

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
China Orient International Asset Management Limited	AZD667	Licensed Corporation	1	
Chuenman Securities Limited	BGP488	Licensed Corporation	4	
CSC Futures (HK) Limited	AFD052	Licensed Corporation	4, 5	
Cupola Capital Limited	ADB486	Licensed Corporation		6
Dakin Securities Limited	BGU193	Licensed Corporation	4	2
Realord Asia Pacific Securities Limited	AVJ441	Licensed Corporation	2	
SBI E2-Capital Securities Limited	BIC854	Licensed Corporation	4, 9	
Springs Capital (Hong Kong) Limited	AWQ098	Licensed Corporation	1	
Titan Financial Services Limited	BFR957	Licensed Corporation		1
Upmax Securities Limited	BJN701	Licensed Corporation	9	
ZhongYi Investment Managers Limited	BLT167	Licensed Corporation	4	
BUBERE Ayesha	BIN745	Licensed Representative	2	
BUT Chi Kwan	BFP249	Licensed Representative	4	
CAI Yun	BHZ140	Licensed Representative	4, 6	
CAO Chaoyu	BHQ071	Licensed Representative		6
CAO Honglei	APQ828	Licensed Representative	4	
CHALMERS Tony Marian	BJV697	Licensed Representative	7	
CHAN Che	BPE129	Licensed Representative		9
CHAN Chun Hang	BNB251	Licensed Representative	4	2
CHAN Chun Ming Jimmy	BIS678	Licensed Representative	9	6
CHAN Chun Yu	BFT081	Licensed Representative	4	
CHAN Jacky Ka Ki	AVF622	Licensed Representative	1	
CHAN Kim Ming, Vincent	ABI373	Licensed Representative		2
CHAN Kit Wai	AFU271	Licensed Representative		4, 5, 9
CHAN Kit Ying	ARE706	Licensed Representative	6	
CHAN Kwai Yiu, Linda	AAW288	Licensed Representative		1, 4
CHAN Lap Chun	AYC456	Licensed Representative	2	
CHAN Lung Ming	ATT477	Licensed Representative		1
CHAN Lung Sang	AUA794	Licensed Representative	9	
CHAN Nelson	AMG999	Licensed Representative	4	
CHAN Sau Han	AUM922	Licensed Representative	9	1
CHAN Sheung Yan, Andrew	ALY156	Licensed Representative	9	6
CHAN Shu Kai	AAJ626	Licensed Representative		1
CHAN Siu Kit	BGT717	Licensed Representative	2	
CHAN Siu Pong, Kevin	BGU580	Licensed Representative	5	
CHAN Suk Man	AFT975	Licensed Representative		1
CHAN Tin Chi	BOQ042	Licensed Representative		4
CHAN Wai Hing, Cookie	AZN386	Licensed Representative	9	1, 4
CHAN Wilson Wai Hang	ATH897	Licensed Representative	4	
CHAN Wing Yiu	AXW231	Licensed Representative	1, 4	
CHAN Yi Sum	AAD405	Licensed Representative	9	
CHAN Ying Sin	BGE633	Licensed Representative	4	
CHAN Yu Cheung	BEW112	Licensed Representative	2	
CHANG Kam Ngai	BGD703	Licensed Representative	4	
CHAO Jeffrey Min-Je	AVX417	Licensed Representative	9	1, 2, 4
CHEN Chen	AWI296	Licensed Representative	1	
CHEN Gordon	BOR359	Licensed Representative	9	4
CHEN Yifei	BIU909	Licensed Representative	4	
CHEN Yixuan	BQC235	Licensed Representative	4	
CHEN Zhenguang	BPP191	Licensed Representative		4
CHENG Wan Wing	BPN162	Licensed Representative	1	
CHENG Yaman	BLO117	Licensed Representative	1	
CHEUNG Cheuk Fung	BIE626	Licensed Representative	1	
CHEUNG Chi Hang	AWC633	Licensed Representative	3	
CHEUNG Chui Mei	BNG586	Licensed Representative	2	
CHEUNG Ho Yuen, Douglas	AXV666	Licensed Representative		1
CHEUNG Kam Hong	AVT081	Licensed Representative		1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHEUNG Kwok On	AAV165	Licensed Representative	4	
CHEUNG Ming	ABT543	Licensed Representative	9	1, 2, 4
CHEUNG Sze Hon, Heath	AEI046	Licensed Representative		1
