

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
EnTrustPermal (Hong Kong) Limited (trading as EnTrust Global)	AML954	Licensed Corporation	1, 4
FE Advisory Asia Limited	BEL353	Licensed Corporation	4
Kilmorey Asset Management Limited	BKD494	Licensed Corporation	9
Kingston Asset Management Limited	AHH428	Licensed Corporation	9
Murasaki Capital Limited	BBQ705	Licensed Corporation	9
Old Peak Capital Limited	BDF608	Licensed Corporation	4, 9
SCK Securities Limited	BLE878	Licensed Corporation	1, 4
Bank of Taiwan	ABR777	Registered Institution	1
ALEVIZOS Sarah Alexandra Schell	BIC287	Licensed Representative	1, 4, 6
ALLISON Andrew James	BNJ950	Licensed Representative	9
AN Chang	BPI969	Licensed Representative	4
AOKI Hidehiko	BAI638	Licensed Representative	4
APPLETON Wina May	BCL062	Licensed Representative	1, 4, 9
AU Shuk Ying	BNY420	Licensed Representative	1, 4, 9
BAI Wen	BMO765	Licensed Representative	1, 4, 6
BAO Hong	BQO793	Licensed Representative	1, 4, 6
BATTEN Jason	BOR489	Licensed Representative	1, 2
BIJLANI Ashish Harish	APC220	Licensed Representative	1
BOND Matthew James William	BJB297	Licensed Representative	1, 4, 9
CAO Jingleng	BHU218	Licensed Representative	1, 2, 5
CAPODICI Dario	BDT209	Licensed Representative	1, 2
CHAN Andrew Shingfun	AMC389	Licensed Representative	10
CHAN Cheuk Wei	BOA606	Licensed Representative	1, 2, 3
CHAN Cheuk Wing	BBU902	Licensed Representative	1, 4, 9
CHAN Chi Sut	BFP320	Licensed Representative	9
CHAN Cho Yan	BID789	Licensed Representative	1, 6
CHAN Chun Yiu David	BEG358	Licensed Representative	1, 2
CHAN Kai Man	AMW365	Licensed Representative	1, 2
CHAN Kam Wing	ABI310	Licensed Representative	1
CHAN Kwok Ley	BMS600	Licensed Representative	1, 4
CHAN Lai Yin	BNN404	Licensed Representative	1, 2, 3
CHAN Oi Hing	AGP659	Licensed Representative	1, 4
CHAN Siu Wing	AWW339	Licensed Representative	1, 2
CHAN Tik	AVZ264	Licensed Representative	1
CHAN Tsz Fung	BNV854	Licensed Representative	1, 2
CHAN Wai Man, Cilla	AJH101	Licensed Representative	1
CHAN Wing Yin	AJG655	Licensed Representative	1
CHANG Peter Cheng Pang	BOR325	Licensed Representative	1, 6
CHEN Chun Tung, Jason	ANU347	Licensed Representative	4, 9
CHEN David Shih-wei	BLP963	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Fenghua	BOC244	Licensed Representative	6
CHEN Ke	BNN587	Licensed Representative	4
CHEN Meng	BMV926	Licensed Representative	4
CHEN Shiyu	AFM923	Licensed Representative	4, 6
CHEN Shuai	BEM645	Licensed Representative	4, 9
CHEN Xiaobo	BOH826	Licensed Representative	4, 9
CHEN Yang	BBE952	Licensed Representative	4
CHEN Yang	BKL280	Licensed Representative	9
CHEN Ye	BPV962	Licensed Representative	4
CHEN Yi-Lin	AWC188	Licensed Representative	4
CHEN Yingjuan	BMQ934	Licensed Representative	1, 4, 6
CHEN Zhenyang	BNE480	Licensed Representative	1, 4
CHEN Zhiyi	BJU798	Licensed Representative	1, 4
CHENG Ching Wan	BBW618	Licensed Representative	9
CHENG Dennis Chung Sing	BGV481	Licensed Representative	1, 2, 7
CHENG Pui Lam	AVC990	Licensed Representative	1, 2
CHENG Qian	BGG246	Licensed Representative	1, 2
CHENG Siu Yan, Peri	AFC176	Licensed Representative	1
CHEUNG Chi Lok	BID269	Licensed Representative	1, 4
CHEUNG Ka Li	AGL778	Licensed Representative	1
CHEUNG Ka Man	BJM101	Licensed Representative	6
CHEUNG Kam San	BJC186	Licensed Representative	6
CHEUNG Kwong Lap	AEL507	Licensed Representative	1
CHEUNG Lik Tung Carrie	BAD678	Licensed Representative	1, 2
CHI Shan Shan	APF046	Licensed Representative	1
CHIN Yat Kiu	BON636	Licensed Representative	1, 2
CHING Hiu Fai	AYK156	Licensed Representative	9
CHING Shing Noi	AMM422	Licensed Representative	1
CHIU Yie Kie	AMS205	Licensed Representative	1, 2
CHONG Chao Xiang	BEL957	Licensed Representative	4, 9
CHOO Hock Heem	BHX380	Licensed Representative	6
CHOW Ching	BBB721	Licensed Representative	1
CHOW Hon Kei, Eric	BOI877	Licensed Representative	4, 9
CHOW Wai Ho	BNJ103	Licensed Representative	1
CHU Hilary Hiu Lam	BLM888	Licensed Representative	1
CHU Hsu Wen	BHU818	Licensed Representative	1, 4
CHU Ka Hei	BFS475	Licensed Representative	1, 2
CHU Man Kuen, Maggie	BCV514	Licensed Representative	1, 2
CHU Wai Hei	BJC071	Licensed Representative	1, 4, 6
CHUA Si Ming Gordon	BPA519	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHUI Yu Wing	ADI062	Licensed Representative	1
CHUNG Hiu Fai	BOU783	Licensed Representative	1, 4
CHUNG Ho Yee	BHH748	Licensed Representative	1, 2
CHUNG Yi-Ling	AVV549	Licensed Representative	4, 9
CLAIR Alois Quilian Joseph	BPW770	Licensed Representative	1, 4
CLAVEL Mathieu Pierre Luc	BGG689	Licensed Representative	1, 9
CLEMENTS Robert Scott	BOP659	Licensed Representative	1, 4
COBO PIEKENBROCK Alejandro	BOZ411	Licensed Representative	1, 2, 7
COPE Dillon Levander	BJA646	Licensed Representative	1, 4, 6
CROFTON-ATKINS Charles Randell	AER810	Licensed Representative	1
CUI Sunfeiyue	BPG134	Licensed Representative	1
DAI Hao	BAX544	Licensed Representative	1, 4
DAI Zuxiang	AJE159	Licensed Representative	4, 9
DE LAS CASAS VASQUEZ Guillermo Luis	AXV585	Licensed Representative	4, 9
DE PREE Oliver Harry Jameson	AIR402	Licensed Representative	1
DELION Ludovic Jean-Louis Jean-rene J.	BOA766	Licensed Representative	1, 4, 9
DENG Yi	BDH699	Licensed Representative	1
DING Jiawen	BOK368	Licensed Representative	4
DING Lin	ANH057	Licensed Representative	1, 6
DING Lin	BLU081	Licensed Representative	1, 4
DING Nanfei	ALD667	Licensed Representative	1, 2
DIVECHA Sachin Gangaram	ARB849	Licensed Representative	9
DOCTOR Rohan Nadir	ATY606	Licensed Representative	1
DOH Seung Han	AVJ096	Licensed Representative	1, 2
DOUGLAS Natsuko	BOR425	Licensed Representative	4
DU Xiyuan	BNG836	Licensed Representative	1, 4, 6
ELIE TANUJAYA Kevin	BEF300	Licensed Representative	1, 2, 4, 5, 9
EWERT Nicolas Erik Arpad	BNU708	Licensed Representative	6
FAN Yu Shen	BOP727	Licensed Representative	1, 4, 9
FENG Jin	BEN176	Licensed Representative	1, 6
FOK Pak Yu	BFS078	Licensed Representative	1
FRAMBES Brian Christopher	BBG516	Licensed Representative	1, 9
FU Jiali	BIL828	Licensed Representative	1
FUNG Kai Hang, John	BJE909	Licensed Representative	4, 9
FUNG Oi Yee	BLP846	Licensed Representative	1, 2
FUNG Siu Lam	ASQ477	Licensed Representative	1, 2
GAMMEL Jason Dean	BJT634	Licensed Representative	4
GAO Bin	BPV900	Licensed Representative	1, 2
GAO Yuzhong	BOC287	Licensed Representative	1, 4
GILES Stuart William	AVH673	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
GILMAN Matthew Guy	BBT507	Licensed Representative	4
GOFF John Swafford	AHS150	Licensed Representative	2, 5, 6
GONG Jie	BHK445	Licensed Representative	1, 2, 3
GU Fei	BNV539	Licensed Representative	1, 4
GU Ying	BMR640	Licensed Representative	2, 5
GUO Chenggang	BIV430	Licensed Representative	9
GUPTA Ashutosh	ATW073	Licensed Representative	9
HAN Chengwei	BHC509	Licensed Representative	9
HAN Chunxiao	BQT175	Licensed Representative	4
HAN Tianyue	BJR339	Licensed Representative	1, 6
HAO Yu	BNX935	Licensed Representative	1, 4, 9
HASAN Safaat	BDE810	Licensed Representative	1, 2, 4
HE Jingxi	BOJ609	Licensed Representative	2
HE Nanyang	BML708	Licensed Representative	4
HE Ruiying	BJP497	Licensed Representative	4
