

Exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap. 571)

Updated as at
31 August 2021

Exemptions granted in respect of (i) corporations issuing securities listed on The Stock Exchange of Hong Kong Limited and (ii) other persons are set out below. These exemptions are granted to (in respect of (i)) the corporations, their substantial shareholders, directors and chief executives and (in respect of (ii)) other persons, from compliance with Part XV of the Securities and Futures Ordinance in respect of the obligations that derive from their interests in the securities listed on The Stock Exchange of Hong Kong Limited. This list is updated every month.

Guidelines setting out the basis on which exemptions are granted under s.309 of the SFO are published on the Part XV page of the SFC's website. The exemptions available fall into 5 categories, which are described in the Guidelines.

Category 1 partial exemptions for corporations whose shares are listed on The Stock Exchange of Hong Kong Limited and on another stock exchange

Name	SEHK stock codes	
	(Note 1)	
1 Manulife Financial Corporation	0945 - S	
2 Standard Chartered PLC	2888	(and 4305, 5348, 5890, 5986, 40276 and 40518)
3 Prudential plc	2378	
4 Fast Retailing Co., Ltd. - DR	6288 - S	(only applies to Fast Retailing Co., Ltd and its substantial shareholders)
5 Alibaba Group Holding Limited	9988 - SW	
6 JD.com, Inc.	9618 - SW	
7 NetEase, Inc.	9999 - S	
8 Yum China Holdings, Inc.	9987 - S	
9 Huazhu Group Limited	1179 - S	
10 Zai Lab Limited	9688 - SB	
11 Baozun Inc.	9991 - SW	
12 ZTO Express (Cayman) Inc.	2057 - SW	
13 GDS Holdings Limited	9698 - SW	
14 New Oriental Education & Technology Group Inc.	9901 - S	
15 Bilibili Inc.	9626 - SW	
16 Autohome Inc.	2518 - S	
17 Baidu, Inc.	9888 - SW	
18 Trip.com Group Limited	9961 - S	

Note 1: S - Secondary listed companies
W - Companies with weighted voting right structures
B - Biotech companies listed under Chapter 18A of the Listing Rules

Category 2 exemptions for corporations none of whose shares are listed on The Stock Exchange of Hong Kong Limited

(a) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their structured products which are currently listed on The Stock Exchange of Hong Kong Limited

Name	Period of exemption (Note 2)	
	Commencing on	Ending on
1 Bank Vontobel AG	31 May 2020	30 May 2022
2 BOCI Aisa Limited	21 December 2007	20 December 2021
3 BNP Paribas Issuance B.V.	21 December 2007	20 December 2021
4 Citigroup Global Markets Europe AG	1 November 2019	31 October 2021
5 Credit Suisse AG	21 December 2007	20 December 2021
6 DBS Bank Ltd.	24 August 2021	23 August 2022
7 Goldman Sachs Structured Products (Asia) Limited	21 December 2007	20 December 2021
8 Guotai Junan Securities (Hong Kong) Limited	28 October 2019	27 October 2021
9 Haitong International Securities Company Limited	16 March 2017	15 March 2022
10 J.P. Morgan Structured Products B.V.	21 December 2007	20 December 2021
11 Macquarie Bank Limited	1 January 2008	31 December 2021
12 Morgan Stanley Asia Products Limited	22 July 2021	21 July 2022
13 SG Issuer	1 April 2016	31 March 2022
14 The Hongkong and Shanghai Banking Corporation Ltd	23 December 2007	22 December 2021
15 UBS AG	21 December 2007	20 December 2021

Note 2: Exemptions for these corporations are granted for periods of 12 months (or less) and exempt structured products issued and became listed on The Stock Exchange of Hong Kong Limited during the period of exemption for the life of the structured products.

(b) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their debt securities issued under debt programmes and listed on The Stock Exchange of Hong Kong Limited

Name	Programme
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme

7	Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8	Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9	Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10	Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
11	Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
12	Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
13	Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
14	Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
15	Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
16	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
17	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
18	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
19	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
20	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
21	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
22	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
23	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
24	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
25	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
26	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Programme
27	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
28	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
29	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
30	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
31	China Cinda (2020) I Management Limited	U.S.\$2,000,000,000 Guaranteed Medium Term Note Programme
32	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
33	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
34	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
35	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
36	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
37	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
38	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
39	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
40	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
41	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
42	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
43	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
44	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
45	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
46	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
47	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
48	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
49	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
50	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
51	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
52	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
53	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
54	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
55	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
56	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
57	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
58	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
59	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
60	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
61	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
62	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
63	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
64	Henderson Land MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
65	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
66	HLP Finance Limited	US\$4 billion Medium Term Note Programme
67	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
68	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
69	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
70	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
71	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
72	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
73	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
74	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
75	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
76	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
77	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
78	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
79	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
80	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
81	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
82	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
83	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
84	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
85	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme

86	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
87	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
88	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
89	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
90	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
91	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
92	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
93	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$15,000,000,000 Medium Term Note Programme
94	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
95	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
96	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
97	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
98	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
99	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
100	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
101	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
102	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
103	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
104	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
105	Sinochem Offshore Capital Company Limited	US\$10,000,000,000 Global Medium Term Note Programme
106	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
107	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
108	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
109	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
110	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
111	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
112	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
113	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
114	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
115	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
116	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
117	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
118	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
119	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
120	The Hong Kong Mortgage Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
121	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
122	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
123	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
124	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
125	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
126	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
127	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
128	Wheelock Finance Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
129	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
130	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
131	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
132	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c) Category 2 exemptions for corporations (none of whose shares are listed on The Stock Exchange of Hong Kong Limited) in respect of their particular issue of debt securities which are currently listed on The Stock Exchange of Hong Kong Limited

