

## Form

**(Made for the purposes of compliance with the requirements of section 156(1)(a) of the Securities and Futures Ordinance (Cap. 571) as amplified in section 3(3) of the Securities and Futures (Accounts and Audit) Rules (Cap. 571P) and Section 53ZSC(1)(a) of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance (Cap. 615) (“AMLO”) as amplified in Section 3(b) of Schedule 3F of the AMLO)**

### Analysis of Client Assets for Associated Entity

**Table 1 Analysis of client securities and client virtual assets  
as of the date of financial year-end \_\_\_\_\_**

(This part is applicable to associated entities of licensed corporations, registered institutions and corporations licensed under section 53ZRK of the AMLO to provide a virtual asset service (“Licensed Provider”))

	<b><u>Market value (HK\$'000)</u></b>
<b><u>Analysis of client securities and client virtual assets</u></b>	
<b>Client securities</b>	
Deposited in accounts held in Central Clearing and Settlement System (“CCASS”) (A)	
Deposited in safe custody with authorized financial institutions (B)	
Deposited in safe custody with licensed corporations (C)	
Held in the office premises of the intermediary or associated entity (D)	
Held in other locations (E) (Note 1)	
Total client securities (F) = (A) + (B) + (C) + (D) +(E)	
<b>Client virtual assets</b>	
Deposited in hot wallets (G)	
Deposited in cold wallets (H)	
Others (I) (Note 2)	
Total client virtual assets (J) = (G) + (H) + (I)	

Note 1: Please specify in the space below the locations of the client securities and purposes of the dispositions.

Note 2: Please specify in the space below the locations of the client virtual assets.

**Table 2 Analysis of client money as of the date of financial year-end \_\_\_\_\_**

(This part is only applicable to associated entities of licensed corporations and Licensed Provider)

	<b><u>(HK\$'000)</u></b>
<b>Analysis of client money</b>	
Amounts held in segregated account maintained with authorized financial institution (K)	
Amounts held in segregated account maintained with other person approved by the Commission under the Securities and Futures (Client Money) Rules (L)	
Amounts held with approved banks incorporated outside Hong Kong (M)	
Others (N) (Note 3)	
Total (O) = (K) + (L) + (M) + (N)	

Note 3: Please specify in the space below the locations of the client money.