWQ432	Licensed Representative	9
CHEN Bixuan	BMV910	Licensed Representative	1, 4, 6
CHEN Celine	BPK019	Licensed Representative	1, 2, 4, 5
CHEN Churan	BPD646	Licensed Representative	1, 4
CHEN Gaoyuan	BQC120	Licensed Representative	6
CHEN Hangjie	BOH726	Licensed Representative	1, 4
CHEN Jiayi	BPY324	Licensed Representative	1, 2
CHEN Marvin Min-Kong	AXR279	Licensed Representative	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHEN Ming Yu	BLT686	Licensed Representative	1, 2, 4, 9
CHEN Rujun	BOR747	Licensed Representative	1, 4, 9
CHEN Suihao	BQF125	Licensed Representative	1, 4, 9
CHEN Wei	BOE543	Licensed Representative	4, 9
CHEN Yi	BNY397	Licensed Representative	4, 9
CHEN Zihan	BOP985	Licensed Representative	1, 6
CHEN Zilin	BPG759	Licensed Representative	1, 4, 6
CHENG Chi Wai	BHA469	Licensed Representative	1, 4
CHENG Kiu Fung	BLB610	Licensed Representative	1, 4, 9
CHENG Qiang	AJF991	Licensed Representative	2, 5
CHENG Tzu-Yu	BOD652	Licensed Representative	1
CHEUNG Kai Yin	AFT243	Licensed Representative	1
CHEUNG Wai Ki	BPJ428	Licensed Representative	1, 4
CHEUNG Yan Yuet, Tobie	AHB645	Licensed Representative	1
CHIU Kin Leung	AJI670	Licensed Representative	1, 2
CHOI Ho Shing	BBQ048	Licensed Representative	1
CHONG Sai Yi	BEC422	Licensed Representative	1
CHONG Sheung Tat	BGB437	Licensed Representative	1, 2, 3
CHOU Ho Cheong, Vincent	BLC112	Licensed Representative	1, 2, 4
CHOW Chi Shing	APJ855	Licensed Representative	1, 4
CHOW Ho Tak, Philip	BFN521	Licensed Representative	1, 9
CHOW Hoi Tik, Heidi	BOT532	Licensed Representative	1, 4, 9
CHOW Kwok Hong	BPX897	Licensed Representative	1, 4
CHOY Lai Ho, Leo	BEW131	Licensed Representative	1, 2, 4
CHU Kam Hau	BBM865	Licensed Representative	1, 4
CHUA Pei Feng	ASL814	Licensed Representative	1
CHUI Pui Fai	AAH454	Licensed Representative	1
CHUNG Amy Nga Yen	BOA475	Licensed Representative	2, 5
CHUNG Ian	BOR246	Licensed Representative	9
COONEY Richard Francis	BOL342	Licensed Representative	1, 4
COOPER Daniel Mark	BOC500	Licensed Representative	1, 9
DAI Chao	AVD234	Licensed Representative	4
DAI Ning	BNU970	Licensed Representative	6
DAMMIEN Mathieu Kim Thomas	BGP128	Licensed Representative	1, 2
DARAHM DE SOUZA COELHO Daniel	BHG418	Licensed Representative	1, 4, 6
DE ANGELI Piero	BMX768	Licensed Representative	2, 5
DECLIPPELEIR Olivier, Francois	BOL720	Licensed Representative	2, 5
DENG Shichao	BLL862	Licensed Representative	1
DONG Fanghu	BNH846	Licensed Representative	1, 2
DONG Xiaonan	BQC216	Licensed Representative	1, 6
DU Juan	BNT212	Licensed Representative	6
DU Lijun	BCO497	Licensed Representative	1, 6
DUAN Yefei	AZE944	Licensed Representative	1, 4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
FANG Yingping	BOF903	Licensed Representative	1, 4, 6
FENYVES Peter	BPN746	Licensed Representative	1, 4, 9
FLANNERY LIOU Huei-Chen	ANZ563	Licensed Representative	4
FU Yiu Wing	ABN580	Licensed Representative	1
FU Yu	BOE212	Licensed Representative	1, 4, 5, 9
GAI Hsin-Shan	AVV799	Licensed Representative	4
GAO Zhaoquan	BMR628	Licensed Representative	6
GHARDE Atul Devendra	AVI316	Licensed Representative	1, 2
GILMAN Lisa	BPN232	Licensed Representative	1, 2, 4, 5, 7
GUO Ge	BNO197	Licensed Representative	4, 9
GUO Jiangxue	BQC456	Licensed Representative	9
HASHAM Faisal Alireza	BCC825	Licensed Representative	1
HE Shan	BNO483	Licensed Representative	1, 4
HE Xu	BEC148	Licensed Representative	6
HEUNG May Yin	AOT605	Licensed Representative	1, 4
HIRCHY Florent Pierre-henri	BQR057	Licensed Representative	2
HO Ka Ho	ARL404	Licensed Representative	1, 2
HO Kai Man	BHK594	Licensed Representative	1, 4
HO Mei Ling	BQP828	Licensed Representative	1, 2
HO Yim Ha	BQP917	Licensed Representative	1, 2, 4
HONG Wei Gang	BPU979	Licensed Representative	1, 4
HONG Xining	BQU836	Licensed Representative	4
HSIEH Wai Yin, Alvin	AXC624	Licensed Representative	1
HUANG Chao	AZE532	Licensed Representative	1, 2, 4
HUANG Chia Lin	ASC556	Licensed Representative	1
HUANG Chung-yu	BDN266	Licensed Representative	1
HUANG Jackson Kuo-kang	AVV119	Licensed Representative	1, 2, 9
HUANG Mingmin	AYR511	Licensed Representative	1, 4, 6
HUANG Shasha	BEM192	Licensed Representative	6
HUANG Xin	BMV679	Licensed Representative	6
HUANGFU Jin	BRD463	Licensed Representative	1, 2, 4
HUI Ho Hang Terry	BND362	Licensed Representative	1
HUI Luk Shing	BGL182	Licensed Representative	1, 2, 3
HUI Suet Ming	AAN882	Licensed Representative	1, 2
JACKSON Olivia Lauren	BLA376	Licensed Representative	4, 9
JIANG Nan	BOC243	Licensed Representative	6
KANG Junhui	BPR702	Licensed Representative	1, 4
KANG Ning	AZP286	Licensed Representative	1, 4
KIM Brian Young	AVH121	Licensed Representative	1, 4
KO Pok Man, Brian	AND442	Licensed Representative	1
KO Richard Guanlin	AUQ665	Licensed Representative	1
KU Ho	BCY406	Licensed Representative	9
KUNG Aaron Kingsley Kai Shing	BCK202	Licensed Representative	1, 2, 4, 9

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
KUNIMASA Tadahiro	BCL781	Licensed Representative	1
KWAN Chung Ping	BJE769	Licensed Representative	1
KWOK Ching Shu	BAD815	Licensed Representative	1
KWONG King Hoi Nicholas	BML145	Licensed Representative	4, 9
KWONG Maggie Pauly	BLB531	Licensed Representative	1
LAI Chi Wang	BPJ943	Licensed Representative	1, 2
LAI Yung Chuen	APQ834	Licensed Representative	1, 2
LAM Chi Shing	BMA224	Licensed Representative	1
LAM Man Ho	BFJ336	Licensed Representative	1
LAM Wai Chun	ASM382	Licensed Representative	3
LAM Yip Hing	ABI345	Licensed Representative	1, 2
LAU Jing Yeung, William	ANV150	Licensed Representative	4, 9
LAU Pui Man, Polly	AHL966	Licensed Representative	1
LAU Sze Man	BND380	Licensed Representative	1, 6
LAU Vincent	BKN665	Licensed Representative	1, 4
LAU Yu Fai, Alvin	ANW310	Licensed Representative	1, 2
LAW Chun Hin	BOC701	Licensed Representative	9
LEE Chun Yuen	BPG135	Licensed Representative	1
LEE Edmond Chun Wai	BFN406	Licensed Representative	6
LEE Fuk Lai	BMR363	Licensed Representative	1
LEE Jean	BCA442	Licensed Representative	9
LEE Man Tim	BKJ894	Licensed Representative	1, 4, 6
LEE Seok Wei	AVK948	Licensed Representative	1, 2, 9
LEE Vanessa	AYS194	Licensed Representative	1, 4
LEE Yin Wa	BEF451	Licensed Representative	1, 4, 9
LEUNG Chi Chiu, Sammy	AAV031	Licensed Representative	6
LEUNG Kwok Hay	ARG484	Licensed Representative	1