HE Yanqing	BNL377	Licensed Representative	4
HE Zhishan	BQO934	Licensed Representative	1, 4, 6
HEGARTY Matthew Donal	BON555	Licensed Representative	9
HENG Liping	BMQ901	Licensed Representative	1, 4
HERLIHY James Patrick	BDC612	Licensed Representative	9
HIGUCHI Hajime	BCN397	Licensed Representative	1, 4, 6
HIROKAWA Yu	BNO582	Licensed Representative	9
HO Kin Lok	AAE173	Licensed Representative	1
HO Ngar Yan	AEY921	Licensed Representative	1, 4
HO Suk Chun	AUT164	Licensed Representative	1, 2, 3, 4, 9
HON Hoi Kwong	BLY462	Licensed Representative	4, 9
HON Ka Man, Roger	ALG207	Licensed Representative	1, 2
HSIAO Tiffany Jihsheng	AQC825	Licensed Representative	1
HSIEH Chao Kuang	ASQ480	Licensed Representative	1, 2
HSU Shu-Hui	BMX706	Licensed Representative	1, 2
HU Dawei	BNI563	Licensed Representative	1, 6
HUANG Lili	ASZ593	Licensed Representative	4
HUI Chi Shing	BLR507	Licensed Representative	6
HUI Ka Wai	BJL657	Licensed Representative	1, 4, 9
HUI Yip Ming	AXD372	Licensed Representative	1
HUNG Hei Shun	BNG150	Licensed Representative	4, 9
HUNG Peng-Sheng	BNU789	Licensed Representative	1, 2
HUNG Shao Feng	BOJ331	Licensed Representative	1, 2
HUNG Tsz Him Jonathan	BMU968	Licensed Representative	1, 6
HUNT William Mitchell	BBL387	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
IMAI Akiko	BBH496	Licensed Representative	1, 7
IP Joseph Zi Jun	BHM170	Licensed Representative	1
IP Wai Ki Ricky	AJL450	Licensed Representative	1
JHA Harshwardhan	BGR022	Licensed Representative	1
JIA Xiaokan	BBP857	Licensed Representative	1, 2, 4
JIANG Jiali	BAE843	Licensed Representative	1, 4, 6
JIANG Lai	BPD999	Licensed Representative	1, 6
JIANG Xiang	BDJ974	Licensed Representative	1
JIANG Yiru	BPB606	Licensed Representative	1, 6
JIN Yingzi	AML454	Licensed Representative	1
JING Pengxin	BOP918	Licensed Representative	4, 9
JOHN Rohit	BJI748	Licensed Representative	1
JOU Geoffrey	BNS140	Licensed Representative	1, 2
KAGIWADA Shun	BCH435	Licensed Representative	1
KAM Chi Shing	ABE384	Licensed Representative	1
KANAAN George	BBB501	Licensed Representative	1
KANG Lu	BEA179	Licensed Representative	1, 6
KE Baiwei	BEF302	Licensed Representative	1, 4, 9
KE Zhening	BKB791	Licensed Representative	1, 6
KHILNANI Mrinal	ATO158	Licensed Representative	1
KILPELAINEN Taavi Kuutti Aapeli	BOZ243	Licensed Representative	1, 6
KIM Dong Chan	BEC401	Licensed Representative	1, 2, 7
KO Sze Yuk	BEU877	Licensed Representative	1, 2
KO Wai Mei	BPK728	Licensed Representative	1, 2
KONG Hoi Ming	BPM578	Licensed Representative	1
KOO Mary Tin Yee	AWR535	Licensed Representative	1, 6
KUMAR Ajay	ARN358	Licensed Representative	9
KWAN Pui Chee	AUW887	Licensed Representative	1, 2
KWOK Lim Lung	BDI584	Licensed Representative	1
KWOK Mo Yan	AXR156	Licensed Representative	1
LAI Andrew Kwan Chuen	BCF391	Licensed Representative	4, 9
LAM Cheuk Fung	ASP033	Licensed Representative	1
LAM San Lee	BPU151	Licensed Representative	1, 4, 9
LAU Cheuk Yu	AMC331	Licensed Representative	1, 4
LAU Chun Ho	BNO450	Licensed Representative	1
LAU Chun Lung	AWC078	Licensed Representative	1
LAU Ho Wing	AYK445	Licensed Representative	1
LAU Ho Yin	APC462	Licensed Representative	1, 4
LAU Ho Yin	BML619	Licensed Representative	1
LAU Kui Bong Jonathan	ASF492	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Man Ying	BMU856	Licensed Representative	1, 4, 6
LAU Ming Yeung, Stephen	ANB243	Licensed Representative	6
LAU Siu Tao, Marconi	ACH600	Licensed Representative	2
LAU Wing Ki	BMX753	Licensed Representative	6
LAUK Leon-Alexis Johann Carol	BIE149	Licensed Representative	6
LEE Andrew Shei Young	AVD111	Licensed Representative	9
LEE Ellen	AFU590	Licensed Representative	1, 4
LEE Fung Yee, Judith	ADU362	Licensed Representative	1
LEE Jamin	BNN326	Licensed Representative	1
LEE Ming Yong, Kenny	AVT520	Licensed Representative	9
LEE Ming-Hsun	BAO744	Licensed Representative	4
LEE Nicholas Kong Tze	ANG864	Licensed Representative	1, 2
LEE Siu Fung	BLJ226	Licensed Representative	1
LEE Te-Chun	BKE212	Licensed Representative	1, 2, 9
LEUNG Ka Fat, Teddy	ACI083	Licensed Representative	9
LEUNG King Nin Kevin	BGX717	Licensed Representative	10
LEUNG Siu Kwan	BLI874	Licensed Representative	1, 2, 7
LEUNG Ting Kwok	ALW486	Licensed Representative	1, 2
LEVY Andrew Frederick	BFC716	Licensed Representative	4
LI Di	BMW367	Licensed Representative	1, 2
LI Guowei	BIG462	Licensed Representative	1, 2
LI Heqing	BMW777	Licensed Representative	1, 4
LI Ka Wing	BGK646	Licensed Representative	1
LI LU	BKI387	Licensed Representative	1, 4, 9
LI Qiya	BHZ111	Licensed Representative	1, 6
LI Shuhao	BNO837	Licensed Representative	6
LI Wai Lap	BAA227	Licensed Representative	1, 4, 9
LI Yuanyuan	BHY383	Licensed Representative	6
LI Zongwei	BPX927	Licensed Representative	1, 6
LIANG Yaoyuan	BNF073	Licensed Representative	1, 2
LIN Chin-Yu	BJC342	Licensed Representative	1
LIN Chun Hsien	AQW621	Licensed Representative	1, 4
LIN Jen Min	AQR696	Licensed Representative	1, 4, 9
LIN Jia	BEG535	Licensed Representative	1, 4
LIN Long	BIC892	Licensed Representative	1, 2
LIN Shijing	BGH221	Licensed Representative	1, 6
LIN Yi-Hui	BPX476	Licensed Representative	1, 4
LIU Bin	ARV133	Licensed Representative	4
LIU Chang	BNT779	Licensed Representative	9
LIU Haoran	BCP185	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Jiachuan	BIJ962	Licensed Representative	9
LIU Jiaji	BLC129	Licensed Representative	4
LIU Jie	AQU060	Licensed Representative	1, 2
LIU Jie	BOS505	Licensed Representative	1, 2
LIU Kit Ying, Christine	AOJ342	Licensed Representative	1
LIU Qiao	BLO687	Licensed Representative	4
LIU Shanshan	BMD868	Licensed Representative	1, 2, 4
LIU Shiyan	BLK641	Licensed Representative	1, 4
LIU Shunchang	BOD360	Licensed Representative	5
LIU Xi	BQS782	Licensed Representative	4
LIU Yixi	BNN401	Licensed Representative	1
LIU Yu	BBK382	Licensed Representative	1
LIU Zan	BCP483	Licensed Representative	4, 9
LO Cho Yiu	BBIO20	Licensed Representative	1
LOGAN Anura	BLE134	Licensed Representative	1
LOH Qiuli	BIO294	Licensed Representative	1, 2, 9
LONG Yu	BOG511	Licensed Representative	1, 4
LOW Wei-en	BPQ857	Licensed Representative	1
LU Guan hao	BNG992	Licensed Representative	1, 4, 9
LU Xi	BEY876	Licensed Representative	1
LU Yu	BBE946	Licensed Representative	1
LUI Lap Man	AHH963	Licensed Representative	1, 2
LUI Pak Tong	BBN874	Licensed Representative	1, 4, 9
LUK Hiu Man	BNW242	Licensed Representative	1, 2
LUN Wai Ho, Joseph	AOU493	Licensed Representative	1, 4, 6
MA Cheng	BFA194	Licensed Representative	1, 6
MA Ka Man, Carmen	BOR009	Licensed Representative	1, 4
MA Li	BBG535	Licensed Representative	2, 5
MA Ruosi	BKM256	Licensed Representative	1, 4
MA Yi	BHX379	Licensed Representative	1, 6
MAC ALOON John Joseph	AVF623	Licensed Representative	1, 2, 4
MACCABEE Matthew Sidney	BMJ717	Licensed Representative	1, 4, 9
MACKENZIE Alistair Mel Arthurs	BOB533	Licensed Representative	4
MADIOUNI Yassine	BNL369	Licensed Representative	9
MAK Hing Ling, Bonnie	ADK543	Licensed Representative	1, 2
MAK Wing Yan	BMX139	Licensed Representative	3
MAN Pik Sum	BJM378	Licensed Representative	1, 2
MENG Chuyao	BHK403	Licensed Representative	1, 4