CHOI Ho Yan	AKI966	Licensed Representative	9	
CHOW Andrew U Yen	BMH843	Licensed Representative	9	1, 2, 4
CHOW Ho Yin, Cecil	BNC489	Licensed Representative	4, 9	
CHOW Johnathan Long Ching	BQN725	Licensed Representative	4	
CHOY Yan Yi	BQC450	Licensed Representative	9	
CHU Chuen Sing	AOB435	Licensed Representative	1	
CHU Chun Ming	AHR391	Licensed Representative		4
CHU Tat Man	AYF494	Licensed Representative	1	9
CHUEN Jeanine Jing Yin	BJV716	Licensed Representative	9	
CHUI Hing Cheung Ronald	BOJ266	Licensed Representative	4	
CHUNG Chun Yin, Edwin	BJS020	Licensed Representative	9	
CHUNG Wai Chun	ARU112	Licensed Representative	4	
DENG Dian	BNC301	Licensed Representative	2	4
DIU Felix Chi Ho	BOB732	Licensed Representative		5
DONG Minzhao	BOX021	Licensed Representative		4, 6
EVANS Stuart	BJC221	Licensed Representative	9	2, 5
FAN Yu-heng	AXW653	Licensed Representative		4
FANG Ming	BQM506	Licensed Representative	4	2
FOK Wai Hung	AFN727	Licensed Representative	9	
FU Wang Kit	AVM406	Licensed Representative	9	
FU Yiu Hang	AGH815	Licensed Representative		1
FUNG Ching Wan, Jackie	AOB388	Licensed Representative		9
FUNG Kam Yin, Kenneth	AUN817	Licensed Representative	4	
GAO Ge	BNX136	Licensed Representative	6	
GAO Ying	ARO106	Licensed Representative	4	
GUO Miaozhu	BND015	Licensed Representative	9	
GUO Mingzhu	ARD241	Licensed Representative	4	
HAN Yuzhu	BLT746	Licensed Representative		1, 4
HE Ranchong	BPK827	Licensed Representative	1	
HO Lok Kan	BJJ548	Licensed Representative	4	
HO Ting Kai	BPA248	Licensed Representative	2	
HO Wing Sum, Veda	AOA335	Licensed Representative	9	
HONG Timothy Kai-chun	BNQ720	Licensed Representative	2	
HOR Chung Yan	BOH160	Licensed Representative	9	1, 4
HUANG Chi Kiu	AWH119	Licensed Representative	4	
HUANG Jing	BEM790	Licensed Representative		6
HUANG Peihao	ALB745	Licensed Representative	1, 4	
HUANG Wenjun	AUT504	Licensed Representative	6	4
HUANG Yinwei	BQQ722	Licensed Representative	4	
HUANG Yue	BDA207	Licensed Representative		1, 4
HUANG Yujun	BMB621	Licensed Representative	4	
HUNG Ka Hei	BNI021	Licensed Representative	4, 9	
IKEDA Hiromasa	AGO294	Licensed Representative	9	4
IP Kwai Chun	BEO313	Licensed Representative		6
IP Mei Shun	AVP618	Licensed Representative		4
IP Siu Man	AFZ394	Licensed Representative	4	
JANG Seo Young	BNC114	Licensed Representative	9	2, 5
JIN Tinghua	BQC255	Licensed Representative	4	
KI Chi Chung	AYR761	Licensed Representative	4, 9	1, 2
KIM Young Kun	ADH570	Licensed Representative		1
KO Lap Wai, Lawerance	ACL025	Licensed Representative	4	2
KONG Kin Hang	AUZ976	Licensed Representative	2	
KUNG Ho Yeung	BHQ189	Licensed Representative	2, 4	
KWAN Chun Fai	AQG692	Licensed Representative	2	5, 9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
KWAN Kin Shing	BNW890	Licensed Representative	4	
KWAN Lai On, Warren	AAM201	Licensed Representative	1	
KWOK Friedman Owen	BLX124	Licensed Representative	4, 9	
KWOK Hing Paai	BHO374	Licensed Representative		1
KWOK Tsz Kiu	BET772	Licensed Representative	4	6
LAI Ching Chung	BQM679	Licensed Representative	1	9
LAI Ki Cheong	BBF446	Licensed Representative	1	
LAM Hok Hoi	ADS783	Licensed Representative	9	
LAM Kwok Hin	BMK018	Licensed Representative	1	
LAM Lai Heung	AEP936	Licensed Representative		2, 4, 9
LAM Man Wai	AZF840	Licensed Representative	4	
LAM Shun Kei, Calvin	AEU833	Licensed Representative	4	