Name	SEHK Stock codes
1 Agricultural Development Bank of China	5180
2 Agricultural Development Bank of China	5455
3 Agricultural Development Bank of China	85901
4 Agricultural Development Bank of China	85909
5 Agricultural Development Bank of China	85910
6 Agricultural Development Bank of China	85922
7 Agricultural Development Bank of China	85924
8 Alibaba Group Holding Limited	5843
9 Alibaba Group Holding Limited	5844
10 Alibaba Group Holding Limited	5845
11 Amber Circle Funding Limited	4586
12 Amber Treasure Ventures Limited	40216
13 Amber Treasure Ventures Limited	40251
14 Anhui Provincial Investment Group Holding Co., Ltd.	5186
15 Anhui Transportation Holding Group (H.K.) Limited	5158
16 Anhui Transportation Holding Group (H.K.) Limited	40815
17 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	40627
18 AVIC International Finance & Investment Limited	5966
19 AVIC International Finance & Investment Limited	40388
20 AVIC International Finance & Investment Limited	40563
21 Azure Orbit III International Finance Limited	5620
22 Azure Orbit International Finance Limited	5909

23	BAIC Finance Investment Co., Ltd	40615
24	Bank of Communications (Hong Kong) Limited	40176
25	Bank of Communications (Hong Kong) Limited	40751
26	Baoji Investment (Group) Co., Ltd.	5492
27	BCEG (HongKong) Company Limited	40743
28	Beijing Enterprises Water Capital Management Limited	4480
29	Beijing Gas Singapore Capital Corporation	5213
30	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
31	Bengbu Gaoxin Investment Group Co., Ltd.	40131
32	Bengbu Gaoxin Investment Group Co., Ltd.	40085
33	Bi Hai Co., Ltd.	5185
34	Blossom Joy Limited	40426
35	Blossom Joy Limited	40427
36	Blue Bright Limited	40596
37	Blue Bright Limited	40245
38	Blue Skyview Company Limited	5364
39	Bluestar Finance Holdings Limited	4550
40	Bluestar Finance Holdings Limited	4556
41	Bluestar Finance Holdings Limited	5089
42	Bluestar Finance Holdings Limited	5599
43	Bluestar Finance Holdings Limited	40274
44	Bluestar Finance Holdings Limited	4487
45	BNY Trust Company of Australia Limited	5766
46	BNY Trust Company of Australia Limited	40142
47	Boen Investment Limited	40358
48	Boxinyuan International Co., Ltd.	40036
49	BPHL Capital Management Limited	40171
50	Bright Galaxy International Limited	5927
51	Bright Galaxy International Limited	40762
52	Bright Scholar Education Holdings Limited	5615
53	Cable and Wireless International Finance B.V.	1077
54	Cable and Wireless International Finance B.V.	1078
55	Caiyun International Investment Limited	5947
56	CALC Bond 3 Limited	5390
57	CALC Bond 3 Limited	5391
58	Cathay Pacific Finance III Limited	40580
59	CCB Life Insurance Company Limited	5437
60	CCCI Treasure Limited	5893
61	CCCI Treasure Limited	40157
62	CCCI Treasure Limited	40158
63	CCTI 2017 Ltd	5258
64	CDBL Funding 1	5850
65	Celestial Dynasty Limited	5594
66	CELESTIAL MILES LIMITED	5706
67	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	40812
68	Central Plaza Development Ltd.	5919
69	CGNPC International Limited	5058
70	CGNPC International Limited	5059
71	CGNPC International Limited	5153
72	CGNPC International Limited	5154
73	CGNPC International Limited	5519
74	Chalco Hong Kong Investment Company Limited	5151
75	Chalco Hong Kong Investment Company Limited	5920
76	Chalco Hong Kong Investment Company Limited	5727
77	Chalco Hong Kong Investment Company Limited	5985
78	Chalco Hong Kong Investment Company Limited	40783
79	Chalco Hong Kong Investment Company Limited	40784
80	Chalieco Hong Kong Corporation Limited	5321
81	Chalieco Hong Kong Corporation Limited	5702
82	Chalieco Hong Kong Corporation Limited	40070
83	Champion Path Holdings Limited	40559
84	Champion Path Holdings Limited	40560
85	Chang Development International Limited	5090
86	Chang Development International Limited	5744
87	Changde Economic Construction Investment Group Co., Ltd.	5642
88	Changde Urban Construction and Investment Group Co., Ltd.	5959
89	Changde Urban Construction and Investment Group Co., Ltd.	40451
90	Changsha Pilot Investment Holdings Co., Ltd.	40064
91	Changxing Communications Investment Group Co., Ltd.	40264
92	Changxing Urban Construction Investment Group Co., Ltd.	40533
93	Charter Style International Limited	85993
94	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
95	Chengdu Communications Investment Group Corporation Limited	5062
96	Chengdu Communications Investment Group Corporation Limited	5493
97	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
98	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
99	Chengdu Hi-tech Investment Group Co., Ltd.	5206
100	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
101	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40645
102	Chengdu Tianfu New Area Investment Group Co., Ltd	6011
103	Chengdu Xingcheng Investment Group Co., Ltd.	5314
104	Chenzhou Industry Investment Group Co., Ltd.	40197
105	Cheung Kong Bond Securities (02) Limited	4559
106	Cheung Kong Bond Securities (03) Limited	4595
107	Cheung Kong Infrastructure Finance (BVI) Limited	5060
108	Cheung Kong Infrastructure Finance (BVI) Limited	40698