MENG Yuyang	BPJ486	Licensed Representative	6
MINARIK David Matthew	AUW513	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
MINERS - JONES Tristram David	BMN427	Licensed Representative	1, 2, 4, 9
MOK Wai Lap Gavin	ALD459	Licensed Representative	1, 4
MU Lei	BOM498	Licensed Representative	4
MUKHAMETSHIN Anvar	BND402	Licensed Representative	1
NAKAHASHI Toru	BFB286	Licensed Representative	9
NEE Mary Yuet So	ABF513	Licensed Representative	4, 9
NG Chi Wai	AGN476	Licensed Representative	9
NG Hon Choi	AEI107	Licensed Representative	1
NG Tat Kong	AFC503	Licensed Representative	1
NG Tse Hymn, Joshua	BDT433	Licensed Representative	1
NG Tsz Ho, David	AOU491	Licensed Representative	1, 2, 4
NG Wen Ying	BOA465	Licensed Representative	1, 6
NG Wing Kay Elaine	BOD517	Licensed Representative	4
NG Wing Shan	AUZ074	Licensed Representative	1
NGAI Tin Wai	AXB871	Licensed Representative	1, 2, 4, 5
NI Chao	BPK828	Licensed Representative	1, 4, 9
NI Kang	BMO297	Licensed Representative	1, 2
NOBLE Lance	BPX521	Licensed Representative	4
NOMURA Hironoshin	BFX977	Licensed Representative	4
OH Jee Seon	BOR658	Licensed Representative	1, 6
OHTA Tomoko	BJR966	Licensed Representative	1, 4
OKEKE Larisa	BOT138	Licensed Representative	4
ONO Shingo	BOS033	Licensed Representative	9
PAN Jihao	BOG015	Licensed Representative	6
PANG Christopher	BKY624	Licensed Representative	1
PANG Ho Cheung	AGN451	Licensed Representative	9
PANG Kar Ho Albert	BJW188	Licensed Representative	4, 9
PAREKH Neelkanth Satish	APH717	Licensed Representative	1
PARK Hyun Ouk	BHG337	Licensed Representative	1, 4
PARK Suyeon	BPU789	Licensed Representative	1, 4
PATEL Nilay Madhusudan	BOI265	Licensed Representative	9
PENG Cheng	BKT370	Licensed Representative	1, 4, 6
PERFETTINI Alice Mary Mathilde	BLF889	Licensed Representative	1, 4, 9
PIRENC Lourens Hergen	AOU140	Licensed Representative	1
POWELL Sarah Jane	BGC816	Licensed Representative	1, 4, 9
PRACHAKIT Lalitta	BNN396	Licensed Representative	1
PURI Ruchit	BJG651	Licensed Representative	9
QI Jiali	BNI023	Licensed Representative	4
QIU Guoquan	BPH565	Licensed Representative	6
QIU Shihui	BMC619	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
QU Yiling	BOT010	Licensed Representative	1, 4, 9
RADE Guillaume Roland	BKI755	Licensed Representative	1, 4
RAI NEEL KALRA	BQE377	Licensed Representative	9
ROSS Iain Laurence	AYP091	Licensed Representative	1, 4, 7
ROY Ashwani Kumar	BOJ282	Licensed Representative	6
SACCO Alexander David	BMH784	Licensed Representative	1, 2, 7
SAKAIDA Hiroyuki	BNU040	Licensed Representative	4
SANG Yifeng	BMH837	Licensed Representative	1, 6
SANTHA Daniel	BHK899	Licensed Representative	1, 2
SEOW Zhi Xiang	AWO859	Licensed Representative	1, 2
SHAH Roheet	AKO801	Licensed Representative	1, 2
SHAN Kaipin	ASQ478	Licensed Representative	1, 2
SHAO Guanqi	BOT752	Licensed Representative	1, 6
SHEA Kwan Chun Godfrey	BQR100	Licensed Representative	4, 9
SHEN Jinting	BNP257	Licensed Representative	4, 9
SHI Xiaomeng	BNN042	Licensed Representative	4, 9
SHIN I-Lin	BIC597	Licensed Representative	1, 4
SHOU Chen	BLK919	Licensed Representative	1, 2, 4
SHU Tsz Hin	BCU788	Licensed Representative	1, 2, 4
SHUM Wai Cheung	AAH982	Licensed Representative	1
SILVERS Brock Louis	BPD898	Licensed Representative	9
SING Raymond Pui Choy	ALZ057	Licensed Representative	1
SIT Fei Har	ACL481	Licensed Representative	1
SIT Pou I	AGJ356	Licensed Representative	1
SO Man Ying	BHR648	Licensed Representative	6
SOLEIMANI Ali Afshin	BMY789	Licensed Representative	1, 2
SONG Xiao	BCP019	Licensed Representative	1, 4, 6
SOON Li Ting	BPH537	Licensed Representative	4
SOUILMI EP. PINCHON Meryeme	BEL070	Licensed Representative	2
SPELLMANN Hermann Arnold	BBB329	Licensed Representative	1
STANICA Adrian	ARE767	Licensed Representative	1, 4
SUEN Wing Lam	BOT821	Licensed Representative	1, 2
SUN Changyu	BES708	Licensed Representative	1, 6
SUN Weijun	BPF607	Licensed Representative	1
SUNG Kwenny Kwen Li	ACL983	Licensed Representative	1
SZE Bo Ying	BLL282	Licensed Representative	9
TAI Siu Chung	BAV570	Licensed Representative	1, 2
TAKAHASHI Eri	BHS140	Licensed Representative	1
TAM King Hang	BJL351	Licensed Representative	2
TAM King Yip, Stephen	AQM646	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAN Bin	BMW049	Licensed Representative	1, 6
TAN Eugene Yi Jin	ATE886	Licensed Representative	1
TAN Paul Peck Teng	BHX390	Licensed Representative	1, 2
TAN Tan	BMR356	Licensed Representative	4, 9
TAN Weiqiang Alvin	BNO597	Licensed Representative	6
TAN Yi Kai	AVH103	Licensed Representative	4, 9
TANG Jing	BOD806	Licensed Representative	1, 4, 6
TANG Lok Chi	BOO535	Licensed Representative	9
TANG Nan	BLY757	Licensed Representative	1, 4, 6
TANG Yu Lam	AVG579	Licensed Representative	1, 4, 9
TANG Ziqing	BNR776	Licensed Representative	1, 6
TAO Jing	BLU714	Licensed Representative	1
TAO Xiangrui	BOP401	Licensed Representative	1, 6
TEMPEST Nicholas Alexander James	BGI091	Licensed Representative	1, 4
TEO Zhaoxiong Kelvin	BOC268	Licensed Representative	1, 4, 9
THIRUVENGADASAMY Balaji	AOY313	Licensed Representative	1
THOMPSON Brooks Upham	ANQ434	Licensed Representative	1
THURLIN Arto Joonas	BNC714	Licensed Representative	1
THYNNE Grenville Matthew	AEZ034	Licensed Representative	1, 6
TING Fu Wah	AOE212	Licensed Representative	1, 4
TO Nga Fong	AXX670	Licensed Representative	1
TOJO Tatsuya	AYI142	Licensed Representative	9
TRUONG Alfred Kar Yin	BED061	Licensed Representative	1, 2
TSANG Kwok-Hang Kelvin	AMS876	Licensed Representative	1
TSANG Ling Wai, William	AFM557	Licensed Representative	1, 2, 4, 5
TSANG Shuk Mei	BNS058	Licensed Representative	1, 4
TSANG Ting Chi	AGS195	Licensed Representative	1
TSANG Wai Yin Jason	BPY109	Licensed Representative	1, 2
TSANG Yin Kan	AGP156	Licensed Representative	1, 4
TSE Chi Hung	AKI600	Licensed Representative	1, 4
TSE Ka Pui	BJX258	Licensed Representative	1, 4, 9
TSENG Chang Chun	BHG321	Licensed Representative	2, 5
TSUI Leong	BBG792	Licensed Representative	1
TUNG Chau Han	BJS154	Licensed Representative	1, 2
UBEROI Sandeep Singh	BLD532	Licensed Representative	1, 4, 6
UEBERSCHAER Ulf	BPI932	Licensed Representative	1, 4, 9
VARU Sachit	BNV207	Licensed Representative	1, 2
WAN Mengqi	BFY675	Licensed Representative	9
WANG Daning	BMV425	Licensed Representative	1, 2
WANG Ding	BOB212	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Donger	AVR705	Licensed Representative	4
WANG Haichen	BDB885	Licensed Representative	1, 4, 9
WANG Jieqiong	BDU839	Licensed Representative	1, 4, 9
WANG Lan	AXK661	Licensed Representative	4, 9
WANG Shihong	BLK735	Licensed Representative	1, 4
WANG Wei	AHN513	Licensed Representative	1, 2
WANG Yaning	BKZ894	Licensed Representative	9
WANG Yiheng	BOP544	Licensed Representative	4
WANG Yingjuan	BOR755	Licensed Representative	6
WANG Yuwei	BNK960	Licensed Representative	4, 9
WANG Zhongyu	BNC995	Licensed Representative	1, 4, 9
WEI Qilin	BBJ184	Licensed Representative	1, 2
WEINERT Robert Thomas	BGB557	Licensed Representative	1
WILKS Richard John	BNJ105	Licensed Representative	6
WONG Bo Fung	BOG509	Licensed Representative	1
WONG Chi Ching	AGJ939	Licensed Representative	1
WONG Chui Lor	ALU426	Licensed Representative	1, 2