LAU Francis David Zesheng	BJM256	Licensed Representative		4, 9
LAU Fu Wing	ANH674	Licensed Representative		1, 4
LAU Ka Chung	BAM357	Licensed Representative	9	
LAU Kit Wan	AFJ610	Licensed Representative	4	
LAU Kwan Chuk, Karen	AGH196	Licensed Representative		9
LAU Wai Yan	BBA106	Licensed Representative	9	
LAW Pui Tai	APJ561	Licensed Representative	4	
LEE Ho Kit	BBP201	Licensed Representative	1	
LEE Mei Ha, Ida	AIG166	Licensed Representative		9
LEE Pui Wa	BDT144	Licensed Representative		4
LEE Sung Yin	ABF791	Licensed Representative		4, 9
LEE Tsz Ho	AGF160	Licensed Representative		1
LEE Wai Fun, Bonnie	AQO270	Licensed Representative	4	
LEE Wing Keung, Toby	AEA535	Licensed Representative	2	
LEE Yat Hin	BPR454	Licensed Representative	6	
LEONG U Weng	AXR831	Licensed Representative	5	
LEUNG Chun Hung	AUP391	Licensed Representative	4	
LEUNG Ho Ching	ARW218	Licensed Representative	4	
LEUNG Ka Man	ANG569	Licensed Representative		1, 2
LEUNG Ka Wing Kevin	BBQ200	Licensed Representative		9
LEUNG Kai Chung	AQR032	Licensed Representative	1	
LEUNG Sin Yeng, Winnie	AYR072	Licensed Representative		6
LEUNG Tze Ying Gwen	AYQ010	Licensed Representative		1
LEUNG Wai Man	ALK478	Licensed Representative	9	
LEW Cheuk Ning	BDM050	Licensed Representative		2
LI Aiwei	BLH896	Licensed Representative	1, 9	
LI Binqing	BFT568	Licensed Representative	1	
LI Feng	AWJ613	Licensed Representative	4	
LI Hualin	BOH730	Licensed Representative	4	
LI Kim Ping	ATS380	Licensed Representative		2
LI Kit Yee Connie	BNW205	Licensed Representative		4
LI Lan Seung, Eliza	ABP390	Licensed Representative	4	
LI Lingyun	BMJ306	Licensed Representative	6	
LI Louis Shun Hay	ARA150	Licensed Representative		9
LI Mak	AEF328	Licensed Representative	4	
LI Nicholas Che-sun	BGQ870	Licensed Representative	1, 6	9
LI Sze Kit	AOV329	Licensed Representative		9
LI Tsz Kiu Cherry	BQB524	Licensed Representative	9	
LI Yu	BNS244	Licensed Representative	2	6
LI Yufei	BDF264	Licensed Representative	4, 5	
LI Zhengwen	BJY487	Licensed Representative		9
LIANG Heng	BLJ233	Licensed Representative		9
LIANG Yuxuan	BNX275	Licensed Representative	4	
LIM Dae Hyuck	BJV724	Licensed Representative		9
LIN Chun Pan	AXO212	Licensed Representative	1	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIN Shih Hsien	BAZ309	Licensed Representative	4, 9	
LIN Yisi	APP357	Licensed Representative	4	
LIU Huaxin	BDD150	Licensed Representative	4	
LIU Jiandong	BKN438	Licensed Representative	4	
LIU Nam Yuk, Oliver	AAA284	Licensed Representative	4	
LIU Xutong	BQP318	Licensed Representative	4	
LOW Jerry Yee Meng	AOH115	Licensed Representative		2
LU Jiayi	BEZ119	Licensed Representative		9
LUI Chun Tak	BCZ591	Licensed Representative		4
LUK Kuo Sheng, James	AWA383	Licensed Representative	7	
LUO Difei	BNC831	Licensed Representative		9
MA Tak Ming, Dick	ABH572	Licensed Representative	4	
MA Yanting	BJH264	Licensed Representative	4	
MAK Wai Kuen	BGY373	Licensed Representative		1
MAK Winnie	AAI867	Licensed Representative		2
MAK Yan Ling	BNY434	Licensed Representative		4
MEI Di	BIG357	Licensed Representative		6
MORRISON Ben Edward	BEV147	Licensed Representative		2, 4, 5, 7
MU Zejun	BOB848	Licensed Representative	6	4, 9
MUI Kay Boon	AAJ763	Licensed Representative	4	
MUI Pui Yi, Ruby	ABW049	Licensed Representative	4	