109	Cheung Kong Infrastructure Finance (BVI) Limited	40789
110	China Bright (Hong Kong) Limited	5937
111	China Cinda Finance (2014) Limited	5748
112	China CITIC Bank International Limited	5199
113	China CITIC Bank International Limited	5728
114	China CITIC Bank International Limited	5831
115	China CITIC Bank International Limited	5992
116	China CITIC Bank International Limited	4594
117	China Clean Energy Development Limited	5568
118	China Development Bank Corporation	85904
119	China Development Bank Corporation	85905
120	China Development Bank Corporation	85934
121	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
122	China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited	40493
123	China Great Wall International Holdings IV Limited	5617
124	China Great Wall International Holdings IV Limited	5620
125	China Great Wall International Holdings V Limited	40350
126	China Huadian Overseas Development 2018 Limited	4491
127	China Huadian Overseas Development 2018 Limited	40261
128	China Huadian Overseas Development Management Company Limited	4533
129	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
130	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
131	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
132	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
133	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
134	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40543
135	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
136	China Life Insurance (Overseas) Co., Ltd	5250
137	China Merchants Finance Company Limited	4548
138	China Merchants Finance Company Limited	5555
139	China Minmetals Corporation	4442
140	China Minmetals Corporation	5009
141	China Overseas Finance (Cayman) III Limited	5988
142	China Overseas Finance (Cayman) III Limited	5989
143	China Overseas Finance (Cayman) V Limited	4579
144	China Overseas Finance (Cayman) V Limited	4580
145	China Overseas Finance (Cayman) VI Limited	5746
146	China Overseas Finance (Cayman) VI Limited	5760
147	China Overseas Finance (Cayman) VII Limited	4475
148	China Overseas Finance (Cayman) VII Limited	4476
149	China Overseas Finance Investment (Cayman) V Limited	5600
150	China Overseas Grand Oceans Finance IV (Cayman) Limited	40592
151	China Railway Resources Huitung Limited	5904
152	China Railway XunJie Co., Limited	5244
153	China Railway XunJie Co., Limited	5692
154	China Resources Power East Foundation Co., Ltd.	4516
155	China Shenhua Overseas Capital Company Limited	5863
156	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
157	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
158	China Southern Power Grid International Finance (BVI) Co., Limited	4583
159	China Southern Power Grid International Finance (BVI) Co., Limited	4598
160	China State Construction Finance (Cayman) I Limited	5462
161	China State Construction Finance (Cayman) I Limited	40712
162	China State Construction Finance (Cayman) II Limited	5037
163	China State Construction Finance (Cayman) II Limited	5038
164	China State Construction Finance (Cayman) III Limited	40083
165	China Taiping Capital Limited	4582
166	China Taiping New Horizon Limited	5984
167	China Yangtze Power International (BVI) 1 Limited	5300
168	China Yangtze Power International (BVI) 2 Limited	5301
169	Chinalco Capital Holdings Limited	5438
170	Chinalco Capital Holdings Limited	5745
171	Chinalco Capital Holdings Limited	40706
172	Chiyu Banking Corporation Limited	5034
173	Chongqing Casin Group Co., Ltd	5595
174	Chongqing Energy Investment Group Co., Ltd.	5898
175	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
176	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
177	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
178	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
179	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
180	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	40794
181	Chouzhou International Investment Limited	4542
182	Chouzhou International Investment Limited	40154
183	Chouzhou International Investment Limited	40340
184	CITIC Telecom International Finance Limited	5908
185	City Development Company of Lan Zhou	40066
186	CLP Power HK Finance Ltd	40044
187	CLP Power HK Finance Ltd.	5740
188	CLP Power Hong Kong Financing Limited	4306
189	CMHI Finance (BVI) Co., Ltd	5134
190	CMHI Finance (BVI) Co., Ltd	5135
191	CMHI Finance (BVI) Co., Ltd	40408
192	CMHI Finance (BVI) Co., Ltd	40409

193	CMOC Capital Limited	5722
194	CNI Capital Limited	85908
195	CNOOC Curtis Funding No. 1 Pty Ltd	5972
196	CNOOC Finance (2003) Limited	2568
197	CNOOC Finance (2011) Limited	4507
198	CNOOC Finance (2012) Limited	4546
199	CNOOC Finance (2012) Limited	4547
200	CNOOC Finance (2013) Limited	5941
201	CNOOC Finance (2013) Limited	5942
202	CNOOC Finance (2013) Limited	40016
203	CNOOC Finance (2015) Australia Pty Ltd	5507
204	CNOOC Finance (2015) U.S.A. LLC	4478
205	CNOOC Finance (2015) U.S.A. LLC	4479
206	CNOOC Finance (2015) U.S.A. LLC	5508
207	CNOOC Nexen Finance (2014) ULC	5738
208	CNOOC Nexen Finance (2014) ULC	5739
209	CNPC (HK) Overseas Capital Ltd.	4514
210	CNPC General Capital Limited	4544
211	CNPC General Capital Limited	5923
212	Contemporary Ruiding Development Limited	40381
213	Contemporary Ruiding Development Limited	40382
214	COSCO Pacific Finance (2013) Company Limited	5900
215	COSL Finance (BVI) Limited	4563
216	COSL Singapore Capital Ltd.	40277
217	COSL Singapore Capital Ltd.	40278
218	CRCC Chengan Limited	5581
219	CRCC Hean Limited	40686
220	CRCC Hean Limited	40687
221	CRCC Yupeng Limited	5778
222	CRCC Yuxiang Limited	5945
223	CSCEC Finance (Cayman) II Limited	5232
224	CSCEC Finance (Cayman) II Limited	5233
225	CSSC Capital 2015 Limited	40145
226	CSSC Capital 2015 Limited	40146
227	CSSC Capital 2015 Limited	40778
228	CSSC Capital One Limited	5181
229	CSSC Capital Two Limited	5182
230	Dah Sing Bank, Limited	5316
231	Dah Sing Bank, Limited	5524
232	Dah Sing Bank, Limited	6021
233	Dalian Deta Holding Co., Ltd.	5608
234	Dangdai International Investments Ltd	40121
235	Dangdai International Investments Ltd	40554
236	Deqing Construction & Development Group Co., Ltd.	40736
237	Dianjian Haixing Limited	5816
238	DianJian Haiyu Limited	40396
239	Dongtai Communication Investment and Construction Group Co., Ltd.	40565
240	Dongxing Voyage Company Limited	5643
241	Ease Trade Global Limited	40452
242	eHi Car Services Limited	40679
243	Elect Global Investments Limited	40173
244	Elect Global Investments Limited	40353
245	ENN Clean Energy International Investment Limited	40678
246	ENRONG INTERNATIONAL (HONGKONG) LIMITED	40545
247	Excel Capital Global Limited	4496
248	Expand Lead Limited	5165
249	Expand Lead Limited	40768
250	Fine Perfection Investment Limited	40647
251	FPC Capital Limited	5107
252	FPC Resources Limited	40373
253	FPC Treasury Limited	5924
254	Franshion Brilliant Limited	5030
255	Franshion Brilliant Limited	5229
256	Franshion Brilliant Limited	5289
257	Franshion Brilliant Limited	5347
258	Franshion Brilliant Limited	5385
259	Franshion Brilliant Limited	5447
260	Franshion Brilliant Limited	5472
261	Franshion Brilliant Limited	40641
262	Fujian Zhanglong Group Co., Ltd.	5700
263	Fujian Zhanglong Group Co., Ltd.	40535
264	Fuyuan Worldwide Limited	40363
265	FWD Group Limited	4403
266	FWD Group Limited	5019
267	FWD Group Limited	5221
268	FWD Group Limited	5793
269	FWD Limited	4416
270	FWD Limited	5351
271	FWD Limited	5803
272	Gansu Province Electric Power Investment Group Co., Ltd.	5466
273	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
274	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	40450
275	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	40716
276	Ganzhou Hengzhi Co., Limited	40726
277	Gaoxin International Investment Co., Ltd	40081
278	Gemdale Ever Prosperity Investment Limited	4578