LEUNG Lai Wah	BPT149	Licensed Representative	1
LEUNG Tsz Yan	BOD389	Licensed Representative	1, 6
LEUNG Wai Sze, Alice	AAX428	Licensed Representative	4
LEUNG Wing Lam	AYD175	Licensed Representative	1
LI Chi Lap	AVG423	Licensed Representative	1, 2, 4
LI Chi Leung Able	BHY423	Licensed Representative	1, 4
LI Hongchao	BQF103	Licensed Representative	6
LI Jingyou	BOG863	Licensed Representative	1, 6
LI Kam Ming	ANR915	Licensed Representative	4
LI Kun Yuen	BLO674	Licensed Representative	4
LI Ming Mei Minnie	AYG097	Licensed Representative	1
LI Qi	BNY952	Licensed Representative	1, 4, 5
LI Sau Han, Ester Joyse	AAV369	Licensed Representative	1, 2
LI Shuxi	BKT719	Licensed Representative	1, 4, 9
LI Siyu	BGK941	Licensed Representative	1, 4, 9
LI Wai Kwan	AVT036	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Weijie	BMT391	Licensed Representative	1, 4, 9
LI Yilun	BOL472	Licensed Representative	1
LI Yunqiao	BGI546	Licensed Representative	1, 4, 6
LIAO Sishi	BOI902	Licensed Representative	1
LIAO Yee Ching	BBQ743	Licensed Representative	1, 4, 9
LIM Cho Yi	BKA671	Licensed Representative	4, 9
LIM You Jie	BJJ423	Licensed Representative	1, 2, 7
LIN Caihe	BOD917	Licensed Representative	1, 4, 6
LIN Ye	BAM249	Licensed Representative	4, 5, 9
LIU Lingda	BOT945	Licensed Representative	1, 4, 6
LIU Sifan	BLI069	Licensed Representative	1, 4
LIU Wai Fat, Winson	ALD665	Licensed Representative	1, 4, 9
LIU Wei	BJK285	Licensed Representative	1, 4, 6
LIU Yehong	BPM573	Licensed Representative	1
LIU Zhiqi	BOT241	Licensed Representative	4
LO Hei Tung	BLM103	Licensed Representative	1, 4, 6
LO Ka Ki	ALQ310	Licensed Representative	1
LO King Yu	BII057	Licensed Representative	1
LO Ming Hung	ADY707	Licensed Representative	4
LU Cuiping	BCJ650	Licensed Representative	4
LU Wei Lun	BOS254	Licensed Representative	1, 6
LUI Hoi Ching	BNH546	Licensed Representative	1, 4
LUI Thomas Ting Ching	AJL908	Licensed Representative	1
LYU Hui	BNZ181	Licensed Representative	6
MA Jiayun	BOT353	Licensed Representative	1
MA Linlin	ATH816	Licensed Representative	4, 9
MA Wenhui	BPI237	Licensed Representative	1, 6
MAK Hing Wah	AHA827	Licensed Representative	1, 2
MANKUDE BHASKER RAO Ravi Kiran	ANK084	Licensed Representative	1, 9
MARTIRANI Massimiliano	BEV149	Licensed Representative	9
MURAI Ken Ofriel	BKU707	Licensed Representative	1, 2
NAGATANI Toshitake	BNZ988	Licensed Representative	9
NEAVE Lionel Digby Sheffield	ASD187	Licensed Representative	4, 9
NG Chi Hung	BOJ714	Licensed Representative	1, 4
NG John	BBM638	Licensed Representative	1, 6
NG Min Jen	BGT764	Licensed Representative	9
NG Shuk Yin Ashley	BPJ677	Licensed Representative	9
NG Siu Fai	BPI991	Licensed Representative	9
NGAI Sun Ching Venus	AGH987	Licensed Representative	4, 9
NI Qingyun	BPB441	Licensed Representative	9
NIE Yiran	BIN324	Licensed Representative	1
NIU Ziming	BOD145	Licensed Representative	1
OEI Chun Kit Emerson	BON908	Licensed Representative	4, 9

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
OH Geeseok	AXZ900	Licensed Representative	1, 4
OKUNO Hiroshi	AJF365	Licensed Representative	1
OTANI Tomono	AIT881	Licensed Representative	1, 4
PANG So Sum, Rio	ARL756	Licensed Representative	1, 4, 9
PAREKH Tejas Prakash	BMV491	Licensed Representative	1
PATEL Vinesh	BDC151	Licensed Representative	1
PAUTROT Sebastien, Michael	AYE975	Licensed Representative	1, 4
PENG An	BOH787	Licensed Representative	1, 4, 9
PENG Yifu	BJU855	Licensed Representative	1, 4
POON Philip Chung Hein	AIC797	Licensed Representative	1, 2
QIAN Zixuan	BLX759	Licensed Representative	4, 9
RAMACHANDRAN Rachael	BOC619	Licensed Representative	1, 2
RICH Stephen Frederick	ATE176	Licensed Representative	1, 4
RONG Yi	AVP702	Licensed Representative	9
RONG Yuqian	BNV268	Licensed Representative	4, 9
SANGHAVI Parin Prafulkumar	BMV610	Licensed Representative	1
SCHAEFER Rishad Antonios	BHC227	Licensed Representative	1, 4
SHEN Shuyuan	BOS494	Licensed Representative	1, 6
SHENG Shiwei	BJE927	Licensed Representative	1, 6
SHI Haoshu	BNS906	Licensed Representative	6
SHINGAI Ema	BDQ211	Licensed Representative	9
SHIPPERLEE James Alan	BHV431	Licensed Representative	1
SHU Chun Kong	BKC090	Licensed Representative	4, 9
SIM Ken Hiong Shawn	BPI323	Licensed Representative	1, 4, 9
SIT Cheng Po	BAX113	Licensed Representative	1
SKEGGS Matthew	BFI707	Licensed Representative	4
SO Ho Ting	BNF627	Licensed Representative	1, 2
SO Yiu Wing	ABQ766	Licensed Representative	1, 4
SONG Bingrong	BEE823	Licensed Representative	6
SONG Tony Tianyuan	AWY337	Licensed Representative	1, 2
SPRANGERS Arnoud Jan	BQB271	Licensed Representative	1, 4
SUEN Ting Fong Boris	BIS100	Licensed Representative	1
SUFianti -	BGX788	Licensed Representative	4
SUN Xiaoyu	BKP228	Licensed Representative	1, 4, 6
SURRENCY Richard Charles	AUC988	Licensed Representative	1
TAI Kevin	BEL952	Licensed Representative	4, 9
TAKALKAR Hemant Anil	BOB259	Licensed Representative	1
TAKAMI Yuta	BNK971	Licensed Representative	1, 2
TAM Ho Chi, Andy	BOI211	Licensed Representative	1, 6
TAM Ka Hong	BIQ325	Licensed Representative	4, 9
TAM Shao Ling	BIZ547	Licensed Representative	1, 4, 9
TAN Chien Hsien, Ian	ASY266	Licensed Representative	1, 4
TAN Zhen Zhi	BJQ976	Licensed Representative	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TANG Pak Kee	AVD968	Licensed Representative	1, 4
TANG Sai Chung	BBE593	Licensed Representative	1, 2
TANG Tsz Ching	AHY091	Licensed Representative	1, 2
TANG Weicheng	BCC392	Licensed Representative	1, 4
THAI Hue Anh	ADK135	Licensed Representative	1
THOMPSON Bryce Hayden	BEZ911	Licensed Representative	1, 6
TING Chak Sum	AOW918	Licensed Representative	1
TSAI Cheng-yu	AYQ016	Licensed Representative	1, 4, 9
TSANG Tat Wah	AMQ684	Licensed Representative	1, 2
TSANG Wai Hung	AEY761	Licensed Representative	6
TSE Chun Fan	BNR807	Licensed Representative	1, 4
TSE Yat Kei	AOG833	Licensed Representative	9
TSOI Yin Fong	BQC557	Licensed Representative	1, 4
TSUI Chek Ming	AET441	Licensed Representative	1, 4, 9
TSUI Wing Yi	BCN476	Licensed Representative	1
TU Zhengfeng	BOF927	Licensed Representative	1, 4, 6
WAN Ho Yin	BKW755	Licensed Representative	1
WANG Haiman	BPK091	Licensed Representative	1, 9
WANG Shengyu	BKT146	Licensed Representative	1, 6
WANG Xing Da	BPF994	Licensed Representative	1, 7