WONG Jennifer Yuen Kai	AOU644	Licensed Representative	1
WONG Ka Chun	BPY688	Licensed Representative	4
WONG Kam Tung	BOK840	Licensed Representative	1
WONG Kam Yiu	BOC673	Licensed Representative	1, 2
WONG Kwan Leung	BLG367	Licensed Representative	9
WONG Linda Wai Ling	ACZ365	Licensed Representative	1
WONG Man Fai	AUN668	Licensed Representative	1
WONG To Chun	APA241	Licensed Representative	1, 2
WONG Tsang Yam	BJX678	Licensed Representative	1, 2
WONG Yat Hei	BOH637	Licensed Representative	1, 2
WONG Yuk Yin	AAD853	Licensed Representative	1
WOO Ying, Elaine	AFT765	Licensed Representative	1, 2
WOOD Graham Douglas	AKT391	Licensed Representative	9
WU Ching Fai, Frank	ACS670	Licensed Representative	6
WU Hao	BJU814	Licensed Representative	1
WU Renjie	AVJ119	Licensed Representative	1, 4, 9
WU Yuyang	BGG714	Licensed Representative	4
WU ZISANG	BOL198	Licensed Representative	4, 9
XIAN Quanqiang	ANM958	Licensed Representative	4, 9
XIAN Yingtong	BNQ934	Licensed Representative	4, 9
XIAO Yao	BMR574	Licensed Representative	1
XU Chenxi	BLO521	Licensed Representative	4, 9
XU Huihui	BIY213	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Ke	BNL254	Licensed Representative	4, 9
XU Yujie	AHR503	Licensed Representative	1, 4, 9
XU Zhichen	BOS280	Licensed Representative	9
XUE Yuanyuan	BLI681	Licensed Representative	4
YAN Nan	BFD014	Licensed Representative	1, 4
YAN Ximin	BPG215	Licensed Representative	6
YANG Ching-Cheng	BEZ033	Licensed Representative	1
YANG Hongbo	BME752	Licensed Representative	4, 5
YANG Jian	BML607	Licensed Representative	1, 6
YANG Jinhan	BHZ998	Licensed Representative	1
YANG Junjie	BF1764	Licensed Representative	9
YANG Justin James	AGJ009	Licensed Representative	1, 2
YANG Qi	BIN065	Licensed Representative	1, 4
YANG Yi	BJK791	Licensed Representative	1, 4, 6
YAU Kam Leung	AME571	Licensed Representative	1
YAU King Kwok, Andrew	AEJ184	Licensed Representative	1, 2, 4
YE Bo	BOD134	Licensed Representative	1, 4, 9
YE Qianyan	BDY369	Licensed Representative	1, 4
YEUNG Chun Pui	BJE036	Licensed Representative	9
YEUNG Fai	BEO592	Licensed Representative	1
YEUNG Ho Yam Richard	BMH865	Licensed Representative	4, 9
YEUNG Kwok Sang, Vincent	AFQ257	Licensed Representative	1, 9
YIN Yilong	BMW426	Licensed Representative	1, 6
YIP Chi Chung	ATL323	Licensed Representative	1
YIP Jacky	AYJ375	Licensed Representative	1
YIP Shui Key	ACS410	Licensed Representative	4, 5, 9
YIP Ting Cheung, Joseph	AKI964	Licensed Representative	1, 2
YIU Ka Hei	BBN043	Licensed Representative	2, 9
YIU King Shan	BBW604	Licensed Representative	1
YU Ho Ching	BPD659	Licensed Representative	1, 4, 9
YU Hui	BMA475	Licensed Representative	1, 6
YU Qining	BKT367	Licensed Representative	1, 4
YU Ya Pin	BJJ522	Licensed Representative	1, 4, 9
YUNG Jacqueline Wai See	BNO482	Licensed Representative	2, 5
ZENG Duying	BNH913	Licensed Representative	4, 9
ZENG Xing	BOR371	Licensed Representative	1, 4, 6
ZENG Yu Kwan, Andrew	BNQ708	Licensed Representative	1, 4, 6
ZHAI Hongwu	BQN719	Licensed Representative	4, 9
ZHANG Dawei	BJJ058	Licensed Representative	4
ZHANG Jingyan	BEJ967	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Xiaohan	BN1542	Licensed Representative	1
ZHANG Xiaoyue	BGA617	Licensed Representative	1, 4, 9
ZHANG Yanrong	BJY723	Licensed Representative	1, 6
ZHAO Danni	BBE751	Licensed Representative	1, 4
ZHAO Jiean	BNR185	Licensed Representative	1, 4
ZHAO Lin	BNJ907	Licensed Representative	10
ZHAO Meiling	BOE386	Licensed Representative	6
ZHAO Meng	BPW912	Licensed Representative	1, 4, 6
ZHAO Xiangyu	BEO265	Licensed Representative	4, 9
ZHENG Ang	BDV090	Licensed Representative	1, 6
ZHENG Fei	BNS485	Licensed Representative	4
ZHOU Fan	BOF086	Licensed Representative	4, 9
ZHOU Jiayu	BQN227	Licensed Representative	1, 6
ZHU Guoyi	BNN524	Licensed Representative	4, 9
ZHU Jiaying	BGK397	Licensed Representative	1, 4, 9
ZHU Xu	BNV448	Licensed Representative	1, 4, 9
AU-YEUNG Kin Hang	ACV027	Licensed Representative - Responsible Officer	3
BENTLEY Nicolas Bryan	AAJ338	Licensed Representative - Responsible Officer	4, 9
BURNETT Peter William	ADX522	Licensed Representative - Responsible Officer	1, 4, 6
CHAN Chi Kin	ABI401	Licensed Representative - Responsible Officer	1, 2, 4
CHAN Ching Wan	AFH098	Licensed Representative - Responsible Officer	4, 9
CHAN Kei	AEY425	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Sum Fat	AAB740	Licensed Representative - Responsible Officer	1, 4
CHAN Wan Man	AED340	Licensed Representative - Responsible Officer	6
CHANG Wan, David	AFH129	Licensed Representative - Responsible Officer	1, 4
CHEN Chun Tung, Jason	ANU347	Licensed Representative - Responsible Officer	1
CHEN Huilin	APC643	Licensed Representative - Responsible Officer	1, 4, 9
CHEN Min	BNU146	Licensed Representative - Responsible Officer	4, 9
CHEN Shih-Long	AUZ757	Licensed Representative - Responsible Officer	1
CHENG Kwai Ping, Margaret	AAJ042	Licensed Representative - Responsible Officer	1, 4
CHENG Wai Chung	AAJ186	Licensed Representative - Responsible Officer	1, 2, 5
CHURCHHOUSE Jean-Paul Antony	AFI697	Licensed Representative - Responsible Officer	1, 2
CONNERY Anthony Sean	AXV752	Licensed Representative - Responsible Officer	1, 4, 9
DE PREE Oliver Harry Jameson	AIR402	Licensed Representative - Responsible Officer	9
DE SANTIS JR Joseph Frank	BIS913	Licensed Representative - Responsible Officer	9
DONG Hao	BNY029	Licensed Representative - Responsible Officer	9
FRITSCHI Juerg Christoph	ASH305	Licensed Representative - Responsible Officer	4, 9
GAYNOR Scott Allen	ALB766	Licensed Representative - Responsible Officer	9
GHUMAN Jagdeep Singh	BNV380	Licensed Representative - Responsible Officer	9
GOFF John Swafford	AHS150	Licensed Representative - Responsible Officer	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
HAYTHORNE Martin John	BET357	Licensed Representative - Responsible Officer	6
HENNINGSEN Michael	AUW140	Licensed Representative - Responsible Officer	1
HUNG Cho Yan	ALF771	Licensed Representative - Responsible Officer	1
KAGIWADA Shun	BCH435	Licensed Representative - Responsible Officer	4, 9
KAM Chi Shing	ABE384	Licensed Representative - Responsible Officer	2, 5
KAY Nigel Timothy	ACU879	Licensed Representative - Responsible Officer	4, 9
KITAGAWA Munekazu	BDY322	Licensed Representative - Responsible Officer	1
KO Tha Fung	AXX482	Licensed Representative - Responsible Officer	4, 9
KRIESEL Andree	AEU877	Licensed Representative - Responsible Officer	4, 9
KWAN Yu Ting, Dennis	AFU648	Licensed Representative - Responsible Officer	1, 4
LAM Fung	AEZ198	Licensed Representative - Responsible Officer	9
LAM Sai Wai, Alain	AES822	Licensed Representative - Responsible Officer	1, 4, 6
LAM Wo Hui	AFU639	Licensed Representative - Responsible Officer	4, 5
LAM Yuk Ying, Elsa	AFU002	Licensed Representative - Responsible Officer	4, 9
LAU Man Tat	AAF968	Licensed Representative - Responsible Officer	1
LAU Pak Hong	AOT502	Licensed Representative - Responsible Officer	9
LEE Tsz Hin	ATN988	Licensed Representative - Responsible Officer	4, 9
LEOW Kok Peng	BAY308	Licensed Representative - Responsible Officer	9
LI Jingbo	AYN948	Licensed Representative - Responsible Officer	4, 9
