

## **List of Approved CPT Providers**

Tertiary institutions and professional bodies that have been approved by the AAAC as recognized institutions for providing CPT are as follows:

- (1) Hong Kong Institute of Bankers
- (2) Institute of Professional Education And Knowledge ("PEAK") of the Vocational Training Council
- (3) Hong Kong Securities Association Limited [formerly Hong Kong Stockbrokers Association Limited]
- (4) The Hong Kong Society of Financial Analysts Limited
- (5) The Institute of Securities Dealers Limited
- (6) The School of Professional and Continuing Education ("SPACE") of the University of Hong Kong
- (7) Hong Kong Exchanges and Clearing Limited
- (8) The Hong Kong Institute of Chartered Secretaries [formerly The Hong Kong Institute of Company Secretaries]
- (9) Hong Kong Investment Funds Association
- (10) School of Accounting and Finance, The Hong Kong Polytechnic University
- (11) School of Professional Education and Executive Development ("SPEED") of the Hong Kong Polytechnic University
- (12) School of Continuing Education of the Hong Kong Baptist University
- (13) Institute for Entrepreneurship / Institute of Advanced Executive Education of the Hong Kong Polytechnic University
- (14) The Hong Kong Capital Markets Association
- (15) Department of Economics & Finance of the City University of Hong Kong
- (16) The Chamber of Hong Kong Listed Companies
- (17) Hong Kong Securities Professionals Association
- (18) Hong Kong Securities & Futures Professionals Association [formerly Hong Kong Securities & Futures Industry Staff Union]
- (19) The Institute of Financial Planners of Hong Kong
- (20) MBA Program of Hong Kong University of Science and Technology
- (21) Department of Finance of Hong Kong University of Science and Technology
- (22) Hong Kong Venture Capital and Private Equity Association
- (23) The Hong Kong Retirement Schemes Association
- (24) Treasury Markets Association

- (25) Asia Securities Industry & Financial Markets Association
- (26) Institute of Financial Technologists of Asia
- (27) The Hong Kong Association of Financial Advisors Limited
- (28) International Capital Market Association
- (29) The Hong Kong Management Association
- (30) HKPC Academy

Institutions that provide recognized industry qualifications for competence purpose can also provide CPT courses. These are:

- (1) Hong Kong Securities and Investment Institute [formerly Hong Kong Securities Institute] (Hong Kong)
- (2) Financial Industry Regulatory Authority [formerly National Association of Securities Dealers, Inc.] (US)
- (3) CFA Institute [formerly the Association for Investment Management and Research] (US)
- (4) Financial Services Institute of Australasia [formerly Securities Institute of Australia] (Australia)
- (5) Chartered Institute for Securities & Investment [formerly Securities & Investment Institute] (UK)
- (6) CSI Global Education Inc. [formerly Canadian Securities Institute] (Canada)
- (7) Japan Securities Dealers Association (Japan)

*Note: Only training programs relevant to the regulated activities for which an individual engaged in would serve the CPT purpose. Individuals are advised to select topics that are related to their regulated activities and will enhance their professionalism to satisfy the CPT requirements.*

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