WANG Xinru	APW349	Licensed Representative	1, 6
WANG Xu	BNX572	Licensed Representative	1
WANG Yanxu	BNO691	Licensed Representative	1, 4, 9
WANG Yao	BFF185	Licensed Representative	1
WANG Yiyi	BLZ434	Licensed Representative	4
WANG Yuanxin	AXL364	Licensed Representative	1, 4, 9
WANG Zhaoqiang	BNM252	Licensed Representative	4, 9
WEI Xiaocen	BJH429	Licensed Representative	1, 6
WEI Xiaodong	BQD185	Licensed Representative	1
WONG Ching Yi	BIE418	Licensed Representative	3
WONG Cho Fung Alan	BMF182	Licensed Representative	1, 2
WONG Hak Wing, Valerie	ALL103	Licensed Representative	1, 4, 9
WONG Hing Pong	AVK661	Licensed Representative	2
WONG Hiu Chun	BBA877	Licensed Representative	1
WONG Ho Hon	ATJ181	Licensed Representative	1
WONG I Z	APF355	Licensed Representative	9
WONG Kin Fung	BIB895	Licensed Representative	1, 6
WONG Kin Man	BPY102	Licensed Representative	1, 9
WONG Kit Yee	BDM238	Licensed Representative	1
WONG Ngai Cheung	BME661	Licensed Representative	1, 4, 6
WONG Po Chee	BQS453	Licensed Representative	10
WONG Yim	AFU666	Licensed Representative	4
WOO Ka Lai, Kelly	AFB315	Licensed Representative	9

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WOO Stephanie Ka Wai	AQR182	Licensed Representative	4
WU Bei-Jeng	BNV726	Licensed Representative	4, 9
WU Jian	AUS973	Licensed Representative	1, 4, 6
WU Jingfang	BAD816	Licensed Representative	1
WU Kai	BNP204	Licensed Representative	6
WU Meng Chan	AVG756	Licensed Representative	1
WU Qiuru	BCY957	Licensed Representative	4
WU Shuohuan	BMF453	Licensed Representative	1
WU Yingyingjian	BIE144	Licensed Representative	4, 9
WU Zechun	BOA563	Licensed Representative	1, 2
XIA Guoxin	BMW245	Licensed Representative	1, 4, 9
XIA Ming	BPQ544	Licensed Representative	1, 6
XIANG Zihan	BON532	Licensed Representative	4, 9
XIAO Shu	BNY741	Licensed Representative	1, 6
XIAO Yi	BPS047	Licensed Representative	4
XIE Fan	BLU352	Licensed Representative	1
XU Guang	BKC619	Licensed Representative	1, 4
XU Wenyan	BEA820	Licensed Representative	1, 4, 6
YAN Dong	BNP764	Licensed Representative	9
YAN Jinglin	BKW510	Licensed Representative	1, 4, 9
YAN Keer	BFN695	Licensed Representative	1
YAN Yuk Hei	AMO477	Licensed Representative	1, 4, 9
YANG Chunbai	BLV935	Licensed Representative	9
YANG Ning	BNC279	Licensed Representative	9
YANG Qing	ARO431	Licensed Representative	1, 6
YANG Shaohua	BDY725	Licensed Representative	6
YANG Tianzhao	BOI291	Licensed Representative	10
YANG Weidong	BDR083	Licensed Representative	6
YANG Wen-Hsin	BOW451	Licensed Representative	1
YANG William Wenhan	BOE633	Licensed Representative	1, 4
YANG Yinuo	BPJ813	Licensed Representative	4
YAO Peipei	BMR294	Licensed Representative	1, 7
YAU Oi Man, Maurien	ABK611	Licensed Representative	4
YE Sha	AJM148	Licensed Representative	1, 2
YEUNG Suet Pan	BNV621	Licensed Representative	1, 2, 4
YEUNG Tsz Fung, Jeffrey	BMR092	Licensed Representative	1
YICK Chun Pan	AVF034	Licensed Representative	1
YIN Jian Tong, Linus	ATJ086	Licensed Representative	1, 2
YING Zhijie	BNO299	Licensed Representative	6
YIP Ka Ki	BJR629	Licensed Representative	6
YIP Pui King	BHZ318	Licensed Representative	1, 9
YOON Tae Jung	AHG507	Licensed Representative	4
YOSHIDA Natsumi	BNO110	Licensed Representative	1, 4, 6



**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Chun Hoi	BKH615	Licensed Representative	1, 9
YU Lina	BNN590	Licensed Representative	6
YU Wai Chi	AIY918	Licensed Representative	1
YU William Norhan	BOU470	Licensed Representative	1, 4
YU Yik Bun	AKW970	Licensed Representative	1
YUAN Fengzhou	BOD058	Licensed Representative	6
YUE Xiaowei	AVZ069	Licensed Representative	1, 4, 9
YUNG Lap Hang	AER415	Licensed Representative	1
YVER Charly Werner	BNU457	Licensed Representative	9
ZHANG Junhui	BPD779	Licensed Representative	1, 6
ZHANG Kaiyi	BOC395	Licensed Representative	1, 6
ZHANG Qiang	BCH678	Licensed Representative	1, 4, 9
ZHANG Siran	BOQ929	Licensed Representative	2, 5
ZHANG Yijia	BKD533	Licensed Representative	1, 4, 9
ZHAO Daihong	ALZ348	Licensed Representative	1, 4, 6
ZHAO Mei	AVA643	Licensed Representative	1, 2
ZHAO Ningda	BPP315	Licensed Representative	4
ZHENG Ming Yuan	BQS627	Licensed Representative	1, 4, 9
ZHOU Gengyun	BPL714	Licensed Representative	4
ZHOU Jian	BPI812	Licensed Representative	1, 4
ZHU Lin	BPY409	Licensed Representative	4, 9
ZHU Yuxiu	BLF263	Licensed Representative	1, 4, 9
ATTRUX Joseph Frank	AUJ528	Licensed Representative - Responsible Officer	4, 9
AU Bo Shan	ARE480	Licensed Representative - Responsible Officer	4, 9
AU Kin Hong, Dennis	AAC877	Licensed Representative - Responsible Officer	2, 5
BANNO Katsukuni	ATR464	Licensed Representative - Responsible Officer	1, 4
BIGGS Adam Marc	BNY063	Licensed Representative - Responsible Officer	1, 2
CHAN Wan Ying, Debby	AGG430	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Wing Kwong, Andrew	AEG462	Licensed Representative - Responsible Officer	9
CHENG Nan Ying	BAA226	Licensed Representative - Responsible Officer	9
CHENG Qiang	AJF991	Licensed Representative - Responsible Officer	1, 4
CHU Lap Yan	AAI485	Licensed Representative - Responsible Officer	1, 2
CHUNG Mark Kwok Fei	ANG436	Licensed Representative - Responsible Officer	1, 4, 6
COTTON Kinsey Lachlan Nicholas	AOV965	Licensed Representative - Responsible Officer	1, 2
DAW Jason Wray Dominic	BPE546	Licensed Representative - Responsible Officer	4, 5
DESHMUKH Aniruddha Ravindra	ANG434	Licensed Representative - Responsible Officer	1, 4, 9
GEARY Marc Francis Andrew	AVS841	Licensed Representative - Responsible Officer	4, 9
HATTORI Akito	BNN819	Licensed Representative - Responsible Officer	1
HE Martin	AFD243	Licensed Representative - Responsible Officer	6
HEIDELBERG Jean Christophe	ALG138	Licensed Representative - Responsible Officer	1, 2, 7
HO Chun Hang	ARM740	Licensed Representative - Responsible Officer	1, 4, 9
HO Shuk Kan Crystal	AWS997	Licensed Representative - Responsible Officer	3
HOSHI Masahide	APH011	Licensed Representative - Responsible Officer	9

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
HSIEH Wai Yin, Alvin	AXC624	Licensed Representative - Responsible Officer	9
HUI Shi Man	AFY915	Licensed Representative - Responsible Officer	1, 2, 4
JI Haiming	BFL342	Licensed Representative - Responsible Officer	4, 9