LIN Rui	BPN165	Licensed Representative - Responsible Officer	9
LIN Sung-hsien	APN929	Licensed Representative - Responsible Officer	4, 9
LIU Chang	BNT779	Licensed Representative - Responsible Officer	1, 4
LIU Yen Pui	AAA569	Licensed Representative - Responsible Officer	1
LIU Yiyang	BPK314	Licensed Representative - Responsible Officer	1, 4, 9
LO Kam Yan, Helen	AOS365	Licensed Representative - Responsible Officer	4, 9
LU Yu	BBE946	Licensed Representative - Responsible Officer	4, 9
LUO Dengpan	BGD440	Licensed Representative - Responsible Officer	4, 9
MAHOUE Frederic, Jean, Olivier	ANE856	Licensed Representative - Responsible Officer	1, 2
MAK Wing Yin	ACL289	Licensed Representative - Responsible Officer	1
MEI Song	BLE515	Licensed Representative - Responsible Officer	4
MICHAEL Benjamin Ross Wallington	AMI890	Licensed Representative - Responsible Officer	1, 2, 4, 5
MURAI Toshiyuki	ASX490	Licensed Representative - Responsible Officer	1, 4, 9
NG Chi Wai	APH765	Licensed Representative - Responsible Officer	1, 2, 4
NG Kim Guan	ABA945	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
NGAI Ting Huen	ACI584	Licensed Representative - Responsible Officer	1, 2, 4, 9
PG OMAR Pg Md Noor Sufian	BNR516	Licensed Representative - Responsible Officer	1, 4
RADE Guillaume Roland	BKI755	Licensed Representative - Responsible Officer	9
SAM CHANG Segundo Willy	AHE451	Licensed Representative - Responsible Officer	6
SCHOFIELD John Cyril Lester	ADS542	Licensed Representative - Responsible Officer	4, 9
SCHOTER Johannes	AFH184	Licensed Representative - Responsible Officer	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
SENGUPTA Joydeep	AZV751	Licensed Representative - Responsible Officer	1, 2
SU Chin	ASJ711	Licensed Representative - Responsible Officer	1, 2
SU Dan	BOI785	Licensed Representative - Responsible Officer	4, 9
SZE Kwok Wing Nigel	ACV299	Licensed Representative - Responsible Officer	9
TAI Wai Man	AQD347	Licensed Representative - Responsible Officer	1, 4, 9
TAM Chung Ying, Ernie	ADV123	Licensed Representative - Responsible Officer	4, 9
TAN Chee Peng	BDO550	Licensed Representative - Responsible Officer	9
TENG Chia-Wen	BDM861	Licensed Representative - Responsible Officer	4, 9
TSUI On Kwok Timothy Brian	ATB512	Licensed Representative - Responsible Officer	4, 9
WANG Xuhua	BMP523	Licensed Representative - Responsible Officer	1
WANG Yan	APX217	Licensed Representative - Responsible Officer	1
WANG Yu-Ming	APL801	Licensed Representative - Responsible Officer	1, 4, 9
WILLIAMSON David White	AAD818	Licensed Representative - Responsible Officer	1, 4
WONG Chun Wah	AAA213	Licensed Representative - Responsible Officer	1, 2
WONG Ho Kit, Howard	AWK764	Licensed Representative - Responsible Officer	4, 9
WONG Sze Hang	ALG184	Licensed Representative - Responsible Officer	9
WONG William	AQQ330	Licensed Representative - Responsible Officer	1, 4, 9
WONG Yung Pang	ACL224	Licensed Representative - Responsible Officer	1, 2
WU Ching Fai, Frank	ACS670	Licensed Representative - Responsible Officer	1
WU Huai-En	BDM852	Licensed Representative - Responsible Officer	4, 9
WU Wai Kong, Lawrence	ACO383	Licensed Representative - Responsible Officer	4, 9
WU Wai Kwok	AAF857	Licensed Representative - Responsible Officer	1, 4, 9
YAMAMOTO Shinji	BPK034	Licensed Representative - Responsible Officer	1, 4
YAN Ka Lok	AJK630	Licensed Representative - Responsible Officer	4, 9
YANG Qi	AXF377	Licensed Representative - Responsible Officer	4, 9
YEUNG Chi Yuen	AOD434	Licensed Representative - Responsible Officer	1, 2, 4, 5
YIP Chun Kuen, Eddy	AQP744	Licensed Representative - Responsible Officer	1, 4
YIU Chin Keung	APN538	Licensed Representative - Responsible Officer	4, 9
YIU Ka Hei	BBN043	Licensed Representative - Responsible Officer	1
YIU Yu Cheung	AUD251	Licensed Representative - Responsible Officer	1
YU Chunlin	BEM065	Licensed Representative - Responsible Officer	9
YU Kim Ho, Douglas	ABF198	Licensed Representative - Responsible Officer	4, 9
ZENG Hongbo	BHJ179	Licensed Representative - Responsible Officer	9
ZHANG Dawei	BJJ058	Licensed Representative - Responsible Officer	1
ZHAO Mengyuan	BMS593	Licensed Representative - Responsible Officer	9
ZHAO Zhao	AWY586	Licensed Representative - Responsible Officer	1, 4
ZHENG Xiaorong	BJB937	Licensed Representative - Responsible Officer	3
ZHOU Haigong	ALF311	Licensed Representative - Responsible Officer	4, 9
ZUO Wei	ALH756	Licensed Representative - Responsible Officer	4, 9
AIRAULT Ferdinand-clovis Leonard	BQS807	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
AU Pui Shan	BQT181	Licensed Representative (Provisional Licence)	1, 2
AU Shing Wai	BQU533	Licensed Representative (Provisional Licence)	1
BAIK Kyungwon	BQQ774	Licensed Representative (Provisional Licence)	1, 4
BAJA Lauro III Castro	AFL365	Licensed Representative (Provisional Licence)	6
BARK Wilhelmus Albertus Joseph	BQT483	Licensed Representative (Provisional Licence)	9
BHANNOT Rohan	BQQ390	Licensed Representative (Provisional Licence)	1, 4, 7
BOZOU Sacha Ludovic Samuel	BQT184	Licensed Representative (Provisional Licence)	1, 2
BRETT SHANE TAKAO	BQQ574	Licensed Representative (Provisional Licence)	1, 2, 4
BROGGI Alexandre Francois Joseph	BQT198	Licensed Representative (Provisional Licence)	1, 4, 9
BRUECK Hannah Madeleine	BQS589	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CAI Yixin	BQT023	Licensed Representative (Provisional Licence)	1, 4, 6
CHAK Wai Wing	AIX144	Licensed Representative (Provisional Licence)	1, 2
CHAN Kai Kok Kenny	BNH727	Licensed Representative (Provisional Licence)	1, 2, 4
CHAN Tsun Heng	BQS279	Licensed Representative (Provisional Licence)	9
CHAN Tze Ho	BQN329	Licensed Representative (Provisional Licence)	1, 4
CHAN Victor Chi Chin	BQT122	Licensed Representative (Provisional Licence)	1
CHAN Yin Hong	BQP047	Licensed Representative (Provisional Licence)	1, 4
CHANG Yu-ching Alfred	BQT794	Licensed Representative (Provisional Licence)	4, 5
CHEN Bingqin	BQQ976	Licensed Representative (Provisional Licence)	1, 6
CHEN Chiyuan	BQV499	Licensed Representative (Provisional Licence)	1, 6
CHEN Jia	BQT624	Licensed Representative (Provisional Licence)	4
CHEN Jiaqi	BQV632	Licensed Representative (Provisional Licence)	1, 6
CHEN Mingshi	BQS912	Licensed Representative (Provisional Licence)	6
CHEN Qinhua	BQQ803	Licensed Representative (Provisional Licence)	1, 2
CHEN Qiqi	BQV497	Licensed Representative (Provisional Licence)	1, 6
CHEN Tiecheng	BPL626	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Weiyi	BQT519	Licensed Representative (Provisional Licence)	9
CHEN Yajing	BQQ386	Licensed Representative (Provisional Licence)	1, 6
CHEN Yewei	BQT196	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yifang	BQS940	Licensed Representative (Provisional Licence)	6
CHEN Zhixue	AQI855	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Man Tung	AYO068	Licensed Representative (Provisional Licence)	1, 2, 4
CHENG Siqi	BQR089	Licensed Representative (Provisional Licence)	4
CHEUNG Hongxiang, Alex	BQR043	Licensed Representative (Provisional Licence)	1