279	GET International Investment Holdings Limited	5381
280	GOHL Capital Limited	5352
281	Goldensep Investment Company Limited	40398
282	Goucheng International Co., Ltd.	40513
283	Goucheng International Co., Ltd.	40723
284	Granda Century Limited	5152
285	Green Fortune Capital Limited	40010
286	Greenland Global Investment Limited	5769
287	Guang Tao Investment Limited	40599
288	Guang Ying Investment Limited	40674
289	Guangxi Communications Investment Group Corporation. Ltd	5880
290	Guangxi Financial Investment Group Co., Ltd.	40469
291	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
292	Guangxi Liuzhou Rail Transit Investment Development Group Co., Ltd.	40819
293	Guangzhou Development District Financial Holdings Group Co., Ltd.	40509
294	GUANGZHOU Silk Road Investment Limited	5323
295	Guofa Overseas Investment Company Limited	40098
296	Guohui International (BVI) Co., Ltd.	6002
297	Guohui International (BVI) Co., Ltd.	40362
298	Guoren Property and Casualty Insurance Co., Ltd.	40694
299	Guoren Property and Casualty Insurance Co., Ltd.	86005
300	Guotai Junan Holdings Limited	5853
301	Haichuan International Investment Co., Ltd.	5460
302	Haichuan International Investment Co., Ltd.	40621
303	Haiguo Xintai Capital Limited	40011
304	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
305	Haimen Zhongnan Investment Development (International) Co., Ltd.	40638
306	Haimen Zhongnan Investment Development (International) Co., Ltd.	40714
307	Hainan State Farms International (HK) Co., Limited	40575
308	Haitian (BVI) International Investment Development Limited	40457
309	Haitong International Finance Holdings 2015 Limited	40186
310	Haixi Overseas Investment Company Limited	40689
311	Hangzhou Fuyang Chengtou Group (HK) Limited	5469
312	Hangzhou Fuyang Chengtou Group (HK) Limited	40738
313	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	40349
314	Hanhui International Limited	5657
315	Hanhui International Limited	40348
316	Hanrui Overseas Investment Co Ltd	40412
317	Harvest International Company	5024
318	HBIS Group Hong Kong Co., Limited	40108
319	Henan Water Conservancy Investment Group Co., Ltd	40387
320	Hengjian International Investment Limited	40268
321	Hero Asia Investment Limited	40466
322	HFI International (HK) Limited	40309
323	Hong Kong JY Flower Limited	40163
324	Hong Kong JY Flower Limited	40410
325	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
326	Hong Kong Sukuk 2017 Limited	4233
327	Hong Run International Company Limited	40734
328	Hong Seng Limited	40172
329	Honghe Development Group Co. Ltd.	40215
330	Hongkong Baorong Development Limited	5465
331	Hongkong Ideal Investment Limited	40019
332	Hongkong International (Qingdao) Company Limited	5048
333	Hongkong International (Qingdao) Company Limited	5875
334	Hongkong International (Qingdao) Company Limited	40017
335	Hongkong International (Qingdao) Company Limited	40053
336	Hongkong International (Qingdao) Company Limited	40206
337	HongKong Xiangyu Investment Co., Limited	4409
338	Huafa 2019 I Company Limited	5976
339	Huafa 2020 I Company Limited	40455
340	Huafa 2021 I Company Limited	40820
341	Huafa 2021 I Company Limited	40806
342	Huafa Group 2018 I Company Limited	5150
343	Huai'an Traffic Holding Co., Ltd.	6006
344	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
345	Huaibei City Construction Investment Holding Group Company Limited	5474
346	Huaibei City Construction Investment Holding Group Company Limited	5946
347	Huan Zhi Company Limited	40566
348	Huaneng Hong Kong Capital Limited	5000
349	Huangshan Tourism Group Co., Ltd.	40364
350	Huantaihu International investment Co., Ltd	40194
351	Huasing International Holdings Co., Limited	4449
352	Huatong International Investment Holdings Co., Limited	40344
353	Huatong International Investment Holdings Co., Limited	40607
354	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	40598
355	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	40571
356	Huayi Finance I Ltd.	40042
357	HUAYUAN PROPERTY CO., LTD	5922
358	Huayuan Property Co., Ltd.	5239
359	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	40440
360	Hunan Xiangjiang New Area Development Group Co Ltd	5223
361	Huzhou Communications Investment Group Co., Ltd.	85740
362	Huzhou Moganshan High-tech Group Co., Ltd.	40775
363	Hyd International Holding Limited	40664
364	IFC Development (Corporate Treasury) Limited	6000