NG Chi Pong	BNO048	Licensed Representative	1	
NG Ching Ho	AXI359	Licensed Representative	5	1
NG Ki Fung, Donald	BHW803	Licensed Representative		4
NG Kin Kwan	AYT810	Licensed Representative		1
NG Oi Kit, Kelly	ADU153	Licensed Representative	4	
NG Tsz Ming	ALZ922	Licensed Representative		9
NG Yu Chung	BBE398	Licensed Representative	9	6
NG Yuen Yung, Rachel	BJL704	Licensed Representative		4
NGAI Hung, Cynthia	AFE594	Licensed Representative	4	
NGUYEN Nguyen Thanh	AOO555	Licensed Representative	7	
PANG Kwok Kwan	BBZ492	Licensed Representative	4	
PONG Siu Hin Byron	BDX589	Licensed Representative		4
POON Man Kit	AOQ607	Licensed Representative	2	
QIAN Hongyu	BPK287	Licensed Representative	4	
SHAM Sharon Cylong	AVV406	Licensed Representative	7	
SHEK Man Man	APQ851	Licensed Representative	4	
SHEN Ran	BOT271	Licensed Representative		6
SHI Hanzhou	BPK470	Licensed Representative	4	
SHI Wenting	BKX436	Licensed Representative		1
SIT Yu Hei	BIH496	Licensed Representative	9	
SIU Elodie Ying-ying	BPI505	Licensed Representative	2	
SO Ka Wai	BNC194	Licensed Representative	4	
SO Kwok Kwan	BMF167	Licensed Representative		2
SO Kwun Cheong	BNX001	Licensed Representative		1
SO Ting Fung	BQD157	Licensed Representative	1, 4	2
SONG Ci	BCT672	Licensed Representative	6	9
SONG Judy Yelim	BDQ941	Licensed Representative		1, 2
SONG Yiliu	BNB401	Licensed Representative	1, 4	2, 5
SONG Yingying	BGX726	Licensed Representative	9	2
SUBRAMANIAN Vihang	BPB583	Licensed Representative		4
SUEN Ka Kit	BAM439	Licensed Representative	3	
SUN Derrick	APY600	Licensed Representative	4, 9	1
SUN Yueming	AWE532	Licensed Representative	4, 9	6
TAM Chiu Kin	BDL026	Licensed Representative	9	
TAM Ping Cheung	AQH517	Licensed Representative	4	
TAM Yin Ming, Cecilia	ATO160	Licensed Representative		1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TAN Xi	BPA120	Licensed Representative		1, 4
TANG Yin Chung	BOS674	Licensed Representative		4
TIAN Yu	BNM995	Licensed Representative	4	
TIN Man Leong	BQO437	Licensed Representative	2	
TJON Hui Ya Janice	BIY425	Licensed Representative	1	
TLILI Mourad	AUI990	Licensed Representative		7
TONG Kin Tung	BKC447	Licensed Representative	1	
TSANG Man Chun	ASJ867	Licensed Representative	4	
TSANG Tang Kwan	BKT126	Licensed Representative	6	
TSE Ching, Heidi	AZO179	Licensed Representative		4
TSUI Yan Nga	BQP746	Licensed Representative		4
TUNG Sze Lok	AZH229	Licensed Representative		9
WAI Chang	BCO759	Licensed Representative		9
WAN Wing Yui	AYE279	Licensed Representative	1	
WANG Fan	BQO517	Licensed Representative	4	
WANG Huangji	BNY649	Licensed Representative	4, 9	6
WANG Lai	BAL857	Licensed Representative		1, 4
WANG Ling Yun	BOH801	Licensed Representative	2	
WANG Qian	BPH416	Licensed Representative	9	
WANG Shuai	BNB844	Licensed Representative		1
WANG Wei	AJC207	Licensed Representative		6
WANG Yuchan	BIT774	Licensed Representative		4
WANG Yuchen	BDZ930	Licensed Representative	4	
WEI Min	ASC827	Licensed Representative		2
WONG Eugene	AMH294	Licensed Representative	9	
WONG Frances	BKE504	Licensed Representative		4
WONG Hoi Ying Christy	BOC582	Licensed Representative		4
WONG Ka Ching	AGI520	Licensed Representative		1
WONG Ka Hei	AVL307	Licensed Representative		9
WONG Kam Yin	ADR695	Licensed Representative	4	
WONG Kin Chuen	BKA550	Licensed Representative	9	1