JUNG Joo Ho	BAF833	Licensed Representative - Responsible Officer	1, 4
KAN Lap Hung, Don	AJI408	Licensed Representative - Responsible Officer	4, 9
KEARY Craig William	BJW224	Licensed Representative - Responsible Officer	1, 4, 9
KEENAN Michael Thomas	BBA492	Licensed Representative - Responsible Officer	1, 4
KUAN Tse Jen	BEW932	Licensed Representative - Responsible Officer	4, 9
KWOK Yee Ming	AOA582	Licensed Representative - Responsible Officer	4, 5, 9
KWONG Kai Hong	AAB666	Licensed Representative - Responsible Officer	1, 4, 9
LAI Ka Hang	ACP970	Licensed Representative - Responsible Officer	1
LAM Ga Fung	BDW984	Licensed Representative - Responsible Officer	2
LAMBERG Benjamin Philippe Valery	BIH331	Licensed Representative - Responsible Officer	1, 4
LAU Wang Chi, Barry	AUZ162	Licensed Representative - Responsible Officer	9
LEE Geng Rong	ACB022	Licensed Representative - Responsible Officer	1, 4
LEE Hung Kwong	AAJ756	Licensed Representative - Responsible Officer	2
LEE Kwok Wing, Thomas	AAE202	Licensed Representative - Responsible Officer	1, 4
LEE Vanessa	AYS194	Licensed Representative - Responsible Officer	6
LEUNG Ming Hym, Peter	AFK412	Licensed Representative - Responsible Officer	4, 9
LI Hao	BGU586	Licensed Representative - Responsible Officer	4, 9
LIU Yuen Han	ACU433	Licensed Representative - Responsible Officer	4, 9
LLOYD James Cary	AUJ913	Licensed Representative - Responsible Officer	9
LUI Cheuk Fung	BGP038	Licensed Representative - Responsible Officer	4, 9
MA Tak Wah	AUD467	Licensed Representative - Responsible Officer	1, 4, 9
MARK Steven David	BJQ864	Licensed Representative - Responsible Officer	1, 2
NEWMAN Neil Lionel	ABF170	Licensed Representative - Responsible Officer	9
NGAI Sun Ching Venus	AGH987	Licensed Representative - Responsible Officer	1
NICHOLLS Paul Stewart	ARD920	Licensed Representative - Responsible Officer	1, 4
PEDERSEN Endre Gaski	APZ629	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
PENG Wen-Chun	BLD625	Licensed Representative - Responsible Officer	1, 4
POON Kai Chung	ADV914	Licensed Representative - Responsible Officer	9
POON Mung Yu	AFP040	Licensed Representative - Responsible Officer	4, 9
TAI Kevin	BEL952	Licensed Representative - Responsible Officer	1
TAM Ka Hong	BIQ325	Licensed Representative - Responsible Officer	1
TAN Kin Kwok	ACD492	Licensed Representative - Responsible Officer	1
TANG Ping Kong	AAA336	Licensed Representative - Responsible Officer	1
TSE Chi Ho	AEB972	Licensed Representative - Responsible Officer	1, 4
TSE Yat Kei	AOG833	Licensed Representative - Responsible Officer	1, 4
TSUI Wing Yi	BCN476	Licensed Representative - Responsible Officer	6
VAN BUUREN Bastiaan Jeroen	AIL165	Licensed Representative - Responsible Officer	1, 4
WADE Andrew James	BEL387	Licensed Representative - Responsible Officer	1, 2
WANG Kevin Haigeng	BFN403	Licensed Representative - Responsible Officer	9
WONG Chi Ling	ACG593	Licensed Representative - Responsible Officer	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Elvin Yiu-wai	AIJ339	Licensed Representative - Responsible Officer	4, 9
WONG Ho Hon	ATJ181	Licensed Representative - Responsible Officer	2, 3
WONG Suk Wun, Suzanna	AHI337	Licensed Representative - Responsible Officer	1, 4
WU Tzu Kuan	AYK003	Licensed Representative - Responsible Officer	4, 9
XU Chongfan	BBX582	Licensed Representative - Responsible Officer	4, 9
YIP Ling Ling	AJC829	Licensed Representative - Responsible Officer	1, 4, 9
YU Hin Yin	ARD759	Licensed Representative - Responsible Officer	4, 9
YUAN Xi	BQE409	Licensed Representative - Responsible Officer	9
YUNG Lap Hang	AER415	Licensed Representative - Responsible Officer	4, 9
ZHANG Wei	BBH967	Licensed Representative - Responsible Officer	9
ZHU Guoji	BCG002	Licensed Representative - Responsible Officer	4, 9
AN Yuan	BMV714	Licensed Representative (Provisional Licence)	1, 6
BAI Yang	BGN055	Licensed Representative (Provisional Licence)	1, 4
BAILEY Marc Richard	BNQ787	Licensed Representative (Provisional Licence)	2, 3
BANDARUPALLI Laxmi Deepak	BGP937	Licensed Representative (Provisional Licence)	9
BHARGAVA Ambika Goel	BQO602	Licensed Representative (Provisional Licence)	1
CAI Bo	BQW990	Licensed Representative (Provisional Licence)	1, 4, 6
CAI Qifang	BRD721	Licensed Representative (Provisional Licence)	1, 2
CAO Rui	BQQ968	Licensed Representative (Provisional Licence)	1, 6
CHAN Ching Ho	BQW689	Licensed Representative (Provisional Licence)	1
CHAN Christopher Lai Yin	BRF475	Licensed Representative (Provisional Licence)	4, 9
CHAN Fai Hong	BRB415	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Hon Pan	BRC240	Licensed Representative (Provisional Licence)	1, 2, 4
CHAN Kwan Lee	BRB434	Licensed Representative (Provisional Licence)	1, 2
CHAN Leo Chee Wah	BRA825	Licensed Representative (Provisional Licence)	1, 2
CHAN Siu Ting	BOF597	Licensed Representative (Provisional Licence)	4
CHAN Tak Wah Jacky	BRD424	Licensed Representative (Provisional Licence)	1
CHEN Chen	BQW769	Licensed Representative (Provisional Licence)	1
CHEN Chieh Hung	BRB488	Licensed Representative (Provisional Licence)	1
CHEN Hongda	BQY997	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Jia	BPU017	Licensed Representative (Provisional Licence)	1
CHEN Kezhou	BRE718	Licensed Representative (Provisional Licence)	1, 6
CHEN QIBO	BRB449	Licensed Representative (Provisional Licence)	4
CHEN Ruiqin	BRA100	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Tiantong	BRC178	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Yansong	BQY912	Licensed Representative (Provisional Licence)	1, 2
CHEN Yi	BQV869	Licensed Representative (Provisional Licence)	4
CHEN Yue	BPL702	Licensed Representative (Provisional Licence)	1, 6
CHEN Yuexi	BRA461	Licensed Representative (Provisional Licence)	1
CHENG Hiu Kwong	BRD409	Licensed Representative (Provisional Licence)	6
CHENG Lok Hei	BRC170	Licensed Representative (Provisional Licence)	1, 2
CHEON Gene Young	BRA929	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHEUNG Aaron Yik Hei	AVZ772	Licensed Representative (Provisional Licence)	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Chun Chun, Vivian	BLL579	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Wing Pong	BRB316	Licensed Representative (Provisional Licence)	1, 4, 9