365	IFC Development (Corporate Treasury) Limited	40198
366	Industrial and Commercial Bank of China (Asia) Limited	5686
367	Industrial and Commercial Bank of China (Asia) Limited	5976
368	Industrial and Commercial Bank of China (Macau) Limited	5750
369	Industrial and Commercial Bank of China (Macau) Limited	5797
370	Ji'An Chengtuo Holding Group Co., Ltd	40611
371	Jiangning Jingkai Overseas Investment Co., Ltd.	40436
372	Jiangsu Dieshiqiao Home Textile Industrial Group Co., Ltd.	40769
373	Jiangsu Yueda Group Co., Ltd.	40243
374	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
375	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40506
376	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
377	Jiangxi Railway Investment Group Corporation	5770
378	JIAXING CITY INVESTMENT AND DEVELOPMENT GROUP CO., LTD.	40441
379	JIC Zhixin Limited	5031
380	JIC Zhixin Limited	5032
381	JIC Zhixin Limited	40360
382	JIC Zhixin Limited	40361
383	Jinan Hi-tech Holding Group Co., Ltd.	5481
384	Jinan Hi-tech Holding Group Co., Ltd.	40018
385	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	40510
386	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	40735
387	Jinan Lixia Holding Group Co., Ltd.	40505
388	Jinan West City Investment and Development Group Co., Ltd.	4588
389	Jinchuan Golden Ocean Capital Limited	40597
390	Jingjiang Port (Group) Co., Ltd	40494
391	Jining High Tech Urban Construction Investment Co., Ltd.	40230
392	Jinke Property Group Co., Ltd.	40695
393	Jisco SR Pearl Ltd.	5953
394	Jiu An Finance Co., Ltd	40313
395	Jiujiang Municipal Development Group Co., Ltd.	40697
396	Joy Treasure Assets Holdings Inc.	5901
397	Joy Treasure Assets Holdings Inc.	5903
398	Joy Treasure Assets Holdings Inc.	6027
399	Joy Treasure Assets Holdings Inc.	40000
400	Joy Treasure Assets Holdings Inc.	40467
401	Joy Treasure Assets Holdings Inc.	40468
402	King Power Capital Ltd.	5823
403	King Talent Management Limited	5026
404	Korean Reinsurance Company	5815
405	Kunming Dianchi Investment Co. Ltd.	5569
406	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
407	Kunming Public Rental Housing Development Construction Management Co., Ltd.	40750
408	Kunming Rail Transit Group Co., Ltd.	40100
409	Kunming Rail Transit Group Co., Ltd.	40101
410	Lai Fung Bonds (2018) Limited	5087
411	Liang Shan International Development Limited	5585
412	Lingang Wings Inc	5149
413	LinJiang Investment and Development (BVI) Co., Ltd	40087
414	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
415	Longchuang Group Limited	40492
416	LS Finance (2017) Limited	4471
417	LS Finance (2017) Limited	40731
418	LS Finance (2022) Limited	4571
419	LS Finance (2025) Limited	5535
420	LSD Bonds (2017) Limited	5270
421	Luso International Banking Limited	5078
422	Malaysia Sovereign Sukuk Berhad	5894
423	Malaysia Sovereign Sukuk Berhad	5895
424	Malaysia Sukuk Global Berhad	5633
425	Malaysia Sukuk Global Berhad	5634
426	Malaysia Wakala Sukuk Berhad	40662
427	Malaysia Wakala Sukuk Berhad	40663
428	MCC Holding (Hong Kong) Corporation Limited	4483
429	MCC Holding (Hong Kong) Corporation Limited	40188
430	MCC Holding (Hong Kong) Corporation Limited	40653
431	Mega Wisdom Global Limited	40456
432	Meilun (BVI) Limited	5625
433	Mianyang Investment Holding (Group) Co., Ltd.	40039
434	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
435	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
436	Minmetals Bounteous Finance (BVI) Limited	40175
437	Minmetals Land Capital Limited	5933
438	Mudanjiang City Investment Group Co., Ltd.	5297
439	Nanchang Jinkai Group Co., Ltd.	40626
440	Nanchang Jinkai Group Co., Ltd.	40788
441	Nanchang Linkong Economic Zone City Construction Investment and Development Group Co., Ltd.	40288
442	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
443	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
444	Nanning Communications Investment Group Co., Ltd.	40692
445	Nanshan Capital Holdings Limited	40740
446	Nanyang Commercial Bank, Limited	5214
447	Nanyang Commercial Bank, Limited	40069
448	Neijiang Investment Holding Group Co., Ltd.	40293
449	Neijiang Investment Holding Group Co., Ltd.	40297
450	New Lion Bridge Co., Ltd.	40637

