

SFC Anti-Money Laundering and Counter-Financing of Terrorism Regtech Forum 2024

Date: 4 November 2024
Time: 10:00 am – 4:45 pm
Venue: Hong Kong Convention and Exhibition Centre (Meeting Rooms S220 Series)

Time	Programme
9:30 am – 10:00 am	Registration
10:00 am – 10:10 am	Opening remarks: Ms Julia Leung, SBS, JP, Chief Executive Officer, Securities and Futures Commission (SFC)
10:10 am – 10:20 am	Keynote address: Mr Joseph Chan, JP, Under Secretary for Financial Services and the Treasury
10:20 am – 11:20 am	<p>Plenary panel: Embracing technologies to combat financial crime</p> <ul style="list-style-type: none"> ▪ <i>Tone from the top – Is adopting technology a necessity or an aspiration?</i> ▪ <i>Enabling timely detection of anomalies and improving customer experience</i> ▪ <i>Enhancing efficiency and utilising structured data for business intelligence</i> <p>[This panel will be conducted in English]</p> <p>Moderator: Dr Eric Yip, Executive Director, Intermediaries Division, SFC</p> <p>Speakers:</p> <ul style="list-style-type: none"> ▪ Mr Barry Lai, Assistant Commissioner (Intelligence and Investigation), Hong Kong Customs and Excise Department ▪ Ms Heddy Tsang, Head of Exchange, Hash Blockchain Limited, HashKey Exchange ▪ Mr Ronnie Kung, Chairman, The Hong Kong Association of Online Brokers Limited ▪ Ms Amy Lo, JP, Chairman, Executive Committee, Private Wealth Management Association
11:20 am – 12:30 pm	AML/CFT Regtech exhibit and demonstration breakout sessions
12:30 pm	AM session ends

1:30 pm – 2:00 pm	AML/CFT Regtech exhibit and demonstration breakout sessions	
2:00 pm – 3:00 pm	<p>Panel 2: Regtech adoption in the securities sector</p> <ul style="list-style-type: none"> ▪ <i>Key drivers and challenges of Regtech adoption</i> ▪ <i>Striking a balance between business development and compliance with the use of Regtech</i> ▪ <i>The importance of digitisation and digitalisation</i> <p>[This panel will be conducted in Cantonese]</p>	<p>Moderator: Mr Keith Choy, <i>Senior Director, Intermediaries Supervision Department, Intermediaries Division, SFC</i></p> <p>Speakers:</p> <ul style="list-style-type: none"> ▪ Mr Justin To, <i>Principal Assistant Secretary (Financial Services), Financial Services and the Treasury Bureau</i> ▪ Ms Katerine Kou, <i>Chairman, Hong Kong Securities Association</i> ▪ Ms Juliana Wang, <i>Managing Director and Chief Operating Officer, Huatai Financial Holdings (Hong Kong) Limited</i> ▪ Professor Kar Yan Tam, MH, JP, <i>Vice-President for Administration and Business and Chair Professor of Information Systems, Business Statistics and Operations Management, The Hong Kong University of Science and Technology</i>
3:00 pm – 3:40 pm	AML/CFT Regtech exhibit and demonstration breakout sessions	
3:40 pm – 4:40 pm	<p>Panel 3: Optimising Regtech solutions for effective adoption</p> <ul style="list-style-type: none"> ▪ <i>The effectiveness of AI-embedded Regtech solutions in combating financial crime</i> ▪ <i>Searching for the “perfect” Regtech solution</i> ▪ <i>The importance of customisation, scalability and expandability of Regtech solutions</i> <p>[This panel will be conducted in Cantonese]</p>	<p>Moderator: Ms Joyce Pang, <i>Associate Director & Head of AML, Intermediaries Supervision Department, Intermediaries Division, SFC</i></p> <p>Speakers:</p> <ul style="list-style-type: none"> ▪ Mr Jonathan Ng, <i>Head of Anti Money Laundering and Financial Crime Compliance, Bank of China International Holdings Limited</i> ▪ Mr Brian Yeung, <i>General Counsel and Director of Compliance, APAC, Interactive Brokers Hong Kong Limited</i> ▪ Ms Karen Wu, <i>Managing Director, Global Head of Financial Crime Compliance, Nomura International (Hong Kong) Limited</i> ▪ Ms Sharon Wong, <i>Senior Manager, Intermediaries Supervision Department, Intermediaries Division, SFC</i>
4:40 pm – 4:45 pm	Closing remarks	
4:45 pm	Forum ends	