CHING Kam Hung	BNR367	Licensed Representative (Provisional Licence)	1, 6
CHIU Po Ki	BRB490	Licensed Representative (Provisional Licence)	4, 9
CHOBTUANGTHONG Pongsagon	AFQ785	Licensed Representative (Provisional Licence)	4, 9
CHOI Geoffrey Cheuk Lun	BEQ918	Licensed Representative (Provisional Licence)	1, 4, 6
CHOI Hong Man	BRD736	Licensed Representative (Provisional Licence)	1
CHOU Fang-Hsi	BQX102	Licensed Representative (Provisional Licence)	1, 2
CHOW Oscar	AWP108	Licensed Representative (Provisional Licence)	9
CHOW Yu Kan	BQX801	Licensed Representative (Provisional Licence)	1, 4
CHUI Lap Pan	BCK283	Licensed Representative (Provisional Licence)	1, 2, 4
CHUI Mei Ling	AAF390	Licensed Representative (Provisional Licence)	1, 4, 9
CHUI Yuen Kwan	BQY901	Licensed Representative (Provisional Licence)	1
CHUNG Cheung Chak	BQR902	Licensed Representative (Provisional Licence)	6
CLEIN Jonathan Robert	BQV767	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
CTORZA Simon Elie Raphael	BRD798	Licensed Representative (Provisional Licence)	1, 2, 4, 5
DAI Yi	BGO574	Licensed Representative (Provisional Licence)	1, 4, 6
DAYAL Prasoon	AEH431	Licensed Representative (Provisional Licence)	9
DENG Xue	BJV008	Licensed Representative (Provisional Licence)	1, 4
DING Chuchu	BRE593	Licensed Representative (Provisional Licence)	10
DING Lin	BRB562	Licensed Representative (Provisional Licence)	1, 4, 6
FAN Wei	BQF160	Licensed Representative (Provisional Licence)	6
FAN Xin	BQW037	Licensed Representative (Provisional Licence)	1, 6
FAN Xinyi	BFA099	Licensed Representative (Provisional Licence)	1, 4
FAN Yixuan	BRC195	Licensed Representative (Provisional Licence)	1, 2, 7
FANG Kun	BRA873	Licensed Representative (Provisional Licence)	1, 6
FANG Shuyu	BFE791	Licensed Representative (Provisional Licence)	1, 4, 5, 9
FANG Wangwei	BRA866	Licensed Representative (Provisional Licence)	1, 6
FENG Xinglei	BQG528	Licensed Representative (Provisional Licence)	6
FENG Yiyong	BIW362	Licensed Representative (Provisional Licence)	4, 9
FONG Emi Dochi	ARO581	Licensed Representative (Provisional Licence)	4, 9
FUNG Kwok Lun	BNG807	Licensed Representative (Provisional Licence)	1, 2
GALVIN Myles Emmet	BQW786	Licensed Representative (Provisional Licence)	1, 4, 9
GAN Shengnan	BQT680	Licensed Representative (Provisional Licence)	1, 4, 9
GAO Feng	AQQ495	Licensed Representative (Provisional Licence)	1, 6
GENG Lin	BRA437	Licensed Representative (Provisional Licence)	1, 6
GO Sing Fai	ACH859	Licensed Representative (Provisional Licence)	1, 4, 9
GU Xiang	BRE496	Licensed Representative (Provisional Licence)	1, 4
GUO Hui	BRC033	Licensed Representative (Provisional Licence)	1, 6
GUO Yuchen	BRE494	Licensed Representative (Provisional Licence)	1, 6
HAN Yixuan	BRA344	Licensed Representative (Provisional Licence)	1, 6
HE Kaihong	BRA427	Licensed Representative (Provisional Licence)	1, 2
HE Kang	BRB318	Licensed Representative (Provisional Licence)	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Joann Chung Yan	BQX012	Licensed Representative (Provisional Licence)	1, 2
HO Kiu Yu	BRA463	Licensed Representative (Provisional Licence)	1
HO Purdy Pin Pin	ASI353	Licensed Representative (Provisional Licence)	4
HO Wai Tung Nellie	BIT010	Licensed Representative (Provisional Licence)	1, 4, 6
HO Yuen Lee	AYO086	Licensed Representative (Provisional Licence)	1, 4, 5, 9
HONG Xiaoyan	BQY956	Licensed Representative (Provisional Licence)	1, 4
HU Hao	BQW740	Licensed Representative (Provisional Licence)	1, 2, 4
HU Rui	BRA774	Licensed Representative (Provisional Licence)	1, 4, 9
HU Xiaoyue	BRA247	Licensed Representative (Provisional Licence)	4
HUANG Frances	BRA770	Licensed Representative (Provisional Licence)	1
HUANG Heng	BRA892	Licensed Representative (Provisional Licence)	1, 6
HUANG Letian	BRD869	Licensed Representative (Provisional Licence)	1, 2, 4
HUANGFU Jin	BRD463	Licensed Representative (Provisional Licence)	1, 2, 4
HUI Chun Kit	BRA169	Licensed Representative (Provisional Licence)	1
HUI Kwok Tin	APF052	Licensed Representative (Provisional Licence)	9
IP Joseph Zi Jun	BHM170	Licensed Representative (Provisional Licence)	1, 2
IP Ping Kwong	BRB681	Licensed Representative (Provisional Licence)	1, 4
ISHIZAKI Yuta	BRB561	Licensed Representative (Provisional Licence)	1, 4, 6
IU Kwan Nam	BJL967	Licensed Representative (Provisional Licence)	1, 2, 4, 5
JAMIESON Hugh Hunter	BQY999	Licensed Representative (Provisional Licence)	9
JIANG Ruju	BQX101	Licensed Representative (Provisional Licence)	6
JIANG Shuyu	BRD724	Licensed Representative (Provisional Licence)	1
JU Pengfei	BRA104	Licensed Representative (Provisional Licence)	1, 4, 6
KAEOMANI Chariya	BRA609	Licensed Representative (Provisional Licence)	1, 2
KAM Kai Ki	BQW401	Licensed Representative (Provisional Licence)	1
KAMATA Marina	BQZ851	Licensed Representative (Provisional Licence)	1, 4, 6
KAPUR Ashish	AZD602	Licensed Representative (Provisional Licence)	1
KEUM Seung Hwan	BRB054	Licensed Representative (Provisional Licence)	10
KHAN Wylie	BRB733	Licensed Representative (Provisional Licence)	1, 4
KIGA Masaki	BQV481	Licensed Representative (Provisional Licence)	1, 4
KIM Soo Hyun	BQU743	Licensed Representative (Provisional Licence)	1, 6
KIRKWOOD Daniel Andreas	AWH536	Licensed Representative (Provisional Licence)	1, 4
KO Hee Jae	BQW670	Licensed Representative (Provisional Licence)	1, 4, 6
KONG Cheuk Hang	BRA994	Licensed Representative (Provisional Licence)	1, 2
KOTHATHI SHIVASWAMY Goutham	BRE714	Licensed Representative (Provisional Licence)	1, 6
KUNG Andre Hoi Hang	BFT022	Licensed Representative (Provisional Licence)	1, 4
KUNG Chia-Yi	BRB975	Licensed Representative (Provisional Licence)	1, 9
KUO-TSING-JEN Jill	BRC086	Licensed Representative (Provisional Licence)	4
KWAN Yu Ting, Dennis	AFU648	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Tsz Kit	BRA112	Licensed Representative (Provisional Licence)	9
LAI Yin Man Naomi	BRB007	Licensed Representative (Provisional Licence)	1, 6
LAI Yong Xin	BRD493	Licensed Representative (Provisional Licence)	1, 4, 6
LAI Yu Kwan	BRB433	Licensed Representative (Provisional Licence)	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAI Yuying	BNC871	Licensed Representative (Provisional Licence)	1, 2, 4