451	New Momentum International Limited	40633
452	New Momentum International Limited	40746
453	Ningbo Yincheng Group Co., Ltd.	40801
454	Nuoxi Capital Limited	4402
455	Nuoxi Capital Limited	5484
456	Nuoxi Capital Limited	5704
457	Nuoxi Capital Limited	5996
458	NWD Finance (BVI) Limited	4561
459	NWD Finance (BVI) Limited	5856
460	NWD Finance (BVI) Limited	40262
461	NWD Finance (BVI) Limited	40504
462	NWD Finance (BVI) Limited	40711
463	Ocean Laurel Co. Limited	40414
464	Oceanwide Holdings International Development III Co., Ltd.	5196
465	Omni Ireland Credit Card Securitization T3 DAC	40476
466	Omni Ireland Credit Card Securitization T3 DAC	40477
467	Omni Ireland Credit Card Securitization T3 DAC	40478
468	Omni Ireland Credit Card Securitization T3 DAC	40479
469	Omni Ireland Credit Card Securitization T3 DAC	40480
470	Omni Ireland Credit Card Securitization T3 DAC	40481
471	Omni Ireland Credit Card Securitization T3 DAC	40482
472	Omni Ireland Credit Card Securitization T3 DAC	40483
473	Omni Ireland Credit Card Securitization T3 DAC	40484
474	Omni Ireland Credit Card Securitization T3 DAC	40485
475	Omni Ireland Credit Card Securitization T3 DAC	40486
476	Omni Ireland Credit Card Securitization T3 DAC	40487
477	Omni Ireland Credit Card Securitization T3 DAC	40488
478	Omni Ireland Credit Card Securitization T3 DAC	40489
479	Orient Huizhi Limited	5041
480	Oriental Capital Company Limited	40025
481	OVPH Limited	5614
482	Panther Ventures Limited	40378
483	Panther Ventures Limited	40512
484	PB Issuer (No.5) Limited	40097
485	PCGI Intermediate Holdings (II) Limited	40074
486	PCGI Intermediate Holdings (III) Limited	40810
487	PCGI Intermediate Limited	40040
488	PCGI Intermediate Limited	40040
489	Peak Re (BVI) Holding Limited	40437
490	Perfect Point Ventures Limited	4566
491	Petron Corporation	5905
492	PHBS Limited	4325
493	Phoenix Charm International Investment Limited	40058
494	Phoenix Charm International Investment Limited	40071
495	Phoenix Lead Limited	5262
496	Ping An International Financial Leasing Co., Ltd.	40818
497	Pingdu State-owned Assets Management Co., Ltd.	40207
498	Pingxiang Urban Construction Investment Developing Company	4535
499	Pioneer Reward Limited	4530
500	Pioneer Reward Limited	40143
501	Pioneer Reward Limited	40643
502	Pioneer Reward Limited	40644
503	Poly Real Estate Finance Ltd	4417
504	Poly Real Estate Finance Ltd	5164
505	Poly Real Estate Finance Ltd	5916
506	Power Best Global Investments Limited	40515
507	Powerchina Real Estate Group Ltd.	5471
508	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
509	Press Metal (Labuan) Ltd.	5299
510	Prosperous Ray Limited	5994
511	Proven Honour Capital Limited	5516
512	Proven Honour Capital Limited	5641
513	Pterosaur Capital Co., Limited	6021
514	PTT Public Company Limited	2527
515	Puyang Investment Group Co., Ltd.	40400
516	Puyang Investment Group Co., Ltd.	40407
517	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
518	Qingdao Jiaozhou Bay Development Group Co., Ltd.	40710
519	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
520	Qinzhou City Development & Investment Group Co., Ltd	40796
521	Radiant Access Limited	5205
522	RKI Overseas Finance 2016 (B) Limited	4309
523	Rongshi International Finance Limited	5448
524	Rongshi International Finance Limited	5449
525	Rosy Delta Investments Limited	5097
526	Rowen International Co., Limited	40491
527	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40555
528	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40616
529	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40753
530	Rudong County Tongtai Investment Group Co., Ltd.	40677
531	Rugao Economic and Trade Development Company	40041
532	Rugao Economic and Trade Development Company	40133
533	Rugao Economic and Trade Development Company	40291
534	SCI (HK) Development Limited	40631
535	SCI (HK) Development Limited	40632
536	SCIG International Financial Limited	5174

537	SDG FINANCE I LIMITED	4539
538	SDG FINANCE I LIMITED	5192
539	SDG Finance Limited	40816
540	SDG Finance Limited	40817
541	SDSC Global Finance Limited	5303
542	SDSC International Development Limited	40022
543	SF Holding Investment Limited	5130
544	SF Holding Investment Limited	40152
545	SFG International Holdings Co. Limited	40704
546	Shaanxi Financial Asset Management Co.,Ltd.	40620
547	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
548	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
549	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
550	Shandong Commercial Group Co., Ltd.	5512
551	Shandong Iron and Steel Xinheng International Company Limited	5470
552	Shandong Iron and Steel Xinheng International Company Limited	40003
553	Shandong Iron and Steel Xinheng International Company Limited	40458
554	Shandong Iron and Steel Xinheng International Company Limited	40781
555	Shanghai Commercial Bank Limited	5043
556	Shanghai Commercial Bank Limited	5530
557	Shanghai Port Group (BVI) Development 2 Co., Limited	40298
558	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
559	Shanghai Port Group (BVI) Development Co., Limited	4587
560	Shanghai Port Group (BVI) Development Co., Limited	5734
561	Shanghai Port Group (BVI) Development Co., Limited	5741
562	Shanghai Port Group (BVI) Holding Co., Limited	5256
563	Shangrao Investment Holdings International Company Limited	40122
564	Shanghai (Hong Kong) International Investments Limited	40009
565	Shanxi Securities Co., Ltd.	40673
566	Shaoxing City Investment Group Limited	5489
567	Shaoxing City Investment Group Limited	40807
568	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
569	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40617
570	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40618
571	Shengzhou Investment Holdings Co., Ltd.	40119
572	Shengzhou Investment Holdings Co., Ltd.	40267
573	Shenwan Hongyuan International Finance Limited	40766
574	Shouguang City Construction Investment Development Co., Ltd.	40233
575	Shouguang City Construction Investment Development Co., Ltd.	40495
576	Shuifa International Holdings (BVI) Co., Ltd.	5992
577	Shuifa International Holdings (BVI) Co., Ltd.	40214
578	Shuifa International Holdings (BVI) Co., Ltd.	40624
579	Si Fa Investment Limited	5490
580	Si Fa Investment Limited	40640
581	Sichuan Communications Overseas Development Co., Ltd.	5399
582	Sichuan Gloport Investment Development Group Co., Ltd	40196
583	Sichuan Railway Investment Group Co., Ltd.	5575
584	SIHC International Capital Ltd	5176
585	SIHC International Capital Ltd	5177
586	Sino Trendy Investment Limited	40438
587	Sinochem Global Capital Co., Ltd.	5935
588	Sinochem Overseas Capital Company Limited	4502
589	Sino-Ocean Land Treasure Finance I Limited	5782
590	Sino-Ocean Land Treasure Finance II Limited	5869
591	Sino-Ocean Land Treasure III Limited	5276
592	Sino-Ocean Land Treasure IV Limited	5623
593	Sino-Ocean Land Treasure IV Limited	5712
594	Sino-Ocean Land Treasure IV Limited	40115
595	Sino-Ocean Land Treasure IV Limited	40670
596	Sino-Ocean Land Treasure IV Limited	40760
597	Sinosing Services Pte. Ltd.	40155
598	Sinosing Services Pte. Ltd.	40156
599	Skyland Mining (BVI) Limited	40266
600	Skysea International Capital Management Limited	4529
601	SmarTone Finance Limited	5917
602	Societe Generale	5947
603	Societe Generale	5959
604	Societe Generale	5960
605	Societe Generale	5961
606	Societe Generale	5962
607	SPIC 2016 US dollar Bond Company Limited	5324
608	SPIC 2016 US dollar Bond Company Limited	5325
609	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
610	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
611	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
612	State Grid Overseas Investment (2013) Limited	5954
613	State Grid Overseas Investment (2013) Limited	5955
614	State Grid Overseas Investment (2014) Limited	5742
615	State Grid Overseas Investment (2014) Limited	5743
616	State Grid Overseas Investment (2016) Limited	5650
617	State Grid Overseas Investment (2016) Limited	5651
618	State Grid Overseas Investment (2016) Limited	5652
619	Strategic International Group Limited	5241
620	Strategic International Group Limited	40285
621	Sunny Express Enterprises Corp.	5906
622	Sunny Express Enterprises Corp.	40030

