Modifications of Securities and Futures (Professional Investor) Rules (the "Rules") granted under section 134 of the Securities and Futures Ordinance (the "Ordinance")

Name of the person	Date of grant of	Period of	Particulars of the modification or waiver / amendment and reasons	Conditions imposed /
(CE number)	the modification	Validity		amended / revoked
	or waiver /			
	amendment /			
	revocation of			
	condition /			
	imposition of			
	condition			