LAM Chi Ho	BHY523	Licensed Representative (Provisional Licence)	9
LAM Ho Yin	BRB438	Licensed Representative (Provisional Licence)	1, 2
LAM Tat Ngai	BOJ640	Licensed Representative (Provisional Licence)	6
LAM Wai Sze	BRA337	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Ying Shan	BQU258	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Yuk Yu	BRB207	Licensed Representative (Provisional Licence)	4, 9
LANG Siyuan	BRA943	Licensed Representative (Provisional Licence)	9
LAU Chun Wang	BQW899	Licensed Representative (Provisional Licence)	4
LAU Man Ying	BMU856	Licensed Representative (Provisional Licence)	6
LAU Ming Hei	BQU931	Licensed Representative (Provisional Licence)	1, 2
LAU Pok Yui	BQX159	Licensed Representative (Provisional Licence)	4
LAU Tsz Yau	BRB563	Licensed Representative (Provisional Licence)	9
LAU Ying Ying, Ruby	ALW386	Licensed Representative (Provisional Licence)	1, 4, 9
LAW Mang Kwan	AON979	Licensed Representative (Provisional Licence)	9
LEE Chak Yan	BRD712	Licensed Representative (Provisional Licence)	1, 2
LEE Chun Yiu	BRA744	Licensed Representative (Provisional Licence)	1
LEE Gha Young	BRC188	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEE Jaeyong	BRA585	Licensed Representative (Provisional Licence)	1, 6
LEE Ka Chun	BRB859	Licensed Representative (Provisional Licence)	1, 6
LEE Kai Ho, Patrick	AJL012	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Man Wai Maria Katherine	BRB436	Licensed Representative (Provisional Licence)	1
LEE Sin Man	APV763	Licensed Representative (Provisional Licence)	1
LEI Wenkai	BQU814	Licensed Representative (Provisional Licence)	1, 2
LEUNG Jessamine	ARG532	Licensed Representative (Provisional Licence)	1
LEUNG Kin Sing	BRC211	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LEUNG Wing Ki	BRF588	Licensed Representative (Provisional Licence)	1, 4
LI Anqi	BQV468	Licensed Representative (Provisional Licence)	1, 6
LI Chun Leung	BQX823	Licensed Representative (Provisional Licence)	1
LI Hoi To	BQW982	Licensed Representative (Provisional Licence)	1, 2, 3
LI Jiazhou	BRA026	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
LI Jinyuan	BRA107	Licensed Representative (Provisional Licence)	1, 4, 6
LI Ka Ho	BQT498	Licensed Representative (Provisional Licence)	1, 4, 6
LI Na	BRA667	Licensed Representative (Provisional Licence)	1
LI Rong	BQW378	Licensed Representative (Provisional Licence)	1, 4, 9
LI Wenguo	BRE495	Licensed Representative (Provisional Licence)	1, 6
LI Xinyuan	BQU757	Licensed Representative (Provisional Licence)	1, 6
LI Yan	BQW360	Licensed Representative (Provisional Licence)	1, 6
LI Yigang	BRE716	Licensed Representative (Provisional Licence)	1, 6
LI Yiting	BOG043	Licensed Representative (Provisional Licence)	1, 2, 4
LI Zhenghao	BRA817	Licensed Representative (Provisional Licence)	9
LI Zhenxing	BRB523	Licensed Representative (Provisional Licence)	1, 6
LI Zhiyu	BRB022	Licensed Representative (Provisional Licence)	1, 4, 6

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Zijun	BQX069	Licensed Representative (Provisional Licence)	4
LIAN Xinyi	BRA132	Licensed Representative (Provisional Licence)	1, 6
LIM Ji Youn	BFR528	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Long	BRC201	Licensed Representative (Provisional Licence)	1, 4
LIN Sen	BKZ414	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Tao	BRA862	Licensed Representative (Provisional Licence)	1, 6
LIN Tiantian	BIB398	Licensed Representative (Provisional Licence)	6
LIN Zhengheng	BRC046	Licensed Representative (Provisional Licence)	4
LING Cheuk Nam	BQX817	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Dun	BRA103	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Han	BRE719	Licensed Representative (Provisional Licence)	1
LIU Hengli	BRA828	Licensed Representative (Provisional Licence)	4
LIU Huanbin	BRA246	Licensed Representative (Provisional Licence)	4, 9
LIU Leishi	BMU961	Licensed Representative (Provisional Licence)	1, 6
LIU Xiaoyan	BRA645	Licensed Representative (Provisional Licence)	1
LIU Ying	BRA248	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Yu Chieh	BRA047	Licensed Representative (Provisional Licence)	1, 4
LIU Zhe	BQV916	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Zijun	BRA319	Licensed Representative (Provisional Licence)	4
LIU Zizheng	BQW995	Licensed Representative (Provisional Licence)	1
LOCK Chun Wai	BHN005	Licensed Representative (Provisional Licence)	1
LU Dachun	BQY908	Licensed Representative (Provisional Licence)	4, 9
LU Jiatong	BRD857	Licensed Representative (Provisional Licence)	1, 6
LU Ling	BQZ805	Licensed Representative (Provisional Licence)	9
LU Xiaoxue	BRD933	Licensed Representative (Provisional Licence)	6
LU Yingguo	BRC236	Licensed Representative (Provisional Licence)	1, 2, 9
LUAN Jinzhe	BRB166	Licensed Representative (Provisional Licence)	1, 4, 6
LUK Chun Ho	BRB978	Licensed Representative (Provisional Licence)	1, 2, 4
LUO Qitian	BQY943	Licensed Representative (Provisional Licence)	9
MA Chengyang	BRC185	Licensed Representative (Provisional Licence)	1, 4, 6
MA Chiyu	BRA105	Licensed Representative (Provisional Licence)	1, 4, 6
MA Fengming	BRD866	Licensed Representative (Provisional Licence)	1, 6
MA Ke	BRA249	Licensed Representative (Provisional Licence)	1, 4, 6
MA Peter Kyoung	BRC227	Licensed Representative (Provisional Licence)	1
MA Yubo	BRB400	Licensed Representative (Provisional Licence)	1, 4, 6
MACKAY Kaori	BRA204	Licensed Representative (Provisional Licence)	9
MAK Ho Long Gareth	BQX809	Licensed Representative (Provisional Licence)	1, 2
MAK Tsz Kin	BRE059	Licensed Representative (Provisional Licence)	1, 2
MAN Hiu Tung Crystal	BRD912	Licensed Representative (Provisional Licence)	1
MAN Shing Sum	AJE069	Licensed Representative (Provisional Licence)	1, 4, 5, 9
MEHAY Neha	BQT665	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
MIAO Di	BRC197	Licensed Representative (Provisional Licence)	1, 2
MOK Ngo Wang, Owen	BRC174	Licensed Representative (Provisional Licence)	1, 2, 4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
MOK Wan Yee Winnie	BRA593	Licensed Representative (Provisional Licence)	1, 4
NG Bernice Silvana Nicole Rose	BRA156	Licensed Representative (Provisional Licence)	1
NG Carmen C M	BRA945	Licensed Representative (Provisional Licence)	1, 6