623	Sunny Express Enterprises Corp.	40031
624	Sunny Express Enterprises Corp.	40203
625	Sunny Express Enterprises Corp.	40204
626	Sunshine Life Insurance Corporation Limited	5629
627	Suqian Economic Development (BVI) Co., Limited	40034
628	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
629	Taihu Pearl Oriental Company Limited	40326
630	Taikang Insurance Group Inc.	5349
631	Taishan City Investment Co., Limited	40608
632	Taiyuan Longcheng Development Investment Group Co., Ltd.	40281
633	Taiyuan Longcheng Development Investment Group Co., Ltd.	40805
634	Taiyuan State-owned Investment Group Company Limited	6005
635	Taizhou Haiminghui Trading Co., Ltd.	40573
636	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
637	Taizhou Urban Construction and Investment Development Group Co., Ltd.	40417
638	Taizhou Urban Construction and Investment Development Group Co., Ltd.	40821
639	Talent Yield (Euro) Limited	40390
640	Talent Yield International Limited	40675
641	Talent Yield International Limited	40676
642	Tangshan International Investment (Hong Kong) Co., Ltd	40391
643	Tencent Music Entertainment Group	40365
644	Tencent Music Entertainment Group	40366
645	Tequ Mayflower Limited	40600
646	The Hongkong and Shanghai Banking Corporation Limited	4323
647	Tianfeng Securities Co., Ltd.	40090
648	Tianjin Lingang Investment Holding Co., Ltd.	5716
649	Tianjin Lingang Investment Holding Co., Ltd.	40234
650	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
651	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
652	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
653	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
654	Tianqi Finco Co., Ltd	5036
655	Tibet Financial Leasing Co., Ltd.	5707
656	Tongfang Aqua Limited	6001
657	Tongling Development Investment Group Company Limited	40153
658	Top Luxury Investment Limited	5598
659	Towngas (Finance) Limited	5749
660	Towngas (Finance) Limited	6018
661	Treasure Generator Limited	5709
662	Tsinghua Unic Limited	4414
663	Tsinghua Unic Limited	4415
664	Tuspark Forward Ltd.	4599
665	TVB Finance Limited	4577
666	UHI Capital Ltd.	4572
667	Union Life Insurance Co., Ltd.	4322
668	Universe Trek Limited	40295
669	Universe Trek Limited	40499
670	Universe Trek Limited	40722
671	Universe Trek Limited	40797
672	Urumqi Gaoxin Investment and Development Group Co., Ltd.	40429
673	Vertex Capital Investment Limited	5931
674	Vertex Capital Investment Limited	40780
675	Viva Biotech Investment Management Limited	40514
676	Viva Incubator Investment Management Limited	40144
677	Wanda Group Overseas Limited	5599
678	Wanda Group Overseas Limited	40386
679	Wanda Properties International Co. Limited	6023
680	Wanda Properties Overseas Limited	40088
681	Wanda Properties Overseas Limited	40139
682	Wanda Properties Overseas Limited	40665
683	Want Want China Finance Limited	5446
684	Wealthy Vision Holdings Limited	40701
685	Weifang Binhai Investment Development Co., Ltd.	40421
686	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
687	Weimob Investment Limited	40218
688	Weimob Investment Limited	40702
689	Wending Zhongyuan Company Limited	40376
690	WENS FOODSTUFF GROUP CO., LTD.	40442
691	WENS FOODSTUFF GROUP CO., LTD.	40443
692	Wuhan Financial Holdings (Group) Co., Ltd.	5498
693	Wuhan Jiangxia Agriculture Group Company Limited	40395
694	Wuhan Metro Group Co., Ltd.	5467
695	Wuhan Trading Group Co., Ltd	40474
696	Wuhan Urban Construction Group Co., Ltd.	40755
697	Xi Hai An 2019 Limited	40067
698	Xi Yang Overseas Limited	4565
699	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40187
700	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40231
701	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40576
702	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40612
703	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40785
704	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40798
705	XI'AN PORT INDUSTRIAL CO., LIMITED	40496
706	XI'AN QUJIANG CULTURAL INDUSTRY INVESTMENT (GROUP) CO., LTD	40473
707	Xi'an Qujiang Culture Holdings Co., Ltd.	40002
708	Xi'an Qujiang Culture Holdings Co., Ltd.	40183