WONG Kin Sing, Allen	ARE058	Licensed Representative		5
WONG Po Lan	AZN128	Licensed Representative		9
WONG Si Si, Connie	BIZ557	Licensed Representative	4	
WONG Tin Yam, Alvin	APK149	Licensed Representative	9	
WONG Tsun Yin	APW342	Licensed Representative	4	
WONG Vivian Helen	AXI360	Licensed Representative	1	
WONG Wai Fai	BHB961	Licensed Representative	4	
WONG Wing	ASK570	Licensed Representative		6
WONG Yui Ho	AOR998	Licensed Representative	4	
WONG Yun Sang	BFG812	Licensed Representative	1	
WRIGHT Lindsay Megan	AKY945	Licensed Representative		4
WU Chun Wan, David	AMO642	Licensed Representative	4	
WU Jiang	APL881	Licensed Representative	4	
WU Junya	ARO390	Licensed Representative	9	
WU Qikun	BQI397	Licensed Representative	1	
WU Tianhao	BJP085	Licensed Representative	1, 2	
WU Yanwan	BNY904	Licensed Representative	2, 4	
WU Yongcheng	BPS797	Licensed Representative	4, 5	
WU Yuanzhuo	BQD515	Licensed Representative	9	
XI Jiangman	BOU469	Licensed Representative	1	
XIA Wei	BIX413	Licensed Representative		1, 9
XIAO Yan	BBT492	Licensed Representative	6	1
XIE Zhiming	BFD432	Licensed Representative	1, 2	4, 9
XU Jilong	BFO581	Licensed Representative	2, 5	
XU Pengbiao	ATW741	Licensed Representative	2	
XU Pengzi	AXT110	Licensed Representative	4	1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
XU Weixiang	BJM252	Licensed Representative	4	
XU Ying	BHZ165	Licensed Representative	4	
YAM Chak Sun	AYG286	Licensed Representative		1, 4
YAM Chung Wai	BIV276	Licensed Representative	4, 9	
YAM Hang Fai	APW638	Licensed Representative		4
YAN Wen	BLH219	Licensed Representative	1, 4, 6	10
YAN Xueying	BNC039	Licensed Representative	4	
YANG Ruoyi	BGH897	Licensed Representative	4	
YANG Xudong	BAW694	Licensed Representative	1	
YANG Yi	BBR075	Licensed Representative		1, 4
YANG Zijian	BHF423	Licensed Representative	1	
YAO Chunguan	BOB129	Licensed Representative	4	
YAU Wai Yi	BNA769	Licensed Representative	1	
YAU Wing Bui, Gilbert	AAD116	Licensed Representative	4	
YEH Man Hsuan	BJD212	Licensed Representative		4
YEUNG Chun Ki	AEP502	Licensed Representative	3	
YEUNG Man Tai	APR498	Licensed Representative	4	
YI Chenyang	BDI763	Licensed Representative	4	
YIM Chun Kit	BOM730	Licensed Representative	2	
YIN Yue	AXZ897	Licensed Representative		1, 4
YIONG Hoi San	AGT132	Licensed Representative		4
YIP Chun Wai	ACP491	Licensed Representative		2
YIU Hon Wang Henry	BDM179	Licensed Representative	4	
YIU Kam Wai, Martin	ALL047	Licensed Representative	9	
YU Ka Kong	AXL635	Licensed Representative	9	1, 2
YU Wai Hong, Johnson	BAD307	Licensed Representative		4
YU Wing Kin	BGX106	Licensed Representative		4
YU Xinning	BOE725	Licensed Representative	4	1, 2, 7
YUEN Ka Chi	ANG307	Licensed Representative		2
YUEN Wai Chak, Wilfred	AYF330	Licensed Representative	1, 9	
YUEN Wing Kit	AXP610	Licensed Representative	9	
YUNG Yu Shing	ATB835	Licensed Representative	9	
ZHANG Chenghong	AOX827	Licensed Representative	4	
ZHANG Huimin, Amy	BOT929	Licensed Representative	6	4
ZHANG LeWei	APZ177	Licensed Representative		4
ZHANG Tianfei	BEV696	Licensed Representative	1	4, 5, 9
ZHANG Xiaobin	BIR046	Licensed Representative		6
ZHAO Fanyue	BOU464	Licensed Representative	1	
ZHAO Mianlu	BNG698	Licensed Representative	1, 9	
ZHAO Yue	BMI303	Licensed Representative	4	
ZHAO Zhejin	AXD123	Licensed Representative		2