NG Ching Ho, Patrick	BNV750	Licensed Representative (Provisional Licence)	9
NG Chong Fong	AZD382	Licensed Representative (Provisional Licence)	1, 4, 9
NG Chui Man	BRA117	Licensed Representative (Provisional Licence)	1
NG Yu Ching Marco	BRB814	Licensed Representative (Provisional Licence)	1, 2
NGAI So Ling, Angela	AFE620	Licensed Representative (Provisional Licence)	1, 4, 9
NI Zhengqing	BRB773	Licensed Representative (Provisional Licence)	1, 6
OEHRI Stefan Johann	BRB315	Licensed Representative (Provisional Licence)	1, 4, 9
OH Yunsuk	BRA931	Licensed Representative (Provisional Licence)	1, 6
OUYANG Haodi	BQW599	Licensed Representative (Provisional Licence)	1, 4, 6
OVERY Matthew James	BQT316	Licensed Representative (Provisional Licence)	4
PAN Jiazheng	BRA315	Licensed Representative (Provisional Licence)	1, 4, 5, 9
PAN Yuxuan	BQX090	Licensed Representative (Provisional Licence)	1
PAN Yuzhang	BJT264	Licensed Representative (Provisional Licence)	1, 6
PENG Danyan	BRB345	Licensed Representative (Provisional Licence)	1, 2
PENG Yanzhe	BRE722	Licensed Representative (Provisional Licence)	1, 6
PENG Zhen	BRD873	Licensed Representative (Provisional Licence)	1, 6
POON Ching Ching	BRD827	Licensed Representative (Provisional Licence)	1, 4
POON Ho Wa	AXC425	Licensed Representative (Provisional Licence)	1, 4, 9
PUSCA Tereza Elisabeth	BQW641	Licensed Representative (Provisional Licence)	1, 2, 7
QU Mengjia	BPF973	Licensed Representative (Provisional Licence)	1
RUAN Xinyu	BRC172	Licensed Representative (Provisional Licence)	4
SACUTO Pierre	BRC076	Licensed Representative (Provisional Licence)	1, 2
SHEN Kaiyan	BRA870	Licensed Representative (Provisional Licence)	1, 6
SHEN Ping Chung Sophie	BRD868	Licensed Representative (Provisional Licence)	1, 6
SHEN Qiang	BQW926	Licensed Representative (Provisional Licence)	1
SHEN Ruihan	BRB337	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Yichen	BQY942	Licensed Representative (Provisional Licence)	9
SHEN Yuxing	BRA106	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Zhenghan	BQW038	Licensed Representative (Provisional Licence)	1, 6
SIAMWALLA Kannika	BRA151	Licensed Representative (Provisional Licence)	4
SIU Wing	BQX131	Licensed Representative (Provisional Licence)	1, 2, 3
SLUZALY Frederick Christophe	BQV330	Licensed Representative (Provisional Licence)	1, 2, 7
SO Hor Kay Holly	BRC070	Licensed Representative (Provisional Licence)	1, 4, 9
SO Wai Ching	BRB314	Licensed Representative (Provisional Licence)	1
SU Hang	BRD596	Licensed Representative (Provisional Licence)	1, 2
SUN Haoyu	BQL341	Licensed Representative (Provisional Licence)	1, 6
SUN Qianya	BNH306	Licensed Representative (Provisional Licence)	1, 2
SUN Qirong	BNR195	Licensed Representative (Provisional Licence)	1, 4
SUN Rui	BRD856	Licensed Representative (Provisional Licence)	1, 6
SUN Yan	BJN166	Licensed Representative (Provisional Licence)	1, 2



**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUN Yining	BRA930	Licensed Representative (Provisional Licence)	1, 2, 4
SUZUKI Issei	BQR024	Licensed Representative (Provisional Licence)	1, 2
SZE Hoi Cheung	BRC171	Licensed Representative (Provisional Licence)	1, 2
SZE Wan Hung	BRA099	Licensed Representative (Provisional Licence)	1, 4
TAI Chi Lok	BRB811	Licensed Representative (Provisional Licence)	1, 4
TAI Tak Yee, Yvonne	ADU050	Licensed Representative (Provisional Licence)	1, 4
TAKAHASHI Akane	BRA934	Licensed Representative (Provisional Licence)	1, 4, 6
TAM Ka Chun	BRD974	Licensed Representative (Provisional Licence)	1, 4
TAM Sai Kin, Kent	BRD811	Licensed Representative (Provisional Licence)	9
TAN Boon Xin	BQY960	Licensed Representative (Provisional Licence)	1, 2, 4
TAN Fang	ARI742	Licensed Representative (Provisional Licence)	1, 2, 9
TANG Christopher Ping Yin	AMP024	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Congcong	ASE040	Licensed Representative (Provisional Licence)	1, 4
TANG WAI FUNG	BRA544	Licensed Representative (Provisional Licence)	9
TANG Xudong	BOB104	Licensed Representative (Provisional Licence)	1, 4, 5, 9
TIAN Zhuoxuan	BRB851	Licensed Representative (Provisional Licence)	4
TING Rachel Ka Wing	BRA653	Licensed Representative (Provisional Licence)	1, 4
TSE Pik San, Alice	BJW815	Licensed Representative (Provisional Licence)	9
TU Qiang	BRA894	Licensed Representative (Provisional Licence)	1, 4, 6
VAN DER FLIER Melvin Suresh Vasco	BQR098	Licensed Representative (Provisional Licence)	9
WAN Fang	BRE723	Licensed Representative (Provisional Licence)	1, 6
WAN Johnson	AQN189	Licensed Representative (Provisional Licence)	4
WAN Pui Man	BRB772	Licensed Representative (Provisional Licence)	1
WAN You Ran	BRD726	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Dean	BQV509	Licensed Representative (Provisional Licence)	4
WANG Dongchen	AWX611	Licensed Representative (Provisional Licence)	4, 9
WANG Ke	BRC044	Licensed Representative (Provisional Licence)	4
WANG Ning	BRA880	Licensed Representative (Provisional Licence)	1, 6
WANG Peiyi	BRE709	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Shaohua	BJE214	Licensed Representative (Provisional Licence)	4, 9
WANG Shuai	BRB789	Licensed Representative (Provisional Licence)	1, 6
WANG Wendong	BRE081	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Xiaotong	BQF174	Licensed Representative (Provisional Licence)	6
WANG Xin	BRF146	Licensed Representative (Provisional Licence)	1, 6
WANG Yichao	BOX370	Licensed Representative (Provisional Licence)	1, 6
WANG Yu	BRC030	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Yumiao	BQR647	Licensed Representative (Provisional Licence)	1, 2
WANG Zhidong	BQW999	Licensed Representative (Provisional Licence)	1
WANG Zhiyi	BRB340	Licensed Representative (Provisional Licence)	1
WANG Zhufeng	BQZ980	Licensed Representative (Provisional Licence)	1, 4
WANG Zhuo	BRA425	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zijiao	BHG785	Licensed Representative (Provisional Licence)	1, 4
WEI Chong	AYS671	Licensed Representative (Provisional Licence)	1, 4, 5, 9

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WEI Li	BRA673	Licensed Representative (Provisional Licence)	4
WEI Ou	BPO672	Licensed Representative (Provisional Licence)	1, 6