709	Xiangtan Urban & Rural Construction Development Group Co., Ltd.	40244
710	Xiangyu Investment (BVI) Co., Ltd.	5572
711	Xianjin Industry Investment Company Limited	40394
712	Xianyang Financial Holding Group Co., Ltd.	40263
713	Xihui Haiwai I Investment Holdings Co., Limited	5990
714	Xin Fu (BVI) Co., Limited	5985
715	Xingang International Holding Limited	40099
716	Xingchang International Company Limited	40294
717	Xingsheng (BVI) Company Limited	5172
718	Xingsheng (BVI) Company Limited	5606
719	Xingsheng (BVI) Company Limited	40811
720	Xinhu (BVI) 2018 Holding Company Limited	4569
721	Xinhu (BVI) 2018 Holding Company Limited	5497
722	Xinhu (BVI) 2018 Holding Company Limited	5889
723	Xinjiang Financial Investment Co., Ltd.	5907
724	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
725	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
726	Xinjiang Zhongtai (Group) Co., Ltd.	4567
727	Xinzhan Limited	40777
728	YAN GANG LIMITED	40622
729	Yancheng High-tech Zone Investment Group Co., Ltd.	40392
730	Yancoal International Resources Development Co., Limited	4552
731	Yancoal International Resources Development Co., Limited	5424
732	Yancoal International Resources Development Co., Ltd	5461
733	Yancoal International Resources Development Co., Ltd	40453
734	Yancoal International Trading Co., Limited	5753
735	Yango Justice International Limited	40705
736	Yangzhou Economic and Technological Development Zone Development Corporation	5513
737	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	40355
738	Yankuang Group (Cayman) Limited	5705
739	Yankuang Group (Cayman) Limited	40312
740	YCIC International (HK) Co., Limited	40799
741	Yi Bright International Limited	40377
742	Yi Bright International Limited	40748
743	Yichang High-Tech Investment Development Co., Ltd.	5495
744	Yichun Development Investment HaiTong Co., Limited	40084
745	Yili Holding Investment Limited	40471
746	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	40249
747	YONGDA Investment Limited	40252
748	Yunda Holding Investment Limited	40347
749	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
750	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
751	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
752	Yunnan Energy Investment Overseas Finance Company Ltd.	40351
753	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
754	Yun-Top International Investment Company (BVI) Limited	40318
755	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
756	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
757	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
758	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
759	Zhangzhou Transportation Development Group Co., Ltd.	5584
760	Zhaobing Investment (BVI) Limited	6018
761	Zhaojin Mining International Finance Limited	5851
762	Zhejiang Baron (BVI) Company Limited	40397
763	Zhejiang Baron (BVI) Company Limited	40802
764	Zhejiang Deqing Jinkai Urban Development Co., Ltd.	40804
765	Zhejiang Seaport International Co., Limited	40614
766	Zhejiang Xinchang Investment Development Co., Ltd.	40583
767	Zhengzhou Airport Economy Zone Xinggang Investment Group Co., Ltd.	40255
768	Zhengzhou Metro Group Co., Ltd.	5496
769	Zhengzhou Real Estate Group Co., Ltd.	40014
770	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
771	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
772	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
773	Zhenjiang New Area Urban Construction Investment Co., Ltd	40693
774	Zhongtai International Finance (BVI) Company Limited	40339
775	Zhongyuan Asset Management Co., Ltd	40078
776	Zhongyuan Sincere Investment Co. Ltd.	5596
777	Zhongyuan Zhicheng Co., Ltd.	40747
778	Zhoushan City Investment Group Corporation Limited	5487
779	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
780	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
781	Zhuji Development Limited	5480
782	Zhuzhou City Construction Development Group Co., Ltd.	4531
783	Zijin International Capital Company Limited	5188

Category 3 exemptions for open-ended CIS in corporate form whose shares are listed on The Stock Exchange of Hong Kong Limited

	Name	SEHK Stock codes
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848

5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065
18	Global X China Consumer Brand ETF	2806 / 9806
19	Global X China Electric Vehicle ETF	2845 / 9845
20	Global X China Clean Energy ETF	2809 / 9809
21	Global X China Semiconductor ETF	3191 / 9191
22	Global X China Robotics and AI ETF	2807 / 9807
23	CSOP STAR 50 Index ETF	3109
24	Global X China Innovator Active ETF	3058
25	Global X China Global Leaders ETF	3050
26	CSOP Global Cloud Computing Technology Index ETF	3194
27	CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
28	CSOP China Healthcare Disruption Index ETF	3174
29	Global X Asia Semiconductor ETF	3119
30	Global X China E-commerce and Logistics ETF	3124
31	Global X China Games and Entertainment ETF	3117
32	CSOP Global Smart Driving Index ETF	3162

* This is a synthetic ETF

Category 4 exemptions for exchange participants of The Stock Exchange of Hong Kong Limited

Name	
1	China Innovation Market Service Company Limited
2	China Investment Information Services Limited

Category 5 exemptions for clearing houses that are clearing participants

Name	
1	China Securities Depository and Clearing Corporation Limited
2	China Securities Depository and Clearing (Hong Kong) Company Limited

Other exemptions granted under section 309(2) of the Securities and Futures Ordinance (Cap.571)

Name	
1	Budweiser Brewing Company APAC Limited
2	JD Health International Inc.
3	JD Logistics, Inc.