CHEUNG Kit Man	APF463	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Lam Yuet	BQS460	Licensed Representative (Provisional Licence)	1
CHEUNG Sze Fan	ADI822	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Tsz Pan	BBQ514	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Wai Lap	AXZ097	Licensed Representative (Provisional Licence)	1, 2, 4
CHEUNG Yung Yung	BQT579	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEVALIER Alexis Eric	BQT629	Licensed Representative (Provisional Licence)	1, 4, 9
CHIANG Ruei-cheng	BOZ703	Licensed Representative (Provisional Licence)	1, 6
CHIU Kin Hei	BQR925	Licensed Representative (Provisional Licence)	1
CHIU Sing Wai	BQS274	Licensed Representative (Provisional Licence)	1
CHOI Justin Anan	BQS338	Licensed Representative (Provisional Licence)	1, 4, 6
CHOI Wai Sum	BQR291	Licensed Representative (Provisional Licence)	1
CHOI Won Suk	AYP113	Licensed Representative (Provisional Licence)	1
CHONG Ka Chun	BMV265	Licensed Representative (Provisional Licence)	1, 2
CHONG Yi Chun	BQU916	Licensed Representative (Provisional Licence)	1, 6
CHOW Cheuk Wang	BQU684	Licensed Representative (Provisional Licence)	4
CHU Ka Lok	BQQ842	Licensed Representative (Provisional Licence)	9
CHU Pak Yin	BQQ628	Licensed Representative (Provisional Licence)	1, 4
CHU Pei Yu Julian	BQQ769	Licensed Representative (Provisional Licence)	1, 4, 6
CHUNG Hei Tung	BQE954	Licensed Representative (Provisional Licence)	1, 2
CHUNG Ka Chun	BQR871	Licensed Representative (Provisional Licence)	1
CHUNG Ka Pak	BQT173	Licensed Representative (Provisional Licence)	1, 6
DASSANI Anuj	BQP740	Licensed Representative (Provisional Licence)	1
DING Ding	BQS276	Licensed Representative (Provisional Licence)	1, 4, 6
DING Nan	AVR827	Licensed Representative (Provisional Licence)	1
DING Yanyuan	BQT821	Licensed Representative (Provisional Licence)	9
DROPKIN Martin Baron	BQH284	Licensed Representative (Provisional Licence)	1, 4, 9
DUAN Wei	BQT325	Licensed Representative (Provisional Licence)	1, 4, 6
DUAN Yichun	BQQ797	Licensed Representative (Provisional Licence)	2
FAN Da Jong	BQS779	Licensed Representative (Provisional Licence)	1, 4
FAN Zhuoyi	BQS689	Licensed Representative (Provisional Licence)	1, 4
FANG Dan	BQU203	Licensed Representative (Provisional Licence)	4, 9
FANG Zhou	BQV566	Licensed Representative (Provisional Licence)	1, 2, 4
FENG Qifan	BQT565	Licensed Representative (Provisional Licence)	1, 6
FUNG Man Wa	BQV999	Licensed Representative (Provisional Licence)	1, 2
GAO Chongguang	BQC580	Licensed Representative (Provisional Licence)	4, 9
GAO Tengfei	BQS138	Licensed Representative (Provisional Licence)	1, 4
GAO Xinye	BQT140	Licensed Representative (Provisional Licence)	4
GAO Yu	BQR820	Licensed Representative (Provisional Licence)	4
GOLDSSTEIN Simon	AWI075	Licensed Representative (Provisional Licence)	1
GONZALES Desu Calvin Antonio	BQS456	Licensed Representative (Provisional Licence)	1
GOULSTON Peter John	AGL234	Licensed Representative (Provisional Licence)	1
GROUX Florian Rene Alexis	BQS949	Licensed Representative (Provisional Licence)	1, 2
GU Ke	BQV104	Licensed Representative (Provisional Licence)	1, 6
GUERVILLE Jerome Christian	AVM345	Licensed Representative (Provisional Licence)	9
GULATI Naveen	BQP769	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
GUO Yijin	BQQ809	Licensed Representative (Provisional Licence)	4, 9
GUO Yuanjing	BQV972	Licensed Representative (Provisional Licence)	6
HAAS Harold, Gregory	ARW500	Licensed Representative (Provisional Licence)	1, 2
HAN Chunxiao	BQT175	Licensed Representative (Provisional Licence)	4
HE Manli	BQR542	Licensed Representative (Provisional Licence)	1
HO Sze Ting	BQR919	Licensed Representative (Provisional Licence)	1, 2, 4
HO Wai Kam	BQQ765	Licensed Representative (Provisional Licence)	4
HON Ian Tin-yeung	BIC957	Licensed Representative (Provisional Licence)	1
HONG Yancheng	AVS886	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HU Di	BQT568	Licensed Representative (Provisional Licence)	1, 6
HU Yi	BQS128	Licensed Representative (Provisional Licence)	1, 2
HUANG Jen-te	ACE953	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Mu	BQS336	Licensed Representative (Provisional Licence)	9
HUANG Weiyi	BQS458	Licensed Representative (Provisional Licence)	4
HUANG Xinyi	BNV279	Licensed Representative (Provisional Licence)	1, 2, 4
HUANG Zixuan	BQT197	Licensed Representative (Provisional Licence)	1
HUH Jeong Hoon	BQS590	Licensed Representative (Provisional Licence)	1, 2
INAIDA Yosuke	ANT239	Licensed Representative (Provisional Licence)	1, 4, 6
JEN Jian Ming, Alexander	BQT134	Licensed Representative (Provisional Licence)	1
JENSEN Viktor Thor William	BED027	Licensed Representative (Provisional Licence)	1
JEONG Shang Hyun	BQT138	Licensed Representative (Provisional Licence)	1, 4
JI Qingyu	ASD333	Licensed Representative (Provisional Licence)	1, 4
JIANG Fan	BQT738	Licensed Representative (Provisional Licence)	9
JIN Kailin	BQV911	Licensed Representative (Provisional Licence)	1, 4, 6
KAO Yu Lap, Alan	BDL571	Licensed Representative (Provisional Licence)	1, 2, 4
KIM Han Soo	BQQ935	Licensed Representative (Provisional Licence)	1, 4
KWAN Pak Hong	BQV428	Licensed Representative (Provisional Licence)	1, 4, 6
KWOK Kwan Yee	BQT545	Licensed Representative (Provisional Licence)	1, 4
LAI Carmen	AMN729	Licensed Representative (Provisional Licence)	1, 4
LAI Fu Chuen	BQR937	Licensed Representative (Provisional Licence)	1
LAI Ka Kwan	ARE607	Licensed Representative (Provisional Licence)	1, 2
LAI Yiu Kuen	BEK732	Licensed Representative (Provisional Licence)	1, 2, 4
LAM Chiu Chun	BQT139	Licensed Representative (Provisional Licence)	1
LAM Hei Tung	BQU131	Licensed Representative (Provisional Licence)	1
LAU Bernard Yu Kwan	AFT473	Licensed Representative (Provisional Licence)	9
LAU Hiu Ying, Virginia	BNO093	Licensed Representative (Provisional Licence)	1
LAU Ho Man Jacky	BQS959	Licensed Representative (Provisional Licence)	4, 9
LAU Wung Koon	ABY860	Licensed Representative (Provisional Licence)	1, 2
LAW Kai Hung	BQT141	Licensed Representative (Provisional Licence)	1, 2
LEE Hin Fai Frederick	BQB421	Licensed Representative (Provisional Licence)	1, 2, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Hiu Man	BQV423	Licensed Representative (Provisional Licence)	1, 2
LEE Hyun Keun	BQW087	Licensed Representative (Provisional Licence)	1
LEE Lau Yi	BQS914	Licensed Representative (Provisional Licence)	1
LEE Ming Kai	BQV282	Licensed Representative (Provisional Licence)	1, 2
LEE So Bing Sylvia	BQR854	Licensed Representative (Provisional Licence)	1, 2
LEE Wing Him, Timothy	AYS240	Licensed Representative (Provisional Licence)	1, 6
LEE Won Seok	BQU552	Licensed Representative (Provisional Licence)	1, 6
LEI Linwen	BQP323	Licensed Representative (Provisional Licence)	1
LEUNG Chun Man Boris	BFZ541	Licensed Representative (Provisional Licence)	1, 6
LEUNG Hei Lok	BQR812	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ho Yan	BQM665	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Hong Lam	BQQ723	Licensed Representative (Provisional Licence)	1, 4
LEUNG Lorraine Lok Yan	BQV388	Licensed Representative (Provisional Licence)	1