WEN Ming-Fen	BFD864	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Bryant	BRC027	Licensed Representative (Provisional Licence)	1, 2
WONG Chi Wai	BRC167	Licensed Representative (Provisional Licence)	1, 2
WONG Ching Yu	BHH395	Licensed Representative (Provisional Licence)	9
WONG Ha Tai Camille	BRC173	Licensed Representative (Provisional Licence)	1
WONG Ho Yee	BRA885	Licensed Representative (Provisional Licence)	4, 9
WONG Ian Ieng	BRA553	Licensed Representative (Provisional Licence)	4, 9
WONG Man Kit	BRC152	Licensed Representative (Provisional Licence)	1
WONG Mei Yan	BRA936	Licensed Representative (Provisional Licence)	1, 4
WONG Po Yee	BRA527	Licensed Representative (Provisional Licence)	1, 6
WONG Stanley Car-Lai	AXM488	Licensed Representative (Provisional Licence)	1
WONG Tsz Ching	BQW036	Licensed Representative (Provisional Licence)	1, 2, 7
WONG Tsz Ho	BRD708	Licensed Representative (Provisional Licence)	1, 2
WONG Tung Hing	BQV987	Licensed Representative (Provisional Licence)	1, 2, 4
WU Caiyi	BQW613	Licensed Representative (Provisional Licence)	1, 2
WU CHENGYU	BQZ952	Licensed Representative (Provisional Licence)	4
WU Feihong	BRD853	Licensed Representative (Provisional Licence)	1, 6
WU Jingyu	BRA102	Licensed Representative (Provisional Licence)	1, 4, 6
WU Joseph Shi Li	AJB764	Licensed Representative (Provisional Licence)	7
WU Kaixiang	BRD478	Licensed Representative (Provisional Licence)	4
WU Sai Chim	BRA869	Licensed Representative (Provisional Licence)	1
WU Sisi	BRA241	Licensed Representative (Provisional Licence)	1, 2
WU Weihua	BJR779	Licensed Representative (Provisional Licence)	9
WU Yi	BRC175	Licensed Representative (Provisional Licence)	1, 6
WU Yuen Sing	BRC218	Licensed Representative (Provisional Licence)	1, 4, 9
WU Yun	BRA886	Licensed Representative (Provisional Licence)	1, 6
XIANG Xifei	BQX887	Licensed Representative (Provisional Licence)	1, 4, 6
XIAO Yanglihong	BRD800	Licensed Representative (Provisional Licence)	1, 4, 6
XIE Chunsheng	BQZ938	Licensed Representative (Provisional Licence)	4
XIE Tong	BQZ943	Licensed Representative (Provisional Licence)	1, 4, 6
XIONG Li	AWQ251	Licensed Representative (Provisional Licence)	1, 4
XU Wenyun	BKE808	Licensed Representative (Provisional Licence)	4, 9
YANG Kan	BQV514	Licensed Representative (Provisional Licence)	4
YANG Qin	BRB808	Licensed Representative (Provisional Licence)	4
YANG Ying	BQZ907	Licensed Representative (Provisional Licence)	1, 4, 6
YAO Jiahui	BQW517	Licensed Representative (Provisional Licence)	2, 5
YE Yu	BRC031	Licensed Representative (Provisional Licence)	1, 6
YEN Tzu Han	ANL837	Licensed Representative (Provisional Licence)	6
YEUM Jeehye	BIJ241	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Chun Pui	BJE036	Licensed Representative (Provisional Licence)	1
YIM Ki Him	BRA647	Licensed Representative (Provisional Licence)	1, 4, 9

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIN Yuxi	BQW732	Licensed Representative (Provisional Licence)	4
YU Hang	BRD495	Licensed Representative (Provisional Licence)	1, 4, 6
YU Lok Yee Joanna	BQX008	Licensed Representative (Provisional Licence)	1, 2
YU Xinyan	BRB788	Licensed Representative (Provisional Licence)	1, 2, 4
YUAN Tianjian	BRD849	Licensed Representative (Provisional Licence)	1, 4, 9
YUE Sumeng	BRD850	Licensed Representative (Provisional Licence)	1, 6
YUEN Siu Ting	AHF360	Licensed Representative (Provisional Licence)	1, 4, 5, 9
YUEN Tin Chi, Edmund	ADI152	Licensed Representative (Provisional Licence)	1, 4, 5, 9
YUEN Yiu Chun	BRB356	Licensed Representative (Provisional Licence)	1, 2
ZENG Qinglin	BRB367	Licensed Representative (Provisional Licence)	1, 6
ZENG Qiumeng	BQW012	Licensed Representative (Provisional Licence)	1, 4
ZHANG Chang	BRC203	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Hanwen	BRE492	Licensed Representative (Provisional Licence)	1, 2
ZHANG Junyan	BQW040	Licensed Representative (Provisional Licence)	1, 6
ZHANG Lange	BRE937	Licensed Representative (Provisional Licence)	6
ZHANG Ruiyang	BRD876	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ruohai	BQW521	Licensed Representative (Provisional Licence)	4
ZHANG Sijia	BKF448	Licensed Representative (Provisional Licence)	4, 5, 9
ZHANG Wei	BBP480	Licensed Representative (Provisional Licence)	9
ZHANG Wenjie	BRC259	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xi	BQX866	Licensed Representative (Provisional Licence)	4, 9
ZHANG Ying	BRC063	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Ze	BRB322	Licensed Representative (Provisional Licence)	4
ZHAO Lu	BQN189	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Teng	BRE611	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Lufei	BRA713	Licensed Representative (Provisional Licence)	1, 2
ZHENG Shizeng	BRA364	Licensed Representative (Provisional Licence)	4, 9
ZHENG Shumeng	BQY944	Licensed Representative (Provisional Licence)	9
ZHOU Fengyao	BRA243	Licensed Representative (Provisional Licence)	1, 2
ZHOU Kexin	BQW844	Licensed Representative (Provisional Licence)	1, 6
ZHOU Lin	BRE400	Licensed Representative (Provisional Licence)	1, 6
ZHOU Lingxuan	BRE493	Licensed Representative (Provisional Licence)	1, 6
ZHOU Mengxuan	BRA264	Licensed Representative (Provisional Licence)	4
ZHOU Ning	BQW909	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Yijia	BQZ747	Licensed Representative (Provisional Licence)	1
ZHU DAN	BRC141	Licensed Representative (Provisional Licence)	1
ZHU Fan	BQV874	Licensed Representative (Provisional Licence)	1, 4
ZHU Xiangyu	BRD851	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Tingzhou	BQZ933	Licensed Representative (Provisional Licence)	4
ZHUO Qianren	BRA888	Licensed Representative (Provisional Licence)	1, 6
ZOU Hanyu	BRA780	Licensed Representative (Provisional Licence)	1, 4
CAPPETTA John William	BRD833	Licensed Representative (Temporary Licence)	4
CHEVELEY Richard George Castelfranc	AQF001	Licensed Representative (Temporary Licence)	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
DI MAGGIO Scott A	BIV434	Licensed Representative (Temporary Licence)	4
HOSHINO Koki	BRD674	Licensed Representative (Temporary Licence)	1
HUANG Lin	ASE666	Licensed Representative (Temporary Licence)	1
MIAO Xi	BQH325	Licensed Representative (Temporary Licence)	6
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4