ZHAO Zhiwei	BGE025	Licensed Representative	4, 6	
ZHEN Jiajia	BOH699	Licensed Representative		4
ZHENG Jiantao	BLK421	Licensed Representative	1	2
ZHENG Xiaolei	BHZ670	Licensed Representative		6
ZHENG Yuzheng	BPA565	Licensed Representative		9
ZHOU Han	BPE835	Licensed Representative	4, 6	
ZHOU Jiaqi	BHH397	Licensed Representative	4	
ZHOU Xinyuan	BNC148	Licensed Representative		2, 4
ZHOU Yutong	BQG266	Licensed Representative		1
ZHU Cheng	BJB237	Licensed Representative		4
ZHU Jiafeng	BBQ168	Licensed Representative	9	
ZHU Rongzhen	BMP062	Licensed Representative	4	
ZOU Chengwei	BOE210	Licensed Representative	1	
CHAN Chi Hang	ALH858	Licensed Representative - Responsible Officer	1	
CHAN Lung Sang	AUA794	Licensed Representative - Responsible Officer	4	
CHAN Sheung Yan, Andrew	ALY156	Licensed Representative - Responsible Officer	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHAN Shu Kai	AAJ626	Licensed Representative - Responsible Officer	4, 9	
CHAN Wah Man Carman	AQD276	Licensed Representative - Responsible Officer	1	
CHAN Yiu Kwong	AED596	Licensed Representative - Responsible Officer	9	
CHANG Hoi Yiu	AUN662	Licensed Representative - Responsible Officer	4	
CHEN Kim Ching	ATC745	Licensed Representative - Responsible Officer	4	
CHEN Wen-Liang	BHE181	Licensed Representative - Responsible Officer	4, 5	
CHEONG Ngai Dick, Andrew	APO885	Licensed Representative - Responsible Officer	1	
CHEUNG Sze Hon, Heath	AEI046	Licensed Representative - Responsible Officer	4	
CHEUNG Wai On, Simon	AJK782	Licensed Representative - Responsible Officer	1	
CHUNG Ho Lun	AUJ772	Licensed Representative - Responsible Officer	1	
DALMASSE Canute Howard	AND837	Licensed Representative - Responsible Officer	4	
FOK Chi Tat, Michael	AGH222	Licensed Representative - Responsible Officer	4	
FU Wang Kit	AVM406	Licensed Representative - Responsible Officer	4	
FUNG Yuen Yan	AUX016	Licensed Representative - Responsible Officer	1	
GROSPERRIN Christophe Eric Pascal	AME536	Licensed Representative - Responsible Officer	4	
HO Chi Ming	AAZ139	Licensed Representative - Responsible Officer	5	
HU Yong	BGT644	Licensed Representative - Responsible Officer		2
HUANG Yu	ATI173	Licensed Representative - Responsible Officer	4	
JIANG Chenfeng	BJT261	Licensed Representative - Responsible Officer	4	
JIM Chu Sing	ATD286	Licensed Representative - Responsible Officer	4	
KANG Wing Hong, Jansen	ACD417	Licensed Representative - Responsible Officer	5	
KAO Cheng Yung	AUW023	Licensed Representative - Responsible Officer	4, 5	
KONG Ming Fai	ADZ902	Licensed Representative - Responsible Officer	2, 5	
KOO Pak Kin	AFN610	Licensed Representative - Responsible Officer		1
KOO Yuk Shing	AWA385	Licensed Representative - Responsible Officer		4
KWAI Ni	ALV039	Licensed Representative - Responsible Officer	1	
KWOK Ying Cheuk	AKU150	Licensed Representative - Responsible Officer	4, 9	
LAI Chun Kong	ABZ482	Licensed Representative - Responsible Officer	1, 4	
LAM Bun Hei	BIS018	Licensed Representative - Responsible Officer	9	
LAM Wing Hei	ATB985	Licensed Representative - Responsible Officer	2	
LAU Kwok Hei	AOQ599	Licensed Representative - Responsible Officer	4, 9	
LEE Ha Young	ADI252	Licensed Representative - Responsible Officer	9	
LEE Man Yu	BAC300	Licensed Representative - Responsible Officer	9	