LEUNG Shek Tin	BQP854	Licensed Representative (Provisional Licence)	1, 2, 4
LEUNG Tik Fung	BJY456	Licensed Representative (Provisional Licence)	4, 9
LI Chao	BQR891	Licensed Representative (Provisional Licence)	1, 4
LI Huaxin	BQQ112	Licensed Representative (Provisional Licence)	1, 2, 7
LI Jiaqian	BQM924	Licensed Representative (Provisional Licence)	1, 4
LI Lin	AVD808	Licensed Representative (Provisional Licence)	4
LI Ruo Yu	BNO559	Licensed Representative (Provisional Licence)	4, 5, 9
LI Siu Kim	AFW899	Licensed Representative (Provisional Licence)	9
LI Tao	BQA734	Licensed Representative (Provisional Licence)	6
LI Ting Ting	BQS776	Licensed Representative (Provisional Licence)	1
LI Tsui Fan	APV048	Licensed Representative (Provisional Licence)	1
LI Xiang	BLM865	Licensed Representative (Provisional Licence)	9
LI Yinuo	BQQ646	Licensed Representative (Provisional Licence)	1, 4
LIANG Shuming	BOI062	Licensed Representative (Provisional Licence)	1, 6
LIANG Zhonghua	BQS215	Licensed Representative (Provisional Licence)	4
LIAO Shihua	BJQ472	Licensed Representative (Provisional Licence)	4
LIAO Yuchen	BQP942	Licensed Representative (Provisional Licence)	1, 4
LIAU May Ling, Jacqueline	BQS238	Licensed Representative (Provisional Licence)	1
LIM Yi Chang	BQV631	Licensed Representative (Provisional Licence)	1, 6
LIN Po Man	BQS371	Licensed Representative (Provisional Licence)	1, 4
LIN Shaolong	BQQ845	Licensed Representative (Provisional Licence)	1, 4
LIN Shih Han	BQN700	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Wing Kai	BQT370	Licensed Representative (Provisional Licence)	1, 7
LINDSAY George Singleton	BQT151	Licensed Representative (Provisional Licence)	1
LIU Bohua	BQU721	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Duan	BQR374	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Jiayi	BQV190	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Jing	BQT560	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LIU Kedi	BQS297	Licensed Representative (Provisional Licence)	4
LIU Li	BQS592	Licensed Representative (Provisional Licence)	4, 9
LIU Shangyu	BQU687	Licensed Representative (Provisional Licence)	1, 6
LIU Songbo	BQT635	Licensed Representative (Provisional Licence)	1, 4
LIU Tianyi	BPF434	Licensed Representative (Provisional Licence)	9
LIU Wei	BQT204	Licensed Representative (Provisional Licence)	1, 4
LIU Xi	BQS782	Licensed Representative (Provisional Licence)	4
LIU Yang	BQP881	Licensed Representative (Provisional Licence)	1, 6
LIU Yitong	BQT162	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Zhuolin	BQS941	Licensed Representative (Provisional Licence)	4
LO Kwun Bui Lorraine	BQR847	Licensed Representative (Provisional Licence)	1, 2
LO Maverick Ho Yeung	BQS591	Licensed Representative (Provisional Licence)	1, 2
LO Mei Suet	BQU460	Licensed Representative (Provisional Licence)	1, 9
LO Wai Hong	BQW067	Licensed Representative (Provisional Licence)	1
LO Wing	APR851	Licensed Representative (Provisional Licence)	1, 6
LU Chia-yen	BQL538	Licensed Representative (Provisional Licence)	2
LUI June Yi Vivian	BGD194	Licensed Representative (Provisional Licence)	1
LUKE Chi Man, Carmen	BQR042	Licensed Representative (Provisional Licence)	1, 4, 9
LUO Yuejiang	BQR826	Licensed Representative (Provisional Licence)	4
LUO Zhenyu	BQT894	Licensed Representative (Provisional Licence)	1, 4, 6
LYU Siyu	BPM479	Licensed Representative (Provisional Licence)	4, 9
MAK Chung Yee	BQR099	Licensed Representative (Provisional Licence)	6
MIAO Cheng Ying	BQQ256	Licensed Representative (Provisional Licence)	1, 4, 6
MINOURA Takaya	BQQ823	Licensed Representative (Provisional Licence)	9
MO Wenyu	BQT625	Licensed Representative (Provisional Licence)	4
MOSER Zane Josef	BQT205	Licensed Representative (Provisional Licence)	1
NAKAJIMA Seiji	BQN689	Licensed Representative (Provisional Licence)	1, 4, 6
NEO Choon Hoong, Colin	BQS037	Licensed Representative (Provisional Licence)	4
NG Hui Heong	ASL101	Licensed Representative (Provisional Licence)	1
NG Pak Yin Steven	BQU557	Licensed Representative (Provisional Licence)	1, 4, 9
NG Sai Yip, Justin	APL249	Licensed Representative (Provisional Licence)	1, 4, 9
NG Shing Yuk	BQT179	Licensed Representative (Provisional Licence)	1, 2
NG Shum Tin, Agnes	ATI906	Licensed Representative (Provisional Licence)	1, 4
NG Wai To	BQS381	Licensed Representative (Provisional Licence)	1
NGAN Siu Hang	BQV992	Licensed Representative (Provisional Licence)	1, 2
NI Yichen	BQV913	Licensed Representative (Provisional Licence)	1, 4, 6
NIE Wenqi	BQT663	Licensed Representative (Provisional Licence)	1
OH Kangseek	BQT177	Licensed Representative (Provisional Licence)	1, 6
PENG Lulu	BJT944	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
POK Ming Kai	BGB603	Licensed Representative (Provisional Licence)	1, 6
POON Wing	BQS343	Licensed Representative (Provisional Licence)	1, 2
QI Jiao	BQT129	Licensed Representative (Provisional Licence)	1, 6
QI Tong	BQS630	Licensed Representative (Provisional Licence)	2, 5
QI Wenjing	BQT185	Licensed Representative (Provisional Licence)	1
QI Yingting	BQV595	Licensed Representative (Provisional Licence)	1, 2
QIAO Liang	BQV912	Licensed Representative (Provisional Licence)	1, 6
QU Xinluo	BQR380	Licensed Representative (Provisional Licence)	1, 4
RAN Linwan	BJE120	Licensed Representative (Provisional Licence)	1, 4, 6
REDDY Nikhil	BQT203	Licensed Representative (Provisional Licence)	1, 4
ROGERS-UFF Jesse John	BFB282	Licensed Representative (Provisional Licence)	1
RYOU Soo Han	BQU276	Licensed Representative (Provisional Licence)	1, 2
SHANG Di	BQT573	Licensed Representative (Provisional Licence)	4
SHANG Xin	ADT752	Licensed Representative (Provisional Licence)	9
SHAO Junyan	BQU843	Licensed Representative (Provisional Licence)	9
SHEKHAWAT Shilesh	AUE958	Licensed Representative (Provisional Licence)	1, 2, 7
SHEN Blake C	AYQ549	Licensed Representative (Provisional Licence)	1, 2
SHU Liangfeng	BGB337	Licensed Representative (Provisional Licence)	1, 4
SO Adrian Lap Kuen	BKR795	Licensed Representative (Provisional Licence)	1, 2
SOKLAKOV Andrei Nikolaevich	BQR852	Licensed Representative (Provisional Licence)	4
SU Jianheng	BLH632	Licensed Representative (Provisional Licence)	1, 6
SUN Lijun	BAC326	Licensed Representative (Provisional Licence)	6
SURATKAL Kishore Sheena	AFE831	Licensed Representative (Provisional Licence)	9
TAM Wai Yui	AMY730	Licensed Representative (Provisional Licence)	1, 2
TAN Bin	BMW049	Licensed Representative (Provisional Licence)	1, 6
TAN Qingui	BQT901	Licensed Representative (Provisional Licence)	1, 6
TAN Zhiyi	BQS570	Licensed Representative (Provisional Licence)	1, 6
TAO Nina Chih-Yu	ANI909	Licensed Representative (Provisional Licence)	1, 4, 9
TAU Weihua Robert	ARN628	Licensed Representative (Provisional Licence)	9
TEO Soo Meng, Kelvin	AMN714	Licensed Representative (Provisional Licence)	1, 6
TIAN Qingqing	BQS220	Licensed Representative (Provisional Licence)	1, 4, 6
TRAN QUI Ann-Sandra	ASD252	Licensed Representative (Provisional Licence)	1, 2, 7
TSANG Chun Pak	BQT886	Licensed Representative (Provisional Licence)	4
