

31 January 2020

**Subject: Compliance with the Securities and Futures (Contract Notes, Statements of Account and Receipts) Rules**

Dear all,

The Securities and Futures Commission (SFC) has received enquiries from licensed corporations (LCs) about compliance with the Securities and Futures (Contract Notes, Statements of Account and Receipts) Rules (CNR) which may be affected by Hongkong Post's suspension of office counter service, mail delivery service and mail collection from posting boxes from 29 January to 2 February 2020.

The SFC understands that LCs' provision of contract notes, statements of account and receipts by post may be delayed as a result of the above arrangement. In the circumstances, LCs are not expected to give written notice to the SFC under section 18 of the CNR where the delay is caused by the suspension of postal service.

Intermediaries Supervision Department  
Intermediaries Division  
Securities and Futures Commission