LEE Michel Kai Tai	AOJ780	Licensed Representative - Responsible Officer	1, 4	
LEE Tsz Ho	AGF160	Licensed Representative - Responsible Officer	5	
LELAND Todd Wilson	BLR545	Licensed Representative - Responsible Officer	5, 9	
LI Qianxin	ATM617	Licensed Representative - Responsible Officer		4, 9
LI To Wai	AYD437	Licensed Representative - Responsible Officer	4, 9	
LIANG Cheng Chung	AVS465	Licensed Representative - Responsible Officer	5	
LIANG Lixuan	BBE457	Licensed Representative - Responsible Officer	2, 4, 5	
LIM Tak Hung	AXP028	Licensed Representative - Responsible Officer	9	
LIU Qiaosong	BDO168	Licensed Representative - Responsible Officer	4	
LOKE Wai Ming	AIB803	Licensed Representative - Responsible Officer		1
LUK Simon	AAV295	Licensed Representative - Responsible Officer		4
MA Chih-Hao	BJV179	Licensed Representative - Responsible Officer		2
MAILLEUX Sebastien, Andre, Paul	ASQ093	Licensed Representative - Responsible Officer	7	
NG Chi Hoi	ADA864	Licensed Representative - Responsible Officer	1	
NG Kwai Cho	ADB914	Licensed Representative - Responsible Officer	4	
POON Yin Ling	ALQ658	Licensed Representative - Responsible Officer	4	
PUN Kai Tai, Gary	ABY088	Licensed Representative - Responsible Officer	1, 2	
PUN Tit Shan	ABR234	Licensed Representative - Responsible Officer	4	
QIU Xiaolei	BDH009	Licensed Representative - Responsible Officer	1	
SHI Qi	BMN445	Licensed Representative - Responsible Officer	4	
TIAN Jing	AWQ102	Licensed Representative - Responsible Officer	1	
TRUONG To Linh	AFB064	Licensed Representative - Responsible Officer	4	2
TSAI Wan-Yu	ALH788	Licensed Representative - Responsible Officer	4	
TSANG Kennedy Kin Tak	ALP977	Licensed Representative - Responsible Officer	9	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TSUI Alvin Chun Ming	AMS882	Licensed Representative - Responsible Officer		1
WANG Quan	BJP307	Licensed Representative - Responsible Officer	1	
WANG Xiaotian	BAO743	Licensed Representative - Responsible Officer	5	
WONG Ka Ching	AGI520	Licensed Representative - Responsible Officer	4	
WONG Nelson Yeung Cheong	BEJ364	Licensed Representative - Responsible Officer	4	
WONG Nga Yan	AWD491	Licensed Representative - Responsible Officer	1	
WONG Tin Yam, Alvin	APK149	Licensed Representative - Responsible Officer	4	
WONG Yui Ho	AOR998	Licensed Representative - Responsible Officer	9	
WU Jianfei	BAZ794	Licensed Representative - Responsible Officer	4	
WU Junya	ARO390	Licensed Representative - Responsible Officer		6
XIONG Lang	AVM879	Licensed Representative - Responsible Officer	1	
YAN Ching Man	ASK571	Licensed Representative - Responsible Officer	2	
YAN Monika	ANA194	Licensed Representative - Responsible Officer		6
YIP Chun Wai	ACP491	Licensed Representative - Responsible Officer	1	
YUEN Lai Yan, Darius	ACO079	Licensed Representative - Responsible Officer	4	
ZHANG Juying Jerry	AFA127	Licensed Representative - Responsible Officer	1	
ZHANG Miao	BOX399	Licensed Representative - Responsible Officer	2	
ZHANG Rujie	BIQ311	Licensed Representative - Responsible Officer	4	
ZHANG Weiwen	ANM884	Licensed Representative - Responsible Officer		4
CHAN Kai Kok Kenny	BNH727	Licensed Representative (Provisional Licence)	4	
HO Sze Ting	BQR919	Licensed Representative (Provisional Licence)	2	
YEUNG Choi Fung, Amy	ANN663	Licensed Representative (Provisional Licence)	9	