TSANG Yuen Ching	BMD850	Licensed Representative (Provisional Licence)	1
TSE Chung Wai Andrew	BQR914	Licensed Representative (Provisional Licence)	1
TSE Fiona Yuen Tung	BQU422	Licensed Representative (Provisional Licence)	1, 2
TSE Kate	BQR007	Licensed Representative (Provisional Licence)	1, 4
TSE Tsun Hei	BQU443	Licensed Representative (Provisional Licence)	1, 4
WANG Andy Aiqing	BQV559	Licensed Representative (Provisional Licence)	1, 9
WANG Chengtang	BQU918	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Chenyan	BQT566	Licensed Representative (Provisional Licence)	1, 6
WANG Hetao	BQT626	Licensed Representative (Provisional Licence)	4
WANG Jichao	BQR551	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Jingping	BQT791	Licensed Representative (Provisional Licence)	4
WANG Juan	BQU719	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Kun	BQR868	Licensed Representative (Provisional Licence)	1, 2
WANG Liyue	BQT207	Licensed Representative (Provisional Licence)	1
WANG Meisha	BQT208	Licensed Representative (Provisional Licence)	1
WANG Shenyu	BNX841	Licensed Representative (Provisional Licence)	4
WANG Sihao	BQS629	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Su	BPX318	Licensed Representative (Provisional Licence)	1, 6
WANG Tianyou	BQP589	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Yizhou	BQT896	Licensed Representative (Provisional Licence)	1, 6
WONG Ching Ching	BQR805	Licensed Representative (Provisional Licence)	1, 2
WONG Grace Fan Ying	BQT504	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Ka Fai	BQR406	Licensed Representative (Provisional Licence)	9
WONG Ka Kit	BQR529	Licensed Representative (Provisional Licence)	1, 4
WONG Man Shu	BQV550	Licensed Representative (Provisional Licence)	1, 2
WONG Man Sze, Grace	ADX257	Licensed Representative (Provisional Licence)	1
WONG Michael Kin Lok	BQU571	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Po Chee	BQS453	Licensed Representative (Provisional Licence)	10
WONG Sham Yip	BQR466	Licensed Representative (Provisional Licence)	1
WONG Tin Lok Rocky	BQU025	Licensed Representative (Provisional Licence)	1, 4
WU Dongying	BQT951	Licensed Representative (Provisional Licence)	4
WU Hsiao Ching	BQS778	Licensed Representative (Provisional Licence)	1
WU Yushan	BQQ766	Licensed Representative (Provisional Licence)	1, 2
XIAO Hannan	BQU953	Licensed Representative (Provisional Licence)	1, 6
XIAO Yanyan	BIL686	Licensed Representative (Provisional Licence)	1, 4
XIAO Yinan	AYS881	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Peipei	BKC559	Licensed Representative (Provisional Licence)	1, 4
XIE Yinglei	BQR883	Licensed Representative (Provisional Licence)	2
XING Xiaolin	BQM986	Licensed Representative (Provisional Licence)	4, 9
XIONG Cheng	BQT664	Licensed Representative (Provisional Licence)	9
XU Bowen	BQG540	Licensed Representative (Provisional Licence)	1, 6
XU CHI	BQV088	Licensed Representative (Provisional Licence)	1
XU Jiangeng	BQV493	Licensed Representative (Provisional Licence)	1, 4
XU Michael Minghong	BQT595	Licensed Representative (Provisional Licence)	1, 4, 6
XU Yinfan	BQR884	Licensed Representative (Provisional Licence)	1, 4, 9
XU Yuanyuan	BQT572	Licensed Representative (Provisional Licence)	1, 6
XU Yue	BFY023	Licensed Representative (Provisional Licence)	1, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAN Min	BQQ558	Licensed Representative (Provisional Licence)	4
YANG Dongyi	BQR339	Licensed Representative (Provisional Licence)	1, 2
YANG Guanya	BQU943	Licensed Representative (Provisional Licence)	4
YANG Jiawei	BGO424	Licensed Representative (Provisional Licence)	4, 9
YANG Shujun	AZQ514	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Wai Shek	BQS085	Licensed Representative (Provisional Licence)	1
YANG Yang	BQS437	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Ying	BQT586	Licensed Representative (Provisional Licence)	9
YAU Yuen Han Louisa	BQQ979	Licensed Representative (Provisional Licence)	9
YENN Ho Yin	BQV110	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Choi Fung, Amy	ANN663	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Tsz Lung	BQU463	Licensed Representative (Provisional Licence)	1
YIN Li	BQU720	Licensed Representative (Provisional Licence)	1, 2, 4
YU Rong	BQR038	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YU Tong	BQV094	Licensed Representative (Provisional Licence)	1, 2, 4, 9
YUAN Wurong	BQQ381	Licensed Representative (Provisional Licence)	1, 6
YUE Yuan	BJB569	Licensed Representative (Provisional Licence)	1, 4
YUEN Ryan Aaron	BQJ921	Licensed Representative (Provisional Licence)	6
ZENG Wenting	BKC247	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAI Ke	BQS298	Licensed Representative (Provisional Licence)	4, 9
ZHANG Bo	BQU193	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Chunhui	BQV106	Licensed Representative (Provisional Licence)	1, 6
ZHANG Fan	BKS625	Licensed Representative (Provisional Licence)	4, 9
ZHANG Jincheng	ATT807	Licensed Representative (Provisional Licence)	1, 4
ZHANG Lu	BNY936	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Weihua	BQT627	Licensed Representative (Provisional Licence)	4
ZHANG Wenwen	BQP581	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Xi	BQU938	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Xuan	BQT632	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Zongbao	BQN453	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Huijin	BQR105	Licensed Representative (Provisional Licence)	4, 9
ZHAO Luming	AMN775	Licensed Representative (Provisional Licence)	1, 2, 4
ZHAO Ran	BQQ828	Licensed Representative (Provisional Licence)	4
ZHAO Xi	BMT548	Licensed Representative (Provisional Licence)	9
ZHAO Xiaohu	BQQ972	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Yifan	BQR829	Licensed Representative (Provisional Licence)	1
ZHENG Ming Yuan	BQS627	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Ye	BQV915	Licensed Representative (Provisional Licence)	1, 6
ZHONG Yujia	BQT241	Licensed Representative (Provisional Licence)	1
ZHOU JING	BQS804	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHOU Jingjing	BQT628	Licensed Representative (Provisional Licence)	4
ZHOU Muyang	BQQ747	Licensed Representative (Provisional Licence)	1, 6
ZHOU Xuetao	APJ216	Licensed Representative (Provisional Licence)	1, 2
ZHU Chenyue	BQR869	Licensed Representative (Provisional Licence)	1, 4
ZHU Yaolin	BQT551	Licensed Representative (Provisional Licence)	1, 4, 5, 9
ZUO Huiying	BQP772	Licensed Representative (Provisional Licence)	1, 2
ZUO Tianyou	BQT571	Licensed Representative (Provisional Licence)	1, 6
BAKER Graeme Robert	BQW440	Licensed Representative (Temporary Licence)	4
JAYSANE-DARR Evan	BQU198	Licensed Representative (Temporary Licence)	4
LANG Thiemo	BLM120	Licensed Representative (Temporary Licence)	1
LIAO John Bond Jonathan	BQF096	Licensed Representative (Temporary Licence)	1, 2
MACLEAN Murdo Alexander	BGK887	Licensed Representative (Temporary Licence)	1, 4
ROARTY Daniel Charles	BQU482	Licensed Representative (Temporary Licence)	4
YAGERMAN Justin Barnaby	BPA121	Licensed Representative (Temporary Licence)	4